

Application for Federal Assistance SF-424											
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application			* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision			* If Revision, select appropriate letter(s): _____ * Other (Specify): _____					
* 3. Date Received: 03/19/2013			4. Applicant Identifier: _____								
5a. Federal Entity Identifier: _____			5b. Federal Award Identifier: _____								
State Use Only:											
6. Date Received by State: _____			7. State Application Identifier: _____								
8. APPLICANT INFORMATION:											
* a. Legal Name: Santo Domingo Tribal Housing Authority											
* b. Employer/Taxpayer Identification Number (EIN/TIN): ██████████				* c. Organizational DUNS: ██████████							
d. Address:											
* Street1:		P O Box 10									
Street2:		_____									
* City:		Santo Domingo Pueblo									
County/Parish:		_____									
* State:		NM: New Mexico									
Province:		_____									
* Country:		USA: UNITED STATES									
* Zip / Postal Code:		87052-0010									
e. Organizational Unit:											
Department Name: Santo Domingo Tribal Housing				Division Name: _____							
f. Name and contact information of person to be contacted on matters involving this application:											
Prefix: Mrs.		* First Name: Greta									
Middle Name:		_____									
* Last Name: Armijo											
Suffix:		_____									
Title: Executive Director											
Organizational Affiliation: _____											
* Telephone Number: 505-465-1003			Fax Number: 505-465-1012								
* Email: greta.armijo@hotmail.com											

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.862

CFDA Title:

Indian Community Development Block Grant Program

*** 12. Funding Opportunity Number:**

ER-5700-N-16

* Title:

Indian Community Development Block Grant (ICDBG) Program

13. Competition Identification Number:

ICDBG-16

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Rehabilitation of 14 LMI Households

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="822,500.00"/>
* b. Applicant	<input type="text" value="500,000.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="7,525.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="1,330,025.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Project Summary - Housing Rehabilitation

Kewa (formerly Santo Domingo) Pueblo (“the Tribe”) has determined that rehabilitation of substandard homes, particularly the historic homes, occupied by low and moderate income (“LMI”) tribal members, will ensure Tribal members can and will want to live in Kewa and is therefore critical to the viability of the Tribe. The Tribe has authorized its TDHE, the Santo Domingo Tribal Housing (“SDTHA”), to submit a 2013 ICDBG application on its behalf and carryout the rehabilitation of 14 historic homes owned and occupied by LMI tribal members in the historic Village of the reservation (“the Project”). The homes in this Project, which received new roofs in 2011/2012 under an ICDBG Imminent Threat grant, will be rehabilitated to housing quality standards (“HQS”), and the Tribally adopted rehabilitation standards and, as the homes are in an historic district, the state of New Mexico’s State Historic Preservation Office (“SHPO”) standards. The Project is estimated to cost \$1,330,025 of which \$500,000 has been firmly committed by SDTHA from its 2013 and future 2014 Indian Housing Plan IHBG funds, representing 38% in leverage.

Six (6) SDTHA staff, including an Enterprise Architectural Rose Fellow, with the relevant, recent management and technical experienced in completing housing rehabilitations accordance with budget, schedules and specifications, will implement the project over 24 months. The team will be completed upon the procurement and selection of a licensed architect and general contractor with specific experience in designing and completing historic rehabilitations of adobe homes supported and other qualifications. Kewa Pueblo has severe housing needs—according the preliminary assessment conducted by the Tribe in 2012, 60% of the homes in the historic Village do not meet HQS and are in need of substantial rehabilitation and 20% of the homes are vacant due to the advanced level the deterioration. Exacerbating the need for rehab, Kewa Pueblo also suffers from high unemployment and poverty rates at 13.5% and 26.3 %, respectively, according the 2010 Census data.

SDTHA has devised a cost effective and sustainable approach to the Project, which will help to address the needs that threaten the Tribe’s viability, including a severe need to rehabilitate substandard housing of LMI households, particularly the elderly and disabled, so they can live in healthy and safe housing.. This Project is the next phase and part of the Tribe’s comprehensive plan to renovate the homes in the historic Village and to reinvigorate the cultural and economic center of the Tribe. Specifically, it builds on the mapping and assessments of the 489 homes in the historic Village completed in 2012, the completion in 2012 of a total rehab for one home, which served as the pilot for Tribe’s and SHPO’s demonstration of historic preservation renovation techniques. The Project will also meet MFA Green Building and, where feasible, visitability standards.

The homeowners identified for the Project are ICDBG eligible. The Tribe completed the Citizen Participation in advance of the 2013 Application in accordance with the regulations and the Tribe and SDTHA meet the applicable threshold requirements for the Project. The Rating Factors below describe the Project in detail.

Threshold Statements

- Program: SDTHA is in compliance with the requirements and commits ongoing compliance with the requirements identified in 2013 General Section, Threshold Requirements III. c), including that SDTHA has no outstanding ICDBG obligations to HUD, SDTHA has no outstanding federal debt, SDTHA has no outstanding violations of applicable civil rights provisions
- 100% of the beneficiaries of the proposed ICDBG rehabilitation project are LMI households. All households receiving ICDBG assistance will be qualified as LMI households prior to start of construction
- Project: SDTHA and the Tribe adopted rehabilitation policies and standards in accordance with tribal law and practice and HUD regulations. SDTHA's Rehabilitation Policy was adopted by Board Resolution #11-06-01
- SDTHA has a Code of Conduct policy, which is attached to the application
- The Tribe has conducted the Citizens Participation process in compliance with 25 CFR 1003.604.
- All 14 homes are privately owned homes; housing units are not considered to be HUD-assisted houses, and therefore there are no housing payments
- Direct costs for rehabilitation will not exceed \$50,000 in ICDBG funds per unit.

Rating Factor 1: Capacity of the Applicant (30 Points)

1. Managerial, Technical and Administrative Capability (30 points)

SDTHA was established in 1995 by Tribal Resolution and Tribal Ordinance. The SDTHA is comprised of a five member board of commissioners with staggered terms with the Governor and Lt. Governor serving as ex-officio members. The SDTHA permanent staff is comprised of the Executive Director, ICDBG Project Manager, Housing Specialist, Accountant, and Maintenance Tech and a three-year Enterprise Architectural Rose Fellow.

a. Managerial and Technical Staff (8 points)

The SDTHA has assembled a team with the management, technical, and administrative capacity to complete the rehabilitation of 14 homes in Kewa Pueblo's historic Village area according to the proposed schedule, budget and according to ICDBG requirements.. The Project Development Team

consists of six (6) experienced people, plus a to-be-selected preservation architect and general contractor. Greta Armijo (Executive Director, SDTHA) will be the ICDBG Project Manager; Kevin Esquibel (Project Manager, SDTHA) will be the Construction Manager; Rachelle Garcia (Housing Specialist, SDTHA) will provide pre- and post-rehabilitation homeowner training and counseling; Carlessia Aguilar (Accountant, SDTHA) will do the accounting, financial systems management, and required reporting; and Patrick Bailon (Maintenance Technician, SDTHA) will assist with maintenance training and workshops. Joseph Kunkel, Associate AIA, will assist in facilitating the community historic design charrettes, documenting the age and material of each homes for the Tribe and the development of the plans and specifications.

In addition, to successfully completed the build of an 18 unit subdivision and homes is completed and 4 scattered sites new homes and 3 are under construction or LMI families, over the past five years, SDTHA has successfully the rehabilitated 28 homes, completing the projects on time, in budget and according to the implementation schedule.

