



No.	Item	N/A	Incl.
	<u>(Note: "Exhibit A," "Exhibit B," etc. must be displayed at the top of each exhibit to the Firm Commitment)</u>		
	<u>A. Special Conditions, if Applicable</u>	<input type="checkbox"/>	<input type="checkbox"/>
	<u>B. Exhibit A, Legal Description</u>		<input type="checkbox"/>
	<u>C. Intentionally Omitted</u>		<input type="checkbox"/>
	<u>A-D. Intentionally Omitted</u>		<input type="checkbox"/>
1-5.	<u>Intentionally Omitted HUD-92447, Property Insurance Requirement</u>		<input type="checkbox"/>
1-6.	<u>Intentionally Omitted</u>		<input type="checkbox"/>
1-7.1	<u>Lender's Consolidated Certification</u>		<input type="checkbox"/>
1-8.1	<u>Contact List</u>		<input type="checkbox"/>
1-8.	<u>Copies of any email guidance provided by HUD on this project before the submittal.</u>		<input type="checkbox"/>
1-9.	<u>Waiver Requests (use form HUD-2, Request for Waiver of Housing Directive)</u>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Section 2: Third Party Reports<sup>5</sup></b>			
2-1.	<u>Appraisal</u>		<input type="checkbox"/>
2-2.	<u>Market Study</u>	<input type="checkbox"/>	<input type="checkbox"/>
2-3.	<u>Environmental</u> <u>A. Phase I Environmental Report</u> <u>B. HUD 4128</u> <u>B. Draft 4128 and additional reports as applicable</u> <u>C. Phase II Environmental Report (if applicable)</u> <u>D. Biological Assessment (if applicable)</u> <u>E. Other Reports—Specify (if applicable)</u> <u>F. Other Reports—Specify (if applicable)</u>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
2-4.	<u>Intentionally Omitted</u>		
2-5.	<u>Intentionally Omitted</u>		
<b>Section 3: Mortgagor</b>			
3-1.	<u>Organizational Chart</u>		<input type="checkbox"/>
3-2.	<u>Organizational Documents<sup>6</sup></u> <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> LLC A. Articles of Incorp.      A. Partnership Agreem't      A. Articles of Organiz'n B. Bylaws      B. Cert. of Partnership      B. Operating Agreement C. Authoriz'g Resolution      C. Authoriz'g Resolution      C. Authoriz'g Resolution		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
3-3.	<u>Nonprofit Mortgagor<sup>7</sup></u> <u>A. HUD 3433, Eligibility as a Nonprofit</u> <u>B. Detailed explanation of motivations for project</u> <u>Authorizing Resolutions of Governing Body (for Early Commencement of Construction) 2530/APPS:</u> <u>A. Paper 2530:</u> 1. <u>Completed Paper HUD-2530 (with documentation for signature authority to sign for the entity &amp; if applicable, with documentation for signature authority to sign for other principals with same participation)</u> 2. <u>Evidence of registration in HUD's Business Partners Registration</u>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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No.	Item	N/A	Incl.
	<a href="http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm">System – required for all applicable participants.</a> <a href="http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm">http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm</a> <b>OR</b> B. APPS Submittal: APPS Certification (with documentation for signature authority to sign for the entity & if applicable, with documentation for signature authority to sign for other principals with same participation)		
3-4.	APPS Certification or HUD-2530, Previous Participation Certification		<input type="checkbox"/>
3-5.3	Mortgagor’s Consolidated Certification		<input type="checkbox"/>
3-6.3	Credit Report		<input type="checkbox"/>
3-7.3	Financial Statements – Year-to-Date <sup>8</sup>		<input type="checkbox"/>
	A. Balance Sheet		<input type="checkbox"/>
	1. Aging of Accounts Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	2. Aging of Notes Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	3. Schedule of Pledged Assets	<input type="checkbox"/>	<input type="checkbox"/>
	4. Schedule of Marketable Securities	<input type="checkbox"/>	<input type="checkbox"/>
	5. Schedule of Accounts Payable	<input type="checkbox"/>	<input type="checkbox"/>
