

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

* 2. Type of Application:

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

03/15/2013

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Susanville Indian Rancheria

* b. Employer/Taxpayer Identification Number (EIN/TIN):

* c. Organizational DUNS:

d. Address:

* Street1:

745 Joaquin Street

Street2:

* City:

Susanville

County/Parish:

Lassen

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

96130-3628

e. Organizational Unit:

Department Name:

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Chandra

Middle Name:

* Last Name:

Jabbs

Suffix:

Title:

Grants Writer

Organizational Affiliation:

Susanville Indian Rancheria

* Telephone Number:

(530) 251-5603

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Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.862

CFDA Title:

Indian Community Development Block Grant Program

*** 12. Funding Opportunity Number:**

FR-5700-N-16

* Title:

Indian Community Development Block Grant (ICDBG) Program

13. Competition Identification Number:

ICDBG-16

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Yah-Monee New Housing Construction

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="605,000.00"/>
* b. Applicant	<input type="text" value="230,385.72"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="835,385.72"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
 Middle Name:
 * Last Name:
 Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

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Project Description

The Susanville Indian Rancheria (a federally-recognized Tribe located approximately 85 miles north of Reno, Nevada) is seeking HUD FY 2013 Indian Community Block Grant Funds to construct five (5) new homeownership single-family housing units. The project will be implemented through the Susanville Indian Rancheria Housing Authority (SIRHA). The homes will include one (1) two-bedroom unit, three (3) three-bedroom units, and one (1) four-bedroom unit. The units will be part of the Tribe's Yah-Monee Housing Project, which is designed for a total of sixteen (16) homeownership units. Related infrastructure improvements (roadways, utilities, sidewalks, storm drainage, water, and sewer) are in place and were constructed using IHBG ARRA funds. The environmental assessment for the construction of the sixteen (16) homes has been completed and is awaiting approval by the Susanville Indian Rancheria Tribal Business Council. The need for additional homeownership homes was identified in the Tribe's 2002 Needs Assessment that identified 13% of the tribal members living in overcrowded situations and 22% living in substandard housing.

The Yah-Monee Housing Project site is located on the Susanville Indian Rancheria's 120-acre parcel called the "Upper Rancheria" that was placed into trust status on October 14, 1978. The Upper Rancheria currently consists of 28 homeownership along Tako-Nee Street and 25 low-rental units along Kei-Deh Street. Yah-Monee Drive was built in an east/west direction connecting the two streets in order to enable the residents to have access from their homeownership sites via either road and is part of the Susanville Indian Rancheria's *2004 Susanville Indian Rancheria Master Plan*.

This project will be administered out by the Susanville Indian Rancheria Housing Authority, a HUD approved Community Based Development Organization (CBDO), and monitored by the Susanville Indian Rancheria. All homes will be constructed in accordance with the 2010 California codes (Susanville Indian Resolution SU-BC-027-2013) and all beneficiaries of the project are required to be low- to moderate-income eligible.

The need and location of this project has been identified as a result of no other housing being available in the immediate area that would meet the suitability of the households being served, there are no other sources, including the Indian Housing Block Grant (IHBG), that can meet the immediate needs of the households being served, rehabilitation of the units that are in overcrowded conditions are not economically feasible as the current footprint of the units prohibit any major expansion of the home, or the households to be assisted have no current residence.

Rating Factor 1: Capacity of the Applicant

1. Managerial and Technical Staff

Capacity, Name and Staff Position	Years of Related Experience
Program Director (James Mackay, Tribal Administrator)	15 years
Financial Manager (Carole Lowry, Fiscal Controller)	30+ years
Program Manager (Nicholas Boyle, SIRHA Program Manager)	10 years

Program Director (Tribal Administrator, James Mackay): Roles & Responsibilities: Mr. Mackay will be responsible for the overall implementation of the grant and he will be the liaison between the Susanville Indian Rancheria (SIR), Susanville Indian Rancheria Housing Authority (SIRHA), and the HUD Southwest ONAP. He will make sure that the grant offer is responded to and any Grant Conditions are addressed promptly and adequately. Mr. Mackay will make sure the grant documents are properly filed in the Tribe’s records systems and that all appropriate staff, including the Tribal Chairman, Fiscal Controller, and SIRHA Program Manager, are aware of the terms and conditions of the grant. He will review and sign off on all quarterly, annual and close-out reports (as well as any request to HUD for changes in the implementation schedule or required budget modifications). **Knowledge & Experience:** Mr. Mackay has extensive experience playing such an oversight role. Mr. Mackay has been with the SIR since 1997, and has demonstrated the ability to manage programs in a competent and efficient manner. Within the last five years he has performed these duties in an ICDBG New Housing Construction Project (a twelve modular home project), ICDBG Gym Expansion Project, various road and facility improvement projects, and the SIR transportation program. The 2005 New Housing Construction Project for Hammawi Court was completed on-time and within budget. The 2009 Gym Expansion Project was delayed due to weather restraints, but was completed within the modified schedule and remained within budget.

Financial Manager (Fiscal Controller, Carole Lowry): Roles & Responsibilities: Ms. Lowry will be responsible for entering the award of the grant and the detailed budget in the Tribe’s financial system so that the award and any revenues and expenditures related to the implementation of the project are accurately recorded for auditing purposes and for project staff oversight. She will provide periodic financial reports to the Program Director and Project Manager, as well as appropriate tribal officials. Ms. Lowry will review and submit all quarterly, annual and close-out financial reports. She ensures all accounting activities related to federally funded programs meet minimum standards required by 24 CFR Part 85 and OMB Circular A-87 and that the grant expenditures are included in any audit required by the ICDBG program. **Knowledge & Experience:** Ms. Lowry has managed the SIR Fiscal Department since 2005 and because of her strict adherence to Generally Accepted Accounting Principles (GAAP), the Tribe’s Fiscal Manual, and the Tribe’s Budget Ordinance, the Tribe has received an “Unqualified Opinion” with no material findings on the last six audits, the best that a Tribe can receive. She has provided fiscal oversight to over 75 Federal grants and contracts during her time at the SIR, including 2 ICDBG projects in the last 5 years. As referenced above, these 2 ICDBG projects were completed within budget. Prior to her

work at the SIR, Ms. Lowry had over 20 years of experience in the accounting field. She has performed accounting functions including accounts payable, accounts receivable, payroll and related payroll reports to profit and non-profit agencies.

Project Manager (SIRHA Program Manager, Nicholas Boyles): Roles & Responsibilities: Mr. Boyles will manage and oversee the project. He will oversee the staff, lead the development team (including various partners), select and oversee the contractors as per Tribal and HUD procurement policies, perform periodic monitoring to ensure the project meets the proposed timelines and implementation schedule, and prepare the necessary ICDBG reports. Mr. Boyles will be responsible for contract administration, oversight of professional service contracts, and be the tribal contact for day-to-day activities. He will coordinate project development and design, prepare bid documents, review proposals for accuracy, and manage scheduling. Mr. Boyles will ensure policies and procedures are followed throughout the course of this project. **Knowledge & Experience:** Mr. Boyles joined the SIRHA in 2011 as Program Manager and has over 10 years of experience in program management. Since joining the staff at SIRHA, Mr. Boyles oversaw the completion of the Tako-Nee Extension Infrastructure Project (Yah-Monee Drive) and the construction of a single family, two-bedroom unit on Yah-Monee Drive. Under his direct supervision, the \$799,000 infrastructure project, funded by the 2009 IHBG American Reinvestment and Recovery Act, was successfully completed on time and within budget. The Tako-Nee Extension Road, now renamed Yah-Monee Drive, is a vital component to this project, as this is the site of the proposed project. The construction of the first home on Yah-Monee Drive, utilizing IHBG funds, was completed on-time and within budget. He has also prepared and submitted 2 APRs and 3 IHPs. He has received training in the following subjects: grant writing, Indian housing law, managing federal construction projects, construction management, and HUD environmental review.

2. Project Implementation Plan

The SIR and SIRHA executed a MOA on February 9, 2013, to outline the respective tasks of the SIR and SIRHA in order to ensure timely and accurate project implementation. This MOA states that SIRHA shall be responsible for the successful implementation and completion of the project as described in this ICDBG application, Cost Summary, Implementation Schedule, and specified in the bidding documents. SIRHA will supervise, monitor and be responsible for the procurement and contracting awarding of all construction services for the project in compliance with HUD ICDBG regulations, NAHASDA, and its own policies and procedures according to both Federal and Tribal Laws as well as the day-to-day work performance of the selected contractor(s) and shall report regularly to the SIR regarding any findings. As per this agreement, the SIRHA, will advocate, solicit and coordinate program development and maintain efforts, including entering in agreements, arbitrating, compromising, negotiating, and settling disputes resulting from the design, construction, or other activities under the this project. The SIRHA will be responsible for the procurement process(es), oversight of building activities and building costs; compliance with applicable rules and regulations, and building specifications; on-site findings, program expenditures, development and submittal of progress reports, revising documentation and schedules, the activities of

outside sources, and close-out of the program; and will generate, maintain, preserve, and route records and reports to ensure full compliance with ICDBG requirements.

The SIRHA intends to build 5 homes for low to moderate income Tribal members. The project meets several needs identified in the Tribally approved 2013 Indian Housing Plan and in the *2004 Susanville Indian Rancheria Master Plan*. The project will provide housing that is not otherwise available on Tribal land to those who are currently living in overcrowded housing. It will provide much needed affordable homeownership to Tribal members. The homes will be bought by low to moderate income homeowners using homeownership financing, provided through SIRHA. The ICDBG funding, combined with SIRHA leveraged resources, will “write-down” the cost of the homes so the payments can be affordable to the low to moderate income households currently living in overcrowded situations. Payments will be calculated using the Housing and Development Services (HDS) software. This software contains a formula to calculate the payments households can afford based upon their incomes, household size and various other deductions based on the makeup of the household.

To be considered for the project, household income will be verified prior to the start of construction. Once determined to be income eligible, SIRHA will rate the participant to ensure the households with the greatest need and ability to pay will receive a home. See Attachment #8 for a copy of the current waiting list which includes household income and a copy of the rating system which will be used to rate each of the households on the housing waiting list.

i. Tasks and Timelines

Quarter 4 2013: Upon notification of ICDBG funding, since other financing/in-kind commitments are already in place and the environmental review for the new home construction has been completed, the Program Director, Mr. James Mackay, and Project Manager, Mr. Nicholas Boyle, will commence grant start-up and clearance of any grant special conditions. The Yah-Monee Drive site is ready to be developed once all grant conditions have been completed as the water, sewer and electric lines have been installed and are hooked up to the City of Susanville systems and Lassen Municipal Utility District. Upon award notification, the Project Manger, Mr. Nick Boyles will work with the Tribal attorney, Mr. David Rapport, to prepare the bid package (allowing for Indian Preference) for the construction of the homes. The Susanville Indian Rancheria Tribal Business Council will approve the bid package for advertisement.

Quarter 1, 2014: An architect/engineer will be hired to prepare the plans for the two bedroom home. Once the Request to Release Funds has been approved by HUD, the lowest and most responsive qualified contractor, taking into account Indian Preference, will be selected in a closed bid process (as per HUD ONAP requirements). Bid requirements will include energy efficiency such as double pane windows and higher R rated insulation. We expect to award the bid in the 1st Quarter of 2014. The bid for construction will be approved and construction documents will be prepared and approved and payment and performance bonds will be received. An agreement for a building inspector will be developed and approved with either the City of Susanville or Lassen County. Income determination and rating/ranking of

program participants by SIRHA will also occur during this quarter to ensure eligible participants receive the homes.

Quarters 2, 2014 – 3, 2015: Five homes will be constructed. It is estimated that each home will take approximately 3 months to build. We anticipate a crew of approximately five persons to be employed on this project. During the winter months of November - February, we expect construction to slow, as bad weather conditions usually exist. Mr. Mackay and Mr. Boyles, along with appropriate staff and the procured contractor, will meet monthly to discuss the project and ensure it is moving in accordance with the approved timeline schedule. Mr. Boyles, his staff, and the contracted building inspector will inspect at major milestones (foundation completion, home construction progression, and building completion). Final home walk through will be conducted first with staff and then with the prospective homeowner.

Quarter 4, 2015: Following the completion of the construction of the homes, SIR and SIRHA can begin the closeout process. A feedback meeting will also be held a month after all homes are occupied to provide a more comprehensive program evaluation in order to enable continued program improvements as construction continues on the remaining parcels on Yah-Monee Drive.

SIR and SIRHA will use a variety of methods to ensure the project is moving on-schedule and to adjust for any changes in circumstances. The proposed timeline is conservatively estimated to account for possible weather and construction delays. Potential delays, based on past experience, include the need to re-bid if insufficient bids are received, difficulty identifying income and credit eligibility of homebuyers from the SIRHA waiting list, and potential difficult winter weather conditions.

3. Financial Management

In September 2012, SIR's 2011 audit was completed and submitted to the Federal Audit Clearinghouse (FAC). The audit is "unqualified" in all areas with no findings related to grant activities and no material or immaterial weaknesses in relationship to Federal awards. The SIR has had unqualified audits for the last 6 years.

