



Virginia Commonwealth University
Office of Sponsored Programs

Internal Approval Form

For OSP Office Use Only

Date & Time: 8/10/10 10:50am
 OSP# PT/06/07
 Copy Received: Yes No
 COI Form: Yes No
 Reviewer: TR

PRINCIPAL INVESTIGATOR INFORMATION

Principal Investigator [Redacted] (PI Name as it exists in HRS)
 Last Name First Name MI
 E-mail: [Redacted] Note: This department will receive credit for the award unless alternate department is listed below.
 PI Phone # 827-0869 PI Fax # _____ PO Box 842028 Department Wilder/ Public Affairs
 FA Name/FA# Sharon Dawson FA E-mail sjdawson@vcu.edu FA Phone # 8-1674
 Contact for Proposal Pickup Contact Contact
 E-mail E-mail Phone #
 Is the department listed above also managing the project's fiscal responsibilities? Yes: No: If no, please list the department responsible for fiscal management which will receive credit for award.
 Dept. for Fiscal Administration _____ FA Name _____
 Fiscal FA E-mail _____ FA Phone # _____
 Study Coordinator Name _____ E-mail _____
 Study Coordinator Phone # _____ Fax # _____
 Distribution List - Indicate email addresses to distribute documentation related to this proposal:
 swilla@vcu.edu sjdawson@vcu.edu mzgough@vcu.edu

SPONSOR/AGENCY INFORMATION

Sponsor Name Gulf Regional Planning Commission
 Contact Name Ms. Elaine Wilkinson No Acronyms
 Phone # _____ E-mail: _____
 Due Date: _____
 Receipt Date Postmark
 E-Submission*
 Research Training Fellowship Clinical Trial Other
 (Name of Fellow) _____ OSP/OIP Use Only
 Does the project involve Federal Funds? Yes: No:
 (Originating sponsor, if applicable) _____

Solicitation/RFA/RFP/Award Number: CFDA 14.703
 *For proposals requiring Electronic Submission, the complete proposal needs to be in the OSP office no later than FIVE days before the due date.

PROPOSAL INFORMATION

Proposal Title: Mississippi Gulf Coast Regional Sustainable Plan No Acronyms
 New Preproposal Competing Renewal of OSP# _____ Continuation of OSP# _____
 Task Order of OSP# _____ Revision of OSP# _____ Supplement of OSP# _____

Project Period	Begin: 11/01/2010	End: 10/31/2011
Budget Period	Begin: 11/01/2010	End: 10/31/2011

F&A Rate:	<u>26</u>	%
Nature:	CR <input checked="" type="checkbox"/>	TFP <input type="checkbox"/> FUP <input type="checkbox"/>

Budget Information

	Year One	Year Two	Year Three	Year Four	Year Five	Project Total
Direct	\$4,423	0	0	0	0	\$4,423
Indirect	\$1,150	0	0	0	0	\$1,150
Subtotal Sponsor	[Redacted]	0	0	0	0	[Redacted]
Cost Share *		0	0	0	0	
Total		0	0	0	0	

*For Proposals with Cost Sharing, complete the Cost Share form at <http://www.vcu.edu/finance/costsharingauthorization.pdf>

*For Industry-Sponsored agreements, cost sharing of Principal Investigator's salary is not permitted without the approval of the V.P. for Research.

PI: [REDACTED] Title: Mississippi Gulf Coast Regional Sustainable Plan Sponsor: Department of Housing and Urban Development

Is this project being conducted through a Center or Institute? Yes: No: If "YES", please indicate the Center or Institute below:

- Center for Clinical and Translational Research Institute for Drug and Alcohol Studies
- Institute for Structural Biology and Drug Discovery Institute for Women's Health Massey Cancer Center
- Philips Institute of Oral & Craniofacial Molecular Biology Virginia Institute for Psychiatric and Behavioral Genetics
- Center for Rehabilitation Science and Engineering Other: _____

PERCENT EFFORT AND PERCENT RESPONSIBILITY ON PROJECT

Current Period Amounts:

Name	% Effort	% Salary	Cost Share Amount	% Responsibility
[REDACTED]	100 %	5 %	[REDACTED]	[REDACTED] %
	%	%		%
	%	%		%
	%	%		%
	%	%		%
	%	%		%
	%	%		%

*Please use the Continuation Page for additional Co PI listings (click here to link to the Continuation Page)

COMPLIANCE DATA

The proposal enclosed involves the following:

Yes	No	Don't Know		Yes	No	Don't Know		Yes	No	Don't Know	
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Human Subjects Research ¹ (If yes, complete table below)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Radioactive Materials ^{4,5}	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Clinical Trial
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Animal Use ² (If yes, complete table below)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Recombinant DNA, Select Agents or other biohazards ^{4,5}	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Research is subject to export controls
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Foreign Nationals	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Company Confidential Information will be provided	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Sponsor is foreign-owned company or foreign government
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Restrictions on Publication or Intellectual Property Rights	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	International Program	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Program Income
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Retired faculty participation	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Subcontracts or subrecipients ⁶ (external)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Wet lab space
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Rented off campus facility	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Subaccounts (internal) ⁶	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Additional/New space
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Delivery of anything more than technical report	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	NSF Funds- RCR Training Required	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	NIH Funds- RCR Training Required
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	HIPPA Covered Data ³	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>					

- For further information on human subjects research refer to: <http://www.research.vcu.edu/irb/activities.htm>
- For further information on animal research refer to: <http://www.research.vcu.edu/iauc/index.htm>
- Contact contact VCUHS Compliance Services at <http://www.vcuhealth.org/?id=865&sid=1> or 828-0500
- For more information on environmental health requirements refer to <http://www.vcu.edu/oehs/>
- For more information on chemical and biosafety requirements refer to <http://www.vcu.edu/oehs/chemical/biosafe/IBHome.pdf>
- If Yes, complete Internal Approval Form Proposal Budget Detail, <http://www.research.vcu.edu/forms/IAFProposalBudgetDetail.xls>

Principal Investigator / Co PI(s)	IRB/IACUC No.	Approval Date

PRINCIPAL INVESTIGATOR CERTIFICATIONS, DISCLOSURES AND ASSURANCES

By signing below I certify that I have read and understand the statements below and those contained in this Internal Approval Form and further certify that the statements contained herein are accurate and truthful to the best of my knowledge and belief:

Yes No

- Checkboxes for: All applicable items contained in the Compliance Data section... Investigator has read and understands VCU's Conflict of Interest... The enclosed proposal is complete in technical content... The space/facilities and other VCU resources necessary... If the proposal enclosed is funded and accepted by VCU... Investigator acknowledges that any false, fictitious, or fraudulent statements...

[Redacted Signature] 7/29/2010

*The Conflict of Interest Disclosure form is available at http://www.research.vcu.edu/forms/ConflictofInterestDisclosureForm.doc.

REQUIRED SIGNATURES

We, the undersigned, do certify to the best of our knowledge and behalf that 1) the designated faculty will be released for the effort indicated; 2) personnel costs are correctly estimated; 3) adequate and suitable space is/will be provided for completion of the project; 4) no named participant is debarred from this application; and 5) this project is consistent with the educational and research objectives of the University.

For additional signature areas, please see the Continuation Page.