- In 2009 SDTHA partnered with Sandoval County Empowering Our Communities (EOC) to complete the rehabilitation of 5 LMI households, leveraged with MFA HOME funds. The rehabilitation project eliminated hazardous and unsanitary conditions, with a main focus on addressing all structural and mechanical systems, including but not limited to plumbing, electrical, and roof. The homes were inspected and approved and accepted by homeowners.
- Also in late 2009 to mid 2010, SDTHA successfully completed rehabilitation and restoration on 4 LMI housing units. The purpose for this rehabilitation was to eliminate unsanitary conditions and structural restoration within the historic area of Kewa Pueblo. These homes were traditional homes and had deteriorated walls, roofs, and poor electrical and plumbing systems. This project has successfully been completed and accepted by homeowners.
- 2011-2012 - SDTHA, under a sub-recipient agreement on a 2011 Indian Community Development Block Grant (ICDBG) Imminent Threat with Kewa Pueblo, oversaw the replacement of 18 roofs to historic and Tribal preservations standards, requiring the careful structural work to preserve the existing walls. In addition, with costs saving and other efficiencies, SDTHA was able to add an additional 6 homes to the project, which will be completed in September of 2103 according to the implementation schedule. All had some homes had some of a traditional roofing system consisting of earth, twigs, vigas and sand.

- **Historic Preservation Project.** This home was used as the pilot for the preservation planning and techniques that will be used for the proposed 2013 ICBDG Project. It was started in September 2011 in conjunction with Bureau of Indian Affairs (BIA) – Housing Improvement Program (HIP) SDTHA. The project was designed by SDTHA with assistance and approval from BIA office and an engineering and structural testing consultant. The home was rehabilitate to HQS and adapted techniques for historic components such as adobe walls and working on two story homes. The State Historic Preservation Office (SHPO) approved the project for renovation. The renovation of the home started in July 2012 and completed in November 2012. This project was fully funded by BIA Housing Improvement Program (HIP). The home was completed for a direct rehabilitation cost of \$91,000 was completed by a general contractor.

As the ICDBG Project Manager **Greta Armijo**, will supervise the staff and oversee the project budget, project timeline, approval of construction documents and specifications, approve all project invoices, conduct project meetings, and review all required reporting for U.S. Department of Housing and Urban Development (HUD), Board of Commissioners, Tribal Government, and Tribal Council. She will oversee and participate in community and monthly project meetings pertaining to the rehabilitation project. Mrs. Armijo received her Bachelor's in Accounting and Masters in Business Administration from University of Phoenix in 2004 and 2010. Prior to her role as SDTHA Executive Director, she served as its Accountant for 6 years and was responsible for successfully overseeing the accounting department, preparing accurate and timely financial reports with the SDTHA auditors and annual audits, for HUD grant funds and other federal programs, including the LIHTC program investors. As Executive Director since December of 2010, she has overseen all of the housing and management functions of SDTHA, including the recent rehabilitation projects that resulted in historic improvement to 20 Homes, including Kewa's ICBDG Imminent Threat Roof Rehabilitation and the historic rehabilitation of the Kewa Pueblo Historic Rehab pilot home project in 2012. All of these rehabs met the projects' goals –budget, schedule and compliance with SHPO and tribal standards—and were completed according to ICDBG program and other funder requirements. She also oversees 86 units currently under management by SDTHA, which includes monitoring and evaluating the rehabilitation and maintenance needs on an ongoing basis.

Kevin Esquibel will serve as the Construction Manager. Mr. Esquibel will oversee the bidding process to select a General Contractor and will be responsible to oversee the construction process of the rehabilitation project; He will coordinate site inspections with the Architects for progress, payments to the contractors and compliance with plans and specifications and reports, review contractor invoices. He will inspect the homes for compliance building codes and monitor the Contractor's compliance with Davis Bacon, Section 3 and other program goals, preparing the monthly reports. He will meet monthly with the ICDBG Project Manager to review the Project and reports, and perform other construction work on the rehabilitation project as needed. He will also be working closely with the families to let them know the status of their rehabilitation, any changes, conduct final walkthroughs and transferring all warranty information for all the work completed.

Mr. Esquibel has an extensive background in housing rehabilitation on Indian lands and he has worked at SDTHA since 2010. In 2011-2012, where he prepared the plans and specifications for the SDTHA Historic Preservation Pilot Project a two-story historic home in the Historic District of Kewa Pueblo and oversaw the completion of the rehabilitation work to SHPO, HQS and tribal standards. Mr. Esquibel worked closely with the Bureau of Indian Affairs – Housing Improvement Program (“HIP”) and SHPO during rehabilitation. The adobe home is considered to be over 100 years old with a natural earthen roof made of twigs, straw, and mud. The project consists of stabilizing the walls, replacing the roof, windows, doors, upgrade of plumbing, heating, electrical and gas. The project was completed on time and within the allocated budget and on schedule. He has also overseen the recent roof replacement on 18 historic homes and current 6 historic homes, working with the selected preservation architect and overseeing the contractors per the work plan parameters. Prior to that Kevin was a home designer with Preferred Builders, a manufactured housing builder who builds on and off site new Green building homes. He has been working in the construction field for 16 years and has construction management expertise in residential construction, procurement, estimation, and cost projection, planning and budget preparation.

Joseph Kunkel, Associate AIA, will be the Architectural Coordinator, and is an Architect, is an Enterprise Architectural Rose Fellow, who will assist SDTHA over the next two years to complete the historic preservation planning and documents and assist the SDTHA Architects to complete the plans and specification for the historic rehabs. He has 6 years of hands on experience in planning and design, and over the last two years, completed the planning and design work for culturally

appropriate and sustainable housing in diverse communities –including the Middle East and urban Washington DC neighborhoods—to specific building standards. For this Project, he will facilitate the community design process with SDTHA and the selected Preservation Architects to obtain the required community and SHPO approvals.

Rachelle “Shelly” Garcia, SDTHA Housing Specialist, will provide homeowner outreach and pre- and post- homeownership training and counseling. She will conduct outreach to the homeowners, updating the applicant listing, qualify families, verifying income eligibility, prepare homeowner agreements, coordinate temporary relocation (if needed), assist families understand the process of the rehabilitation project. Mrs. Garcia will outreach the community through mailings and community events. She meets regularly with our participants in matters ranging from work orders to inspections, mediations, and effective counseling of budgets. Since 2010, she has managed and updated the waiting lists for all of the SDTHA projects, including completing accurate qualification work for income and other program eligibility requirements for SDTHA’s 18 homes (funded with ICDBG) and 20-unit Low Income Housing Tax Credit (“LIHTC”) Project. Ms. Garcia provides homeowner counseling and training in English and Kewa traditional language, which ensures the participants, particularly the elderly who do not speak English well, to understand the homeowner agreements. Shelly has been a long time employee within the Pueblo of Santo Domingo ranging in the areas of Education and Social Services Department for over nine years. Shelly will complete the relocation process for any of the families according the Relocation Act.

Carlessia Aguilar is the SDTHA accountant and she will be working with the rehabilitation project budget and all required reporting. She will be responsible in overseeing grant expenditures and assist the ICDBG Project Manager with financial reporting. She will process all invoices, document all LOCCS draw downs, issue vendor/contractor checks, create and reconcile funding sources and implement Financial Management and Internal Controls policy. Ms. Aguilar will develop, maintain, and analyze budgets, preparing periodic reports that compare budget to actual costs for Board of Commissioners, Tribal Government, and Tribal Council. Over the last 2 years, Carlessia has overseen the financial control system to protect SDTHA’s assets and ensure activities are in compliance with 24 CFR 85, OMB Circular A-87, and OMB Circular A-133. She also maintains all construction budgets, construction project files, and construction procurement files. She is knowledgeable with accounting and is experienced with private and tribal finance areas. She is

experienced with accounts payable, accounts receivable, bank reconciliations, and billing. She has been a long time employee within the Santo Domingo Pueblo and has trusting relations established within the Kewa community.

Patrick Bailon will be working closely with the families receiving rehabilitation services. He will be responsible in preparing and instructing maintenance classes to help the households maintain their homes. Mr. Bailon has been working as the Maintenance Technician for SDTHA for over 10 months, and he has performed maintenance on the 86 homes currently under management, and trained these families to how to maintain their homes or the repairs. Mr. Bailon has a construction background and has worked with new construction and rehabilitation of privately owned homes in surrounding areas outside of Kewa Pueblo. Mr. Bailon ensures all his maintenance work is completed on time and performed according to housing codes. He has performed and successfully completed maintenance work according to SDTHA policies.