	6. Schedule of Notes and Mortgages Payable	<input type="checkbox"/>	<input type="checkbox"/>
	7. Schedule of Legal Proceedings	<input type="checkbox"/>	<input type="checkbox"/>
	B. Financial Statement Certification		<input type="checkbox"/>
<b>Section 4: Principal of Mortgagor (complete for each principal)<sup>9</sup> List Principal Here</b>			
4-1.	Organizational Chart (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>
4-2.	Organizational Documents <sup>5</sup>		<input type="checkbox"/>
	<input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> LLC A. Articles of Incorp.      A. Partnership Agreement      A. Articles of Organiz’n B. Bylaws      B. Cert. of Partnership      B. Operating Agreement C. Authoriz’g Resolution      C. Authoriz’g Resolution      C. Authoriz’g Resolution		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-3.	<del>Resume</del> Resume/Evidence that individual or entity is qualified		<input type="checkbox"/>
4-4.	<del>APPS Certification or HUD-2530, Previous Participation Certification</del> 2530/APPS: A. Paper 2530: 1. Completed Paper HUD-2530 (with documentation for signature authority to sign for the entity & if applicable, with documentation for signature authority to sign for other principals with same participation) 2. Evidence of registration in HUD’s Business Partners Registration System – required for all applicable participants. <a href="http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm">http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm</a> <b>OR</b> B. APPS Submittal: APPS Certification (with documentation for signature authority to sign for the entity & if applicable, with documentation for signature authority to sign for other principals with same participation)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-5.	Principal of Mortgagor Consolidated Certification		<input type="checkbox"/>
4-6.	Credit Report		<input type="checkbox"/>
	A. Principal of Mortgagor <sup>10</sup>		<input type="checkbox"/>
	B. Sampling of Principal’s Other Business Concerns	<input type="checkbox"/>	<input type="checkbox"/>

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No.	Item	N/A	Incl.
4-7.	Financial Statements – Year-to-Date <sup>5/11</sup> A. Balance Sheet 1. Aging of Accounts Receivable 2. Aging of Notes Receivable 3. Schedule of Pledged Assets 4. Schedule of Marketable Securities 5. Schedule of Accounts Payable 6. Schedule of Notes and Mortgages Payable 7. Schedule of Legal Proceedings B. Financial Statement Certification	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-8.	Financial Statements – FY 20XX <sup>10</sup> A. Balance Sheet 1. Aging of Accounts Receivable 2. Aging of Notes Receivable 3. Schedule of Pledged Assets 4. Schedule of Marketable Securities 5. Schedule of Accounts Payable 6. Schedule of Notes and Mortgages Payable 7. Schedule of Legal Proceedings B. Income and Expense Statement C. Financial Statement Certification	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-9.	Financial Statements – FY 20XX <sup>10</sup> A. Balance Sheet 1. Aging of Accounts Receivable 2. Aging of Notes Receivable 3. Schedule of Pledged Assets 4. Schedule of Marketable Securities 5. Schedule of Accounts Payable 6. Schedule of Notes and Mortgages Payable 7. Schedule of Legal Proceedings B. Income and Expense Statement C. Financial Statement Certification	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-10.	Financial Statements – FY 20XX <sup>10</sup> A. Balance Sheet 1. Aging of Accounts Receivable 2. Aging of Notes Receivable 3. Schedule of Pledged Assets 4. Schedule of Marketable Securities 5. Schedule of Accounts Payable 6. Schedule of Notes and Mortgages Payable 7. Schedule of Legal Proceedings B. Income and Expense Statement C. Financial Statement Certification	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4-11.	Personal Financial Statements (HUD 92417) (To be completed by individuals) <sup>8</sup>		<input type="checkbox"/>
<b>Section 5: Operator (Lessee)</b>			
5-1.	Organizational Chart		<input type="checkbox"/>

No.	Item	N/A	Incl.