Principal Investigator/Date [Redacted] [Checked] A copy of this proposal has been delivered to my Department Chair for review. (Check Box) Dean/Date [Signature] 8/2/2010

Services Investigator/Date [Redacted] [Checked] A copy of this proposal has been delivered to my Department Chair for review. (Check Box) Dean/Date

Clinical Trials Office/Date* (*Only if Clinical Trial) [Redacted] [Signature] 8/17/10 University Official/Date



**Virginia Commonwealth University
Office of Sponsored Programs
Proposal Face Page**

PROPOSAL INFORMATION

Gulf Regional Planning Commission			CFDA 14.703
Sponsor Name			Program Announcement/Solicitation No.
1232 Pass Road, Gulfport, MS 39501			
Sponsor Address			
Mississippi Gulf Coast Regional Sustainable Plan			
Proposal Title			
5,573.00	11/01/2010	10/31/2011	<input checked="" type="checkbox"/> New Proposal or
Total Amount Requested	Begin Date	End Date	<input type="checkbox"/> Renewal of _____

PRINCIPAL INVESTIGATOR

Principal Investigator		Signature
[Redacted]		Wilder School Govt. Public Affairs
PI Title		Department
[Redacted]	804-827-1275	[Redacted]
Phone	Fax	E-mail

INSTITUTIONAL INFORMATION

SEND NOTICE OF AWARD TO: Virginia Commonwealth University Office of Sponsored Programs P. O. Box 980568 Richmond, VA 23298-0568	www.research.vcu.edu Federal ID No. 54-6001758 Third Congressional District CAGE Code: 46050 DUNS No. 105300446	MAKE CHECKS PAYABLE TO: Virginia Commonwealth University Attn: Mark E. Roberts, Director Grants & Contracts Accounting P. O. Box 843039 Richmond, VA 23284-3039
Telephone: (804) VCU-OSPA (804) 828-6772	Email: dirospa@vcu.edu	Telephone: (804) 828-8104 (804) 828-8105
Fax: (804) 828-2521		Fax: (804) 828-8644

VCU ENDORSEMENT

	8/17/10	VCU Proposal No. (For SPA office use only)
Susan E. Robb, CRA Assistant Vice President for Research Administration	Date	

No.: PT 106/167
 Rec'd by: Fleed
 Date: 8/19/10
 Actions:
 To COIRC: NO
 To File: _____

Conflict of Interest Disclosure Statement

Under VCU Research Policy, the Principal Investigator and all others who have responsibility for the design, conduct, or reporting of research, must disclose financial interests in any external entity that is related to the work to be conducted under the proposed project or is interested in the results of the project. Providing this information is mandatory. Any individual who voluntarily discloses financial interests related to extramurally supported research projects should also use this form. Under the Virginia Public Records Act, this information may be made available to the public upon request.

Principal Investigator: Dr. Meghan Gough School/Dept: Wilder/ Public Affairs
 Funding Entity: Department of Housing and Urban Development Contract/Grant No: _____
 Title of Research Project: Mississippi Gulf Coast Regional Sustainable Plan

Reason for Disclosure: New Proposal Additional Support New Protocol New Investigator New Interest Obtained
 Revisions to Grant/Contract Grant/Contract Continuation

Disclosure and Certification

By signature below, each individual certifies that either no Financial Interest exists or that a complete listing of all financial interest is provided on a [Disclosure Supplement form](#). All individuals named below further acknowledge their responsibility to disclose any new Financial Interest obtained during the term of the award.

The Principal Investigator's signature certifies that all individuals required to make disclosures have been listed below.

A. Do you, your spouse, or dependent children have a Financial Interest in an external entity related to the work to be conducted under the project or interested in the results of the project? (See reverse for definitions of Financial Interests.) – Check response below adjacent to your signature.

B. If the project is funded, to the best of your knowledge, does any VCU employee have a financial interest, including an ownership or equity interest, in the sponsor? Check response below adjacent to your signature.

C. Project is Unfunded: 0

- | | |
|--|---|
| <p>1. _____
 Date <u>7/29/2010</u></p> <p>Print or Type Name of Principal Investigator</p> | <p>A. <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES, Supplement Form attached
 B. <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES, Name</p> |
| <p>2. _____
 Signature Date</p> <p>Print or Type Name of Investigator</p> | <p>A. <input type="checkbox"/> NO <input type="checkbox"/> YES, Supplement Form attached
 B. <input type="checkbox"/> NO <input type="checkbox"/> YES, Name</p> |
| <p>3. _____
 Signature Date</p> <p>Print or Type Name of Investigator</p> | <p>A. <input type="checkbox"/> NO <input type="checkbox"/> YES, Supplement Form attached
 B. <input type="checkbox"/> NO <input type="checkbox"/> YES, Name</p> |
| <p>4. _____
 Signature Date</p> <p>Print or Type Name of Investigator</p> | <p>A. <input type="checkbox"/> NO <input type="checkbox"/> YES, Supplement Form attached
 B. <input type="checkbox"/> NO <input type="checkbox"/> YES, Name</p> |
| <p>5. _____
 Signature Date</p> <p>Print or Type Name of Investigator</p> | <p>A. <input type="checkbox"/> NO <input type="checkbox"/> YES, Supplement Form attached
 B. <input type="checkbox"/> NO <input type="checkbox"/> YES, Name</p> |

(please attach additional pages as required)



VIRGINIA COMMONWEALTH UNIVERSITY
COMMITTED COST SHARING AUTHORIZATION

PD/PT #
Dept.

PT106167
Wilder School

P.I.:



Sponsor:

Proposal Title:

Mississippi Gulf Coast Regional Sustainable Plan

The following direct cost items budgeted in this proposal shall be cost shared from the indicated accounts:

<u>Cost Type</u>		<u>Budgeted Cost</u>	<u>C/S Account</u>	<u>M/V*</u>
I. Personnel Effort:				
Faculty and Classified-Name	% Effort/Hours			
Meghan Gough	5	\$		Mandatory
		\$		Subtotal Fac. & Class
Hourly-Name	% Effort/Hours	\$	-	
		\$	-	Subtotal Hourly
		\$		Subtotal All Salary
II. Fac/Class. Fringe @ 29.6%		\$	1,272.80	
Hourly Fringe @ % 8.4%		\$	-	
		\$	1,272.80	Subtotal fringe
III. Other Direct Costs:		\$	-	
		\$	-	Subtotal other Direct Cost
		\$		Total Costshare

Signed:

Sharon Dawson

8/11/2010

Name:

Sharon Dawson

(Individual with budgetary authority for accounts)

*M = Mandatory

V=Voluntary

VCU Memo

V i r g i n i a C o m m o n w e a l t h U n i v e r s i t y

Office of Sponsored Programs

To:



From: Tammi S. Reel

Date: 08/10/2010

Subject: OSP Review of Proposal, PT106167

Thank you for forwarding your proposal to the Office of Sponsored Programs for university-level review and signature. On behalf of VCU, I have reviewed your proposal and note the following concerns or questions which must be resolved prior to VCU Authorized Official signature and transmission of this proposal to the sponsor.

- Please advise what items are needed for the sponsor- I see the scope of work. Are there any other documents, such as a biosketch, required?
- Support letter to Elaine Wilkinson is not signed by PI.

✓ Please provide signed cost share form.

Please respond to all concerns in a timely manner so that we can resolve all concerns. If you will be sending pdf attachments, please send a single email response to the team email with all requested or changed pdfs. Please let me know by return email to ospgold@vcu.edu if you have any questions.

Proposal Budget Worksheet, 1 Year Project

This worksheet assumes that each subawardee will be issued a new contract each year.
 Enter data in year 1 and it will be projected in all future years using the indicated increment.
 Data may be entered in any future year and it will be projected to the end.
 Complements of Virginia Commonwealth University

Increment Rate	4.00%	Non-Personnel
Increment Rate	4.00%	Personnel
Fac. & Class. Fringe	29.60%	
Hourly Fringe Rate	8.40%	
**F&A Rate (See Chart)	26.00%	

Personnel			
Faculty & Classified			
<u>Name</u>	<u>% Effort</u>	<u>Annual Salary</u>	<u>Year 1</u>
	5%		
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
Subtotals			2,950

Summer & Part-Time Faculty			
<u>Name</u>	<u>% Effort</u>	<u>Annual Salary</u>	<u>Year 1</u>
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
Subtotals			0

Hourly & Postdoctoral			
<u>Name</u>	<u>Hrs. Worked</u>	<u>Rate Per Hour</u>	<u>Year 1</u>
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
	0	0	0
Subtotals			0

Nonfringe & Students			
<u>Name</u>	<u>% Effort</u>	<u>Annual Salary</u>	<u>Year 1</u>
Student - TBD	10%	6,000	600 (rough estimate)
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
	0%	0	0
Subtotals			600

Subtotal Personnel			3,550
Fringe Faculty & Classified			873
Fringe Summer & Part-Time Faculty			0
Fringe Hourly			0
Postdoctoral Health Ins.			0
Subtotal Fringe			873

Subawards

Subawardee #1

Direct 0
Indirect 0

Subawardee #2

Direct 0
Indirect 0

Subawardee #3

Direct 0
Indirect 0

Subawardee #4

Direct 0
Indirect 0

Total Direct Subawards
Total Indirect Subawards

0
0

Total Direct 4,423
F&A Base 4,423
Total F&A 1,150
Total Costs 5,573

1-year DC total	4,423
1-year F&A base	4,423
1-year F&A total	1,150
1-yr total cost	5,573