Lastly, SDTHA will select a licensed Preservation Architect and a General Contractor to renovate the homes through a competitive bidding process. The bid package will require contractors to have experience with small to mid-scale moderate and substantial homeowner rehabilitation projects and adobe restoration. Architects will be required to have specific experience in tribal, historic preservation (adobe) projects involving over 10 homes and working with SHPO. The Architect will work Kevin and the Joseph to complete the plans and specifications. General Contractor will be required to have sufficient financial and staff capacity to fulfill the responsibilities of the rehabilitation project and have a commitment to provide employment, on the job training, and contracting opportunities for Section 3 residents and business. The General Contractor will be selected based on SDTHA's adopted procurement policies and in compliance with federal requirements, including Indian Preference. In more specialized areas of construction; SDTHA will procure consulting services in structural, civil, mechanical, and electrical engineers, if needed.

b. Implementation Schedule (8 Points)

The implementation schedule identifies the primary tasks as well as the time period allocated to complete each task and the overall project with the 24-month schedule. The implementation schedule will be relied upon to track the progress and ensure the project meets the required

schedule, scope and budget as well as reporting and fiscal and contract management The tasks are outlined in the attached Form 4125 and SDTHA's specific plan is detailed below:

- Grant Approval Notification *September 2013*
- Upon notification of funding award SDTHA will commence with project set up and request for proposals for Architectural Services. *September 2013*
- SDTHA will work closely with Participant Agreement and Eligibility. During this time each homeowner will sign Rehabilitation agreements. *September 2013*
- SDTHA will commence the Environmental Review process accordance to the 24 CFR Part 58. *September – October 2013*
- SDTHA will work closely with the selected architectural firm to design and implement and incorporate MFA's green build into project specifications and SDTHA housing rehabilitation policies for each home. *October – November 2013*
- SDTHA will advertise Invitation for Bid in local publishing companies *December 2013 – January 2014*
- SDTHA will publically open all bids received and select contractor after evaluation of documents *January 2014*
- SDTHA will work closely with Santo Domingo Behavioral Health Program and NM Workforce Solutions to start advertising for jobs. All applications will be reviewed and screened by the Project Manager and Behavioral Health Program for selection and hiring of individuals. *January 2014*
- SDTHA will enter into an Agreement with the selected contractor and start the rehabilitation project. Contractor will work with hired local individuals and start on-the-job training program. *January 2014 – May 2015*
- As each home is completed, the Project team will conduct homeowner maintenance training and answer any questions. Each homeowner will be assisted to move back to their completed homes. *January 2014 – May 2015*
- During the entire grant period, SDTHA will be conducting monthly meetings, monitoring the project, submit required reports. SDTHA will submit SF 425 on a quarterly basis and ASER on an annual basis. *September 2013 – September 2015*

- SDTHA will close the rehabilitation project and the required reporting and audit for the ICDBG program. *September 2015*

c. Financial Management (7 points)

On May 12, 2011, the SDTHA Board of Commissioners amended and adopted the Financial Management and Internal Controls Policy with Board Resolution #11-05-02 that meets all the requirements of 24 CFR 85 and 1003 and meets ICDBG program requirements. SDTHA will ensure adherence to the adopted Financial Management Internal Controls policy and federal accounting and procurement requirements outlined in 24 CFR 85 and 1003. These policies will assist in ensuring compliance with laws, regulation, and provisions of funding agencies and to ensure all reporting requirements are filed in a timely manner. The 2011 audit was unqualified for the financial statements and compliance over major federal awards programs.

The project team will be implementing the Financial Management Internal Control policies and procedures during the duration of the rehabilitation project.

- The Project Manger will review all invoices being submitted by the contractor to ensure all work completed is high quality work and in accordance to the scope of work, drawings, and specifications. All pay requests for rehabilitation project will require signatures from contractor, Construction Manager, and ICDBG Project Manager before being submitted to accounting for payment. All checks for the rehabilitation project will be signed by the Board of Commissioners. The payment schedule approved by contractor and SDTHA will also be utilized to monitor progress of the project.
- The ICDBG Project Manager and Accounting will coordinate the receipt and expenditure of grant funds, drawing down funds in the LOCCS system and all approvals required for expending grant funds. The amount of the drawdown will not be more than needed to meet the disbursement requirements for a three-day period. The ICDBG Project Manager will prepare contract reports, expenditure reports, progress reports and Accounting will oversee compliance and quarterly financial reports.
- Monthly meetings will be scheduled to review project performance and compliance with the Financial Management Internal Controls policies and procedures. Monthly and Quarterly reports will be available to the Board of Commissioners, Tribal Government, and Tribal Council.

d. Procurement and Contract Management (7 points)

On May 12, 2011, the SDTHA Board of Commissioners amended and adopted the Procurement Policy with Board Resolution #11-05-03 that meets all the requirements of 24 CFR 85 and 1003 and meets ICDBG program requirements. The ICDBG Project Manager will ensure adherence to the procurement policies and procedures. The team will review the procurement process before initiation of the rehabilitation project to ensure every team member understands the importance of the policies and procedures. The Invitation for Bid (IFB) will be publicly advertised in the Albuquerque Journal, Santa Fe New Mexican, and any contractor related press for 14 days. The plans and specifications will be developed in-house by the Construction Manager, and/or contracted architectural services. All bid packages will be available for pick up at the SDTHA office. The bid package will contain all documents required to submit a successful bid package, including evaluation factors, sub-factors, and Indian Preference. An on-site project walk-through will be held for all interested bidders and will be mentioned in the bid package and advertisement. The Board of Commissioners and the Project team will open all sealed bids at an opened publicly scheduled time and date and read aloud to all attending the opening. The reading shall include the bidder's name, bid price, and other documents required to submit with the bid. This information will be recorded and made available for public inspection. No commitment will be made to any bidder at the bid opening. The award will be made to the qualified Indian Enterprise with the lowest responsive and responsible bid. If no responsive and responsible bids by a qualified Indian Enterprise are met, the award will be made to the qualified bidder with the lowest responsive and responsible bid using the "X" Factor.

Rating Factor 2: Need/Extent of the Problem (16 Points)

1. Need and Viability (4 Points)

The Kewa Pueblo has identified deteriorated and vacant homes in the historic Village area of the Pueblo, particularly those occupied by the low-income and elderly and disabled, as threat to the Tribe's viability. The historic Village area consists of buildings and plaza areas that are used for cultural and traditional activities, which also drive tourism in the Pueblo, an important economic engine, and over 500 traditional homes, that provide essential shelter for Kewa tribal members.

Specifically, as the housing conditions worsen, so does the health and safety risks for the homeowners, and, eventually, families are unable to stay in their homes and live in the Pueblo. In

addition to creating vacancy and blight, it exacerbates the need for LMI housing. The elderly homeowners are also the keepers of valuable historical knowledge of Pueblo and how the homes were built and occupied as well as ancestral ownership. As a result it and threatens the very future of the Tribe if these Village homes continue to deteriorate. Therefore it is imperative for the preservation of Kewa traditions that families are able to continue to live in the Pueblo.

A National Register Historic District since 1973, the historic Village was almost entirely destroyed in the flooding of 1886 and was rebuilt using the traditional building practices for the time—including adobe (and footing) and plaster walls exterior, earthen floors, and built up earthen roofs with “vigas”, or large round wood timbers for roof framing and lattice. Over the last two centuries, the homes and plaza have suffered from disinvestment, and today the majority of the homes located in the historic area are in extremely poor condition.

