

Section 1: Innovation and Transparency

- Describe your top three E-Government IT accomplishments related to Open Government and innovation from this year and provide any related URL(s). You are encouraged to confer with your Open Government Senior Accountable Official. If you have questions on who is your agency's Senior Accountable Official. If you have questions on who is your agency's Senior Accountable Official, please see <http://www.whitehouse.gov/open/documents/open-government-directive/working-group>.
- Executed the Sustainable Urban Housing: Collaborating for Liveable and Inclusive Cities competition. This competition was launched in anticipation of the 2012 Summit of the Americas, and in support of U.S. President Barack Obama's Energy and Climate Partnership for the Americas (ECPA). It was funded by the Rockefeller Foundation, and was a joint effort of the U.S. Department of Housing and Urban Development, the U.S. Department of State, and the American Planning Association.
- Launched a crowdsourcing platform HUD Ideas in Action, powered by a tool called UserVoice, allows people to come together, share ideas in response to a question, discuss those ideas, and vote the best ones to the top for consideration by the U.S. Department of Housing and Urban Development.
- Launched the HUDdle, HUD's new, interactive bi-lingual blog that replaced the previous blog on the home page of HUD.gov. The HUDdle enables readers - including HUD employees - to post comments and engage in a conversation with one another. The HUDdle was designed to enable HUD to interact with the public, our stakeholders, and our grantees like never before. Another big improvement of The HUDdle over the old HUD.gov blog is that it's now much easier to share information. From regular bloggers, to guest bloggers, to video blogs, and special behind-the-scenes at HUD content – The HUDdle will be the go-to place for news, information, and dialogue about all of the great work HUD is doing in homes and communities nationwide.

Section 2: Compliance with Goals and Provisions of the Act

- Please provide a URL and/or narrative to your agency's website where the following information is located (refer to examples for each of these on MAX):

A. Your agency's Information Resources Management (IRM) Strategic Plan and Enterprise Architecture (EA) Plan;

HUD's Information Resources Management (IRM) Strategic Plan is available at:

<http://www.hud.gov/offices/cio/documents/itstratplan3.pdf>

HUD's Enterprise Architecture (EA) Transition Plan is available at:

http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_13831.pdf

- ### B. For each E-Gov initiative, provide final determinations, priorities, and schedules. Your agency's information dissemination product catalogs, directories, inventories, and any other management tools used to improve the dissemination of and access to your agency's information by the public.

HUD.gov was developed as the information clearinghouse for the public and our business partners. Consequently, HUD's E-Gov initiatives already provide the most commonly requested information under the Freedom of Information Act and through email and telephone contacts. However, to ensure requested information is available HUD staffs who routinely respond to customer questions, provide feedback on any frequently requested information that is not already available on HUD's website. HUD has identified the inventory of information priorities and schedules available on:

<http://portal.hud.gov/portal/page/portal/HUD/about/inventory>

This Web Publication Schedule page also provides a link to HUD's Web Manager mailbox and solicits public comments and suggestions. As new information becomes available at HUD that is important to the public, the Department is committed to putting it on HUD's Homes and Community website. HUD's information dissemination products, catalogs, directories, inventories and other management tools are found on HUD.gov [Resources](#), [Contact Us](#), and [HUD User](#) web pages.

- C. Your agency's FOIA handbook, the URL of your agency's primary FOIA website, and the URL where frequent requests for records are made available to the public;

The Department's FOIA handbook, primary FOIA website and frequently requested records are made public on the following website:

FOIA Website http://portal.hud.gov/portal/page/portal/HUD/program_offices/administration/foia
FOIA Handbook <http://www.hud.gov/offices/adm/hudclips/handbooks/ogch/13271/index.cfm>
FOIA Reference Material <http://www.hud.gov/offices/adm/foia/referencematerials.cfm>

- D. A list of your agency's public Web sites disseminating research and development (R&D) information to the public, describing for each whether the website provides the public information about federally funded R&D activities and/or provides the results of Federal research;

Not Applicable HUD does not fund research and development (R&D) activities.

- E. An inventory describing formal agency agreements (e.g., contracts, memorandum of understanding) with external entities (e.g., partnerships with State and local governments, public libraries, industry and commercial search engines) complementing your agency's information dissemination program, briefly explaining how each agreement improves the access to and dissemination of government information to the public;

Agreements either between or among federal agencies, or between federal agency and state or local governmental agencies or nongovernmental entities, go by many names, for example, memorandum of agreement, memorandum of understanding, interagency agreements, and intergovernmental agreement.