For NIH Applications:

Total VCU Direct Costs
Consortium/Contractual Direct Costs
Subtotal Direct Costs for Initial Budget Period (Item 7a, Face Page)
Consortium/Contractual F&A Costs
Total Direct Costs for Initial Budget Period



Total Personnel



Consultants
(Names)

0
0
0

Subtotals

0

Equipment (>\$5,000 each)
(Itemize, budget in year desired)

0
0
0

Subtotals

0

Supplies
(Itemize)

0
0
0
0
0
0
0
0
0
0
0

Subtotals

0

Patient Care

Inpatient
Outpatient

0
0

Subtotals

0

Alterations/Renovations
(Itemize)

0
0

Subtotals

0

Travel
(Itemize)

0
0

Subtotals

0

Other
(Itemize)

0
0
0
0
0

Rent

0

Total Rent

0

Tuition

0

Total Tuition

0

Subtotals

0

Subtotal Direct Cost at VCU



Personnel:

████████████████████ Principal Investigator, Assistant Professor, Wilder School of Government, Virginia Commonwealth University (VCU), will commit 50% effort during the academic year. She will request 5% of her time and salary directly from the grant. Dr. Gough will be primarily responsible for project management and will be closely involved in the supervision of project hourly student. The Gulf Sustainability Plan proposal will address issues that are important to the long-term recovery and growth of Mississippi Gulf Coast.

Hourly Student . One research assistant (part-time; 40 hrs/wk,) will assist with the study.

Fringe Benefits are calculated at 29.6% for full time faculty.

Indirect Costs (F&A) The indirect cost rate is 26.0% as negotiated and approved by the Department of Health and Human Services, Virginia Commonwealth University's cognizant Federal agency on June 01, 2010.

July 28, 2010

Ms. Elaine Wilkinson
Gulf Regional Planning Commission
1232 Pass Road
Gulfport, MS 39501

College of Humanities
and Sciences
Office of the Dean

Plunkett House, Room 104
828 West Franklin Street
P.O. Box 842019
Richmond, Virginia 23284-2019

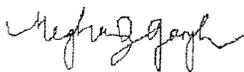
904.756.1674
Fax: 904.729.2171
TDD: 904.628.1120

Dear Elaine Wilkinson,

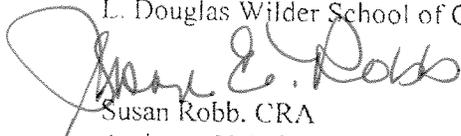
The Virginia Commonwealth University's L. Douglas Wilder School of Government & Public Affairs supports the application for a Sustainable Communities Regional Planning Grant that the Gulf Regional Planning Commission (GRPC) is submitting on behalf of the applicant Consortium, and intends to participate in the Consortium to produce a Regional Plan for Sustainable Development if the application is approved. This document constitutes proof of Virginia Commonwealth University's commitment to cooperatively carry out the Program described in the application GRPC is submitting on behalf of the Consortium as its lead applicant. In particular, Virginia Commonwealth University's Wilder School of Government & Public Affairs will exercise its best efforts to work with the other members of the Consortium to adopt and execute a partnership agreement within 120 days after the effective start date of the grant agreement. The partnership agreement will (a) include a governance structure that reflects the diversity of the members of the Consortium, (b) allow for maximum participation in strategy development and decision-making, and (c) describe each Consortium member's specific activities under the Program, including timetables for completion. Virginia Commonwealth University further acknowledges that the Department of Housing and Urban Development will have the right to terminate the grant to the Consortium if the Consortium fails to adopt and execute a partnership agreement meeting these requirements within 120 days after the effective start date of the grant agreement.

This document does not itself constitute adoption of a partnership agreement, but merely states a commitment to work in good faith towards such adoption as described above.

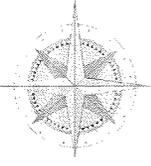
Sincerely,



Meghan Gough, Assistant Professor, Urban Studies & Regional Planning
L. Douglas Wilder School of Government and Public Affairs



Susan Robb, CRA
Assistant V.P. for Research Administration, Office of Sponsored Programs



HUD No. FR-5396-N-03

Notice of Funding for HUD's FY 2010 Sustainable Communities Regional Planning Grant Program

The Gulf Regional Sustainability Plan Proposal

Overview and Organizational Outline

Three Federal Agencies participated in the preparation of this notice of funding which sends a strong signal that the Federal Government is seeking a solution to a well established fact: there are many plans and priorities, produced by many organizations and agencies, inclusive of many needs while excluding others. Equally important is that there are not nearly enough the public funds to implement these plans.

The Notice of Funding for the Regional Sustainability Grant emphasizes the need for inter-governmental, inter-jurisdictional collaboration to strengthen the regional planning process. It calls for a regional consortium to include in the planning process several economic and social issues that have not been addressed in the current comprehensive plans; including: economic competitiveness, transportation options and accessibility, energy efficient, equitable housing, health and environmental impacts of land use policies.

The Gulf Regional Sustainability Plan (GRSP) proposal will address issues that are important to the long term recovery and growth of the Mississippi Gulf Coast; such as,

- The economic implications of the oil crisis to the existing labor market and what strategies can assist to stabilize the economy and workforce;
- The need for a housing plan that looks to the future needs and best placement of housing choices within the coastal setting;
- The environmental impacts of growth within a coastal region and what tools can support more sustainable land use decisions;
- The health of the coast residents of all ages and circumstance and strategies to improve their well being throughout their lifespan.

These and other issues can be researched and information brought to the consortium for discussion. A strong educational component of the proposal will allow coast leaders to learn from Best Practices that have been successful in other regions, and to decide what practices may be suitable for the Gulf Coast.

The Gulf Regional Planning Commission (GRPC) was created in 1964 by MS Code Title 17, Planning and Zoning, Section 1-29 through 1-37, and in its capacity as a regional planning organization GRPC is authorized to lead this planning effort. The South Mississippi Planning and Development District has joined forces with GRPC to help coordinate the development of the proposal. Additional members of the planning group include:

- Harrison County, Planning and Zoning
- City of Biloxi, Community Development

- City of Pascagoula, Community Development
- Department of Marine Resources , CRMP
- University of Mississippi, Gulf Coast Design Studio
- The Renaissance Corporation
- The Ohio State University, Austin E. Knowlton School of Architecture, City and Regional Planning

Those on the planning group are contributing leveraged resources to exceed the required twenty percent requirement. GRPC has a CIAP grant to collect tri-county, parcel-based land use that will be used as leveraged match.

Within the context of the grant proposal there will be other participants needed to fulfill the scope of the project; including:

- University of South Mississippi, Department of Geography
- Mississippi Center for Justice
- Kirwan Institute
- Criterion Planners
- University of Mississippi, Sea Grant Law Center (TBD)
- Sustainability Consultants (by RFP)

It is the suggestion of the planning group that the *members of the MPO serve as the foundation of the GRSP Consortium*, reaching out to include other groups that will be necessary to the inter-disciplinary aspect of the plan. In addition to the fourteen jurisdictions that comprise the MPO, the federal notice requires the consortium to have a signed memorandum of understanding to include: at a minimum:

- City of Gulfport (as the central city)
- Harrison County (as part of the >50% population)
- City of Biloxi (as part of the >50% population)
- City of Pascagoula (as part of the >50% population)
- Jackson County (as part of the >50% population)
- University of Southern Mississippi
- MS Center for Justice (Nonprofit)
- Department of Marine Resources
- The Renaissance Corporation (Nonprofit)

By federal direction, the members should be capable of implementing the strategies prioritized within the plan. The GRSP Consortium will be the policy organization to direct the development of the plan. This group will meet to consider all plan elements and at its conclusion will be the organization to act on the recommendations of the plan.