5-2.	<p>Organizational Documents<sup>5</sup></p> <p><input type="checkbox"/> Corporation      <input type="checkbox"/> Partnership      <input type="checkbox"/> LLC</p> <p>A. Articles of Incorp.      A. Partnership Agreement      A. Articles of Organiz'n</p> <p>B. Bylaws      B. Cert. of Partnership      B. Operating Agreement</p> <p>C. Authoriz'g Resolution      C. Authoriz'g Resolution      C. Authoriz'g Resolution</p>		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5-3.	<p><del>A. Resume</del></p> <p><u>A. Resume/Evidence that individual or entity is qualified</u></p> <p><u>B. Schedule of Facilities Owned, Operated or Managed</u></p> <p><u>C. Senior officers of the operator</u></p> <p><del>B-D. Any stockholder with a 25 percent or more interest in the operator</del></p>		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5-4.	<p><del>APPS Certification or HUD-2530, Previous Participation Certification<sup>2530</sup>/APPS:<sup>12</sup></del></p> <p><u>A. Paper 2530:</u></p> <p><u>1. Completed Paper HUD-2530 (with documentation for signature authority to sign for the entity &amp; if applicable, with documentation for signature authority to sign for other principals with same participation)</u></p> <p><u>2. Evidence of registration in HUD's Business Partners Registration System – required for all applicable participants. (http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm)</u></p> <p><b>OR</b></p> <p><u>B. APPS Submittal: APPS Certification (with documentation for signature authority to sign for the entity &amp; if applicable, with documentation for signature authority to sign for other principals with same participation)</u></p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5-5.	Operator's Consolidated Certification		<input type="checkbox"/>
5-6.	<p>Credit Report</p> <p>A. Operator (Lessee)</p> <p><u>B. Sampling of Operator's Other Business Concerns</u></p> <p><u>C. Senior Officers of the Operator</u></p> <p><del>B-D. Any stockholder with 25 percent or more interest in the operator</del></p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5-7.	<p>Financial Statements – Year-to-Date<sup>7</sup> Date<sup>5</sup></p> <p>A. Balance Sheet</p> <p>1. Aging of Accounts Receivable</p> <p>2. Aging of Notes Receivable</p> <p>3. Schedule of Pledged Assets</p> <p>4. Schedule of Marketable Securities</p> <p>5. Schedule of Accounts Payable</p> <p>6. Schedule of Notes and Mortgages Payable</p> <p>7. Schedule of Legal Proceedings</p> <p>B. Income and Expense Statement</p> <p>C. Financial Statement Certification</p>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
5-8.	<p>Financial Statements – FY <del>2009</del> <u>20XX</u><sup>13</sup></p> <p><u>A. Balance Sheet</u></p> <p><del>±8. Aging of Accounts Receivable</del></p>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

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No.	Item	N/A	Incl.
6-4.	<del>This Item Intentionally Omitted</del> 2530's/APPS Not Applicable to Parent of Operator	<input checked="" type="checkbox"/>	
6-5.	Parent of Operator's Consolidated Certification		<input type="checkbox"/>
6-6.	Credit Report		<input type="checkbox"/>
	A. Parent of Operator		<input type="checkbox"/>
	B. Sampling of Parent of Operator's Other Business Concerns	<input type="checkbox"/>	<input type="checkbox"/>
6-7.	Financial Statements – Year-to- <del>Date</del> <sup>7</sup> Date <sup>5</sup>		
	A. Balance Sheet		<input type="checkbox"/>
	1. Aging of Accounts Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	2. Aging of Notes Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	3. Schedule of Pledged Assets	<input type="checkbox"/>	<input type="checkbox"/>
	4. Schedule of Marketable Securities	<input type="checkbox"/>	<input type="checkbox"/>
	5. Schedule of Accounts Payable	<input type="checkbox"/>	<input type="checkbox"/>
	6. Schedule of Notes and Mortgages Payable	<input type="checkbox"/>	<input type="checkbox"/>
	7. Schedule of Legal Proceedings	<input type="checkbox"/>	<input type="checkbox"/>
	B. Income and Expense Statement	<input type="checkbox"/>	<input type="checkbox"/>
	C. Financial Statement Certification	<input type="checkbox"/>	<input type="checkbox"/>
6-8.	Financial Statements – FY <del>2009</del> <sup>9</sup> 20XX <sup>10</sup>	<input type="checkbox"/>	
	A. Balance Sheet		<input type="checkbox"/>
	1. Aging of Accounts Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	2. Aging of Notes Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	<del>1. Schedule of Pledged Assets</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>2. Schedule of Marketable Securities</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>3. Schedule of Accounts Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>4. Schedule of Notes and Mortgages Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>1. Schedule of Legal Proceedings</del>	<input type="checkbox"/>	<input type="checkbox"/>
	B. Income and Expense Statement		<input type="checkbox"/>
	C. Financial Statement Certification		<input type="checkbox"/>
6-9.	Financial Statements – FY <del>2008</del> <sup>9</sup> 20XX <sup>10</sup>	<input type="checkbox"/>	
	A. Balance Sheet		<input type="checkbox"/>
	1. Aging of Accounts Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	2. Aging of Notes Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	<del>1. Schedule of Pledged Assets</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>2. Schedule of Marketable Securities</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>3. Schedule of Accounts Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>4. Schedule of Notes and Mortgages Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>5. Schedule of Legal Proceedings</del>	<input type="checkbox"/>	<input type="checkbox"/>
	B. Income and Expense Statement		<input type="checkbox"/>
	C. Financial Statement Certification		<input type="checkbox"/>

No.	Item	N/A	Incl.