Historically, HUD has successfully carried out its mission through our relationships with other federal agencies and various business partners, including nonprofit organizations, state and local governments, housing agencies, authorities, and tribes; community and faith-based organizations, various housing industry groups including lenders, brokers, appraisers, and multifamily developers and owners, health care facilities providers, small businesses; fair housing organizations; and investors. These partnerships have allowed the development of various communication vehicles and channels that increase our ability to deliver information to our customers. Efforts that are resulting in effective communications include:

- **Disaster Assistance Improvement Plan (DAIP):** The Disaster Assistance Improvement Plan (DAIP) implemented DisasterAssistance.gov to improve the delivery of disaster assistance all across federal government. The initiative includes member agencies that have active disaster assistance programs as well as those that do not. http://www.disasterassistance.gov/daip_en.portal
- **Grants.gov:** Grants.gov enables Federal agencies to publish grant funding opportunities and application packages online while allowing the grant community to search for opportunities and download, complete, and electronically submit applications. By using Grants.gov, HUD was able to receive and review applications for funding through a single interface. <http://grants.gov/>
- **Integrated Acquisition Environment:** IAE creates a secure business environment that will facilitate and support cost-effective acquisition of goods and services by agencies, while eliminating inefficiencies in the current acquisition environment. This initiative will promote increased efficiency and effectiveness of goods and services in a cost effective manner, while providing more access to small business. By using IAE HUD has the capability to access common systems that support the procurement process (e.g., past performance, central contractor registration), and share data and expenses with other agencies, thus providing greater functionality and better information at reduce cost. <https://www.acquisition.gov/>

- F. An inventory that describes your agency's NARA-approved records schedules(s) or the link to the publicly-posted records schedules(s), and a brief explanation of your agency's progress to implement NARA Bulletin 2006-02. For the brief explanation, please report the number of systems for which a record schedule was submitted to NARA in FY 2011 and

the number of systems still requiring records schedules; ,

In compliance with NARA Bulletin 2006-2, 100% of HUDs financial information systems have been approved by NARA. HUDCLIPS and HUDs Web Management records were approved in FY 2011. HUDs Directives/Issuances/Policy files were converted from a temporary retention to a permanent collection. OGCs Legal record schedule was approved as media-neutral records.

HUD public website to HUD Records Disposition Schedules can be found at:

http://portal.hud.gov/portal/page/portal/HUD/program_offices/administration/hudclips/handbooks/admh/2225.6

The public website to HUD Records Control Schedules can be found at:

<http://www.archives.gov/records-mgmt/rcs/schedules/index.html?dir=/departments/department-of-housing-and-urban-development/rg-0207>

- G. A describe of how your agency has implemented the use of electronic signatures for appropriately secure electronic transactions with Government and established a framework to allow efficient interoperability;

HUD is evolving and maturing its use of electronic authentication tools to support secure access to systems and data both internally and externally. Internally, HUD has issued FIPS 201 compliant personal identity verification (PIV) credentials to virtually all of its employees and applicable contractors. HUD is beginning to integrate use of these two-factor authentication credentials with the access controls to HUD's systems as an upgrade to the more typical user name and password approach. Externally, HUD has been using electronic authentication tools to permit secure access to systems and data by customers for many years. HUD has initiated a new project to consolidate and standardize its access control tools using contemporary technologies. This project will permit HUD to fully utilize the electronic signature capability on the PIV cards used by employees and contractors and reduce the number of system specific user names and passwords used by customers. The project will also implement better management controls for providing and revoking access to specific systems and data.

- H. A description of how your agency has enhanced public participation in Government by electronic means for development and issuance of regulations. (ex: regulations.gov)

HUD continues to support the effort to support Regulations.gov, the government-wide website that provides the public a portal to access and participate in the federal rulemaking process. HUD has participated in this effort since the inception of Regulation.gov in 2002. Since that time, HUD has posted all of its documents requesting public comment (e.g., proposed and interim rules) on Regulations.gov. In addition, HUD includes language in all documents published in the Federal Register alerting the public to Regulations.gov as the preferred method of submitting comments. During the last fiscal year, HUD has received over 20,000 public comments through Regulations.gov. In addition, HUD has actively served on the Executive Board overseeing Regulation.gov and on its various task groups.

- I. Performance measures that demonstrate how electronic government enables progress toward agency objectives, strategic goals, and statutory mandates, as identified in conformance with the implementation of the GPRA Modernization Act (including, but not limited to, your agency's performance.gov links at <http://goals.performance.gov/agencies>), including a description of how your agency has linked performance goals to key stakeholders, private sector, other agencies, and internal operations in delivering information and services though use of IT;

To realize our mission to create strong, sustainable, inclusive communities and quality, affordable homes for all, the HUD Strategic Plan articulates five overarching goals. These goals guide the transformation of HUD to implement place-based policies; drive a balanced, comprehensive national housing policy that supports sustainable homeownership and affordable rental homes alike; and build the strong and discrimination-free communities that serve as the foundation for opportunity.

The Management Action Plan (MAP) translates the broad goals and outcome measures in our FY 2010-2015 Strategic Plan into specific, quantifiable targets and actions that we plan to accomplish in the upcoming fiscal year. The MAP focuses on Agency Priority Goals (APGs), priorities which the Department has committed to achieve by the end of FY 2013. The MAP also includes objectives to achieve Goal 5 in the HUD Strategic Plan, Transforming how HUD does business.