EDUCATION

- Aug. 2008 **Ph.D., City and Regional Planning**
The Ohio State University
Dissertation Title: *Factors Influencing Intergovernmental Collaboration in Planning: Lesson Learned from Post-Katrina Mississippi*
- Jul. 2003 **Master of Urban and Regional Planning**
Virginia Polytechnic Institute and State University
- Dec. 1997 **Bachelor of Science in Biology**
James Madison University

CURRENT POSITION

- Aug. 2008 – present **Assistant Professor, Urban and Regional Planning**
L. Douglas Wilder School of Government & Public Affairs
Virginia Commonwealth University

SELECTED PEER-REVIEWED PUBLICATIONS

- Gough, Meghan Z. 2010. Learning to Collaborate in Post-Katrina Mississippi. In *Managing Disaster Recovery: International Policy and Practice* (Forthcoming).
- Evans-Cowley, Jennifer S. and Meghan Z. Gough. 2009. Evaluating New Urbanist Plans in Post-Katrina Mississippi. *Journal of Urban Design*, 14(4).
- Evans-Cowley, Jennifer S. and Meghan Z. Gough. 2008. Effective Citizen Engagement in Post-Katrina Planning. *Cityscape*, 10(3).
- Evans-Cowley, Jennifer S. and Meghan Z. Gough. 2008. “Evaluating Environmental Protection in Post-Katrina Plans in Mississippi. *Journal of Environmental Planning and Management*, 51(3).
- Evans-Cowley, Jennifer S. and Meghan Z. Gough. 2007. “Is Hazard Mitigation Being Incorporated into Post-Katrina Plans in Mississippi?” *International Journal of Mass Emergencies and Hazards*, 25(3).
- Lang, Robert E. and Meghan Z. Gough. 2005. “Growth Counties: Home to America’s New Suburban Metropolis”. In A. Berube, B. Katz, and R. Lang, *Redefining Urban and Suburban America: Evidence from Census 2000, Volume III*. Washington, D.C.: Brookings Institution Center on Urban and Metropolitan Research.

Lang, Robert E., Edward J. Blakely and [REDACTED] 2005. "Keys to the New Metropolis: America's Big, Fast-Growing Suburban Counties". *Journal of the American Planning Association*, 71 (4).

SELECTED CONFERENCE PARTICIPATION AND INVITED TALKS

[REDACTED] and John J. Accordino. 2010. "Greening the City through Creative Partnerships: The Role of Public Gardens in Sustainable Community Development". Talk for the Annual Conference of the Association of Collegiate Schools of Planning in Minneapolis, Minnesota, October 6.

[REDACTED] 2009. "Factors Influencing Intergovernmental Collaboration in Post-Katrina Mississippi". Paper presented at the 50th Annual Conference of the Association of Collegiate Schools of Planning in Crystal City, Virginia, October 1.

[REDACTED] 2009. "How Green is Your City? Mapping Sustainability to Reinvigorate Communities". Talk presented for the Lewis Ginter Botanical Gardens Symposium on Urban Greening in Richmond, Virginia, August 5.

[REDACTED] and Jennifer Evans-Cowley. 2007. "Planning After Hurricane Katrina: How Comprehensive are the Plans to Rebuild the Mississippi Gulf Coast?". Paper presented at the 48th Annual Conference of the Association of Collegiate Schools of Planning in Milwaukee, Wisconsin, October 19.

[REDACTED] and Patrick Bonck. 2006. "The Power of Smart Growth to Create Disaster Resistant Communities". Talk presented at the Mississippi Chapter of the American Planning Association in Hattiesburg, Mississippi, October 26.

SELECTED AWARDS & GRANTS

- | | |
|------|--|
| 2010 | Institute for Museum and Library Services National Leadership Collaborative Planning Grant, Assessing the Role of Public Gardens in Promoting Sustainable Community Development (\$49,919) |
| 2009 | Center for Teaching Excellence Small Grant Recipient (\$2,280)
Green Mapping for Sustainable Development |

APPLIED RESEARCH EXPERIENCE

Consultant – Harrison County, Mississippi Comprehensive Planning

The Ohio State University, Columbus, OH, 03/07-06/08

Wrote the "Intergovernmental Cooperation" chapter of the Harrison County Comprehensive Plan, which was honored by the *Sun Herald* Design Award in Planning for its use of best practices in planning.

Project Leader – Harrison County, Mississippi Smart Growth Resource Guide

The Ohio State University, Columbus, OH, 06/01/07

Managed the development of a national award-winning comprehensive *Smart Growth Resource Guide* for Harrison County, Mississippi.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-5396-N-03]

Notice of Funding Availability (NOFA) for HUD's Fiscal Year 2010 Sustainable Communities Regional Planning Grant Program

AGENCY: Office of Sustainable Housing and Communities, Office of the Deputy Secretary, HUD.

ACTION: Notice of Funding Availability (NOFA).

SUMMARY: The Consolidated Appropriations Act, 2010 (Public Law 111-117, approved December 16, 2009) (Appropriations Act), provided a total of \$150,000,000 to HUD for a Sustainable Communities Initiative to improve regional planning efforts that integrate housing and transportation decisions, and increase the capacity to improve land use and zoning. Of that total, \$100,000,000 is available for the Sustainable Communities Regional Planning Grant Program, \$40,000,000 is available for the Challenge Planning Grant Program, and \$10,000,000 is available for a joint HUD and U.S. Department of Transportation (DOT) research and evaluation effort. The Sustainable Communities Regional Planning Grant Program will support metropolitan and multijurisdictional planning efforts that integrate housing, land use, economic and workforce development, transportation, and infrastructure investments in a manner that empowers jurisdictions to consider the interdependent challenges of: (1) economic competitiveness and revitalization; (2) social equity, inclusion, and access to opportunity; (3) energy use and climate change; and (4) public health and environmental impact. Today's notice announces the availability of approximately \$100 million for Sustainable Community Regional Planning Grants. Of this amount, \$2 million will be reserved for capacity support grants distributed separately, and not less than \$25 million shall be awarded to regions with populations

of less than 500,000. Comments received as a result of the Advance Notice and Request for Public Comment on this Notice of Funding Availability, published in the Federal Register on February 10, 2010 (75 FR 6689), have been reviewed by HUD and input has been incorporated into this Notice to the maximum extent practicable. HUD thanks the public for its comments and input.

FOR FURTHER INFORMATION CONTACT: Specific questions regarding the Sustainable Communities Regional Planning Grant Program requirements should be directed to:

sustainablecommunities@hud.gov or may be submitted through the www.hud.gov/sustainability website. Written questions may also be submitted to the Office of Sustainable Housing and Communities, Department of Housing and Urban Development, 451 7th Street, SW, Room 10180, Washington, DC 20410.

OVERVIEW INFORMATION

A. Federal Agency Name: U.S. Department of Housing and Urban Development, Office of Sustainable Housing and Communities.

B. Funding Opportunity Title: Sustainable Communities Regional Planning Grant Program.

C. Announcement Type: This announces the availability of Sustainable Communities Regional Planning Funds.

D. Funding Opportunity Number: The funding opportunity number is FR-5396-N-03. The OMB Approval Number is 2501-0024.

E. Catalog of Federal Domestic Assistance (CFDA) Number: The Catalog of Federal Domestic Assistance (CFDA) Number for the Sustainable Communities Program is 14.703.

F. Dates: The application deadline is 11:59:59 pm Eastern Time on August 23, 2010.

G. Additional Overview Information:

1. Purpose of the Program. The Sustainable Communities Regional Planning Grant Program (Program) will support metropolitan and multijurisdictional planning efforts that integrate housing, land use, economic and workforce development, transportation, and infrastructure investments in a manner that empowers jurisdictions to consider the interdependent challenges of: (1) economic competitiveness and revitalization; (2) social equity, inclusion, and access to opportunity; (3) energy use and climate change; and (4) public health and environmental impact. The Program will place a priority on investing in partnerships, including nontraditional partnerships (e.g., arts and culture, recreation, etc.) that translate the Livability Principles (See Section I. B.) into strategies that direct long-term development and reinvestment, demonstrate a commitment to addressing issues of regional significance, utilize data to set and monitor progress toward performance goals, and engage stakeholders and citizens in meaningful decision-making roles. Funding from this Program will support the development and implementation of Regional Plans for Sustainable Development (RPSD) that:

- a.** Identify affordable housing, transportation investment, water infrastructure, economic development, land use planning, environmental conservation, energy system, open space, and other infrastructure priorities for the region;
- b.** Clearly define a single, integrated plan for regional development that addresses potential population growth or decline over a minimum 20-year time frame, sets appropriate 3 to 5 year benchmark performance targets, and delineates strategies to meet established performance goals;
- c.** Establish performance goals and measures that are, at a minimum, consistent with the Sustainability Partnership's Livability Principles;
- d.** Utilize geo-coded data sets and other metrics in developing, implementing, monitoring, and assessing the performance goals of various reinvestment scenarios;

- e. Provide detailed plans, policies, and implementation strategies to be implemented by all participating jurisdictions over time to meet planning goals;
- f. Prioritize projects that facilitate the implementation of the regional plan and identify responsible implementing entities (public, nonprofit, or private) and funding sources;
- g. Show how the proposed plan will establish consistency with HUD, DOT, and Environmental Protection Agency (EPA) programs, and policies, such as Consolidated Plans, Analysis of Impediments to Fair Housing Choice, Long Range Transportation Plans, and Asset Management Plans, including strategies to modify existing plans, where appropriate; and
- h. Engage residents and stakeholders substantively and meaningfully in the development of the shared vision and its implementation early and throughout the process, including communities traditionally marginalized from such processes, while accommodating limited English speakers, persons with disabilities, and the elderly.