6-10.	Financial Statements – FY <del>2007</del> <sup>9</sup> <u>20XX</u> <sup>10</sup>	<input type="checkbox"/>	<input type="checkbox"/>
	A. Balance Sheet		<input type="checkbox"/>
	1. Aging of Accounts Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	2. Aging of Notes Receivable	<input type="checkbox"/>	<input type="checkbox"/>
	<del>1. Schedule of Pledged Assets</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>2. Schedule of Marketable Securities</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>3. Schedule of Accounts Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>4. Schedule of Notes and Mortgages Payable</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>5. Schedule of Legal Proceedings</del>	<input type="checkbox"/>	<input type="checkbox"/>
	B. Income and Expense Statement		<input type="checkbox"/>
	C. Financial Statement Certification		<input type="checkbox"/>
<b>Section 7: Management Agent<sup>15</sup></b>			
7-1.	Organizational Chart (if applicable – per footnote to this entire section)	<input type="checkbox"/>	<input type="checkbox"/>
7-2.	Organizational Documents <sup>5</sup>		<input type="checkbox"/>
	<input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> LLC		
	A. Articles of Incorp.      A. Partnership Agreement		<input type="checkbox"/>
	B. Bylaws      B. Cert. of Partnership		<input type="checkbox"/>
	C. Authoriz'g Resolution      C. Authoriz'g Resolution		<input type="checkbox"/>
7-3.	HUD Management Forms		<input type="checkbox"/>
	<del>A. HUD-9832, Management Entity Profile</del>		
	<del>B. Certifications</del>		
	<del>1. HUD-9839 A, Project Owner's Certification for Owner-Managed ... Projects</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>2. HUD-9839 B, Project Owner's/Management Agent's Certification for ... Identity of Interest or Independent Management Agents</del>	<input type="checkbox"/>	<input type="checkbox"/>
	<del>HUD-9839 C, Project Owner's/Borrower's Certification for Elderly Housing Projects Managed by Administrators Form (HUD-9839) (if applicable – per footnote to this entire section)</del>	<input type="checkbox"/>	<input type="checkbox"/>
7-4.	Management Agreement		<input type="checkbox"/>
7-5.	<del>A. Resume</del>		<input type="checkbox"/>
	A. Resume / Evidence that individual or entity is qualified		<input type="checkbox"/>
	B. Schedule of Facilities Owned, Operated or Managed		<input type="checkbox"/>
7-6.	<del>APPS Certification or HUD-2530, Previous Participation Certification</del> <sup>2530/APPS</sup> <sup>9</sup>		<input type="checkbox"/>
	A. Paper 2530:		
	1. Completed Paper HUD-2530 (with documentation for signature authority to sign for the entity & if applicable, with documentation for signature authority to sign for other principals with same participation)	<input type="checkbox"/>	<input type="checkbox"/>
	2. Evidence of registration in HUD's Business Partners Registration System – required for all applicable participants. ( <a href="http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm">http://www.hud.gov/offices/hsg/mfh/apps/appsmfhm.cfm</a> )	<input type="checkbox"/>	<input type="checkbox"/>
	<b>OR</b>		
	B. APPS Submittal: APPS Certification (with documentation for signature authority to sign for the entity & if applicable, with documentation for signature authority to sign for other principals with same participation)	<input type="checkbox"/>	<input type="checkbox"/>
7-7.	Management Agent's Consolidated Certification <sup>16</sup>		<input type="checkbox"/>
7-8.	Credit Report		<input type="checkbox"/>

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No.	Item	N/A	Incl.