The historic area in particular has severe housing needs—according the preliminary assessment of 489 homes in the historic Village conducted by the Tribe and preservation planners in 2012, almost 60% of the homes are considered to be in “poor” condition, do not meet basic HQS standards and requiring substantial rehabilitation. Of those homes, 20% have been abandoned due to the severe deteriorated. This has resulted in more overcrowding as homes fall into disrepair and families are forced to live with other family members—or move away. As a result, families are faced with unsanitary, unsafe, and indecent living conditions, threatening their ability and desire to stay and live in Kewa Pueblo. As such, of the preservation of historic Village is important for the long-term cultural and economic viability of Kewa Pueblo and Tribe has made the rehabilitation homes in the historic area a high priority. The homes in the historic area have common walls and common roofs, and are clustered in “blocks.” Physical conditions for most of the homes in the historical district include collapsing roofs and walls, substantial mold, inadequate indoor plumbing, weatherization, emergency egress and bathrooms. Most of the homes lack code compliant and modern features including, flooring, doors, windows, electrical systems, and energy efficient appliances and equipment. Many of the homes are heated with wood. The level of rehabilitation needed varies slightly from house to house. These houses have fallen into disrepair due to their age and lack of resources for families to repair and modernize the homes themselves.

Over the last 50 years, homeowners, desperate to address some of the serious deficiencies, completed reconstruction work on their own, and while their intentions were good, the work has caused additional deterioration –such as using cement plaster instead of mud plaster over adobe which causes the adobe brick to deteriorated more quickly and without notice and using asphalt roof paper which traps moisture in the roof and creates mold. Thus the Project needs the expertise of consultants to ensure the homes are rehabilitated appropriately and to the required Tribal and SHPO standards, and so SDTHA will engage licensed preservation architects, with specific experience in developing plans and specification for tribal and historic (adobe, plaster) design as well as to ensure sustainability, accredited and experience in integrating Green Standards into the specifications.

In 2010, as a result of a devastating hailstorm, these fragile roofing systems on an estimated 70 homes were destroyed, creating immediate and imminent threat to homeowners' health and safety as well as to the adjacent homes. Through an Imminent Threat ICDBG grant, Kewa Pueblo (which Project was implemented by SDTHA in 2011-2012), assessed and completed the replacement of roofs for LMI households—which, with costs savings, SDTHA has added another 6 roofs to the work plan. As a result of careful coordination of repairs to historic roof beams and adobe walls to protect interiors and walls, these interior of these homes can now be rehabilitated to HQS, Green and the Tribe's historic standards. BIA was able to help repair some of the other roofs.

Need for ICBDG The tribe has a large number of older homes – 36% of the Kewa Pueblo housing stock is over 200 years old according the census data – which requires more rehabilitation. These rehabilitations are more costly, burdening the homeowners and Tribal agencies looking to address the need. Most of the homeowners are unable to afford a rehabilitation loan and bank loans are feasible as the home would not meet bank collateral requirements for several reasons (*e.g., lack of boundary surveys, fractionated ownership*). Therefore, the ICDBG is an important and needed resource for the Project.

The proposed housing rehabilitation project will target the rehabilitation needs of 14 low-income families of Kewa Pueblo by creating healthy, safe and sustainable homes in the Kewa community, and in the historical district and cultural center for Kewa Pueblo. SDTHA will complete the rehabilitation of 14 of the 18 LMI homes that received new roofs in 2012, and 11 of these families are also elderly or disabled.

This rehabilitation project meets the community development need because without it the homes will fall further into disrepair and the community and Kewa would face the loss of homes and Tribal members and even greater rehabilitation costs if the homes are not rehabilitated. It will also help the members of Kewa Pueblo who have patiently waited on our waiting list to receive assistance and increase quality standard of living.

Exacerbating the need for rehabilitation, Kewa Pueblo also suffers from high unemployment and poverty rates, according the 2010 Census data, at 13.5% and 26.3 %, respectively. An astounding 46% of families pay more than 30% of income for housing. According to the Tribes 2010 Community Needs Assessments Report conducted by the Tribal Census Department 45% of the employed Kewa tribal members are living below the poverty level. Most of the homeowners in the historic area are very low income, elderly or disabled and were unable to afford to make the repairs and complete ongoing maintenance to their homes.

In order to raise the individual income and general economic well being of the Kewa Pueblo, local jobs and training opportunities are needed. The rehabilitation of the historic Village homes is also a key component of the Pueblo's comprehensive plan to integrate housing with other their tribal assets – the historic trading post, now under rehabilitation, and the new Railrunner commuter station at the pueblo and other physical structures, along with their significant and world renowned art production—with economic development and tourism programs. The Comprehensive Plan brings together the Tribe's Planning Department, SDTHA, the Tribe's Behavioral Services the Tribal leaders and external partners to create culturally appropriate and sustainable re-development of the significant areas. The proposed rehabilitation project will be used as an opportunity for construction employment and apprenticeships. All bids issued will include criteria for both Indian Preference as well as the number of positions allowed to train under apprenticeships with licensed trade people. In this way, SDTHA hopes to provide job training, mentorship opportunities and job skill training for tribal members.

Thus, the Project will also create job training and job opportunities for Kewa Pueblo members, and increase overall economic potential.

In addition to improving the basic rehabilitation, safety and comfort of low-income families, the rehabilitated homes will ensure the viability of the area as a thriving and vibrant housing and cultural center, ensuring the ongoing viability of the community.

2. Project Benefit (12 points)

c. Housing Rehabilitation

The FY 2013 ICDBG Needs Table for Santo Domingo Pueblo is \$496.77 and shows Kewa Pueblo is experiencing income and housing problems and is between \$327 and \$750, therefore would score the 12 points.

Rating Factor 3: Soundness of Approach (36 Points)

1. Description of and Rationale for Proposed Project

SDTHA proposes to rehabilitate 14 substandard homes occupied by LMI homeowners and located in the historic Village, the cultural, economic and housing center of Kewa Pueblo at an estimated cost of \$1,330,025 including \$500,000 in requested 2013 ICDBG funds and leveraged with the Tribe's 2013 and 2014 IHBG. SDTHA will complete the rehabilitation project within 24 months. In addition to ICDBG income eligibility, SDTHA utilized its policies to complete the selection process to determine the units in the Project and these included the household's accessibility needs and overcrowding as well as level of known health and safety code violations. The amount of ICDBG used for direct costs for each LMI household will not exceed \$50,000.

SDTHA's proposed project will help address substantial housing need of Kewa Pueblo's most needy population—low income (12 of the participants are below 50% of AMI)—and elderly and disabled. This Project will ensure the viability of the Pueblo, as these families will be able to continue to safely occupy their homes and stay living on the reservation. In addition, as homeownership is the cornerstone to strong communities, the Project will also ensure these homeowners, including the elderly, will continue to serve as a stabilizing and educational force in the historic area. It will also provide needed economic vitality to the area with both the rehabilitation and as a part of the Tribe's broader community plan to link culture and economy.

The following table includes a listing of the 14 ICDBG eligible LMI households proposed to be rehabilitated. The list includes the address, annual household income/size, and income status.