2. HUD's General Section. Applicants for funding should carefully review the requirements described in this NOFA and HUD's General Section. Unless otherwise stated in this NOFA, the requirements of the General Section apply.

3. Available Funds. Approximately \$98 million, from which not less than \$25 million of the funding shall be awarded to regions with a population of less than 500,000.

4. Funding Categories. HUD recognizes that regions are at different stages of readiness and capacity to engage in efforts to plan for a sustainable and inclusive future. Some are initiating efforts to form multijurisdictional and multi-sector coalitions to envision a sustainable future for their regions; others have adopted broad goals for sustainability and for addressing metropolitan growth based on long-term trends, but have not yet put in place the specific strategies that ensure follow through and implementation of that vision; and a few regions have the vision and many of

the implementation mechanisms in place, but are challenged to demonstrate real progress on the ground, given the current national economic situation. Given this broad spectrum of capacity and needs, HUD will support activities in two distinct categories. Please see Section II.B. for a description of the two funding categories.

Applicants must select only one category when applying. Please see Section VIII.A. for guidance in selecting the appropriate funding category. If HUD receives separate funding requests for each of the two funding categories from the same applicant, both requests will be ineligible for funding consideration. Please see Section III.F., Threshold Requirements.

FULL TEXT OF ANNOUNCEMENT

I. FUNDING OPPORTUNITY DESCRIPTION: This notice announces HUD's intention to offer funding through a competition made available as a Notice of Funding Availability (NOFA) under its Sustainable Communities Regional Planning Grant Program.

A. Program Description. A top priority of the Administration is to build economically competitive, healthy, environmentally sustainable, and opportunity-rich communities. In the Consolidated Appropriations Act, 2010 (Public Law 111-117), Congress provided a total of \$150,000,000 to HUD for a Sustainable Communities Initiative to improve regional planning efforts that integrate housing and transportation decisions, and increase state, regional, and local capacity to incorporate livability, sustainability, and social equity values into land use plans and zoning. Of that total, \$100,000,000 is available for the Sustainable Communities Regional Planning Grant Program, of which approximately \$98,000,000 will be used for competitive grants to support preparation of Regional Plans for Sustainable Development, with \$2,000,000 reserved for capacity support grants to be distributed through a future NOFA.

B. The Sustainable Communities Partnership. The Sustainable Communities Planning Grant Program is being initiated in close coordination with the U.S. Department of Transportation (DOT) and the U.S. Environmental Protection Agency (EPA), co-leaders with HUD in the Partnership for Sustainable Communities.

The Partnership for Sustainable Communities (The Partnership) was conceived to advance development patterns and infrastructure investment programs that achieve improved economic prosperity and healthy, environmentally sustainable, and opportunity-rich communities. Recognizing the fundamental role that public investment plays in achieving these outcomes, the Administration charged three agencies whose programs most directly impact the physical form of communities—HUD, DOT, and EPA—to lead the way in reshaping the role of the Federal Government in helping communities obtain the capacity to embrace a more sustainable future. As a result, HUD, DOT, and EPA formed the Partnership.

In this interagency partnership, each agency will incorporate the Livability Principles into their policies and funding programs, to the degree possible. In addition, each agency has clear and defined roles: HUD will take the lead in funding, evaluating, and supporting integrated regional planning for sustainable development, and will invest in sustainable housing and community development efforts. DOT will focus on building the capacity of transportation agencies to integrate their planning and investments into broader plans and actions that promote sustainable development, and investing in transportation infrastructure that directly supports sustainable development and livable communities. EPA will provide technical assistance to communities and state governments to help them implement sustainable community strategies, and develop environmental sustainability metrics and practices. The three agencies have made a

commitment to coordinate activities, integrate funding requirements, and adopt a common set of performance metrics for use by grantees.

For this program, staff representatives from DOT and EPA have joined with HUD in the preparation of the Advance Notice for Public Comment and preparation of this NOFA. Staff from all three agencies will participate in the review of applications and the selection of grantees.

C. Program Goals. The goals of the Sustainable Communities Regional Planning Grant Program are the following:

1. Foster the development of sustainable communities throughout the United States that are consistent with the following Livability Principles (see June 16, 2009, United States Senate Committee on Banking, Housing, and Urban Affairs: Greener Communities, Greater Opportunities: New Ideas for Sustainable Development and Economic Growth):
 - a. *Provide More Transportation Choices.* Develop safe, reliable, and affordable transportation choices to decrease household transportation costs, reduce energy consumption and dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
 - b. *Promote equitable, affordable housing.* Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
 - c. *Enhance Economic Competitiveness.* Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services, and other basic needs by workers, as well as expanded business access to markets.
 - d. *Support Existing Communities.* Target federal funding toward existing communities—through strategies like transit-oriented, mixed-use development, and land recycling—to increase

community revitalization and the efficiency of public works investments and safeguard rural landscapes.

e. *Coordinate Policies and Leverage Investment.* Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.

f. *Value Communities and Neighborhoods.* Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods—rural, urban, or suburban.

2. Support metropolitan areas and multijurisdictional partnerships that commit to adopt integrated plans, strategies, and management tools to become more sustainable.
3. Facilitate strong alliances of residents and regional interest groups that are able to maintain a long-term vision for a region over time and simultaneously support progress through incremental sustainable development practices.
4. Build greater transparency and accountability into planning and implementation efforts.
5. Expedite implementation of the Livability Principles through changes in local zoning and land use laws and regulations that remove barriers to sustainable development for housing, economic development, transportation, and related water, sewer, and other environmental quality issues.
6. Align local, state, and tribal capital improvement programs with the Livability Principles.
7. Assist all regions to move toward sustainability and livability, and, for the regions that have shown a long-term commitment to sustainability and livability, prepare them for implementation and to demonstrate on-the-ground results.

The participation of regions in the grant program will help the Federal Government to identify ways in which it can better support local and regional planning for sustainable outcomes and reduce redundant and conflicting federal requirements.

D. Preferred Sustainability Status. HUD will recognize the commitment of regions to advance the Livability Principles. All applicants achieving a specified threshold score in their submission for the 2010 round of the Sustainable Communities Regional Planning Grant Program will qualify for Preferred Sustainability Status, which signifies that the region is ready to advance planning that prioritizes sustainability and inclusion as core outcomes of community development. Applicants that meet this criterion will qualify for a broad spectrum of benefits, including access to capacity building resources, and secure potential points in a number of funding opportunities managed by other federal agencies such as HUD, DOT, and EPA. Applicants receiving the Preferred Sustainability Status that do not receive funds in this funding round will be able to preserve certain aspects of their application for next year's competition.

E. Program Outcomes. The outcomes of the Sustainable Community Regional Planning Grant Program will be a generation of regional plans that are configured to produce the following:

1. Creation of shared elements in regional transportation, housing, water, and air quality plans tied to local comprehensive land use and capital investment plans.
2. Aligned federal planning and investment resources that mirror the local and regional strategies for achieving sustainable communities.
3. Increased participation and decision-making in developing and implementing a long-range vision for the region by populations traditionally marginalized in public planning processes.
4. Reduced social and economic disparities for the low-income and communities of color within the target region.

5. Decrease in per capita Vehicle Miles Traveled (VMT) and transportation-related emissions for the region.
6. Decrease in overall combined housing and transportation costs per household.
7. Increase in the share of residential and commercial construction on underutilized infill development sites that encourage revitalization, while minimizing displacement in neighborhoods with significant disadvantaged populations.
8. Increased proportion of low and very low-income households within a 30-minute transit commute of major employment centers in urban, suburban, and rural settings.