The Susanville Indian Rancheria Tribal Business Council has adopted its Fiscal Manual on March 5, 2013 (Resolution Number SU-BC-24-2013) which describes the Tribe's fiscal management policies and procedures. These policies meet HUD requirements and ensure proper fiscal and management controls are per 24 CFR 85 and 1003 and 2 CFR 225 (OMB Circular A-87). SIRHA's fiscal management policies emulate the Tribe's and HUD's fiscal policies. SIR's policies and procedures will be used by Project Manager, Mr. Nick Boyles, and the Fiscal Manager, Ms. Carole Lowry, in the fiscal management of this project.

Please see Attachment #11 for a copy of the Tribe's resolution adopting the Susanville Indian Rancheria Fiscal Manual. SIR has in place and is currently operating accounting systems that meet the minimum standards required by 24 CFR part 85 which include: disclosure of accurate, current and complete financial results of federally assisted programs; identification of the source and application of funds provided for federally assisted activities; maintenance of effective controls and accountability for all assets, including accounting controls and management activities; preparation of a separate

budget for each federally assisted program, based on prescribed categories, and assurance that expenditures do not exceed the approved budgets; assurance that funds are expended in accordance with program requirements, based on the OMB Circular A-87 as amended; and maintenance of source documents and financial management procedures that provide an effective system of internal controls to safeguard cash and other assets; provide budgetary control over programs; provide timely, accurate and complete financial information for management decision making; provide the financial data needed to prepare the financial statements and various federal reports; and permit a timely and effective audit. These procedures all comply with the provisions of the United States GAAP requirements and the minimum standards required by 24 CFR Part 1003 and OMB Circular A-133 regarding financial record keeping, financial reporting and audits.

Upon approval of the project, the Tribe will reimburse per LOCCS guidelines the authorized amount to SIRHA as per the Tribal designation of SIRHA being the administrator for these funds. The Financial Manager will make a General Ledger entry annotating the source of funds for this project and establish the budget for each line item (as per the budget in this application). During the course of the project, the Program Manager will provide monthly reports to the Program Director and the SIR Tribal Business Council. Quarterly financial reports, which will be accompanied by required HUD forms (SF425) and project narrative prepared by the Program Manager, Mr. Nicholas Boyles, will be sent to the Program Director, Mr. James Mackay, and Financial Manager, Ms. Carole Lowry, for review prior to submission to HUD. The fee accountant of SIRHA, Mr. Larry Moe, will also assist the Program Manager to produce the Annual Evaluation and Status Report. These reports will be used for monitoring of budget versus actual expenditures, and the project completion timeline.

There will be one major contract (over \$100,000) that SIRHA will have under this project and that will be with the construction contractor. After a sealed bid process for selection of the contractor, any request for payment by the contractor will require the signature of Project Manager, Program Director, and an Officer of the SIR Tribal Business Council before being submitted to the Financial Manager for payment. The Project Manager and contracted Building Inspector will inspect the site to ensure that construction proceeds as per specifications. They will ensure all work is completed in a high quality fashion and that the electric, plumbing, HVAC, and roofing have been installed and are operational, before signing off on the relevant item. The Program Manager will review the invoice to ensure it is within the approved budget, is appropriate for the work completed, and is coded correctly, before it is processed for payment and entered into the computer tracking system. The contractor invoices are expected every month (to encourage smaller contractors to participate who typically have minimal cash flow and require more frequent payment) and will be paid within two weeks after sign off and submission to the Program Manager.

As per Tribal and SIRHA fiscal and cash disbursement policies, a written voucher for any cost (including purchase of the major fixtures and cabinets) will be required. For other purchases expected for this project, based on selection following SIRHA's and Susanville Indian Rancheria's procurement policies, similar vouchers will require sign off by the Program Manager and Program Director to receive payment. Each voucher will include coding for the funding source and budget line item (as per the approved budget) along with back up documentation for the expenditure and/or work completed. This

information will be entered into the fiscal system for project tracking and into the LOCCS system for ICDBG payments by the Fiscal Manager. Upon HUD payment, a check will be prepared by SIR to SIRHA. SIRHA will then prepare a check for the contractor. Two signatures from members of the SIRHA Board of Commissioners will be required for all checks.

4. Procurement and Contract Management

In September 2012, SIR's 2011 audit was completed and submitted to the Federal Audit Clearinghouse (FAC). The audit is "unqualified" in all areas with no findings related to grant activities and no material or immaterial weaknesses in relationship to Federal awards. The SIR has had unqualified audits for the last 6 years.

This project will follow the Susanville Indian Rancheria Procurement Policies and Procedures adopted on June 24, 2008 (Resolution Number SU-BC-51-2008, Attachment #11), which are emulated in the SIRHA Procurement Policy most recently adopted on November 21, 2011 and comply with the Indian Housing procurement regulations 24 CFR 1000 and 1003 and meet the procurement standards of 24 CFR Part 85 and OMB Circular A-102.

These policies ensure: 1) the fair and equitable treatment of all persons or firms involved in purchasing by the Tribe or its agent (SIRHA for this project); 2) assure that supplies, services, and construction are produced efficiently, effectively, and at the most favorable prices available to the Tribe/SIRHA; 3) promote competition in contracting; 4) provide safeguards for maintaining a procurement system of quality and integrity; and 5) assure that by the Tribe/SIRHA purchasing actions are in full compliance with applicable Federal, state, Tribal, and other local laws and standards, including Indian preference.

As per these requirements, SIRHA will prepare a bid package for the selection of a contractor that allows for Indian Preference. The Program Manager will work in conjunction with the Program Director to prepare the bid package for review by the Tribal Attorney, which will subsequently be approved by both the SIRHA Board of Commissioners and the SIR Tribal Business Council. Based on SIR and SIRHA procurement policies, a sealed bid process for selection of a qualified and responsive contractor, as well as a point system giving Indian owned businesses or corporate entities preference, will be followed.

The purchase of the fixtures and equipment required for the homes will be included in the bid package. The specifications for these items will be generated by the Project Manager during the bid development process. The Project Manager will ensure that the SIRHA Construction Manager will be available to assist interested contractors will any questions they have regarding the physical specifications of the project when developing their bid proposals. The Program Manager will be available to answer questions regarding the administrative nature of the bid package. The Project Director, Project Manager, Financial Manager, and the SIRHA Board of Commissioners shall review the bids and recommend the lowest responsible, responsive bidder that meets the specifications to the SIR Tribal Business Council for final selection.

Rating Factor 2: Need/Extent of the Problem

1. Need and Viability

The Susanville Indian Rancheria currently has 1,031 members, of whom 374 members are 17 and under, 513 members are between the ages of 18 and 54 years of age, and 144 members are 55 years of age or older (Tribal elders). There are currently 79 homeownership units, 26 low rental units and 6 elder units to meet this demand. The Tribe's land base consists of 1,340.74 acres (1,100.74 acres in trust status and 240 acres in fee status) in a checkerboard pattern located in rural Lassen County, approximately 85 miles north of Reno, Nevada at an average elevation of 4,300 feet. The majority of the Tribe's population lives outside of the Rancheria boundaries due to the lack of housing and job opportunities.

The proposed project is critical to the social and economic viability of the Susanville Indian Rancheria community. There is an unmet need for additional home ownership units and the project will provide homes that will allow Tribal members that live outside of the area to return and obtain employment with the Tribe or one of the Tribe's entities. The proposed project is the only viable and effective approach to address the pressing housing needs of the SIR Tribal membership.

The Susanville Indian Rancheria is a severely distressed community that lacks the access to private capital that will enable economic growth. A majority of the jobs held by Tribal members are in Tribal government. This situation mirrors the Lassen County region, where the Tribe is located. Due to state environmental and conservation policies, Lassen County has been suffering from a gradual decline of its previous employment engine, the lumber industry. The state and local government agencies are the region's major employer, which provides 63% of the approximately 10,000 jobs in the county. Private sector employment includes Wal-Mart, Safeway, and local produce facilities and ranches, but all are low-skilled jobs with few opportunities for advancement or significant income increases. Amidst this economic picture, the proposed new construction project will serve an additional important economic engine and meets the general ICDBG goal of creating new jobs, which is beyond the direct purpose of the project. Creating additional training and employment opportunities for higher skilled construction jobs will be beneficial for current low- to moderate-income Tribal members.

The proposed project will create much needed affordable housing for Tribal members. Housing was the number one issue identified by Tribal members in the 2002 Demographic Profile and Needs Assessment. This survey collected information from 482 of the estimated 830 Tribal members at the time. The 2002 Tribal survey found 13% of Tribal members live in overcrowded situations and 22% live in substandard housing.

According to the 2006-2010 American Community Survey, the median household income for the Tribe was \$37,375 while the median income of Lassen County was \$50,317 (a difference of 34%). Due to the income discrepancy, it is extremely difficult for Tribal members to find homes they can afford or purchase. Average rental costs for a three-bedroom home in the Susanville area are roughly \$1,000 per month. This equates to over 32% of a Tribal member's gross income being spent on housing versus only 23% of gross income by other Susanville area residents. Homeownership opportunities are even slimmer for Tribal members as the average purchase price of a three-bedroom home exceeds \$175,000 in the Susanville area.

New housing was identified by the Tribal population as their number one priority. Since that time, only 13 homeownership units have been constructed on Tribal lands.

The mission of the Susanville Indian Rancheria Housing Authority is to continue to provide and manage affordable housing, model activities, and to provide economic opportunity and training for Native American families within the Tribe's service area. The Susanville Indian Rancheria is committed to develop planned communities that are affordable, decent, and safe for Native American families.

Specifically, this project will provide the major output of building 5 homes for 5 of the 20 families currently on the SIRHA waiting list, reducing the need for housing by 25%. There is no other available housing for these families on the Susanville Indian Rancheria and 17 of these families are low- to moderate-income. Current IHBG resources are limited as there are also needs for rehabilitation of the existing housing stock. The IHBG resources only allow for the building of one new home per year. Due to the large need for additional housing, the IHBG funds will not be able to provide the needed housing and could not support a project of this scope. The SIR can maximize the funds by leveraging IHBG funds with ICDBG funds and build more homes in a shorter period of time. The 2013 IHP has been amended to include IHBG funds as leverage if this project is approved. A large percentage of the potential homeowners currently live in overcrowded situations or do not live on SIR lands. All households will need to meet the SIRHA Yah-Monee Housing Project guidelines to ensure they are still in need of housing and eligible for homeownership financing.

The proposed project will fulfill an urgent need for homeownership opportunities on the Susanville Indian Rancheria. Evidence of rural poverty, inadequate housing, lack of homeownership opportunities and little economic development is shown by comparison to local data in the table above. These issues are also documented in the 2004 BIA Labor Force Report Census for the Susanville Indian Rancheria, and the Tribally adopted *2004 Susanville Indian Rancheria Master Plan*.

Factors	SIR	Lassen County
Poverty Rate*	6.9%	10.5%
Unemployment Rate**	15.4%	8.0%
Low- to moderate-income Households***	71%	41.1%

* 2006-2010 American Community Survey census.

** 2006 Census for the BIA Labor Force Report for the SIR. California Employment Development Department for Lassen County in 2006.

*** 2003 Census Low-Income person Extrapolation by Tribe for the SIR. The figure for Lassen County was extrapolated from 2000 Census figures based upon 80% of the Median Income.

2. Project Benefit

Reflecting the severe needs indicated above, the Susanville Indian Rancheria dollar amount under this category is \$465.07 and thus being between \$327 and \$750, is eligible for the full 12 points under this category.

Rating Factor 3: Soundness of Approach

1. Description and Rationale for Proposed Project

The Susanville Indian Rancheria proposes to build and lease-to-own 5 homes (1 two-bedroom unit, 3 three-bedroom units, and 1 four-bedroom unit) on Yah-Monee Drive to low- to moderate-income families on the SIRHA wait list and/or who are in need of housing. This will help address the severe need for new housing on the Susanville Indian Rancheria and create a cost-effective, viable model for creating affordable homeownership to Tribal members. The map in Attachment #7 shows the project location.

As previously discussed in Rating Factor 2, the proposed new construction is the only viable and cost effective approach to meet the pressing housing and community viability need.

The approximate square footage for the two-bedroom unit will be 1,200 square feet, the three-bedroom units will be 1,458 square feet each, and the four-bedroom unit will be 1,680 square feet. All proposed units will include energy efficient features as well as a design to assist the handicapped and elderly. Homes will be stick-built instead of being modular units, based on careful consideration of the Hammawi Court Project. The modular homes purchased for the Hammawi Court Project are not holding up to the local environmental conditions as was hoped.

The Yah-Monee Housing Project, at build-out, will consist of 16 new homes on Yah-Monee Drive. (See the project map in Attachment #7.) SIRHA, using IHBG and existing program funds will be able to construct only one home per year until the proposed development is completed. SIRHA reviewed several possibilities, given its limited funding capabilities, before deciding the proposed development using Tribal labor as the preferable method for construction when using IHBG funds.