The Government Performance and Results Modernization Act of 2011 require all federal agencies to develop a set of APGs against which they will report progress quarterly. HUD identified seven APGs during a series of priority setting meetings with senior leadership during the spring of 2011, representing some of the core programs of the agency. Four of the seven APGs are extensions of the FY10/11 APGs (formerly known as High Priority Performance Goals (HPPGs)).

The MAP is not intended to represent all of the work HUD will do towards achieving the Department's goals, rather it focuses on the strategies and targets related to achieving the APGs and strategies related to achieving Goal 5 of the Strategic Plan. Further detail for programs and activities that do not contribute to the APGs or Goal 5 objectives will be reflected in each program and support area Operating Plans which are still under development. The FY12/13 MAP is designed to:

- Include both program and place-based activities, outputs and outcomes;
- Guide the work activities of HUD staff in headquarters and in the field; and
- Ensure that HUD achieves the Department's Strategic goals

J. A description of how your agency has reduced errors through use of electronic submissions;

One of HUD's most important efforts to improve data quality, and one of the vital components of the overall modernization of its OCIO, is the professionalization and standardization of its investment management processes. This effort has been made possible through Transformation Initiative (TI) funds. The TI is an effort that set aside 1% of the Department's annual operating budget for investments that improve IT capabilities, and address critical Department priorities. TI funding has helped to ensure that all of the Department's IT investments receive a consistent, modern, and effective management and oversight approach, and are in full alignment with the Department's strategic goals and strategic plan. As part of this process of standardization, all of the Department's investments are being reported and tracked by the business function in which they support. The outcome of this is that the investment portfolio has a consistent and transparent architectural alignment that will render more consistent enterprise wide electronic submission products that will facilitate the submission of more accurate data by reducing the potential for errors and increasing the integrity of the data.

HUD has consistently utilized XML schema and the eCPIC tool to submit data to the Office of Management and Budget (OMB) to update the Federal IT Dashboard and subsequently ensure its accuracy, reliability, and timeliness. HUD has already made the details of its major investments available on the dashboard, along with links to detailed financial information, Exhibit 300s, and other relevant data. HUD is fully committed to the principles of Open Government and recognizes the importance of not simply submitting data to the IT dashboard but of ensuring the quality and reliability of that data. Ranked among the best plans, HUD's Open Government plan was received favorably throughout the government and among numerous watchdog groups. Active collaboration with OMB has already revealed certain deficiencies that need to be rectified and yielded a number of lessons learned that will be useful in moving forward.

HUD has been a leader in Open Government and is committed to making its operations more transparent and intelligible to the American people. The Department is proud of its efforts to modernize its IT investment management processes and its work with OMB in releasing investment data and proactively addressing data quality issues. We stand committed

to continuing to modernize our practices and to fully implement our planned controls that will better ensure data quality for the Federal IT Dashboard.

- K. A description of three key initiatives (provide specific examples) your agency is taking to improve compliance with Section 508 of the Rehabilitation Act;

HUD has integrated Section 508 standards into its procurement and system development standards. All contracts for the procurement of electronic information processing equipment, Commercial off-the-shelf software (COTS) and information processing services have a clause stating that all appropriate deliverables must be Section 508 compliant. The Department has conducted training for procurement officials, project managers and information technology professionals to assure a complete understanding of the letter and intent of Section 508.

The Section 508 roles and responsibilities of involved personnel have been stressed in instructor-led classes and all class material is available on the Department's intranet site to ensure clear guidance for each key procurement process area (HUD Requiring Officials, the Procurement Office, the Section 508 Coordinator, the Office of Fair Housing and Equal Opportunity, and our Assistive Technology Program). Carrying out the outlined responsibilities ensures that HUD acquires the most compliant EIT. Additionally, HUD's web policies state the following:

HUD's websites - including all online applications and work processes - must comply with Section 508 of the Rehabilitation Act of 1973, making content accessible to people with disabilities. Section 508 requires that anyone with disabilities must be able to access and use information and data on a website, comparable to the way people without disabilities can get that information and data, unless it would cause our agency an undue burden.

- L. The quantified cost savings and cost avoidance achieved through implementation of IT programs.

HUD currently expects to retire or decommission and receive cost savings from these systems once the new capabilities for the TI/IT initiatives are in place: Program Accounting System (PAS) - Projected Retirement Date: FY12, (ECS: \$411,733), HUD's Central Accounting and Program System (HUDCAPS) – Projected Retirement Date: FY12, (ECS: \$3,298,259), Fair Housing Administration Subsidiary Ledger (FHASL) – Projected Retirement Date: FY12, (ECS: \$2,525,390), and Grants Management Process (GMP) Legacy - FY 2013 (ECS: \$119,000). HUD has not yet quantified programmatic efficiencies that may occur following the implementation of new supporting technologies for the target business areas. Planning is currently on the way to determine projected retirement dates and estimated cost savings of approximately ten additional systems.