<b>Section 8: Real Estate</b>			
<b>8-1</b>	A. If Land is to be purchased: <ol style="list-style-type: none"> <li>1. Purchase and Sale Agreement for Land</li> <li>2. Amendments or Extension Agreements to Purchase and Sale Agreement</li> </ol> B. Last Arm's Length Certification <ul style="list-style-type: none"> <li>• Purchase contract or Settlement Statement</li> <li>a. <del>C. Intergovernmental Review, SF 424</del><sup>47</sup></li> </ul>	<input type="checkbox"/>   <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>8-2</b>	Licenses <ol style="list-style-type: none"> <li>A. Certificate of Need (if applicable)</li> <li><del>B. Intentionally Omitted</del></li> <li><del>B. Intentionally Omitted</del> Facility License, copy of application<sup>18</sup></li> <li>C. Operator (Lessee) or Management Agent (if applicable)</li> </ol>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>8-3</b>	Title <ol style="list-style-type: none"> <li>A. Preliminary Title Report</li> <li>B. Pro Forma – 2006 ALTA Title Insurance Policy                             <ol style="list-style-type: none"> <li>1. ALTA Form Environmental Endorsement</li> <li>2. ALTA Form Comprehensive Endorsement</li> <li>3. ALTA Form Endorsement deleting Arbitration Clause</li> <li>4. ALTA Location of Improvements Endorsement</li> <li>5. <u>Access and Entry (ALTA 17-06)</u></li> <li>6. <u>Arbitration Clause deleted</u></li> <li>7. <u>Zoning (ALTA 3.0-06 or equivalent)</u></li> <li>8. <u>Encroachments</u></li> <li>9. <u>Tax Parcel (ALTA 18-06 or equivalent)</u></li> <li>10. <u>Other:</u></li> </ol> </li> <li>C. Exception Documents</li> </ol>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>8-4</b>	ALTA/ACSM Land Title Survey <i>(completed according to <del>Lean</del> Survey Instructions &amp; Owner's Certification)</i>		<input type="checkbox"/>
<b>8-5</b>	<del>Intentionally Omitted</del> Evidence of compliance <ol style="list-style-type: none"> <li>A. Zoning</li> <li>B. Building Codes</li> <li>C. Verification of Zoning and Code Variances (if applicable)</li> <li><del>A.D.</del> <u>Conformance letter from the governing Fire Department/District (applicable if above ground tanks exist on the site; whether containing liquid fuel or containing pressurized gas).</u></li> </ol>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>8-6</b>	<del>Intentionally Omitted</del> Municipal services and other utility assurance letters <ol style="list-style-type: none"> <li>A. Electricity</li> <li>B. Natural Gas</li> <li>C. Telephone</li> <li>D. Cable Television</li> <li>E. Water and Sewer Service</li> <li>F. Garbage Collection</li> <li><del>A.G.</del> <u>Storm Sewer</u></li> </ol>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<b>8-7</b>	Commercial Space Leases (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>
<b>8-8</b>	Land Lease (Ground Lease) <u>including HUD requirements/provisions outlined in FHA Form 2070</u> (if applicable)	<input type="checkbox"/>	<input type="checkbox"/>

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No.	Item	N/A	Incl.
<b>Section 12: Contractor Section 12: Accounts Receivable Financing Documents</b>			
<input type="checkbox"/>	<b>Intentionally Omitted</b>		
<b>Section 13: Construction and Architectural Documents Contractor</b>			
13-1.	Plans—Preliminary <sup>23</sup>		<input type="checkbox"/>
13-2.	Intentionally Omitted		
13-3.	Intentionally Omitted		
13-4.	Intentionally Omitted		
13-5.	Mortgagor's Development Budget		<input type="checkbox"/>
13-6.	Intentionally Omitted		
13-7.	Intentionally Omitted		
13-8.	Intentionally Omitted		
13-9.	Intentionally Omitted		
13-10	Intentionally Omitted		
13-11	Intentionally Omitted		
<b>Section 14: Early Commencement of Construction and Architectural Documents</b>			
14-1.	Inspection Fee (based on estimated cost of Early Commencement Work) <sup>24</sup>		<input type="checkbox"/>
14-2.	Memo Requesting Early Commencement of Construction <sup>25</sup>		<input type="checkbox"/>
14-1	Request for Permission A. HUD 92415, Request for Permission to Commence Construction Prior to Initial Endorsement for Mortgage Insurance Rider to HUD 92415 Plans - Preliminary <sup>26</sup>		<input type="checkbox"/>
14-2	Grading/Building/Other Permits (covering, at a minimum, the Early Commencement Work) <b>Intentionally Omitted</b>		<input type="checkbox"/>
14-3	<b>Intentionally Omitted</b>		
14-4	<b>Intentionally Omitted</b>		
14-5	<b>Intentionally Omitted</b>		
14-6	<b>Intentionally Omitted</b>		
14-7	<b>Intentionally Omitted</b>		
14-8	<b>Intentionally Omitted</b>		
14-9	<b>Intentionally Omitted</b>		
14-10	<b>Intentionally Omitted</b>		
14-11	<b>Intentionally Omitted</b>		
15	Construction Contract: <input type="checkbox"/> HUD 92442 or <input type="checkbox"/> 92442-A with the following attachments: A. Exhibit A—Cost Breakdown(s) (Form HUD 2328) <sup>27</sup> B. Exhibit B—Index to Drawings and Specifications C. Amendment To The Construction Contract To Identify Identities Of Interest Between Owner, Contractor, Subcontractors, Architect (HUD Handbook 4430.1 Appendix 8) D. Rider to Construction Contract to modify terms that do not apply to Early Commencement work (if applicable). See Underwriter for sample.	<input type="checkbox"/>	<input type="checkbox"/>

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No.	Item	N/A	Incl.