Due to the intense need for additional housing on the Susanville Indian Rancheria, the SIRHA, in collaboration with the SIR, decided to pursue ICDBG funding in order to expedite the development of homes on the 16 available lots. As indicated above, SIRHA's current plans include building, on average, one home per year. The proposed project will build an additional 5 homes in this development area over the next two years. By having these additional funds, the SIRHA will see the completion of 7 homes over the two year construction period rather than just the 2 homes that would be built by SIRHA with their existing funds.

SIRHA will continue to utilize Tribal labor for construction of the remaining parcels using the IHBG funds, which will maintain 5 construction jobs for Tribal members. However, the homes that will be built using the ICDBG funds will be contracted out, as the SIRHA does not have the capacity to construct 5 homes in the given period.

This project will effectively meet the Tribe's community and housing needs by:

- Building 5 homes for the existing 20 families on the SIRHA waiting list and possibly assisting up to 8 families on the SIRHA waiting list due to some of the families currently being in SIRHA rental units. These families desire homeownership or are in overcrowded conditions. By creating new homeownership units to those already in rental units, SIRHA frees up another rental unit that can be occupied by a family that is not currently housed on the SIR and cannot qualify for a homeownership unit.

- Creating a new model for homeownership by being the first use of homeownership financing since 2005.
- By combining IHBG and ICDBG funding, it enables people to purchase homes for approximately \$40,000-\$50,000 (depending on income and home size) which may be affordable to the low and moderate income families on the SIRHA waitlist.
- Utilizing a credit and homeownership counseling curriculum to prepare Tribal members to use homeownership financing in the future. Homeownership financing is a resource that is greatly needed to leverage IHBG resources to meet the substantial number of homes needed.

Meeting these needs will make the Tribe a more viable community by increasing the opportunity for homeownership on the Tribe and increasing economic activity by creating and maintaining jobs, potentially for Tribal members. The project uses a holistic approach by including different Tribal departments, the City of Susanville and the Lassen Municipal Utility District in the development of the homes and infrastructure and a national nonprofit agencies for housing construction and financial literacy training.

There are several cost-effective and innovative features to this project. First, the project will be the first use of homeownership financing on the Susanville Indian Rancheria since 2005. Second, it includes a partnership between the Tribe and the City of Susanville (which also overcomes historical tensions) enabling the cost savings of these new homes tapping into the City water and sewer systems. Third, the infrastructure for this project is already in place as funds from a 2009 IHBG ARRA grant. Fourth, this will benefit both economic and housing goals by creating these homes and creating new higher-skill level jobs (with the integrated construction training for the IHBG built homes) for currently low to moderate income Tribal members. Fifth, design and plans for the 3 and 4-bedroom homes were completed for previous homes on the SIR. Rather than new plans being redesigned, SIRHA retrofitted the existing floor plans to accommodate new design standards. This saves the project approximately \$7,760 based on historical costs for plan design.

2. Budget and Cost Estimates

The required Cost Summary (HUD 4123) is completed and attached as Attachment #5. In addition, Attachment #5 provides a more detailed breakdown of construction cost estimates. The cost estimates were researched and reviewed by the Program Director; Program Manager; and SIRHA Construction Manager, Louis Hawkes; based on their experience with housing and economic development construction projects. As detailed in Rating Factor 1, Mr. Mackay brings more than 15 years of experience in procurement and the construction industry and Mr. Boyles brings 10 years of program management. In addition, Mr. Hawkes has over 30 years of experience in the construction industry and has held a State of California Contractor's license since 1998. Cost estimates for labor and material costs (including electrical, plumbing, and flooring) were generated from a bid received from a local contractor, RSJ Design & Construction. See Attachment #5 for an overview of the contractor's credentials. Also included is a 10% construction contingency to account for additional expenses that may arise during project development.

The proposed project includes the construction of 5 homes for low- to moderate-income households. Based upon the interests of the households on the SIRHA's waiting list, there is a need for two-, three- and four-bedroom homes. The projected budget allows for the construction of 1 two-bedroom unit, 3 three-bedroom units and 1 four-bedroom unit. SIRHA has existing approved floor plans for the 3- and 4-bedroom units, which were used to develop the cost estimates for the construction of these homes (see Attachment #5 for a breakdown of construction costs).

SIRHA's administrative costs are based upon the hourly rates of Nicholas Boyles, Louis Hawkes, and Felicia Burriel for administrative oversight over the project 28 month budget period.

Staff	Hourly Rate	Billable Hours	Total
Nicholas Boyles	\$26.45	294	\$ 7,776.30
Louis Hawkes	\$23.00	62	\$ 1,426.00
Felicia Burriel	\$9.00	40	\$ 360.00

SIR's administrative costs are based upon the hourly rates (including fringe and benefits) of James Mackay, Carole Lowry and Pam Tennille for administrative oversight over the projected 28 month budget period. The time allocated to Chandra Jabbs will be for planning costs associated with preparing the 2014 ICDBG application. These costs were calculated as follows:

Staff	Hourly Rate	Billable Hours	Total
James Mackay	\$64.45	80	\$ 5,156.00
Carole Lowry	\$55.30	18	\$ 995.00
Pam Tennille	\$34.45	26	\$ 895.00
Chandra Jabbs	\$39.89	80	\$ 3,191.00

3. HUD Policy Priorities

Sustainability: All homes constructed during this project will incorporate visitability, health design, and universal design features, will meet Energy Star standards, and will be constructed to meet or exceed the mandatory items on the "Green Communities Criteria" set forth by Enterprise Community Partners, Inc. The Green Communities Criteria promote smart growth, public health, energy conservation, operations savings and sustainable building practices in affordable housing design. The Susanville Indian Rancheria has selected this green building standard because the Green Communities Criteria were developed specifically to be an approach that will provide significant health, economic and environmental benefits. The Green Communities Criteria are aligned with the United States Green Building Council's Leadership in Energy and Environmental Design's Rating System for Homes. Following these design standards will help ensure that all of the proposed new homes are healthy and safe environments for children and other vulnerable populations who will live in these homes. Please use the following link to view the latest version of the Green Communities Criteria: <http://www.greencommunitiesonline.org/tools/criteria/>. The proposed new housing construction will support energy efficient new construction by incorporating

energy efficient appliances and have insulation with a minimum R-39 rating. The Susanville Indian Rancheria will demonstrate that the proposed new housing construction meets recognized rating standards as follows: all new homes constructed will meet or exceed all Energy Star standards and will be independently inspected by a home energy auditor to be certified "Energy Star". To earn the Energy Star, a home must meet strict guidelines for energy efficiency set by the U.S. Environmental Protection Agency. These homes are at least 15% more energy efficient than home built to the 2008 California Energy Efficiency Standards, and include additional energy-saving features that typically make them 20 – 30% more efficient than standard homes. The incorporation of Energy Star standards is consistent with the Green Communities Criteria, Section 5.1.

The construction project will include accessibility features meeting Section 504 and Fair Housing Act standards. In addition, features will be added based on individual homeowner needs. These will include, upon request, handicap accessible appliances, cupboards and shelves, and tubs, color differentiation in rooms and soften wall edges for those with vision impairment, and/or visual light smoke detectors for those with hearing disabilities. All homes will include at least one entrance at grade and with a width that enables handicapped accessibility for "visitability" standards.

4. Commitment to Sustain Activity

When constructing these new homes, as part of the contract, the contractor will be required to provide a two-year warranty. This exceeds the one-year warranty recommended in HUD's General Condition Form (HUD 5370).

Based on the Mutual Help and Occupancy Agreement (MHOA) signed by the occupant(s), the occupant(s) are responsible to provide all maintenance and basic upkeep of the home. However, homeowner's insurance will be paid by the SIRHA and calculated into the payment during the underwriting process.

The homeowner will sign a "Resident Responsibility" agreement (Attachment #11) before moving into their home. One of the requirements for leasing-to-own these homes include completion of the homeownership training curriculum, which will include at least 4 hours on home maintenance and repair, and how to hire and supervise professional help (plumbers, electricians, etc.). In the Resident Responsibility form they will agree to:

- Report any repair needs to SIRHA in order to evaluate the extent of the problem. SIRHA may be able to assist with minor repairs; however, all repairs completed by SIRHA staff will be at the expense of the homeowner. If SIRHA cannot complete the repair, SIRHA may refer the homeowner to a licensed contractor to complete the repair. All repairs completed by an outside contractor will also be at the expense of the homeowner.
- Inspect the roof, gutters and downspouts every year and clear them out.
- Maintain the outside of the home, perform necessary pruning and care of landscaping, and removing of any debris.
- Inspect windows and doors every year and do any required maintenance or repair.
- Inspect the HVAC system before each winter and summer or have a professional company do such inspection.

- Pay their mortgage on time and speak to the SIRHA Program Manager if they anticipate job loss or other severe changes of circumstance that might create difficulty.

Rating Factor 4: Leveraging Resources

The total project budget is \$835,385.81. Of that amount, \$230,385.81 is committed in matching funds, comprising a non-ICDBG resource commitment to the project costs of 27.58%. The \$230,385.81 in matching funds is committed by the Susanville Indian Rancheria Housing Authority from its 2013 Indian Housing Block Grant. The current 2013 IHP on file at HUD reflects a \$155,000 commitment to the ICDBG project. Resolution No. SU-BOC-01-2013 adopted by the SIRHA Board of Commissioners on March 4, 2013, increases that commitment to \$230,385.81. See Attachment #3 for a copy of the resolution. As this project will continue into future years, this project will also be included in the 2014 IHP (Attachment #11).

Rating Factor 5: Comprehensiveness and Coordination

The proposed Yah-Monee Housing Project is part of an important Tribal effort to increase housing and economic development activities by creating homeownership opportunities. The specific goals (outcomes) of this project are to build 5 homes in an innovative and cost-effective manner and sell them at a price affordable to low- to moderate-income home-buyers earning less than 80% of the area median income and create an on-going financial literacy and homeownership counseling program.

1. Coordination

The creation of this project has been coordinated between the SIR and the SIRHA. The SIR Tribal Business Council set homeownership units as a priority and the SIRHA Board of Commissioners ensured the capacity of the SIRHA staff to fully implement such a project.

This project is an important part of the Tribe implementing the goals in the *2004 Susanville Indian Rancheria Master Plan* by creating homeownership opportunities, building new housing, and moving forward with the build-out of the Upper Rancheria. It also addresses the number one issue identified by Tribal members in preparation for that Master Plan – housing. The project also is a first step in meeting the IHP identified need for additional homeownership units. It will provide housing to families who are currently living in overcrowded situations or without a permanent residence.

It is also coordinated with area nonprofits such as the National American Indian Housing Council (NAIHC) for housing construction and financial literacy training. SIRHA staff has received certifications and training from such entities to provide these trainings to participants in the program. NAIHC has been instrumental in preparing Tribal members for employment opportunities in the construction industry. They also have provided valuable information and training to help prepare Tribal membership for homeownership.

In 2008, the project site was identified as the next housing development area for the SIR. As it was new development, the SIRHA coordinated with the City of Susanville for water and sewer service and the Lassen Municipal Utility District (LMUD) for

electrical service. In 2009, plans were developed for the infrastructure necessary for this project and construction for the infrastructure was completed in early 2011. Additionally, the SIR will contract inspection services with the City of Susanville or the County of Lassen to provide building inspections of the new homes to ensure continued coordination between these entities is important to ensure the final project will be successful. The relationships forged between SIR, SIRHA, City of Susanville, and LMUD are invaluable for continued development on the SIR.

2. Outputs, Outcomes, and/or Goals

The long-term goals for Yah-Monee Housing Project include reducing the number of families living in overcrowded or homeless situations by providing new construction housing for 5 families on the SIRHA waiting list and/or who meet the SIRHA standards for eligibility as expressed in the Yah-Monee Housing Project guidelines; an increase in homeownership rates through the creation of 5 new homeownership homes; increased economic self-sufficiency for the 5 homebuyers through having received financial literacy and homeownership counseling; and the addition of mortgage financing on Susanville Indian Rancheria Tribal land.

Outputs

1. By September 30, 2015, SIRHA will have constructed 5 new single family homes for homeownership that meet or exceed Energy Star and green building ratings and are constructed in accordance with universal design standards and visitability principles, as evidenced by construction logs, plans, engineering reports, and inspection results; and
2. By September 30, 2015, 5 new homeowners will receive training on how to conduct regular home maintenance activities as evidenced by SIRHA records and homeowner files.

Outcomes

1. By September 30, 2015, the number of families in need of homes as demonstrated by the SIRHA housing waiting list and/or living in substandard or homeless conditions will be reduced by 25%.
2. By September 30, 2015, 5 new homeowners will demonstrate knowledge of homeowner maintenance as demonstrated by a score of at least 90% on a post-test after homeownership counseling.