HUD will work with grantees to establish the performance measures used to track progress toward desired outcomes. Applicants may identify additional outcomes that are priorities for their regional plan. A range of potential options is described in Factor 5.

F. Authority. The program was authorized by the Consolidated Appropriations Act, 2010 (Public Law 111-117) on December 16, 2009.

G. Definitions: As used in this NOFA, the following terms shall have the meanings indicated below.

1. *Applicant.* The term “applicant” means a consortium that makes application for funds under this NOFA.
2. *Combined Statistical Area.* The term “combined statistical area” has the meaning given that term by the Office of Management and Budget. A Combined Statistical Area may comprise two or more Metropolitan Statistical Areas; a Metropolitan Statistical Area and a Micropolitan Statistical Area; two or more Micropolitan Statistical Areas, or multiple Metropolitan and Micropolitan Statistical Areas that have demonstrated social and economic ties, but at lower levels than are found among counties within Metropolitan and Micropolitan Statistical Areas.

3. *Consortium*. The term “consortium” means a grouping of units of governmental, regional planning agencies, nonprofit organizations, and allied public and private sector partners that seek to develop a Regional Plan for Sustainable Development (RPSD) or a Detailed Execution Plan and Program for a Regional Plan for Sustainable Development.
4. *Equitable land use planning*. The term “equitable land use planning” means zoning, land use regulation, master planning, and other land use planning that, at a minimum, furthers the purposes of Title VI of the Civil Rights Act, Section 504 of the Rehabilitation Act of 1973, and the Fair Housing Act and are intended to achieve additional objectives for expanding housing choice – for example, inclusionary zoning for housing designed for underserved populations on the basis of race, ethnicity, or socioeconomic group. Incorporation of environmental justice concerns into planning decisions/outcomes; prohibition on the creation or maintenance of racial or ethnic enclaves (unincorporated areas surrounded by incorporated areas, often without access to public services or utilities); affirmative efforts to overcome the effects of segregationist laws and covenants; and coordination of housing development and public transportation to provide access to educational and employment opportunities.
5. *Indian Tribe*. The term “Indian Tribe” has the meaning given that term in 25 U.S.C. 4103(13).
6. *Livability*. The term “livability” is a measure of integration of the housing, transportation, environmental, and employment amenities accessible to residents. A livable community is one with multiple modes of transportation, different types of housing, and destinations located within an easy distance (20 minutes by transit, 15 minutes by bike or foot, 10 minutes by car) of homes.
7. *Metropolitan Division*. The term “metropolitan division” has the meaning given that term by the Office of Management and Budget. A metropolitan division is used to refer to a county or group of counties within a Metropolitan Statistical Area that has a population core of at least 2.5

million. A metropolitan division is a subdivision of a larger Metropolitan Statistical Area; it often functions as a distinct social, economic, and cultural area with the larger region.

8. Metropolitan Planning Organization. The term “Metropolitan Planning Organization” (MPO) has the meaning given that term in section 134(b) of title 23, United States Code, and section 5303(b) of title 49, United States Code.

9. Metropolitan Statistical Area. The “metropolitan statistical area” means a county or a group of counties that: (a) is designated by the Office of Management and Budget as a metropolitan statistical area; and (b) has one or more large population centers with a population of not less than 50,000 and adjacent territory with a high level of integration with the core.

10. Micropolitan Statistical Area. The term “micropolitan statistical area” means a county or a group of counties that: (a) is designated by the Office of Management and Budget as a micropolitan statistical area; and (b) has one or more large urban clusters with a population of not less than 10,000 and not more than 50,000.

11. Nonprofit Organization. A nonprofit organization is an organization that does not distribute its surplus funds to owners or shareholders, but instead uses them to help pursue its goals, and is qualified as such under the Internal Revenue Code (26 U.S.C. 501(c)). The nonprofit organizations applying or partnering with an applicant of the Sustainable Communities Regional Planning Grant Program are expected to participate in the regional planning process.

12. Rural Planning Organization. The term “rural planning organization” means a voluntary organization of local or tribal elected officials and representatives of local or tribal transportation systems that:

a. works in cooperation with the department of transportation (or equivalent entity) of a state government to plan transportation networks and

b. advises local officials of the state government on transportation planning; and

c. is located in a rural area—

(1) with a population of less than 50,000; and

(2) that is not located in an area represented by an MPO.

13. *Social Equity Values.* The term “social equity values” means fair and equal access to livelihood, education, and resources; full participation in the political and cultural life of the community; and self-determination in meeting fundamental needs.

14. *Sustainable Communities.* Urban, suburban, and rural places that successfully integrate housing, land use, economic and workforce development, transportation, and infrastructure investments in a manner that empowers jurisdictions to consider the interdependent challenges of: 1) economic competitiveness and revitalization; 2) social equity, inclusion, and access to opportunity; 3) energy use and climate change; and 4) public health and environmental impact.

15. *Underserved Populations.* The term “underserved populations” means groups of individuals who fall within one or more of the categories protected under the Fair Housing Act and who are:

a. of an immigrant population (especially racial and ethnic minorities who are non-English speaking or have limited English proficiency);

b. in rural populations;

c. homeless;

d. persons with disabilities (e.g., physical or mental) who can be historically documented to have been subject to discriminatory practices not having been the focus of federal, state or local fair housing enforcement efforts;

e. persons in areas that are heavily populated with minorities where there is inadequate protection or ability to provide service from the state or local government or private fair housing organizations, or

f. populations that have faced generational economic disadvantage, job dislocation, or other forces that prevent them from achieving individual and family self-sufficiency.

16. *Unit of General Local Government.* The term “unit of general local government” has the meaning given that term in 42 U.S.C. 5302(a)(1).

17. *Water Infrastructure.* The term “water infrastructure” means drinking water treatment plants, sewer lines, drinking water distribution lines, and storage facilities that ensure protection of public health and the environment.

II. AWARD INFORMATION

A. *Funding Available.* Approximately \$98 million will be made available through this NOFA. Pursuant to the Consolidated Appropriations Act, 2010, not less than \$25 million of the funds available shall be awarded to regions with a population of less than 500,000 (see Section II.B. to establish the geography of eligible regions).

B. *Funding Categories.* Recognizing that areas are in different stages of achieving sustainability, HUD is establishing two funding categories:

1. *Category 1: Regional Plans for Sustainable Development* — Funds would support the preparation of Regional Plans for Sustainable Development that address housing, economic development, transportation, energy, water, and environmental quality in an integrated fashion where such plans do not currently exist or where they exist but need to be significantly revised or enhanced. Funds will: support stakeholder-driven visioning and scenario planning exercises that are intended to address and harmonize critical land uses and investment decisions, such as those

for the location and the distribution of affordable housing, schools, and employment centers throughout the region; support cost-effective and sustainable transportation and water infrastructure investments; designate lands for conservation and ongoing agricultural use; and proactively consider risks from disasters and climate change. Applicants will be expected to work with HUD to identify a set of locally appropriate performance metrics that are consistent with the performance measures developed by the Sustainability Partnership and the Livability Principles that are listed in this NOFA.

2. Category 2: Detailed Execution Plans and Programs — Funds in this category would support efforts to fine-tune existing regional plans so that they address the full complement of Livability Principles in an integrated fashion, the preparation of more detailed execution plans for an adopted Regional Plan for Sustainable Development, and limited predevelopment planning activities for a catalytic project/projects that are a significant aspect of the regional plan, and that would demonstrate the strength of the efforts of the coalition to achieve sustainability through the regional planning process. Applicants will also be expected to outline implementation steps and ongoing governance assignments for the plan.

HUD has provided an evaluation tool to help applicants determine which category is most appropriate for their application. Please see Section VIII.A., Selecting a Grant Category.

C. Award Sizes Based Upon Regional Population and Geographic Boundary. The type of applicant is determined by the population range within the applicant's region; applicants will be categorized as either Large Metropolitan Regions; Medium-Sized Regions; or Small-Sized Regions, Rural Communities, and Small Town Areas. As shown in the chart below, the minimum and maximum grant amount is determined by the type of applicant.