	<del>Incentive Payment (Form HUD-92443), if applicable</del> <u>Other-</u>		
14.3.	<del>Specifications<sup>28</sup>, Division I, which includes the wage decision and HUD-2554</del>		<input type="checkbox"/>

- 1 - Please have check include reference to project name, location, mortgagee number, and purpose – FHA application fee.
- 2 - Lender shall not make any alterations to the narrative format. If a particular section does not apply within the narrative, it should specifically be noted as NOT APPLICABLE.
- ~~3 - Replacement Cost section can be based on estimates and does not require a Cost Review at this stage. Mortgage amount for the initial submission is based on the lesser of Fair Market Value and Debt Service. The mortgage is resized at the final submission for Replacement Cost and other applicable criteria.~~
- ~~4 - Microsoft Word version of Draft Firm Commitment is to be provided electronically~~
- 5 - Appraisal and Market Study reports must be submitted within 120 calendar days of the date of the site inspection. The Phase I Environmental ~~reports~~report must be ~~dated~~submitted within 180 calendar days of the date of the ~~site~~date of inspection. ~~Architectural and Cost Reports must be dated within 120 days of the final report date.~~
- ~~6 - LLC: Limited Liability Corporation; Incorp.: Incorporation; Organiz'n: Organization; and Authoriz'g: Authorizing~~
- ~~7 - Nonprofit Mortgagor documentation only required when the nonprofit loan constraints are used.~~
- 8 - Year-to-date statements: No more than 3 months can have expired since the closing date of the latest unaudited statement. No more than 6 months can have passed since the statements were audited by a CPA/IPA. Audited statements are preferred but owner-prepared statements will be accepted. All financial statements, audited and owner-prepared, will need to be certified by the entity with signature authority for that financial statement.
- ~~9 - This section of the checklist needs to be completed separately for each principal. The Lender should add a new section and label it with the name of each principal.~~
- 10 - If a principal is a business entity (i.e. corporation, partnership) with an operating history, a credit report will be required only on the business firm, not the owners of the firm.
- ~~11 For New Construction, Substantial Rehabilitation, and Blended Rate projects the firm commitment application must include the last three full years and year-to-date financial statements for the party who will be responsible for providing the financial requirements for closing and beyond. The Lender Narrative must also include a discussion on the available working capital of this party and their ability to support the project over the long term. In cases where a group of individuals come together on one project to meet the cash requirement a full year HUD-92417 on each will be satisfactory.~~
- ~~12 - Previous Participation for principals of the Operator and the Management Agent may also be required.~~
- 13 - Fill in the year for the financial statements being provided. Business entities must submit financial statements and supporting documents for the lesser of the last 3 years or the length of existence. Audited statements are preferred but owner-prepared statements will be accepted. All financial statements, audited and owner-prepared, will need to be certified by the entity with signature authority for that statement.