SUSANVILLE INDIAN RANCHERIA

RESOLUTION NO. SU-BC-030-2013

RE: RESOLUTION GRANTING AUTHORIZATION TO SUBMIT AN APPLICATION TO THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT (ICDBG) PROGRAM FISCAL YEAR 2013

- WHEREAS,** the Susanville Indian Rancheria is formally recognized, constituted and established pursuant to the Indian Re-Organization Act (IRA) of 1934 and is a recognized Tribal government as defined by the Indian Self-Determination Act, P.L. 93-638; and
- WHEREAS,** the Susanville Indian Rancheria is governed by its Tribal Council, duly elected under the constitution adopted May 18, 2005, and approved by the Assistant Secretary of Interior for Indian Affairs, July 13, 2005; and
- WHEREAS,** the Susanville Indian Rancheria's General Council, via the Tribal Constitution, gave power to the Tribal Business Council to conduct business on behalf of the Susanville Indian Rancheria effective May 18, 2005; and
- WHEREAS,** the Susanville Indian Rancheria Tribal Business Council has identified the need for additional home ownership units on tribal trust lands; and
- WHEREAS,** the HUD has a grant program entitled the Indian Community Development Block Grant (ICDBG) Program Fiscal Year 2013; and
- WHEREAS,** the Susanville Indian Rancheria understands the grant may be utilized for a Housing New Construction Project and an eligible CBDO must implement such a project; and
- WHEREAS,** the Susanville Indian Rancheria Housing Authority (SIRHA) was designated by HUD as an eligible CBDO on June 6, 2011; and
- WHEREAS,** the SIRHA committed to implementing a Housing New Construction Project and leveraged funds in an amount of \$230,385.81 in support of this application on March 4, 2013, by Resolution SU-BOC-01-2013.

745 JOAQUIN STREET • SUSANVILLE, CA 96130 • (530) 257-6264 • FAX 257-7986

PAGE TWO
RESOLUTION NO. SU-BC-030-2013

NOW THEREFORE BE IT RESOLVED, that the Susanville Indian Rancheria authorizes the Tribal Chairman, or designee, to submit an application and execute any amendments thereto, to the Department of Housing and Urban Development Indian Community Development Block Grant (ICDBG) Program for the purposes Housing New Construction; and

BE IT FINALLY RESOLVED, that this resolution shall remain in effect until rescinded by action of the Susanville Indian Rancheria Tribal Business Council.

CERTIFICATION

This is to certify that Resolution Number SU-BC-030-2013 was approved at a regularly scheduled meeting of the Susanville Indian Rancheria Tribal Business Council on March 5, 2013, at which a quorum was present, and that this Resolution was adopted by a vote of 5 in favor, 0 opposed, and 0 abstaining.

ATTEST:



Mr. Aaron Dixon, Sr.
Secretary/Treasurer



Mr. Stacy Dixon
Tribal Chairman



SUSANVILLE INDIAN RANCHERIA HOUSING AUTHORITY

PO Box 970 • 870 Joaquin Street Unit G • Susanville, Ca 96130
530-257-5033 • 530-257-5035 fax • sirha@citlink.net

SUSANVILLE INDIAN RANCHERIA
HOUSING AUTHORITY
RESOLUTION OF THE BOARD OF COMMISSIONERS

RESOLUTION NO. SU-BOC-01-2013

RE: RESOLUTION REQUESTING AUTHORIZATION TO PROVIDE MATCHING FUNDS FOR THE SUSANVILLE INDIAN RANCHERIA'S APPLICATION FOR THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT (ICDBG) PROGRAM

WHEREAS, the Susanville Indian Rancheria Housing Authority (SIRHA) a political subdivision of the Susanville Indian Rancheria, established by Tribal ordinance No. 2000-002 (IHA Ordinance); and

WHEREAS, the SIRHA is governed by a five (5) member Board of Commissioners; and

WHEREAS, the Susanville Indian Rancheria certified SIRHA as a Community-Based Development Organization (CBDO) on February 21, 2006, by approving resolution SU-BC-08-2006; and

WHEREAS, the HUD formally designated SIRHA as an eligible CBDO most recently on June 6th 2011; and

WHEREAS, the HUD has a grant program titled the Indian Community Development Block Grant (ICDBG) Program Fiscal Year 2012; and

WHEREAS, SIRHA has identified a need for additional homeownership units on the Tribal trust lands; and

WHEREAS, SIRHA understands the grant may be utilized for a Housing New Construction Project and an eligible CBDO must implement such a project; and

WHEREAS, SIRHA understands that the grant or cooperative agreement for Federal assistance will impose certain obligations upon the applicant and a tribal contribution to the proposed project is important to the success of the grant application and implementation.

NOW THEREFORE BE IT RESOLVED, that the Susanville Indian Rancheria Housing Authority will implement said Housing New Construction Project as the eligible CBDO for the HUD ICDBG Fiscal Year 2013 application; and

BE IT FURTHER RESOLVED, that the Susanville Indian Rancheria Housing Authority will provide funds for the Fiscal Year 2013 Indian Housing Block Grant in the amount of \$230,385.81 and

BE IT FINALLY RESOLVED, that this resolution shall remain in effect until rescinded by action of the Susanville Indian Rancheria Housing Authority Board of Commissioners.

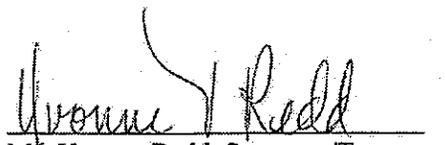
BE IT ALSO RESOLVED THAT, This resolution rescinds Resolution SU-BOC-31-2012.

CERTIFICATION

This is to certify that Resolution Number SU-BOC-01-2013 was approved at a regularly scheduled meeting of the Susanville Indian Rancheria Housing Authority Board of Commissioners on 3/4/13, at which a quorum was present, and that this Resolution was adopted by a vote of 4 in favor, 0 opposed, and 0 abstaining.

ATTEST:


Ms. Wanda Brown, Chairperson
SIRHA Board of Commissioners


Ms. Yvonne Redd, Secretary/Treasurer
SIRHA Board of Commissioners

Implementation Schedule
Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424) Susanville Indian Rancheria		2. Application/Grant Number (to be assigned by HUD)		3. <input checked="" type="checkbox"/> Original (First submission to HUD) <input type="checkbox"/> Pre-Award Submission <input type="checkbox"/> Amendment (submitted after grant approval)		Date (mm/dd/yyyy) 03/04/2013					
4. Name of Project (as shown on form HUD-4123, item 4) Yah-Monee New Housing Construction			5. Effective Date (mm/dd/yyyy) 09/02/2013		Expected Completion Date (mm/dd/yyyy) 11/30/2015		Expected Closeout Date (mm/dd/yyyy) 12/31/2015				
6. Environmental Review Status											
<input type="checkbox"/> Exempt (As described in 24 CFR 58.34)		<input type="checkbox"/> Under Review (Review underway, findings not yet made)		<input type="checkbox"/> Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)							
<input type="checkbox"/> EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)		<input type="checkbox"/> Not Started (Review not yet begun)		<input checked="" type="checkbox"/> Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)		<input type="checkbox"/> Categorically Excluded (as described in 24 CFR 58.35)					
7. Tribal Fiscal Year (mm/dd/yyyy) 12/31/2013											
8. Task List (List tasks such as environmental assessment, acquisition, etc.)		9. Schedule. Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.									
		CY 13				CY 14					
		1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	Date (mm/dd/yyyy) (if exceeds 8th Q. tr	
Clear Grant Conditions				X	X	X	X				
Hire Architect/Engineer						X					
Publish RFP						X	X				
Bid Awarded							X	X			
Construction								X	X	X	08/31/2015
Closeout										X	12/31/2015
Administration				X	X	X	X	X	X	X	12/31/2015
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)		\$ 0.00	\$ 0.00	\$ 480.69	\$ 1,115.37	\$ 9,165.40	\$ 119,125.37	\$ 125,853.71	\$ 119,125.37	\$ Total	374,865.91
11. Cumulative Drawdown (if more than one page, enter total on last page only)		\$ 0.00	\$ 0.00	\$ 480.69	\$ 1,596.06	\$ 10,761.46	\$ 129,886.83	\$ 255,740.54	\$ 374,865.91	\$ Total	374,865.91

Previous editions are obsolete

Implementation Schedule
Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424) Susanville Indian Rancheria	2. Application/Grant Number (to be assigned by HUD)	3. <input checked="" type="checkbox"/> Original (First submission to HUD) <input type="checkbox"/> Pre-Award Submission <input type="checkbox"/> Amendment (submitted after grant approval)	Date (mm/dd/yyyy) 03/04/2013
4. Name of Project (as shown on form HUD-4123, item 4) Yah-Monsee New Housing Construction	5. Effective Date (mm/dd/yyyy) 09/02/2013	Expected Completion Date (mm/dd/yyyy) 11/30/2015	Expected Closeout Date (mm/dd/yyyy) 12/31/2015
6. Environmental Review Status <input type="checkbox"/> Exempt (As described in 24 CFR 58.34) <input type="checkbox"/> Under Review (Review underway; findings not yet made) <input type="checkbox"/> Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.) <input type="checkbox"/> EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37) <input type="checkbox"/> Not Started (Review not yet begun) <input checked="" type="checkbox"/> Certification (Environmental review completed; certification and request for release of funds being prepared for submission.) <input type="checkbox"/> Categorically Excluded (as described in 24 CFR 58.35)			7. Tribal Fiscal Year (mm/dd/yyyy) 12/31/2013

8. Task List (List tasks such as environmental assessment, acquisition, etc.)	9. Schedule. Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.								Date (mm/dd/yyyy) (if exceeds 8th Qtr)
	CY '15				CY '16				
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	
Clear Grant Conditions									
Hire Architect/Engineer									
Publish RFP									
Bid Awarded									
Construction	x	x	x	x					
Closeout				x	x	x			
Administration	x	x	x	x	x				
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$ 106,761.85	\$ 119,125.37	\$ 2,754.15	\$ 1,492.72	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$Total 230,134.09
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$ 106,761.85	\$ 225,887.22	\$ 228,641.37	\$ 230,134.09	\$ 230,134.09	\$ 230,134.09	\$ 230,134.09	\$ 230,134.09	\$Total 230,134.09

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0191), Office of Information Technology, Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best project funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is ~~not~~ HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (PL 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for Item 9 Schedule: Use Calendar Year (CY) quarters. Fill-in the CY below. If the project begins in May, for example, enter under "1st Qtr (April), M(May), J(June). Indicate time period required to complete each activity, e.g., acquisition, by entering "X" under the months it will begin and end. Draw a horizontal line the first to the second "X". If the completion date will extend beyond the 8th quarter, enter date in the far right column and attach an explanation.

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for Item 4.

Project Name and Project Type

Participants enter the project name and the name of one of the following three categories of activities:

- Housing
- Community Facilities
- Economic Development

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

Housing

Rehabilitation Component

- Rehabilitation
- Demolition

Land to Support New Housing Component

New Housing Construction Component

Community Facilities

Infrastructure Component

- Water
- Sewer
- Roads and Streets
- Storm Sewers

Buildings Component

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development

Budget Justification

Construction	ICDBG	Match	Totals
Four Bedroom Homes (1)	\$ 112,567.07	\$ 42,865.86	\$ 155,432.93
Three Bedroom Homes (3)	\$ 319,733.07	\$ 121,755.27	\$ 441,488.34
Two Bedroom Home (1)	\$ 95,295.70	\$ 36,288.87	\$ 131,584.57
10% Construction Contingency	\$ 52,759.58	\$ 20,091.00	\$ 72,850.58
Inspections	\$ 3,331.40	\$ 1,268.6	\$ 4,600.00
Total	\$ 583,686.82	\$ 222,269.60	\$ 805,956.42
Other			
Legal	\$ 3,621.08	\$ 1,378.92	\$ 5,000.00
Engineering	\$ 2,809.96	\$ 1,070.04	\$ 3,880.00
Advertising	\$ 543.16	\$ 206.84	\$ 750.00
Total	\$ 6,974.20	\$ 2,655.80	\$ 9,630.00
Administration			
Administrative Costs - SIRHA	\$ 6,925.18	\$ 2,637.12	\$ 9,562.30
Administrative Costs - SIR	\$ 7,413.80	\$ 2,823.20	\$ 10,237.00
Total	\$ 14,338.97	\$ 5,460.32	\$ 19,799.30
OPERATIONAL TOTAL	\$ 605,000.00	\$ 230,385.72	\$ 835,385.72

The proposed project includes the construction of four (4) homes for low to moderate income households. Based upon the interests of the households on the SIRHA's waiting list, there is a need for 2-, 3- and 4-bedroom homes. The projected budget allows for the construction of a 2-bedroom, three 3-bedroom homes and a 4-bedroom home. SIRHA has existing floor plans for the 3- and 4-bedroom homes which were used to develop the cost estimates for the construction. See the following breakdown of construction costs on page 38 of this attachment. A 10% construction contingency is included to account for additional expenses that may arise during project development. Based on historical construction, home inspections are \$920 per unit.