Applicant #	Applicant Address	Annual Income	HUD ICDBG Income Limits	# of persons in household	Proof of Homeownership	Elder/Disabled
	P O BOX 205 SANTO DOMINGO PUEBLO, NM 87052	\$ 3,240	30%	1	Yes	Elder/Disabled
	P O BOX 246 SANTO DOMINGO PUEBLO, NM 87052	\$ 4,368	30%	5	Yes	Elder/Disabled
	P O BOX 15 SANTO DOMINGO PUEBLO, NM 87052	\$ 12,000	30%	4	Yes	Elder
	P O BOX 551 SANTO DOMINGO PUEBLO, NM 87052	\$ 29,809	50%	7	Yes	
	P O BOX 185 SANTO DOMINGO PUEBLO, NM 87052	\$ 8,088	30%	2	Yes	Disabled
	P O BOX 145 SANTO DOMINGO PUEBLO, NM 87052	\$ 32,796	80%	4	Yes	Elder/Disabled
	P O BOX 387 SANTO DOMINGO PUEBLO, NM 87052	\$ 39,275	50%	9	Yes	Elder
	P O BOX 337 SANTO DOMINGO PUEBLO, NM 87052	\$ 9,910	30%	2	Yes	Elder
	P O BOX 271 SANTO DOMINGO PUEBLO, NM 87052	\$ 6,696	30%	1	Yes	Elder
	P O BOX 513 SANTO DOMINGO PUEBLO, NM 87052	\$ 19,250	50%	4	Yes	
	P O BOX 38 SANTO DOMINGO PUEBLO, NM 87052	\$ 22,676	50%	5	Yes	
	P O BOX 326 SANTO DOMINGO PUEBLO, NM 87052	\$ 7,200	30%	1	Yes	
	P O BOX 575 SANTO DOMINGO PUEBLO, NM 87052	\$ 8,520	30%	1	Yes	Elder/Disabled
	P O BOX 41 SANTO DOMINGO PUEBLO, NM 87052	\$ 27,040	80%	1	Yes	Elder/Disabled

Cost Effectiveness. Cost Savings. Viability

The SDTHA proposal rehabilitation on these 14 homes as the most effective approach to addressing the needs that threaten the Tribe. First, rehabilitation is more effective than demolition of the homes would leave large gaps on the physical and historic fabric of the Village and endanger the integrity

of the adjacent homes. Second, rehabilitation, based on an assessment of the overall structural integrity of each home and its impact on adjacent homes, the homes can be feasibly rehabilitated. Thus, this Project is part of a deliberate strategy to build on the work completed with the 1) 2011/2012 imminent threat grant and 2) rehabilitation of the demonstration home. The first component stabilized the roof systems of 18 homes (and another 6 were added to the Project) stopping the ongoing water leakage and damage to these homes and their adjacent homes. It also allowed the Tribe utilize preservation techniques acquired during the careful replacement of roofs that preserved the home's wall systems on historic homes. The second component tested exterior treatments and began to establish the Tribe's historic preservation standards. . In addition to accessibility improvements, where required, the detailed plans and specifications prepared by the Architectural team and SDTHA, will include adobe/block wall repair, mud or stucco, insulation to 19/39 where feasible, energy star equipment and components (windows, doors, heating, appliances, water heaters, lights) and water saving plumbing fixtures, or cement to meet the New Mexico Mortgage Finance Authority's (MFA) green build standards to ensure long-term effectiveness and universal design elements to ensure long term occupancy of elderly, disabled and all people.

Preservation Approach

The project is budgeted to entail renovations of 14 dwellings that have already received new roofs. The work of this project is to continue the investment in these homes, including repairs and appropriate treatment of the exterior walls. Cement stucco will be removed from the exterior walls. Installed in the 1970s and 1980s, this modern material was meant to provide greater protection of the historic adobe walls, but has trapped moisture within the walls, causing extensive damage. The cement will be removed and a variety of adobe repairs will be made. Most of the required repairs will be at the base of the walls where groundwater has risen into the walls, eroding the structural strength where it is needed most. Whether a traditional mud plaster or a better detailed cement plaster will be installed will be discussed by the community, a team of cultural advisors established for the Cultural District Plan, and the tribal council. Windows and doors will be replaced with new products that provide greater performance while being more historically appropriate. Budget permitting, vigas will be extended to the exteriors as they once did. On the interior of the homes, the rehabilitations will focus on housing quality issues - life safety, building systems, bathrooms, kitchens, and accessibility where desired or required. The interiors will not be

restored to a particular historic time period, but rather will balance contemporary amenities with traditional details and features that have survived.

All of the proposed homes are in the historic areas and 13 are located within the Santo Domingo Pueblo National Register Historic District (district #73001145, listed 12/12/1973). As such the rehabilitation planning will be completed with the consultation of the New Mexico Historic Preservation Division (the State Historic Preservation Office) which will complete a Section 106 review of the project to confirm that the proposed rehabilitation does not create an adverse effect on the historic resource. Each home will be assessed for environmental (lead, mold, asbestos) hazards and building code compliance. The Plans and Specifications will be in accordance with the Secretary of the Interior's Rehabilitation Standards for Historic Buildings.

This project will provide LMI households with safe, affordable homes that are culturally appropriate, environmentally and spiritually relevant, to encourage, protect, and maintain the traditional housing structure of Kewa Pueblo. By addressing the rehabilitations needs for these 14 households, the Project is addressing the three the most pressing needs that threaten the viability of the Pueblo By restoring the housing conditions within the historic area, the homes will enhance Kewa Pueblo's viability. The rehabilitation project will help preserve the historical features of each home, while enabling modernization.

The 2013 ICDBG Rehabilitation project will address the needs outlined in Rating Factor 2 and specifically for 14 LMI households currently living in substandard housing, enhancing the Kewa community's viability as presented in Rating Factor 5, by decreasing the number of substandard housing units in Kewa Pueblo by 14. This project will assist the elderly population by making the homes more universally accessible.

There are several cost saving factors, including:

- 1) Roofs were completed previously, and as a result of the careful removal and installation in 2011/2012, much of the existing walls were preserved (about 5-10%). In addition, the work prevented further damage and deterioration to the homes. Estimated saving are \$8,400 on preservation of walls.

- 2) Inclusion of Joseph Kunkel, the Enterprise Rose Fellow, who will undertake the key tasks of facilitating the community meetings on design, and coordinate the consulting Architect team (with Project Director and the Construction Manager) as they are devising the plans and specifications with Kevin. The value of this service is based on the Enterprise base salary of \$4,700 per year for 2 years.
- 3) Without rehabilitation, the homes to continue to deteriorate, and they will have to be vacated and demolished. This would cost more in both demolition and to develop permanent stabilization of the shared walls of adjacent homes. In addition, have to develop replacement homes there are elsewhere is more costly overall, then rehabilitating these homes. This strategy also does not address the Tribe's identified community viability threat. Stabilization costs are estimated at \$7,000-15,000 per home demolished and land acquisition, new construction of replacements homes are \$150,000 for the basic house and assuming another 15,000 for infrastructure on average. This is in contrast to the rehabilitation with an average costs of \$87,000 or 52% less. Thus, a cost savings of \$78,000 for the total Project in hard costs to rehabilitate versus new construction.
- 4) The preliminary assessment of the homes and the historic preservation strategy has been outlines, saving approximately \$1,500 per unit in planning costs, for total savings of \$21,000.
- 5) The homes are clustered in the historic area and are also the same type of rehabilitations, saving mobilization costs and creating cost efficiencies with the purchase of similar materials. This is estimated to save 1-2% of the total home costs with a per home saving of between \$870 to \$1,270 per unit or about \$12,180 to \$24,360 for the Project.

SDTHA will reduce construction costs by rehabilitating the current homes within the historical district instead of building new ones.

2. Budget and Cost Estimates

Daniel Barboa, AIA, Preservation Specialist with Atkin Olshin Schade, completed the estimates for this rehabilitation Project in collaboration with Kevin Esquibel, Construction Manager based the preliminary assessment phase (a separately procured and funded contract) for the home rehabilitations. Kevin completed the accurate and timeline estimate for Historic Preservation Project, which was within 1-3% of the bid. Over the last 5 years Daniel has accurately prepared

rehabilitation estimates for over 93 similar historic rehabilitation buildings, of which 91 were historic preservation adobe homes on tribal lands. Daniel's used his experience in value engineering and scoping larger scale previous historic preservation, adobe projects on tribal lands to devise these estimates. Greta Armijo, SDTHA Executive Director Greta has successfully devised accurate Planning and Administrative budgets for SDTHA over the last 8 years (6 years as SDTHA accountant) for all of the SDTHA funding programs and projects.