For the purposes of this NOFA, **a region shall be defined as:**

1. A geographic area that includes, but may be larger or greater than the entire area of:
 - a. The jurisdiction of a single MPO, or the jurisdictions of two or more adjacent MPOs, as defined in 134(b) of title 23, United States Code, and section 5303(b) of title 49, United States Code;
 - b. A Metropolitan Statistical Area, or a Micropolitan Statistical Area,
 - c. A Metropolitan Division *if* the region is within a Combined Statistical Area with a population exceeding 7,000,000. (See Section I.G., Definitions.)
2. **A non-defined area.** A non-defined area is an area that is entirely outside the boundaries of any Metropolitan Statistical Area, Micropolitan Statistical Area, or Metropolitan Division. A consortium that represents a non-defined area may self-designate the boundaries of its region.

Please see the chart below to determine the appropriate geographic area for each applicant type.

Type of Applicant	Population Range	Appropriate Geographic Area	Grant Amount
Large Metropolitan Regions	Population of 500,000 or more within the proposed geographic area.	Metropolitan Statistical Area, Metropolitan Division, or jurisdiction of one or more Metropolitan Planning Organizations (MPOs).	Minimum grant of \$500,000 and maximum grant of \$5,000,000
Medium-Sized Regions	Population between 200,000 and 499,999 within the proposed geographic area.	Metropolitan Statistical Area, Micropolitan Statistical Area, or jurisdiction of one or more MPOs.	Minimum grant of \$200,000 and maximum grant of \$2,000,000

Small-Sized Regions, Rural Communities, and Small Town Areas	Population less than 200,000 within the proposed geographic area.	Micropolitan Statistical Area, jurisdiction of one or more MPOs, or, if outside the boundaries of a Micropolitan Statistical Area or MPO, the self-designated boundaries of the applicant consortium.	Minimum grant of \$100,000 and maximum grant of \$1,000,000
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In order to ensure that the population data used to determine the population of a region is the most current available, HUD will provide such data on its website at www.hud.gov/sustainability. Each applicant must use this data to determine the population of its region.

Awards will be made in each of the three geographic categories. HUD reserves the right to modify the number of grants made in each type to ensure geographic balance. HUD has the discretion to waive the minimum grant size requirements if the applicant demonstrates the ability to make effective progress toward stated goals with a lesser amount of funds.

D. Period of Performance. The period of performance shall not exceed 36 months. The period of availability of funds shall be in compliance with 24 CFR part 85.23. A grantee must liquidate all obligations incurred under the award not later than 90 days after the end of the funding period.

E. Type of Awards. Awards will be made in the form of *Cooperative Agreements*. HUD anticipates having substantial involvement in the work being conducted under this award to ensure that the purposes of the Sustainable Communities Program are being carried out across the region and that entities are following through on their commitments to sustainable regional

development as evidenced by plans developed or expanded under this program. This includes making progress in meeting established performance metrics, and ensuring consistency in projects in participating jurisdictions that are funded through other HUD, DOT, and EPA programs, so that they are implemented in a manner consistent with the Livability Principles and the Regional Plan for Sustainable Development. Specific reporting requirements are specified in Section VI.C. of this NOFA.

III. ELIGIBILITY INFORMATION

A. Eligible Applicants. In this program, an eligible applicant is a multijurisdictional and multi-sector partnership consisting of a consortium of government entities and non-profit partners.

1. A consortium representing a region that includes the jurisdiction of a MPO or MPOs; a Metropolitan Statistical Area, a Micropolitan Statistical Area, or a Metropolitan Division, must, at a minimum, include the following:

- a. The traditional principal city (or cities if more than one) located within the boundaries of the region if the region, is within a Metropolitan Statistical Area;
- b. The city, county, or any other unit of general local government with the largest population located within the region, if different from (a.) or if in a Micropolitan Statistical Area;
- c. Additional cities, counties, or units of general local government and Indian Tribes that will ensure that the consortium represents no less than 50 percent of the population residing within the region;
- d. The MPO, or the regional planning agency that facilitates planning and associated management activities for the geography represented by the applicant, or if the region has multiple MPOs, all MPOs that have jurisdiction within the designated region; and,

e. A nonprofit organization, foundation, or educational institution within the region that has the capacity to engage a diverse representation of the general population, and the ability to work in partnership with the units of general local government and the MPO or MPOs comprising a consortium to advance the program objectives of the Sustainable Communities Planning Grant Program as described in Section G.1., Purpose of the Program.

2. A consortium representing a non-defined area must, at a minimum, include the following:

a. Cities, counties, or units of general local government and Indian Tribes representing at least 50 percent of the population residing within the region;

b. If such an organization exists within the boundaries of the region, a Rural Planning Organization or Council of Governments; and

c. A non-profit organization, foundation, or educational institution within the region that has the ability to engage a diverse representation of the general population, and the ability to work in partnership with the units of general local government to advance the program objectives of the Sustainable Communities Planning Grant Program as described Section G.1., Purpose of the Program.

B. The Role of the Consortium. The consortium is intended collectively to have the capacity and experience to carry out the proposed activities in the target geography and to enter into an agreement to submit a single application for the Sustainable Communities Planning Grant Program funding. HUD considers the interdependence of the participating partners an asset in the grant application process. In its application, a consortium shall designate a lead applicant. A lead applicant may be any one of the required component entities forming the consortium, as described above. The lead applicant shall have responsibility for submitting the application to HUD, and, if the consortium is selected for funding, shall execute the cooperative agreement

with HUD and assume fiscal responsibility for the grant on behalf of the consortium in compliance with all HUD and program requirements.

A partnership agreement, memorandum of understanding, or other proof of commitment to work together must be submitted with the application, and must be executed by all consortium members. The agreement will require the members to cooperatively carry out the Program in the approved application, and must authorize one member (lead applicant) to act in the representative capacity with HUD on behalf of all members of the consortium and to assume administrative responsibility for ensuring that the consortium's program is carried out in compliance with all HUD requirements. While the lead applicant holds fiscal and administrative responsibility for regular interaction with HUD, this does not restrict the consortium from developing a governance structure that reflects the diversity of its partners and allows for maximum participation in strategy development and decision-making. The partnership agreement must state that a formal consortium agreement will be executed no later than 120 days after the effective start date of the grant agreement. The consortium agreement must describe each consortium member's specific activities under the Program, including timetables for completion. The lead applicant will enter into memorandums of understanding with any partners receiving funding from the grant, to ensure delivery of the required activities.

HUD reserves the right to terminate the grant if a consortium agreement has not been executed within these 120 days.

No one entity may be lead applicant under more than one Sustainable Communities Regional Planning Grant proposal. See Section III.F., Threshold Requirements.

C. Additional Partners. A consortium may also include a state government or an entity established by a state government, a Council of Governments, a rural planning organization, one

Development, 451 Seventh Street, SW, Washington, DC 20410-3000, telephone number 202-402-7683 (this is not a toll-free number,) facsimile number 202-708-0465; e-mail address: Zuleika.K.Morales@hud.gov. If you are a hearing- or speech-impaired person, you may reach the above telephone number via TTY by calling the toll-free Federal Information Relay Service at 800-877-8339.

VIII. OTHER INFORMATION

A. Selecting a Grant Category

Applicants should carefully consider the grant category in which they intend to apply. The proposal will be reviewed by HUD and its partners in accordance with the criteria specific to the selected grant category. **Category 1: Regional Plans for Sustainable Development** funds would support the preparation of Regional Plans for Sustainable Development in an integrated fashion where such plans do not currently exist or where they exist but need to be significantly revised. **Category 2: Detailed Execution Plans and Programs** funds would support efforts to fine-tune an existing Regional Plan for Sustainable Development so that it addresses the full complement of Livability Principles in an integrated fashion, the preparation of more detailed execution plans for an adopted Regional Plan for Sustainable Development, and site-specific planning costs where applicants can demonstrate readiness and significant linkage between an adopted regional plan and a proposed catalytic project forming an important part of that plan. Because of the potentially overlapping eligible activities and the iterative nature of developing a regional plan, HUD has provided a guide to help applicant regions determine the best fit for their submission.

Does the applicant intend to use funds from the Sustainable Communities Regional Planning Grant Program to:

1. Make conforming changes to local zoning, land use, financing, and development policies to align them with a Regional Plan for Sustainable Development?
2. Work with MPOs and state agencies to realign transportation investments in support of a Regional Plan for Sustainable Development?
3. Solidify regional agreements for water, waste, and natural resource management?
4. Launch employment initiatives that support regional workforce needs and implement programs targeting hard-to-reach populations?
5. Identify capital improvement costs and financing strategies for specific area, transit corridor, or regional affordable housing plans that support the Regional Plan for Sustainable Development?
6. Develop a comprehensive implementation schedule to place specific timetables on housing, transportation, environment, and economic development elements of the Regional Plan for Sustainable Development?
7. Establish a formal body that holds direct influence over the decision-making body for implementation of the Regional Plan for Sustainable Development and is comprised of diverse representation of the region's residents, including an emphasis on historically marginalized populations?
8. Conduct negotiations that formalize multijurisdictional agreements to implement elements of a Regional Plan for Sustainable Development?
9. Link elements of an existing Regional Plan for Sustainable Development to specific outcomes to be achieved during the next 18 to 36 months that resolve challenges identified in Factor 2 of this NOFA?