RSJ Design & Construction (RSJ) provided the estimates for the proposed homes. Raymond Jones of RSJ has been designing and building commercial and residential homes since 1988. He is a licensed and bonded general contractor. A list of his recent projects can be found on pages 39-41 of this attachment.

Legal costs are to cover 14 hours of contract preparation and legal review of bids at \$220 per hour. Additionally, there is \$1,920 for contingency to cover contract and/or RFP disputes, contract negotiation, construction disputes, bonding disputes, and warranty issues. Engineering costs are based on the costs of developing respecified blueprints of \$3,880. Advertising costs are to publish the RFP in 3 different newspapers in Reno, Lassen County, and Redding. These costs were all calculated using the final costs of previously completed, similar projects completed within the last 2 years.

SIRHA's administrative costs are based upon the hourly rates of Nicholas Boyles, Louis Hawkes, and Felicia Burriel for administrative oversight over the project 28 month budget period.

Staff	Hourly Rate	Billable Hours	Total
Nicholas Boyles	\$26.45	294	\$ 7,776.30
Louis Hawkes	\$23.00	62	\$ 1,426.00
Felicia Burriel	\$9.00	40	\$ 360.00

SIR's administrative costs are based upon the hourly rates (including fringe and benefits), rounded to the nearest dollar) of James Mackay, Carole Lowry and Pam Tennille for administrative oversight over the projected 28 month budget period. The time allocated to Chandra Jabbs will be for planning costs associated with preparing the 2014 ICDBG application. This line item will also include the costs for post-award training. These costs were calculated as follows:

Staff	Hourly Rate	Billable Hours	Total
James Mackay	\$64.45	80	\$ 5,156.00
Carole Lowry	\$55.30	18	\$ 995.00
Pam Tennille	\$34.45	26	\$ 895.00
Chandra Jabbs	\$39.89	80	\$ 3,191.00

Susanville Indian Rancheria Housing Authority

Fiscal Year 2013

Revised 11-6-12

**New Homes for SIRHA
CONSTRUCTION COST BREAKDOWN**

	Estimate 2 Bed 1200 s.f.	Estimate 3 Bed 1458 s.f.	Estimate 4 Bed 1680 s.f.
Appliances	757.00	757.00	757.00
Cabinets & Countertops	8987.00	9892.00	8987.00
Carpentry - Finish	896.00	1093.00	1260.00
Carpentry - Rough	11956.00	14580.00	16800.00
Cleanup - Finish	300.00	300.00	300.00
Cleanup - Rough / Dump Fees	500.00	500.00	500.00
Concrete Flatwork, 1060 s.f.	6000.00	6000.00	6000.00
Concrete Block Foundation / Footings	6780.00	8240.00	8780.00
Decking / Railing	2980.00	3240.00	2980.00
Doors & Trim	2200.00	2406.04	2679.90
Electrical Rough / Finish Fixtures	7390.00	7590.00	7790.00
Flooring - Carpet & Vinyl	4500.00	4800.00	5100.00
Grading - Rough/Footings	1000.00	1000.00	1000.00
Gutters & Down Spouts	400.00	400.00	400.00
HVAC System / Wood Stove Complete	10953.00	10953.00	10953.00
Hardware - Finish & Bath	676.49	844.92	824.99
Hardware - Rough	598.00	730.00	780.00
Insulation	2356.00	2719.00	3093.00
Lumber / Plywood / Siding / I-Joists	9704.70	11835.49	13255.74
Painting	3885.00	4738.00	5460.00
Plumbing - Rough / Finish Fixtures	4500.00	6000.00	6000.00
Propane Tank	250.00	250.00	250.00
Roofing	4400.00	4870.00	5250.00
Sheetrock	5610.00	6240.00	6968.00
Temp Facilities/Rental	600.00	600.00	600.00
Trusses	2900.00	3291.00	3781.00
Windows	2033.00	2233.73	2483.25
CONSTRUCTION SUB TOTAL	103012.19	116103.18	123052.88
Site Grading Rough & Finish	4000.00	4000.00	4000.00
Site Utilities	5000.00	5000.00	5000.00
Profit	10301.22	11610.32	12305.29
Overhead / Insurances / Work Comp / Bonding	9271.10	10449.28	11074.76
CONSTRUCTION PROJECT TOTAL COST	131584.51	147162.78	155432.93
Cost per Square Foot	109.65	100.93	92.52

RSJ DESIGN & CONSTRUCTION
 705-505 Jordanna Lane
 Susanville, CA 96130
 (530) 257-8279



RSJ Design & Construction has been Designing and Building Commercial Projects and Quality Custom Homes since 1988. Commercial Projects include Town Homes, Condominiums, Office and Retail Strip Centers and Medical Office Buildings. Custom Homes range from 1100 square feet to over 8000 square feet and range from \$120,000 to well over \$1,000,000 in construction costs.

PRINCIPAL Raymond "Skip" Jones

PROFESSIONAL LICENCES California Contractors License, General Building #705172

EDUCATION Phoenix Institute of Technology, Tempe, AZ, Graduated 1986
Associates Degree in Architecture & Construction Management

INSURANCES General Product Liability Insurance, \$2,000,000 coverage
BONDING United specialty Insurance Company, Policy, FEC61001180

Workers Compensation Insurance, \$1,000,000 coverage
State Fund Compensation Insurance Fund, Policy 9041125

Contractors Licence Bond, \$12,500
American Contractors Indemnity Company, Policy SC8005784

Payment & Performance Bonding, \$1,000,000 Capacity
Building and Contractors Insurance Services, Josh Schwartz, Agent

PROFESSIONAL ACTIVITIES Lassen Union High School District Board of Trustees
Elected 2010, presently serving a 4 year term

Johnstonville Elementary School Board of Trustees
Elected 2002, served two 4 year terms

Member Susanville Sunrise Rotary, Inducted 2012

705-505 Jordanna Lane • Susanville, CA 96130 • (530) 257-8279 • Fax (530) 257-8277

PREVIOUS JOBS WITH SUSANVILLE INDIAN RANCHERIA

January 2012

**Susanville Indian Rancheria Housing Authority
Project: Maintenance Shop – Metal Building, Susanville, CA
Contract Amount: \$78,743
Final Amount: \$81,973**

August 2011

**Susanville Indian Rancheria Corporation
Project: Rural Business Enterprise Grant (RBEG) FY 2010 \$199,900
Building 2069 Roof Repair & Parking, Herlong, CA
Contract Amount: \$148,563
Final Amount: \$160,728**

April 2011

**Susanville Indian Rancheria Corporation
Project: Demo on Building 2069, Herlong, CA
Contract Amount: \$48,538
Final Amount: \$48,538**

October 2010

**Susanville Indian Rancheria Corporation
Project: SMOKE SHOP, Herlong, CA
Contract Amount: \$61,162
Final Amount: \$74,863**

July 2010

**Long Valley Charter School
Project: Renovate Building 2069, Herlong, CA
Contract Amount: \$176,025
Final Amount: \$178,335**

Design / Construction Project References

Commercial:

Dr. Greg Valceschini

Cascade Medical Clinic, Completed February 2006
1680 Paul Bunyan Road, Susanville CA, 96130 (530) 257-5730
Building Construction Cost \$800,000, Site Improvement Cost \$220,000

6200 square foot Complete Two Story Wood Framed Medical Office Building with Outpatient Surgery Facilities, X-Ray Room & Developing Facilities, Exam Room, Office & Reception Areas, Bathrooms, Kitchen Area, Laundry Area and Mechanical Room.

Included all Site Improvements, Water, Sewer, Power, Phone, Asphalt Parking Lot, and Curb, Gutter & Sidewalks, and Site Landscaping.

Residential:

Dr. Raymond & Denise White

Single Family Residence, Completed September 2006
707-900 Pine Street, Janesville CA 96114, (530) 253-3706
Project Cost \$1,180,000

5860 square foot, 2 Story Wood Frame Upscale Custom Home with attached 3 Car Garage and Detached 3 Car Garage, Custom Interior Stone Work, Hand Finished Cherry Wood Floors and Custom Cherry Wood Cabinets, Granite Slab Counters.

LP Smart Lap Exterior Siding, Composite Shingle Roofing, includes Well & Septic Systems.

Dan & Susan Lowry

Single Family Residence, Scheduled for Completion May 2010
1599 County Road 68, Alturas CA 96101, (530) 233-2262
Project Cost \$1,800,000

8000 square foot, 2 Story Timber, Wood and Steel Frame Upscale Custom Home with Detached 3 Car Garage, Custom Interior Stone & Brick Work, Custom two story Stone Fireplace, Hand Scraped Hickory Wood Floors and Custom Alder Wood Cabinets, Granite Slab Counters throughout, Pella Windows and Exterior Door, Solid Alder Interior Doors throughout, Includes Smart Wiring Electrical and Sound Systems.

Stucco, Stone and Brick Exterior, Copper Standing Seam and Composite Shingle Roofs, Drive through Porte Cochere, Brick Patios and Porches, and Outside Eating Area.



SUSANVILLE INDIAN RANCHERIA

RESOLUTION NO. SU-BC-159-2012

RE: RESOLUTION CERTIFYING CITIZEN PARTICIPATION REQUIREMENTS FOR THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT (ICDBG) PROGRAM FISCAL YEAR 2013

WHEREAS, the Susanville Indian Rancheria is formally recognized, constituted and established pursuant to the Indian Re-Organization Act (IRA) of 1934 and is a recognized Tribal government as defined by the Indian Self-Determination Act, P.L. 93-638; and

WHEREAS, the Susanville Indian Rancheria's General Council at a duly called meeting held February 8, 1992 vested authority to the Tribal Business Council to enact resolutions and conduct business on behalf of the General Council, effective February 8, 1992; and

WHEREAS, the Susanville Indian Rancheria is governed by its Tribal Business Council, duly elected under the constitution adopted May 18, 2005, and approved by the Assistant Secretary of Interior for Indian Affairs, July 13, 2005; and

WHEREAS, the Susanville Indian Rancheria understands the importance of involving tribal members, i.e. citizen participation, in the planning and community development prioritizing for any ICDBG project; and

NOW THEREFORE BE IT RESOLVED, that the Susanville Indian Rancheria Tribal Business Council certifies that the Susanville Indian Rancheria conducted a public meeting on November 8, 2012 to solicit public comments regarding the fiscal year 2013 application to the Department of Housing and Urban Development Indian Community Development Block Grant Program Fiscal Year 2013 for New Housing Construction.

BE IT FURTHER RESOLVED, that these comments were considered during the development of the application to the Department of Housing and Urban Development Indian Community Development Block Grant Program Fiscal Year 2013; and

BE IT FINALLY RESOLVED, that this resolution shall remain in effect until rescinded by action of the Susanville Indian Rancheria Tribal Business Council.

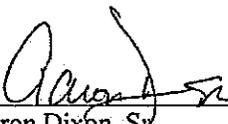
745 JOAQUIN STREET • SUSANVILLE, CA 96130 • (530) 257-6264 • FAX 257-7986

PAGE TWO
RESOLUTION NO. SU-BC-159-2012

CERTIFICATION

This is to certify that Resolution Number SU-BC-159-2012 was approved at a regularly scheduled meeting of the Susanville Indian Rancheria Tribal Business Council on December 4, 2012 at which a quorum was present, and that this Resolution was adopted by a vote of 6 in favor, 0 opposed, and 0 abstaining.