3. HUD Policy Priorities

Job Creation/Employment

With this project, SDTHA will be able to create employment for the community. These jobs will range from carpenters, adobe skilled labors/carpenters, electricians, plumbers, and labors. The Santo Domingo Behavioral Health Department through New Mexico Workforce Solutions will be working closely with SDTHA to advertise job announcements. Providing employment within the community will help ease the high unemployment rate for at least the next 24 month period. All employees hired will have the ability to learn on-the-job training during the duration of the rehabilitation project.

Sustainability

All the homes receiving assistance will have Energy Star Low-E rated windows and Energy Star rated doors installed in each home. Homes that require a heating upgrade will be replaced with High performance HVAC systems. Each home will be insulated with high insulation levels for exterior walls. The light fixtures will be replaced with energy efficient and energy saving light bulbs with use ¼ less energy than incandescent light bulbs. Water heaters will be replaced with high demand integrated with hydronic heat. The bathroom and kitchen faucets will be replaced with low-flow faucets and low-flow toilets. All appliances will be replaced with Energy Star rated appliances. SDTHA will reference MFA's Green Building Criteria Checklist 2013 to ensure the each home meets the MFA Green Build Criteria.

4. Commitment to Sustain Activities (12 points)

b. Housing Rehabilitation

Each family will have sole responsibility for all routine and non-routine maintenance of their homes once rehabilitation and inspection is completed. In accordance with SDTHA Rehabilitation policy, before the commencement of rehabilitation, each participant shall be required to enter into a

Rehabilitation agreement. The Rehabilitation agreement will clearly set forth the ongoing responsibilities for each homeowner. This agreement will be required to be signed by both the homeowner and SDTHA. This agreement will be signed prior to the start of the rehabilitation of their home. The participant agrees to provide maintenance to the housing unit for five years after the work is complete. To ensure compliance with these requirements, SDTHA will conduct annual inspections for a five (5) year period in accordance with their policies and affordability periods. Families will be responsible for on-going maintenance of their rehabilitated homes. SDTHA will assist families maintain their homes in the following ways:

- Provide training and workshops for minor repair of their home
- Provide annual/quarterly/ monthly budgeting, preventative maintenance training, and inspection sessions to identify major and minor maintenance concerns.
- Provide crime, drug/alcohol, and safety awareness

Each family will be responsible for the following list of maintenance:

- Change furnace filters at a minimum of twice each year and repair furnace units as necessary
- Inspection and repair of toilets, faucets, traps, and other plumbing twice each year
- Inspection of ranges and refrigerators once a year
- Regular inspection of smoke and carbon monoxide alarms
- Inspection of interior walls and doors once a year
- Inspection of the exterior of the unit at least once a year
- Flush hot water heaters once a year

Rating Factor 4: Leveraging Resources (8 Points)

Through board resolution #13-03-01 SDTHA has committed \$250,000 from 2013 Indian Housing Block Grant (IHBG) and a future commitment of \$250,000 from its 2014 IHBG toward this project. This represents a level leverage of 38% for the total Project

Rating Factor 5: Comprehensiveness and Coordination (10 Points)

Coordination (3 points)

This Project is a part of the Tribes comprehensive plan to reinvigorate the historic Village, which is comprised of over 500 historic homes, cultural buildings and plazas.

SDTHA is coordinating with the Santo Domingo Tribal Planning Office, who is a recipient of a National Endowment for the Arts (NEA) "Our Town" Program to create a Kewa Cultural District. SDTHA is working with Kewa Planning Department to ensure this Project is consistent Cultural District Plan for the historic village and adjacent village of Domingo, which is the location of an historic trading post, now under restoration, and the new Railrunner commuter station at the pueblo. The project has begun with mapping and assessments, the analysis of historic photographs, and a major outreach to community members to discuss and elicit ideas about the future of the pueblo's buildings and their uses. The Cultural District Plan will provide the tribe with a strategy to integrate their significant and world-renowned art production into the physical structure and rehabilitation of the pueblo. Economic development and tourism programs will be carefully designed to achieve community goals and to build long-term sustainability for pueblo arts. SDTHA is also coordinating with the Behavioral Services Department, who has assumed the tasks of Tribe's Work Force department. Behavioral Services will link SDTHA project residents and other tribal members to employment and job training opportunities and help SDTHA to link people they have trained during the course of rehabilitation to potential employers. In addition they will assist families referred to by SDTHA to help families address social service issues, which will assist these families to be able to stay in their home.

In addition to SDTHA will collaborate with the Utility, Social Service to ensure the homeowners are able to hook up to safe and sanitary water, sewer services and receive regular services, especially if they are in relocation. SDTHA is continually working with the Tribal Governor, Lieutenant Governor, Tribal Officials, identifying both low-income families and homes to be recorded in our database in the form of waiting list. None of these tribal departments provide any funding for rehabilitation or repairs to SDTHA, but they do provide a strong resource group that our organization can rely on for intake information.

In addressing the need for repairing and rehabilitating the homes for low-income families, all the above programs work to achieve in providing decent and safe homes for Kewa Pueblo.

2. Outputs, Outcomes, and/or Goals (7 points)

As a result of the Project, reducing substandard housing for LMI homeowners, increasing economic opportunities for Tribal members through the rehabilitation process and access to job and job

training, making linkages with partners, the historic area will begin to be enhanced and, as a result, Tribes s viability will be preserved.

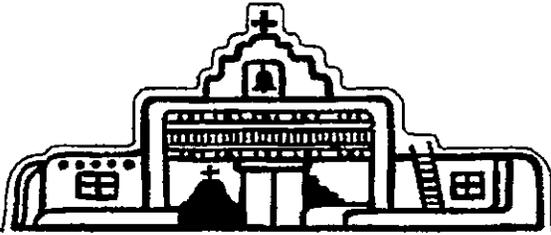
Outputs:

1. Plans and specifications for historic homes that meet SHPO and Tribal standards for the historic areas;
2. Rehabilitation 14 substandard homes that are occupied by low-income homeowners that meet HQS, tribal and SHPO standards and MFA Green Building (including EnergyStar® rated appliances and products);
3. Removal of environmental health hazards and installation of accessibility and universal/visitability design features for 14 LI income homeowners, of which 11 are elderly and disabled;
4. Incorporation of the Section 3, MBW/WBE and Indian Preference requirements into the bidding and contract documents;
5. Partnership with tribal job training entity.

Outcomes:

1. At the completion of the rehabilitation project, the number of substandard homes occupied by low-income Kewa Pueblo members are currently occupying will decrease by 14 (measured by the rehabilitation progress completion and approval form for homeowners)
2. 14 homes will have healthier, accessible environments and be less costly to heat (measured by the pre and post test for environmental hazards, rehabilitation completion reports and the completion of the MFA Green Building Checklist and homeowner surveys to be completed 6 months post rehab)
3. 14 homes will be rehabilitated to the Tribe's historic standard, thereby preserving the culture and residential use of the historic area (measured by Architect's completion certificates, Tribal/SHPO final approvals);
4. 14 LMI homeowners will have reduction of health related hazards by providing weather-tight homes for those prone to have low immune systems and air quality conditions. Rehabilitation project will incorporate energy efficiency standards the meet the Energy Star standards
5. A minimum of 15 tribal members will be trained during the course of the project, receiving 500 hours of training in adobe and carpentry skills of which 8 will be Section 3 employees (measured by Section 3 and job reports;

6. A minimum of 15 jobs will be created and 4 retained, of which 2 will be Section 3 employees (measured by Section 3 and Job reports);
7. An expected 1 of 2 contractors to be hired will be Section 3 business, Native American or other minority contractor (measured by Section 3 and Job reports);
8. The outputs and outcomes benchmarks for the Project will be completed within the 24-month implementation schedule.