If the answer to four or more of these questions is yes, then the applicant should submit their proposal in Category 2. Otherwise, the applicant should submit their proposal in Category 1.

B. Paperwork Reduction Act

The information collection requirements contained in this document have been approved by the OMB under the Paperwork Reduction Act (PRA) of 1995 (44 U.S.C. 3501-3520) and assigned OMB control number 2501-0024. In accordance with the PRA, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information, unless the collection displays a currently valid OMB control number. Public reporting burden for the collection of information is estimated to average 80 hours per annum per respondent for the application and 16 hours per annum for grant administration. This includes the time for collecting, reviewing, and reporting the data for the application and other required reporting. The information will be used for grantee selection and monitoring the administration of funds. Response to this request for information is required in order to receive the benefits to be derived.

C. In-Kind Contributions

In-kind contributions can include physical items such as office supplies, computer equipment and supplies, materials for projects, and flyers and other marketing materials. They can also be in the form of office and meeting space, including allowed use of computers, the phones, fax and copy machines, or the use of a vehicle, construction equipment, and other project related items. In-kind contribution can also be contribution of professional time. When an individual donates his/her time in a professional capacity to supervise members, train or engage in member development, provide technical assistance on a project, evaluate a project, oversee project quality, or provide pro bono work as a member of the nonprofit organization on a permanent or temporary basis, the time involved is an in-kind contribution.

D. Environmental.

A Finding of No Significant Impact (FONSI) with respect to the environment has been made for this NOFA in accordance with HUD regulations at 24 CFR part 50, which implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)). The FONSI is available for public inspection between 8 a.m. and 5 p.m. weekdays in the Regulations Division, Office of General Counsel, Department of Housing and Urban Development, 451 Seventh Street, SW, Room 10276, Washington, DC 20410-0500. Due to security measures at the HUD Headquarters building, an advance appointment to review the FONSI must be scheduled by calling the Regulations Division at 202-708-3055 (this is not a toll-free number).

Dated: JUN 23 2010



Ron Sims, Deputy Secretary
Department of Housing and Urban Development

Dated: JUN 23 2010



Shaun Donovan, Secretary
Department of Housing and Urban Development

[FR-5396-N-03]



Elaine Wilkerson
Gulf Regional Planning Commission
1232 Pass Road
Gulfport MS 30501

August 18, 2010

Dear Ms. Wilkerson:

The National Center for Appropriate Technology (NCAT) supports the application for a Sustainable Communities Regional Planning Grant that the Gulf Regional Planning Commission (GRPC) is submitting on behalf of the applicant Consortium. NCAT intends to participate in the Consortium, which proposes to produce a Regional Plan for Sustainable Development if the application is approved. In our previous work with farmers, markets, NGOs and extensionists in Mississippi we have seen a great need for the activities outlined in the grant which seeks to better connect producers, processors and providers and make plans to achieve a shared goal of improving availability of local food to residents and institutions in urban Gulf Coast communities.

The National Center for Appropriate Technology (NCAT) is a national nonprofit organization with a mission to help people by championing small-scale, local and sustainable solutions to reduce poverty, promote healthy communities, and protect natural resources. NCAT services include research and materials development for food and farming topics, as well as presentations, training and outreach in most states in the US. The organization has nationally recognized programs in sustainable agriculture and renewable energy, as well as a successful track record with state and regional projects.

Among its best known projects is the ATTRA National Sustainable Agriculture Information Service, a USDA-funded project. ATTRA serves thousands of farmers, extensionists and organizations each year through its tollfree telephone help line, workshops and publications and annually, millions of visitors use the ATTRA website www.attra.ncat.org and download information on hundreds of topics. The ATTRA project is funded on an annual basis by USDA-Rural Business Cooperative Services (RBS) and up to [REDACTED] in staff time for research and writing materials for this project will be available as an in-kind federally funded match for funds available from the GRPC/HUD project. (Note: commitment of this match depends on continued funding allocated in the FY2011 agriculture appropriations bill which is pending).

NCAT is seeing a significant increase in requests for information on how farmers and markets can grow, develop and benefit from increased public interest in buying and using local food. NCAT's Local Food team interacts with urban and rural food projects from around the country and NCAT can connect the consortium with these efforts, as well as providing the Consortium with information, workshops and contacts with key stakeholders.

NCAT Regional Offices:

- P.O. Box 3657 ~ Fayetteville, AR 72702
- 53020 Hitchcock Ave. ~ Lewis, IA 51544
- P.O. Box 2218 ~ Davis, CA 95617
- 48 Church Road ~ Shavertown, PA 18708
- P.O. Box 1435 ~ Hammond, LA 70404

With this letter, NCAT also pledges its commitment to assign NCAT staff members if the project is funded: [REDACTED] (based in Brookhaven, Mississippi) to serve as a marketing resource person for the project, and Teresa Maurer to coordinate work by [REDACTED] and other NCAT staff to develop and provide outreach materials and at least 1 workshop for the Consortium and participants in the project.

If the proposal is funded, this letter constitutes NCAT's commitment to cooperatively carry out the Program described in the application GRPC is submitting on behalf of the Consortium as its lead applicant. In particular, NCAT will exercise its best efforts to work with the other members of the Consortium to adopt and execute a partnership agreement within 120 days after the effective start date of the grant agreement. This letter does not itself constitute adoption of a partnership agreement, but merely states a commitment to work in good faith towards such adoption as described above. We understand that the partnership agreement will (a) include a governance structure that reflects the diversity of the members of the Consortium, (b) allow for maximum participation in strategy development and decision-making, and (c) describe each Consortium member's specific activities under the Program, including timetables for completion.

Please feel free to contact Teresa if you need any further information.

Sincerely,



Teresa Maurer
NCAT Sustainable Agriculture and Rural Communities Program
ATTRA Project Leader
PO Box 3657
Fayetteville AR 72702
teresam@ncat.org
479-442-9824

NCAT Staff Member Based in Mississippi:

[REDACTED]

NCAT Marketing Specialist

[REDACTED]



UNDER THE AUSPICES OF THE GULF REGIONAL PLANNING COMMISSION

Metropolitan Planning Organization

Transportation Policy Committee

Chairman:

Mayor George Schloegel
City of Gulfport

Vice Chairman:

Mayor Billy Skellie
City of Long Beach

Technical Coordinating Committee

Chairman:

Harold "Buz" Olsen
City of Bay Saint Louis

Vice Chairman:

Dayton Robinson
City of Pass Christian

Gulf Coast Metropolitan Planning Organization (MPO)

RE: Letter of Support for the Gulf Regional Sustainability Plan Proposal

Gulf Coast MPO supports the application for a Sustainable Communities Regional Planning Grant that the Gulf Regional Planning Commission is submitting on behalf of the applicant Consortium, and intends to participate in the Consortium to produce a Regional Plan for Sustainable Development if the application is approved.

This document constitutes proof of the Gulf Coast MPO's commitment to cooperatively carry out the Program described in the application GRPC is submitting on behalf of the Consortium as its lead applicant. In particular, the Gulf Coast MPO will exercise its best efforts to work with the other members of the Consortium to adopt and execute a partnership agreement within 120 days after the effective start date of the grant agreement.

The partnership agreement will (a) include a governance structure that reflects the diversity of the members of the Consortium, (b) allow for maximum participation in strategy development and decision-making, and (c) describe each Consortium member's specific activities under the Program, including timetables for completion.

The Gulf Coast MPO further acknowledges that the Department of Housing and Urban Development will have the right to terminate the grant to the Consortium if the Consortium fails to adopt and execute a partnership agreement meeting these requirements within 120 days after the effective start date of the grant agreement.

Representing:

Gulf Coast MPO

Signed by:

 8/16/10
Date

George Schloegel, Chairman
MPO Transportation Policy Committee