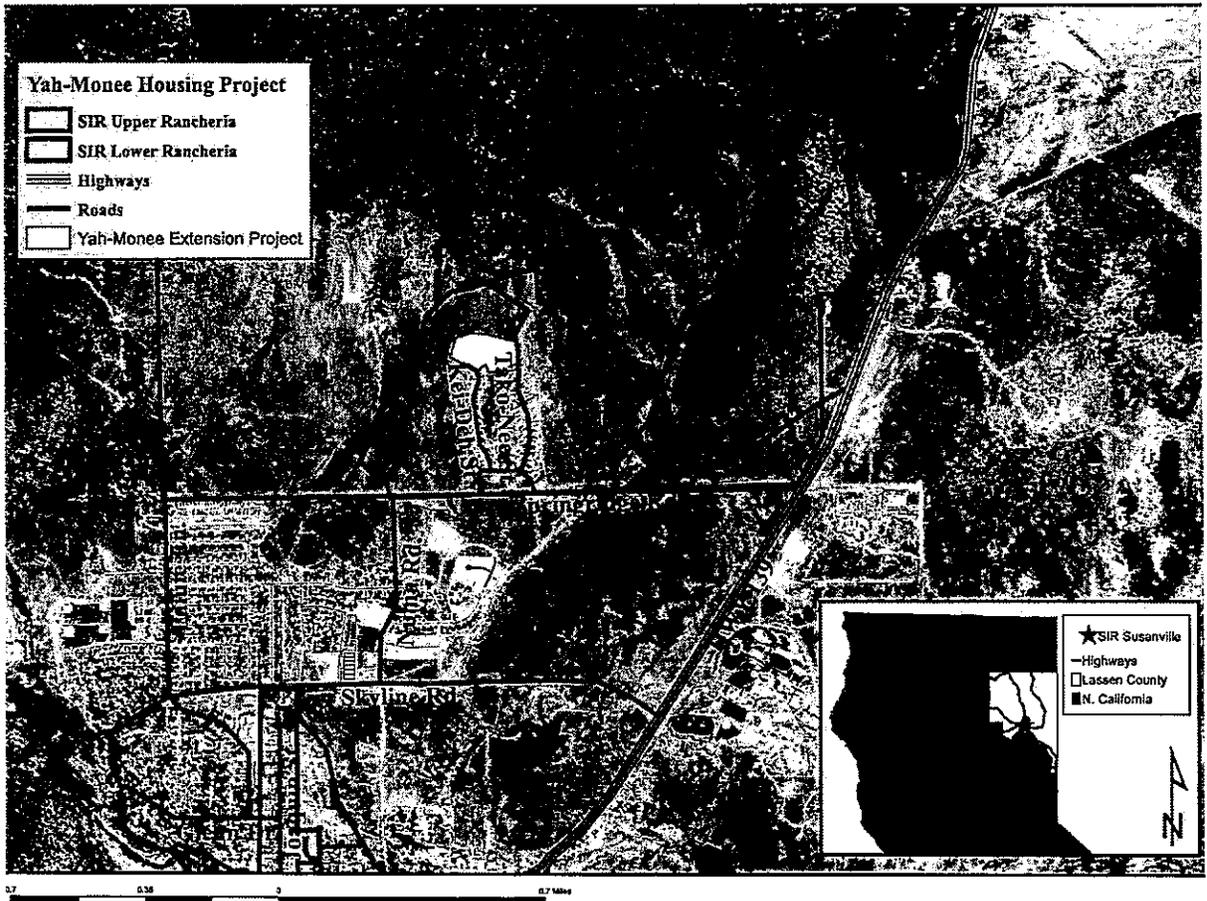
ATTEST:



Mr. Aaron Dixon, Sr.
Secretary/Treasurer



Mr. Stacy Dixon
Tribal Chairman



	Name	# In Family	Size of Unit	GROSS INCOME	STATUS	DATE APP. RECEIVED
1		5	3	50,897	NA	NA
2		4	3	34,904	NA	NA
3		7	4	37,508	Over Crowded	1/13/2012
4		2	2	34,242	NA	1/12/2012
5		6	4	37,816	Over Crowded	9/10/2012
6		3	3	30,160	NA	9/26/2012
7		4	3	8,412	Over Crowded	7/19/2012
8		2	3	12,480	NA	1/6/2012
9		3	3	5,776	Over Crowded, Un-Safe, Unsanitary, Homeless	4/20/2012
10		2	3	11,268	Un-Safe, Unsanitary, Homeless	7/25/2012
11		2	3	29,120	NA	7/30/2012
12		3	3	16,640	Un-Safe, Unsanitary, Homeless	5/14/2012
13		4	3	17,680	Over Crowded	8/7/2012
14		6	4	15,972	Over Crowded	8/7/2012
15		2	3	23,100	Over Crowded	2/8/2013
16		4	3	26,408	Un-Safe, Unsanitary	1/8/2013
17		2	2	22,272	NA	9/17/2012
18		2	3	41,600	NA	NA
19		3	3	49,257	NA	1/4/2012
20		2	3	38,438	NA	5/2/2012
21				X		
22				X		
23				X		
24				X		
25				X		
26				X		
27				X		
28				X		
29				X		
30				X		
31				X		
32				X		
33				X		

SUSANVILLE INDIAN RANCHERIA HOUSING AUTHORITY

**LOW RENT AND MUTUAL HELP PROGRAM
POINT SYSTEM FOR WAITING LIST**

Project number: _____ Location: _____

Application(s) Name: _____

Date of Original Application: _____ Last Updated: _____

Federal Preference for Family Housing Urgency

- Without Housing (100 Points)
- Unsafe (100 Points)
- Unsanitary (100 Points)
- Overcrowded Dwelling (100 Points)
- Displaced Family (100 Points)
- About to be Without Housing (25 Points)

Points Awarded _____

Program Preferences

- Handicapped (100 Points)
- Elderly (100 Points)
- Veteran (100 Points)
- Single Parent (50 Points)

Points Awarded _____

Native American Preference

- Enrolled Tribal Member (500 Points)
- Other Tribe (200 Points)

Points Awarded _____

Other Factors to Consider (Discretionary Points May be added or subtracted)

Rental History

- Excellent (100 Points)
- Good (5 Points)
- Poor (-100)

Points Awarded _____

Family Composition (Less than 2)

- Elderly (100 Points)
- Disabled children (100 Points)
- Disabled adult (100 Points)

Points Awarded _____

Outstanding Debt to SIRHA or SIR

Yes (-100 Points) No

Points Awarded _____

Previous eviction from Tribal, SIRHA, or Public Housing (Last 10 yrs)

Yes (-100 Points) No

Points Awarded _____

**Previously Participated in HUD assisted program and abandoned the unit
(10 year wait to apply again)**

Yes (-100 Points) No

Points Awarded _____

Past Performance and Behavior (including all family members)

Destruction of Property Destruction of SIRHA / SIR Property \$500+ Yes (-500 Points) No

Criminal Record** Reason: Drugs Violent Crimes Sex Crimes Other
(within 10 yrs) ** forward to BOC

Points Awarded _____

TOTAL POINTS AWARDED _____

Applicants who meet selection criteria equally and have a point tie will be selected /ranked according to the original application date as the deciding factor.

Additional Notes _____

This applicant is approved as a participant for SIRHA Housing

This applicant does not qualify for SIRHA Housing.

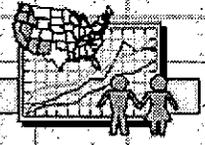
This applicant has been selected for SIRHA Housing Unit # _____

Approved by Board of Commissioner: _____ Date: _____

Approved by Board of Commissioner: _____ Date: _____

TDR-DEMOGRAPHICS

DEMOGRAPHICS SUMMARY



Susanville Indian Rancheria

All Records

The following information was in the "PDS - Demographic Survey" conducted by the Susanville Indian Rancheria as of 12/30/2011

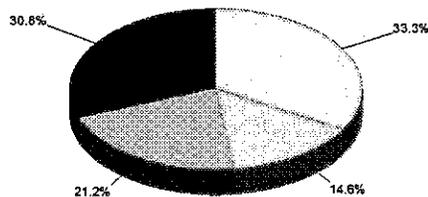
Total households surveyed - 321 (Representing 524 total Native American / Alaskans and Native Hawaiians, and a total of 599 persons and averaging 1.87 per household)

Occupancy

- Owner occupied - 99 (30.8% of 321 households responding)
 - Under 62 years of age - 63
 - 62 years of age or over - 36
 - Home buyers paying more than 30% of their income for mortgage payment - 1 (1.0% of all owner occupied units)
 - Home buyers paying more than 50% of their income for mortgage payment - 0 (0.0% of all owner occupied units)
 - Owner occupants with no mortgage payments - 30 (30.3% of all owner occupied units)
- Renter occupied - 68 (21.2% of 321 households responding)
 - Renters paying more than 30% of their income - 7 (10.3% of renters responding)
 - Renters paying more than 50% of their income - 5 (7.4% of renters responding)
- Non-Owner/Renter Households - 47 (14.6% of 321 Households responding)
 - Living with extended family - 39 (83.0% of responding Non-Owner/Renter households)
 - Living in available shelter - 2 (4.3% of responding Non-Owner/Renter households)

Non-Owner/Renter status due to:

- Full time student - 6
- Employed but unable to afford independent shelter - 4
- Unemployed and unable to afford independent shelter - 10
- Institutionalized - 0
- Other - 12



☑ Owners	99	30.8%
☑ Renters	68	21.2%
☑ Non-Owner / Renter	47	14.6%
☑ Unavailable	107	33.3%
Total:	321	100.0%

NOTE: Percent Values are Rounded

Susanville Indian Rancheria
 745 Joaquin Street
 Susanville, CA 96130
 Phone: (530) 257-6264
 Email: sirtto@citlink.net
 Website: sir-nsn.gov

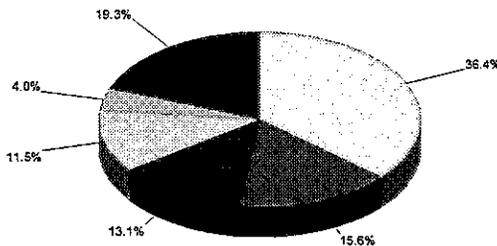


Demographics Summary: All Records (cont'd)

Income

(Income Standard - Median "Household" Income)

- The Median income for the target area is - \$46,377
 Note the Median income for the queried households is - \$19,750
- Above 100% of Median "Household" Income - 62 (19.3% of responding households)
- Between 81% and 100% of Median "Household" Income - 13 (4.0% of responding households)
- Between 51% and 80% of Median "Household" Income - 37 (11.5% of responding households)
- Between 31% and 50% of Median "Household" Income - 42 (13.1% of responding households)
- Between 0% and 30% of Median "Household" Income - 50 (15.6% of responding households)
- Income not Available - 117 (36.4% of responding households)



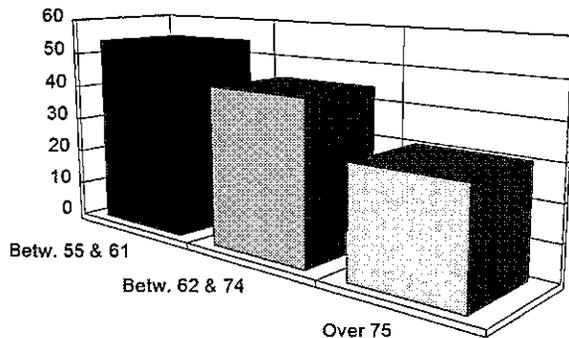
Income Status by Household		
> 100% of Median	62	19.3%
81% to 100% of Median	13	4.0%
51% to 80% of Median	37	11.5%
31% to 50% of Median	42	13.1%
0% to 30% of Median	50	15.6%
Unavailable	117	36.4%
Total:	321	100.0%

NOTE: Percent Values are Rounded

Elder / Handicapped

NOTE: The term "Handicapped" for the purposes of this survey, will refer to a physical condition which restricts an individual to a point of immobility or limited mobility by means of aids (i.e. - Wheelchair, Safety bars, etc.), blindness or deafness.

NOTE: The term "Disabled" for the purposes of this survey, will refer to a physical or mental condition which restricts an individual to the point where he / she is recognized by the State / Federal agencies as unable to perform in a traditional occupational capacity and is thereafter receiving an income subsidy (i.e. - SSI, Disability, Insurance, Etc.)



Households with Elder Members		
Between 55 & 61	54	16.8%
Between 62 & 74	44	13.7%
Over 75 years of age	30	9.3%
Total:	128	39.9%

NOTE: Percent Values are Rounded

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Demographics Summary: All Records (cont'd)

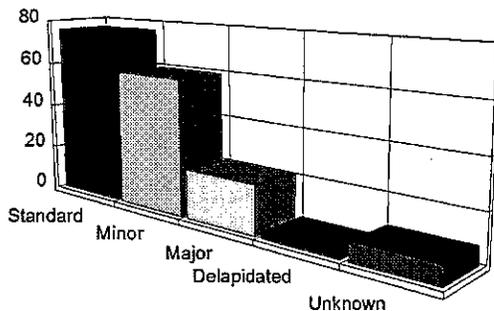
Elder / Handicapped (cont'd)

- Households occupied by one or more persons who are disabled or handicapped - 18
 - Disabled individuals - 14
 - Disabled individuals below age 62 - 9
 - Disabled individuals above age 62 - 5
 - Handicapped individuals - 6
 - Handicapped individuals below age 62 - 3
 - Handicapped individuals above age 62 - 3

Structural Deficiencies

Values based on Owner / Renter Occupied properties

- Standard Units(Minimal Repairs Needed)- 76 (45.5% of the 167 dwellings surveyed) (43 Owner(s), 33 Renter(s))
- Minor Units(Moderate Repairs Needed)- 59 (35.3% of the 167 dwellings surveyed) (37 Owner(s), 22 Renter(s))
 - Elder Households (62+) - 16 (13 Owner(s), 3 Renter(s))
 - Low / Very Low Income - 35 (26 Owner(s), 9 Renter(s))
 - Overcrowded - 2 (2 Owner(s), 0 Renter(s))
 - Overcrowded - Low / Very Low Income - 1 (1 Owner(s), 0 Renter(s))
- Major Units(Serious Repairs Needed)- 21 (12.6% of the 167 dwellings surveyed) (15 Owner(s), 6 Renter(s))
 - Elder Households (62+) - 5 (5 Owner(s), 0 Renter(s))
 - Low / Very Low Income - 12 (6 Owner(s), 6 Renter(s))
 - Overcrowded - 3 (3 Owner(s), 0 Renter(s))
 - Overcrowded - Low / Very Low Income - 0 (0 Owner(s), 0 Renter(s))
- Dilapidated Units(Not feasible for rehabilitation)- 3 (1.8% of the 167 dwellings surveyed) (1 Owner(s), 2 Renter(s))
 - Elder Households (62+) - 1 (1 Owner(s), 0 Renter(s))
 - Low / Very Low Income - 1 (0 Owner(s), 1 Renter(s))
 - Overcrowded - 0 (0 Owner(s), 0 Renter(s))
 - Overcrowded - Low / Very Low Income - 0 (0 Owner(s), 0 Renter(s))
- Unknown structural conditions - 8 (4.8% of the 167 dwellings surveyed) (3 Owner(s), 5 Renter(s))
 - Elder Households (62+) - 0 (0 Owner(s), 0 Renter(s))
 - Low / Very Low Income - 0 (0 Owner(s), 0 Renter(s))
 - Overcrowded - 0 (0 Owner(s), 0 Renter(s))
 - Overcrowded - Low / Very Low Income - 0 (0 Owner(s), 0 Renter(s))