- ~~14 - Fill in the year for the financial statements being provided. Business entities must submit financial statements and supporting documents for the lesser of the last 3 years or the length of existence. Audited statements are preferred but owner-prepared statements will be accepted. All financial statements, audited and owner-prepared, will need to be certified by the entity with signature authority for that statement.~~
- 15 - See Matrix below to determine which items in this Section need to be provided with the application:

Scenario #	Description of Participant Roles	Note	Checklist Items to complete
1	Mortgagor is Owner/Operator. One entity		<del>Nothing from</del> Section 9, <del>items 3 and 4</del> <u>7</u>
2	Mortgagor has a Management Agent	<del>Mortgagor or Management Agent holds license.</del>	All of Section 9 <u>7</u>
3	<del>Mortgagor owns building and land, and leases to Operator who holds the license. There is no Management Agent.</del>		<del>Nothing from Section 9</del>

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43	Mortgagor owns building and land, and leases to Operator who holds the license. <del>There is no Management Agent provides services to Operator but doesn't control the license or contract for patient services and is not party to Provider Agreements.</del>		Nothing from Section 97
4a4	Mortgagor owns building and land, and leases to Operator. There is also a Management Agent who controls the license, contracts for patient services and/or is party to Provider Agreements.	Both Operator and Management Agent experience is necessary.	All of Section 9 Exhibits 7-4; 7-5; 7-6; 7-7; 7-8

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- 16 - This consolidated certification is in addition to the form HUD-9839.
- ~~17 - Intergovernmental Review is only required in States that participate in Single Point of Contact Process (SPOC). The website [http://www.whitehouse.gov/omb/grants\\_spoec/](http://www.whitehouse.gov/omb/grants_spoec/) currently lists these states. The submittal to the SPOC must include a completed form SF 424. The SPOC has 30 days from receipt to reply. If they do not reply to you within that timeline, you can assume that the Intergovernmental Review is complete.~~
- ~~18 - If the regulatory entity that issues the license(s) does not allow application for license(s) at the time of Firm Application submission, in lieu of exhibit 10-2 B., HUD will accept a letter from the entity applying for the license(s), which covers the following: an explanation of the application process (with documented verification from licensing entity), identification of the entity that is anticipated to hold the license(s), and the number of beds that will be covered by the license(s).~~
- 19 - Floodplain information is only required if the property is located in a 100- or 500-year floodplain. The 8-step process is not required for HUD's approval of a project site when only an incidental portion of the site is situated in an adjacent floodplain when: (i) The proposed construction and landscaping activities (except for minor grubbing, clearing of debris, pruning, sodding, seeding, etc.) do not occupy or modify the 100-year floodplain or the 500-year floodplain; (ii) appropriate provision is made for site drainage; and (iii) a covenant or comparable restriction is placed on the property's continued use to preserve the floodplain.
- ~~20 - Identify all practicable alternative sites outside the floodplain that were considered within the local housing market area, the local public utility service area, or whichever geographic area is more appropriate. The actual sites must be identified and the reasons for the non-selection of those sites as practicable alternatives must be described.~~
- ~~21 - Please refer to outline titled "Additional Facility Information" found in Lender's Tools on the FHA.Gov Lean website for information that MUST be included in this document.~~
- ~~22 - Professional liability insurance documentation requirements only apply to the insured party providing the coverage and exclude additional named insured parties.~~
- ~~23 - Plans provided with the Initial Submission can be preliminary sketch drawings. At a minimum, the preliminary drawings must include (a) a site plan; (b) typical floor plan; (c) typical unit plan; and (4) typical elevations (d) Location Map with property clearly defined and adjacent land uses identified. The drawing must include dimensions. Please note that changes in unit area and unit count between the initial and final submission may affect HUD's conclusion regarding the appraisal and market study.~~
- ~~24 - Please have check include reference to project name, location, mortgagee number, and purpose - FHA Inspection Fee. Inspection fee to be based upon \$5 per thousand of the amount of the work to be completed under the Early Commencement as evidenced by the form HUD-2328 (Contractor's and/or Mortgagor's Cost Breakdown) corresponding to the Early Commencement work.~~
- ~~25 - Format for such is posted on FHA.GOV~~
- ~~26 - Plans provided with the Initial Submission can be preliminary sketch drawings. At a minimum, the preliminary drawings must include (a) a site plan; (b) typical floor plan; (c) typical unit plan; and (4) typical elevations (d) Location Map with property clearly defined and adjacent land uses identified. The drawing must include dimensions. Please note that changes in unit area and unit count between the initial and final submission may affect HUD's conclusion regarding the appraisal and market study.~~
- ~~27 - It is recommended that three (3) forms HUD-2328 be submitted - one corresponding to the work anticipated to be completed with the Early Commencement, one covering the remainder of the work on the project and a combined 2328.~~
- ~~28 - No hard copies of the specifications are required - only an electronic version is required.~~