Dwelling by Structural Condition		
Standard	76	45.5%
Minor	59	35.3%
Major	21	12.6%
Dilapidated	3	1.8%
Unknown	8	4.8%
Total:	167	100.0%

NOTE: Percent Values are Rounded

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 Website: sir-nsn.gov



Demographics Summary: All Records (cont'd)

Additional Statistics

- Female heads of household - 186 (57.9% of the 321 households responding)
 - Female heads of household under 62 years of age - 147
 - Female heads of household 62 years of age or older- 39
- Overcrowding - 17 (5 HHS, 4 Very Low, 5 Low, 1 Moderate, 2 Above) - (5.3% of the 321 households responding)
- Overpayment - 13 (7 HHS, 5 Very Low, 0 Low, 0 Moderate, 1 Above) - (4.0% of the 321 households responding)

Low Income Households

The following households have an annual income below 80% of Median "Household" Income

- Low Income renter occupied households
44 (64.7% of the 68 renter occupied households responding)
 - Low Income renter occupied households currently paying more than 30% of their income on rent
6 (8.8% of the 68 renter occupied households responding)
 - Low Income households in renter occupied units currently experiencing structural deficiencies
23 (27.7% of all deficient units surveyed)
 - Low Income households in renter occupied units currently experiencing moderate structural deficiencies (Minor)
16 (27.1% of all minor units responding)
 - Low Income households in renter occupied units currently experiencing serious structural deficiencies (Major)
6 (28.6% of all major units responding)
 - Low Income households in renter occupied units currently experiencing severe structural deficiencies (Dilapidated)
1 (33.3% of all dilapidated units responding)
- Low Income owner occupied households
53 (53.5% of the 99 owner occupied households responding)
 - Low Income owner occupied households currently paying more than 30% of their income on their mortgage
1 (1.0% of the 99 owner occupied households responding)
 - Low Income households in owner occupied units currently experiencing structural deficiencies
37 (44.6% of all deficient units surveyed)
 - Low Income households in owner occupied units currently experiencing moderate structural deficiencies (Minor)
24 (40.7% of all minor units responding)
 - Low Income households in owner occupied units currently experiencing serious structural deficiencies (Major)
12 (57.1% of all major units responding)
 - Low Income owner households in occupied units currently experiencing severe structural deficiencies (Dilapidated)
1 (33.3% of all dilapidated units responding)

Susanville Indian Rancheria
745 Joaquin Street
Susanville, CA 96130
Phone: (530) 257-6264
Email: sirto@citlink.net
Website: sir-nsn.gov



HUD Threshold Requirements

1. Eligibility: The Susanville Indian Rancheria is a federally recognized Indian Tribe as evidenced in Federal Register Vol. 77, No. 155, published on August 10, 2012.
2. DUNS Number: 040475501
3. CCR/SAM Registration: CAGE/NCAGE 8AMY0
4. The Susanville Indian Rancheria has no outstanding civil rights matters or Fair Housing violations.
5. The Susanville Indian Rancheria is not debarred or suspended, or proposed to be debarred or suspended from doing business with the Federal Government.
6. The Susanville Indian Rancheria has no delinquent Federal Debt.
7. The Susanville Indian Rancheria will utilize a financial management system that meets federal standards.
8. The Susanville Indian Rancheria understands that a Name Check Review will be conducted prior to any award.
9. The Susanville Indian Rancheria understands that any false statement in an application is grounds for denial or termination of an award and possible punishment, as provided in 18 U.S.C. 1001.
10. The Susanville Indian Rancheria understands that HUD will look up applicant information on the Federal website "Do Not Pay.gov".
11. The Susanville Indian Rancheria understands that compliance with all subjects within the Compliance with Nondiscrimination and Other Requirements Section in the General Section as applicable to the Fiscal Year 2013 Indian Community Block Grant Program will be required in the event of an award.

Program Related Thresholds

1. The Susanville Indian Rancheria has no outstanding ICDBG obligation to HUD in arrears.
2. Susanville Indian Rancheria has no outstanding violations under Title II of the Civil rights Act of 1964, known as the Indian Civil Rights Act; Section 109 prohibition against discrimination based on age, sex, religion, and disability; the Age Discrimination Act of 1975; and Section 504 of the Rehabilitation Act of 1973.

Project Thresholds Housing New Construction

1. The Susanville Indian Rancheria is applying for funds while the project will be implemented through the eligible CBDO, the Susanville Indian Rancheria Housing Authority (SIRHA). The SIRHA is a designated CBDO, as indicated from the letter from HUD dated June 6, 2011 which is attached to this application.
2. Tribal Resolution SU-BC-27-2013 which adopts construction standards, consistent with the Uniform Building Codes and exceeds Housing Quality Standards.
3. To meet the requirements of 24 CFR 1003.302(b), Susanville Indian Rancheria affirms the following:
 - a. There is no other housing available in the immediate reservation area that is suitable for the households to be assisted through this proposed Yah-Monee

- Housing Project. The Susanville Indian Rancheria is built out except for this proposed new construction site and all existing homes at the Susanville Indian Rancher are fully occupied. See Attachment #11 for backup documentation.
- b. There are no other sources of financing available for developing these homes. Tribes and Tribal CBDOs or TDHEs are not eligible for state funding for homeownership including CALHome and the California HOME program, unless they are a designated CHDO, which SIRHA is not and not eligible to be, or incorporated with the state as a charitable non-profit agency (SIRHA is Tribally incorporated). The existing IHBG is sufficient to maintain and operate the existing housing stock, rehabilitate and modernize the existing housing stock, build one home per year, and cover planning and administrative expenses. There are not enough funds to develop a project of such scope as proposed in the application. See Attachment #11 for backup documentation.
 - c. All participants in the program must demonstrate that rehabilitation of units is not economically feasible, the home is overcrowded, or there is no current residence. As there are no units on the Susanville Indian Rancheria currently available to assist households on the current waiting list, rehabilitation of units is not feasible. The Susanville Indian Rancheria is built out except for this proposed new construction site, and all existing homes on the Susanville Indian Rancheria are fully occupied. See Attachment #11 for backup documentation.
4. All households to be assisted under this new construction project will be low or moderate income (below 80% of the area median income). To be considered for the project, household income will be verified prior to the start of construction. Once determined to be income eligible, SIRHA will rate the participant to ensure the households with the greatest need and ability to pay will receive a home. See Attachment #8 for a copy of the current waiting list which includes household income for documentation and a copy of the point system which will be used to rate each of the persons on the housing waiting list.



SUSANVILLE INDIAN RANCHERIA HOUSING AUTHORITY

PO Box 970 • 870 Joaquin Street Unit G • Susanville, Ca 96130
530-257-5033 • 530-257-5035 fax • sirha@citlink.net

March 12, 2013

To Whom It May Concern,

This letter is to document the need for additional housing units, lack of funds to supply these units, and future Indian Housing Plans (IHP) to supplement the Susanville Indian Rancheria's (SIR) FY 2013 Indian Community Development Block Grant application.

- The SIR has 127 housing units located in Susanville, California, which are managed by our organization, the Susanville Indian Rancheria Housing Authority (SIRHA). These units include 25 rental units located on Kei-Deh Street, 28 homeownership/Mutual Help units located on Tako-Nee Street, 12 lease-to-own units located on Hammawi Court, and 56 homeownership/Mutual Help units and 6 Elder's Rental Units located on Joaquin Street. Presently, all of these units are occupied.
- SIRHA has a waiting list for rental and homeownership including 20 households, 17 of which are currently below the 80% Median Income for Lassen County as per HUD guidelines. An additional 13 households have recently requested applications for homeownership and rental properties. SIRHA is waiting for the submittal of these applications to verify eligibility for these units.
- SIRHA currently does not have the IHP funds to construct 5 additional units in the proposed project timeline. SIRHA's 2013 IHP projects and budgets are as follows:
 - Elder's Units Rehabilitation \$84,000
 - Homeowner Window Replacement/Rehabilitation \$180,000
 - Kei-Deh Street Rental unit fence \$29,380
 - Construction of new Homeownership units* \$450,000
 - Operations \$300,000
 - ICDBG Match* \$155,000
 - Planning and Administration \$151,907

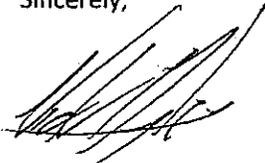
**SIRHA increased the ICDBG match amount to \$230,385.81, decreasing the line item for construction of new homes to \$219,614.19. This change does not provide SIRHA with enough funds to construct more than one unit this fiscal year. The increased match amount will be noted in SIRHA's 2013 APR report as per the instructions of Robert Holden.*

Due to the budgetary constraints, SIRHA cannot undertake a project of this magnitude with its current IHP funds. Furthermore, without long term planning, a project of this size to address the immense homeownership needs of the SIR cannot be completed by SIRHA using IHP funds for the foreseeable future.

- SIRHA, as per HUD regulations, will add any activities as necessary proposed in the ICDBG application to its annual APR and IHP reports until the project has reached its conclusion. This includes the 2014 IHP and APR reports.

Thank you for your time and consideration of the SIR's ICDBG application.

Sincerely,



Nicholas Boyles
Program Manager

CC: 2013 ICDBG File



SUSANVILLE INDIAN RANCHERIA

RESOLUTION NO. SU-BC-024-2013

**RE: ADOPTION OF SUSANVILLE INDIAN RANCHERIA FISCAL
MANUAL**

WHEREAS, the Susanville Indian Rancheria is formally recognized, constituted and established pursuant to the Indian Re-Organization Act (IRA) of 1934 and is a recognized tribal government as defined by the Indian Self-Determination Act, P.L. 93-638; and

WHEREAS, the Susanville Indian Rancheria is governed by its Tribal Council, duly elected under the constitution adopted May 18, 2005, and approved by the Assistant Secretary of Interior for Indian Affairs, July 13, 2005; and

WHEREAS, the Susanville Indian Rancheria's General Council, via the Tribal Constitution, gave power to the Tribal Business Council to conduct business on behalf of the Susanville Indian Rancheria effective May 18, 2005; and

WHEREAS, the Susanville Indian Rancheria Tribal Business Council is aware of the need to have standardized fiscal policies within the Susanville Indian Rancheria and the Lassen Indian Health Center; and

WHEREAS, the Susanville Indian Rancheria understands that there is a need to provide management with financial data to assist in the planning, controlling and evaluating the various Rancheria's entities for efficient, economical and effective practices; and

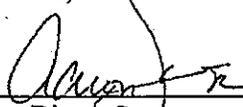
WHEREAS, the Susanville Indian Rancheria understands the importance to provide protection, maintenance and accountability for the assets of/or in the custody of the Susanville Indian Rancheria; and

NOW THEREFORE BE IT RESOLVED, that the Susanville Indian Rancheria hereby adopts the Susanville Indian Rancheria Financial Manual dated March 5, 2013.

CERTIFICATION

We, hereby certify that the above resolution was adopted by the Susanville Indian Rancheria Tribal Business Council at a duly called meeting held March 5, 2013 with a vote of 5 for, 0 against, 0 abstain.

ATTEST;



Aaron Dixon, Sr.
Tribal Secretary/Treasurer



Stacy Dixon
Tribal Chairman

745 JOAQUIN STREET • SUSANVILLE, CA 96130 • (530) 257-6264 • FAX 257-7986



SUSANVILLE INDIAN RANCHERIA

RESOLUTION NO. SU-BC-027-2013

RE: ADOPTION OF COMMERCIAL AND RESIDENTIAL BUILDING CODES

- WHEREAS,** the Susanville Indian Rancheria is formally recognized, constituted, and established pursuant to the Indian Re-Organization Act (IRA) of 1934 and is a recognized Tribal Government as defined by the Indian Self-Determination Act, P.L. 93-638; and
- WHEREAS,** the Susanville Indian Rancheria's General Council at a duly called meeting held February 8, 1992, vested authority to the Tribal Business Council to enact resolutions and conduct business on behalf of the General Council, effective February 8, 1992; and
- WHEREAS,** the Susanville Indian Rancheria Tribal Business Council wants to ensure that all commercial and residential construction meets the building and safety codes of the Lassen County, including all uniform fire, plumbing, electrical, mechanical, and related codes then in effect; and
- WHEREAS,** the Tribe has formally adopted the 1997 Uniform Building Code only for the construction of its Gaming Facility, it has adopted by practice all of the applicable codes in the construction of all of their commercial and residential buildings; and
- WHEREAS,** the Tribe formally adopted the 2007 California Building Code (2006 International Building Code), 2007 California Electric Code (2005 National Electric Code), 2007 California Plumbing Code (2006 Uniform Plumbing Code), 2007 California Mechanical Code (2006 Uniform Mechanical Code), 2007 California Fire Code (2006 International Fire Code), and 2005 Residential & Commercial Energy Standards for all buildings effective January 1, 2009; and
- WHEREAS,** the previously adopted codes have been updated with the 2010 California Building Code, 2010 California Electric Code, 2010 California Plumbing Code, 2010 California Mechanical Code, 2010 California Fire Code, and 2010 Residential & Commercial Energy Standards for all buildings effective January 1, 2013; and
- WHEREAS,** the Tribe desires that all of the applicable codes be followed in the construction of new commercial and residential buildings; and

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PAGE TWO
RESOLUTION NO. SU-BC-027-2013

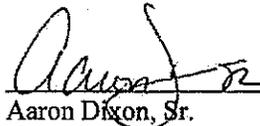
WHEREAS, Building Inspectors are able to quickly inspect commercial and residential building if all entities have the same applicable building codes; and

NOW THEREFORE BE IT RESOLVED THAT the Susanville Indian Rancheria, California, hereby affirms that it has adopted the 2010 California Building Code, 2010 California Electric Code, 2010 California Plumbing Code, 2010 California Mechanical Code, 2010 California Fire Code, and 2010 California Energy Code & 2010 Green Building Standards Code for all new commercial and residential construction effective January 1, 2013.

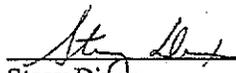
CERTIFICATION

We, hereby certify that the above resolution was adopted by the Susanville Indian Rancheria Tribal Business Council at a duly called meeting held March 05, 2013 with a vote of 5 for, 0 against, 0 abstain.

ATTEST;



Aaron Dixon, Sr.
Secretary/Treasurer



Stacy Dixon
Tribal Chairman



SUSANVILLE INDIAN RANCHERIA

RESOLUTION NO. SU-BC-51-2008

RE: ADOPTION OF SUSANVILLE INDIAN RANCHERIA PROCUREMENT POLICIES

WHEREAS, the Susanville Indian Rancheria is formally recognized, constituted and established pursuant to the Indian Re-Organization Act (IRA) of 1934 and is a recognized tribal government as defined by the Indian Self-Determination Act, P.L. 93-638; and

WHEREAS, the Susanville Indian Rancheria's General Council at a duly called meeting held February 8, 1992 vested authority to the Tribal Business Council to enact resolutions and conduct business on behalf of the General Council, effective February 8, 1992; and

WHEREAS, the Susanville Indian Rancheria Tribal Business Council is aware of the need to have standardized procurement policies within the Susanville Indian Rancheria; and

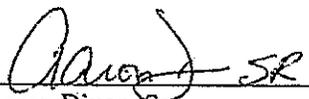
WHEREAS, the Susanville Indian Rancheria understands that there is a need to provide management with timely goods and services within the budgeted amount; and

NOW THEREFORE BE IT RESOLVED, that the Susanville Indian Rancheria hereby adopts the Susanville Indian Rancheria Procurement Policies dated July 17, 2006.

CERTIFICATION

We, hereby certify that the above resolution was adopted by the Susanville Indian Rancheria Tribal Business Council at a duly called meeting held June 24, 2008 with a vote of 6 for, 0 against, 0 abstain.

ATTEST;


Aaron Dixon, Sr.
Tribal Secretary/Treasurer


Stacy Dixon
Tribal Chairman

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Project Policies
Yah-Monee Housing Project
New Construction Homeownership

The Susanville Indian Rancheria Housing Authority (SIRHA), as the Community Based Development Organization for the Susanville Indian Rancheria, intends to construct five (5) homes for Tribal households using funds from the U.S. Department of Housing and Urban Development (HUD) Indian Community Development Block Grant Program.

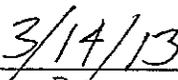
The following policies are adopted for the eligibility for households for this project:

1. Only households that earn less than 80% of the Area Median Income will be eligible for a home built through this project. Incomes must be verified meeting HUD and SIRHA standards.
2. Only households where the adult member(s) complete the Homeownership Training Program of SIRHA will be eligible for a home built through this project.
3. Only households where the adult member(s) sign and agree to abide by the "Resident Responsibility Agreement" will be eligible for a home built through this project.

These above policies are the policies adopted by SIRHA for the Yah-Monee Housing Project.



Nicholas Boyles
Executive Director, SIRHA



Date

Resident Responsibility Agreement

Yah-Monee Housing Project

As a recipient of Indian Community Development Block grant assistance through the purchase of a home at the Yah-Monee Housing Project of the Susanville Indian Rancheria and its Community Based Development Organization, the Susanville Indian Rancheria Housing Authority (SIRHA), I agree to maintain my home so that it will be an asset to the Susanville Indian Rancheria.

I have attended the Homeownership Training class of the SIRHA, including the home maintenance and repair section.

Specifically, I agree to:

1. Report any repair needs to SIRHA in order to evaluate the extent of the problem. SIRHA may be able to assist with minor repairs; however, all repairs completed by SIRHA staff will be at the expense of the homeowner. If SIRHA cannot complete the repair, SIRHA may refer you to a contractor to complete the repair. All repairs completed by an outside contractor will also be at the expense of the homeowner.
2. Inspect the roof, gutters and downspouts annually and clear them out.
3. Maintain the outside of the home, perform necessary pruning and care of landscaping, and remove any debris on the property. No unsightly clutter is permitted in the front yard.
4. Inspect windows and doors annually and do any required maintenance or repairs.
5. Inspect the HVAC system before each winter and summer or have a professional company do such inspection.
6. Make my payments on time and contact the SIRHA Program Manager if I experience a job loss or other severe changes of circumstance that might create difficulty. This does not in any way reduce my responsibility to make my payment on time.

If I have any question, I will call upon the Program Manager of the SIRHA who may be able to offer advice.

Printed Name

Date

Signature

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	Att. 1 Table of Contents.pdf	Add Attachment	Delete Attachment	View Attachment
2) Please attach Attachment 2	Att. 2 Program Narrative.pdf	Add Attachment	Delete Attachment	View Attachment
3) Please attach Attachment 3	Att. 3 Concurring Resolutions	Add Attachment	Delete Attachment	View Attachment
4) Please attach Attachment 4	Att. 4 Implementation Schedule	Add Attachment	Delete Attachment	View Attachment
5) Please attach Attachment 5	Att. 5 Budget Information.pdf	Add Attachment	Delete Attachment	View Attachment
6) Please attach Attachment 6	Att. 6 Citizen Participation	Add Attachment	Delete Attachment	View Attachment
7) Please attach Attachment 7	Att. 7 Project Maps.pdf	Add Attachment	Delete Attachment	View Attachment
8) Please attach Attachment 8	Att. 8 Low/Mod Benefit.pdf	Add Attachment	Delete Attachment	View Attachment
9) Please attach Attachment 9	Att. 9 Demographic Data.pdf	Add Attachment	Delete Attachment	View Attachment
10) Please attach Attachment 10	Att. 10 Threshold Requirement	Add Attachment	Delete Attachment	View Attachment
11) Please attach Attachment 11	Att. 11 Other Documents.pdf	Add Attachment	Delete Attachment	View Attachment
12) Please attach Attachment 12		Add Attachment	Delete Attachment	View Attachment
13) Please attach Attachment 13		Add Attachment	Delete Attachment	View Attachment
14) Please attach Attachment 14		Add Attachment	Delete Attachment	View Attachment
15) Please attach Attachment 15		Add Attachment	Delete Attachment	View Attachment

**Applicant/Recipient
Disclosure/Update Report**

U.S. Department of Housing
and Urban Development

OMB Number: 2510-0011
Expiration Date: 10/31/2012

Applicant/Recipient Information

* Duns Number: [REDACTED]

* Report Type:

INITIAL

1. Applicant/Recipient Name, Address, and Phone (include area code):

* Applicant Name:

Susanville Indian Rancheria

* Street1: 745 Joaquin Street

Street2:

* City: Susanville

County: Lassen

* State: CA: California

* Zip Code: 96130-3628

* Country: USA: UNITED STATES

* Phone: (530) 251-5603

2. Social Security Number or Employer ID Number: [REDACTED]

* 3. HUD Program Name:

Indian Community Development Block Grant Program

* 4. Amount of HUD Assistance Requested/Received: \$ 605,000.00

5. State the name and location (street address, City and State) of the project or activity:

* Project Name: Yah-Monee New Housing Construction

* Street1: 745 Joaquin Street

Street2:

* City: Susanville

County: Lassen

* State: CA: California

* Zip Code: 96130-3628

* Country: USA: UNITED STATES

Part I Threshold Determinations

* 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).

Yes

No

* 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1-Sep. 30)? For further information, see 24 CFR Sec. 4.9

Yes

No

If you answered " No " to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form.

However, you must sign the certification at the end of the report.

Form HUD-2880 (3/99)

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

Part III Interested Parties. You must decide.

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and

2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

* Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)

* Social Security No. or Employee ID No.

* Type of Participation in Project/Activity

* Financial Interest in Project/Activity (\$ and %)

			\$		%

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

* Signature:

* Date: (mm/dd/yyyy)

Chandra Jabbs

03/15/2013

Facsimile Transmittal

U. S. Department of Housing and Urban Development
Office of Department Grants Management and Oversight

OMB Number: 2525-0118
Expiration Date: 06/30/2011

1361230089-9821

Name of Document Transmitting: Susanville Indian Rancheria 2013 ICDBG Application

1. Applicant Information:

Legal Name: Susanville Indian Rancheria
Address:
Street1: 745 Joaquin Street
Street2:
City: Susanville
County: Lassen
State: CA: California
Zip Code: 96130-3628 Country: USA: UNITED STATES

2. Catalog of Federal Domestic Assistance Number:

Organizational DUNS: [REDACTED] CFDA No.: 14.862
Title: Indian Community Development Block Grant Program
Program Component:

3. Facsimile Contact Information:

Department:
Division:

4. Name and telephone number of person to be contacted on matters involving this facsimile.

Prefix: Ms. First Name: Chandra
Middle Name:
Last Name: Jabbs
Suffix:
Phone Number: (530) 251-5603
Fax Number: (530) 257-7986

5. Email: sirgrants@frontier.com

6. What is your Transmittal? (Check one box per fax)

a. Certification b. Document c. Match/Leverage Letter d. Other

7. How many pages (including cover) are being faxed? 1

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB
0348-0046

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> SubAwardee * Name: <input type="text" value="Susanville Indian Rancheria"/> * Street 1: <input type="text" value="745 Joaquin Street"/> Street 2: <input type="text"/> * City: <input type="text" value="Susanville"/> State: <input type="text" value="CA: California"/> Zip: <input type="text" value="96130-3628"/> Congressional District, if known: <input type="text" value="CA-001"/>		
5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime: <div style="border: 1px solid black; height: 40px;"></div>		
6. * Federal Department/Agency: <input type="text" value="Housing and Urban Development"/>	7. * Federal Program Name/Description: <input type="text" value="Indian Community Development Block Grant Program"/> CFDA Number, if applicable: <input type="text" value="14.862"/>	
8. Federal Action Number, if known: <input type="text"/>	9. Award Amount, if known: \$ <input type="text"/>	
10. a. Name and Address of Lobbying Registrant: Prefix <input type="text"/> * First Name <input type="text" value="N/A"/> Middle Name <input type="text"/> * Last Name <input type="text" value="N/A"/> Suffix <input type="text"/> * Street 1: <input type="text"/> Street 2: <input type="text"/> * City: <input type="text"/> State: <input type="text"/> Zip: <input type="text"/>		
b. Individual Performing Services (including address if different from No. 10a) Prefix <input type="text"/> * First Name <input type="text" value="N/A"/> Middle Name <input type="text"/> * Last Name <input type="text" value="N/A"/> Suffix <input type="text" value="MD"/> * Street 1: <input type="text"/> Street 2: <input type="text"/> * City: <input type="text"/> State: <input type="text"/> Zip: <input type="text"/>		
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		
* Signature: <input type="text" value="Chandra Jabbs"/> * Name: Prefix <input type="text" value="Mr."/> * First Name <input type="text" value="Stacy"/> Middle Name <input type="text"/> * Last Name <input type="text" value="Dixon"/> Suffix <input type="text"/> Title: <input type="text" value="Tribal Chairman"/> Telephone No.: <input type="text" value="(530) 257-6264"/> Date: <input type="text" value="03/15/2013"/>		
Federal Use Only:		Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)