Santo Domingo Tribe

OFFICE OF THE GOVERNOR P.O. BOX 99, SANTO DOMINGO PUEBLO, NEW MEXICO 87052 Telephone (505) 465-2214/2215 FAX (505) 465-2688

KEWA PUEBLO TRIBAL COUNCIL RESOLUTION Resolution No. 2013- 02-13-05

AUTHORIZING SANTO DOMINGO TRIBAL HOUSING AUTHORITY TO APPLY FOR THE 2013 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT FUNDS ON BEHALF OF THE KEWA PUEBLO, EVIDENCE THE TRIBE MET CITIZEN PARTICIPATION REQUIREMENTS AND PROJECT THRESHOLDS

WHEREAS the Kewa Pueblo (formerly Pueblo of Santo Domingo) is a sovereign Indian tribal government, recognized as such by the Secretary of the Interior of the United States of America (Secretary) having a traditional government with no constitution; and

WHEREAS the Kewa Pueblo Tribal Council ("Tribal Council") is the duly constituted governing body of the Pueblo; and

WHEREAS Santo Domingo Tribal Housing Authority ("SDTHA") was established by the Tribal Council as the Tribally Designated Housing Entity (TDHE); and

WHEREAS the Pueblo is eligible to apply for Indian Community Development Block Grant ("ICDBG") funds pursuant to 24 C.F.R. § 1003.5(a); and

WHEREAS eligible tribal organizations may apply for ICDBG funds on behalf of any eligible Indian tribe pursuant to 24 C.F.R. § 1003.5(b);

WHEREAS SDTHA has been determined by the Bureau of Indian Affairs to be an eligible Tribal Organization pursuant to the Indian Self Determination and Education and Assistance Act and therefore recipient of ICDBG funds; and

WHEREAS the Tribal Council has determined that ensuring Tribal members are able and desire to continue to live in the Kewa Pueblo in safe, decent, and affordable housing is critical to the viability of the Tribe and that the stability and well being of the Kewa Pueblo is threatened by the deterioration and substandard housing conditions of Pueblo homes, particularly the traditional and historic homes, occupied by Low and Moderate Income ("LMI") Tribal members. Therefore, rehabilitation of homes on the reservation occupied by LMI tribal members is a high priority for the Kewa Pueblo and SDTHA; and

WHEREAS there are ICDBG eligible beneficiaries (homeowners and occupants) in Kewa Pueblo in need of housing rehabilitation and ICDBG assistance to rehabilitate the homes to the Housing Rehabilitation Standards and Policies adopted by SDTHA under Resolution #11-06-01; and

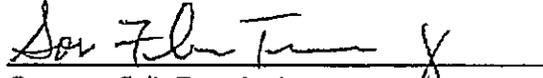
WHEREAS Kewa Pueblo (the tribe) sought and considered community input and posted the Citizen Participation Statement, Budget, and Schedule for the Rehabilitation Project in advance of the 2013 Indian Community Development Block Grant Application in compliance with the Notice of Funding Availability governing the contents of the application for 2013 ICDBG funding expressed at 24 C.F.R § 1003.604(a), and has considered comments received in preparing the application; and

NOW THEREFORE BE IT RESOLVED that the Tribal Council hereby authorizes SDTHA, an eligible tribal organization, to apply for 2013 ICDBG funds on its behalf; and

BE IT FINALLY RESOLVED that the Santo Domingo Tribal Housing Authority and tribal officials are expressly authorized to take any and all steps necessary to effectuate the intent of this Resolution.

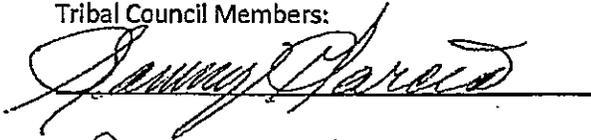
CERTIFICATION

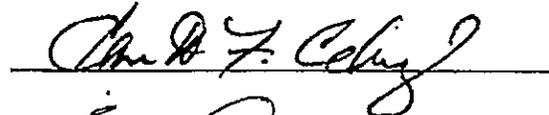
I, the undersigned, as Governor of the Kewa Pueblo (Santo Domingo) do hereby certify that the foregoing resolution was considered and adopted at a duly called meeting of the Kewa Pueblo Tribal Council on the 7th day of February, 2013, at which a quorum was present, and that the same was adopted by a vote of 22 in favor, 0 opposed, abstaining, and absent.


Governor Felix Tenorio, Jr.


Lieutenant Governor Raymond Aguilar, Jr.

Tribal Council Members:













Implementation Schedule
Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 1/30/2015)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424) **Santo Domingo Tribal Housing Authority**

2. Application/Grant Number (to be assigned by HUD)

3. Original (first submission to HUD) Pre-Award Submission Amendment (submitted after grant approval)

Date (mm/dd/yyyy) **03/18/2013**

4. Name of Project (as shown on form HUD-4123, item 4) **SDIHA Rehabilitation Project 2013**

5. Effective Date (mm/dd/yyyy) **09/02/2013** | Expected Completion Date (mm/dd/yyyy) **08/30/2015** | Expected Close-out Date (mm/dd/yyyy) **09/30/2015**

6. Environmental Review Status

Exempt (As described in 24 CFR 58.34) Under Review (Review underway; findings not yet made)

Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)

EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37) Not Started (Review not yet begun)

Certification (Environmental review completed; certification and request for release of funds being prepared for submission.) Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy)

8. Task List (List tasks such as environmental assessment, acquisition, etc.)

9. Schedule. Use Calendar Year (CY) quarters. Fill in the CY below. See detailed Instructions on back.

	CY <u>15</u>												Date (mm/dd/yyyy) (if exceeds 6th Q. it												
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	9th Qtr.	10th Qtr.	11th Qtr.	12th Qtr.													
Rehabilitation of Project/On-the-job training	J	F	M	A	M	J	J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	
Homeowner Maintenance/Completion and Approval	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
Monitoring/Reporting/Progress Meetings	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
Project Close-Out																									
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$ 216,129.00	\$ 54,531.00	\$ 43,891.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ Total 314,551.00
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$ 216,129.00	\$ 270,660.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ 314,551.00	\$ Total 314,551.00

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for Item 4.

Project Name and Project Type

Participants enter the project name and the name of one of the following three categories of activities:

- Housing
- Community Facilities
- Economic Development

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

Housing

Rehabilitation Component

- Rehabilitation
- Demolition

Land to Support New Housing Component

New Housing Construction Component

Community Facilities

Infrastructure Component

- Water
- Sewer
- Roads and Streets
- Storm Sewers

Buildings Component

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development

Santo Domingo Tribal Housing Authority -Detail Budget -2013 ICDBG

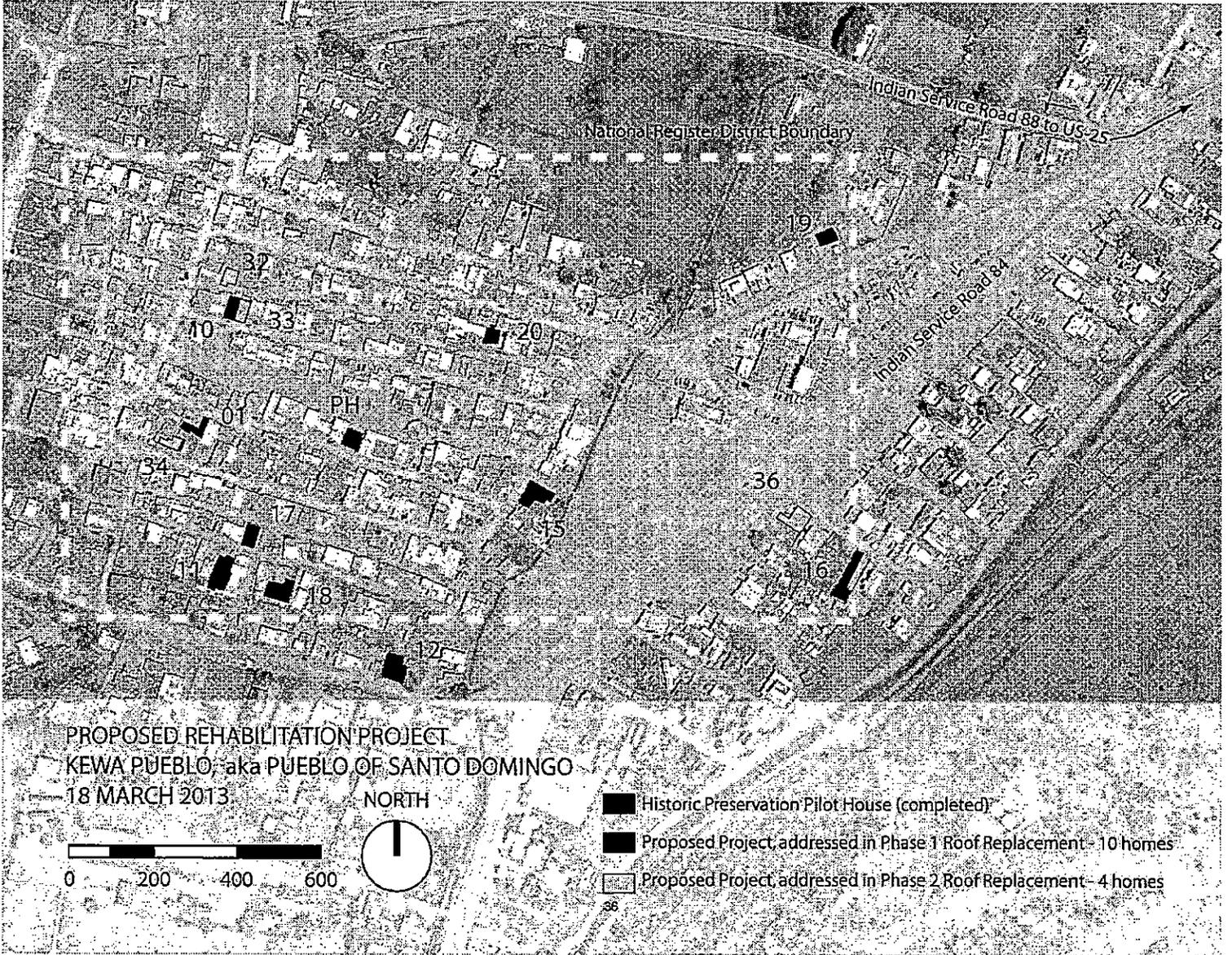
	Description	Quantity	Measure	Per Unit Cost	Unit Extension	Project Extension	
1	REHABILITATION						
2	Exterior Stabilization						
3	Windows	5.00	each	\$ 505	\$ 2,525	\$ 35,350	
4	Doors	2.00	each	\$ 500	\$ 1,000	\$ 14,000	
5	Roof-Flat		Sq.				
	Structural carpentry repairs	1.00	each	\$ 1,500	\$ 1,500	\$ 21,000	
6	Earthen Plaster	600.00	Sq. Ft	\$ 35	\$ 21,000	\$ 294,000	
7	Adobe Repairs (minor)	175.00	Sq. Ft	\$ 13	\$ 2,275	\$ 31,850	
8	Adobe Repairs (major)	100.00	Sq. Ft	\$ 60	\$ 6,000	\$ 84,000	
9	Adobe Reconstruction	50.00	Sq. Ft	\$ 100	\$ 5,000	\$ 70,000	
10					\$ 39,300	\$ 550,200	
11	Interior and Energy Standards						
12	Accessibility/Universal Design	1	each	\$ 734	\$ 734	\$ 10,280	
13	Interior Carpentry and Cabinetry	1	each	\$ 1,000	\$ 1,000	\$ 15,000	
14	Interior plaster	1000	sq. ft.	\$ 4	\$ 4,000	\$ 56,000	
15	Outlets	15	each	\$ 70	\$ 1,050	\$ 14,700	
16	Switches	8	each	\$ 55	\$ 440	\$ 6,160	
17	Smoke detectors	5	each	\$ 130	\$ 650	\$ 9,100	
18	Light Fixtures	7	each	\$ 125	\$ 875	\$ 12,150	
19	Electrical	4	each	\$ 85	\$ 340	\$ 4,760	
20	Hazard Testing (Lead-based Paint/Mold/Asbestos)	1	each	\$ 250	\$ 250	\$ 3,500	
21	Hazard Abatement/Mitigation	1	each	\$ 750	\$ 750	\$ 10,500	
22	Hydronic boiler/heat pump	1	each	\$ 4,950	\$ 4,950	\$ 69,300	
23	Heating	700	SF	\$ 5	\$ 3,500	\$ 49,000	
24	Water Heater	1	each	\$ 700	\$ 700	\$ 9,800	
25	Plumbing supply & waste	1	house	\$ 5,000	\$ 5,000	\$ 70,000	
26	Tub/Shower valve	1	each	\$ 450	\$ 450	\$ 6,300	
27	1.6 gallon low flow toilet	1	each	\$ 350	\$ 350	\$ 4,900	
28	5' steel bathtub	1	each	\$ 700	\$ 700	\$ 9,800	
29	Plumbing Fixtures	1	each	\$ 595	\$ 595	\$ 8,330	
30	Subfloor	700	SF	\$ 4	\$ 2,800	\$ 39,200	
31	Flooring	700	sq. ft.	\$ 8	\$ 5,600	\$ 78,400	
32	30" Energy Star range	1	each	\$ 400	\$ 400	\$ 5,600	
33	30" vented hood	1	each	\$ 125	\$ 125	\$ 1,750	
34	Appliances	1	each	\$ 700	\$ 700	\$ 9,800	
35	33" Energy Star refrigerator	1	each	\$ 200	\$ 200	\$ 2,800	
35					\$ 36,309	\$ 508,330	
36	Total Rehabilitation Costs				\$ 75,609	\$ 1,058,530	
37	DIRECT PROJECT COSTS - SOFT COSTS						
38	Architectural and Engineering-Preservation and Design			8.0%	\$ 6,049	\$ 84,682	
39	Relocation Costs	1	Each	\$ 1,000	\$ 1,000	\$ 14,000	
40	Archeology	1	Each	250	\$ 250	\$ 3,500	
41					\$ 7,299	\$ 102,182	
42	DIRECT PROJECT COSTS - STAFF						
43	ICDBG Construction Manager (% of time/two year)	30%	\$ 54,776	2	\$ 2,347.54	\$ 32,866	
44	Architectural Rose Fellow (100%/ two years)	Base Salary Leverage from Enterprise	8.7%	\$ 54,050	2	\$ 2,176	\$ 30,405
45					\$ 3,019	\$ 42,270	
46	PLANNING AND ADMINISTRATIVE						
47	ICDBG Project Director (% of time/two years)	45%	\$ 62,376	2	\$ 4,509.89	\$ 63,138	
48	Fiscal Manager (% of time/two years)	15%	\$ 33,488	2	\$ 2,176	\$ 30,405	
49	Homeowner Counselor (% of time/two years)	40%	\$ 41,071	2	\$ 2,346.91	\$ 32,857	
50							
51	Operations (percent of Annual Operations)	17.50%	\$ 80,000	2	\$ 2,000	\$ 28,000	
52					\$ 9,074	\$ 127,042	
53	Total Estimated ICDBG Project				\$ 95,002	\$ 1,330,025	

	% P & A by Source	Per Unit Cost	Total
ICDBG Direct Costs		\$ 50,000	\$ 700,000
IHSG (Direct Costs)		\$ 35,380	\$ 495,456
Enterprise Community Partners (Direct Costs)		\$ 537	\$ 7,525
Total Potential Rehab Costs		\$ 85,927	\$ 1,195,458
P & A ICDBG	17.50%	\$ 8,750	\$ 122,600
P & A IHSG	0.31%	\$ 314	\$ 4,542
P & A Enterprise	0.00%	\$ -	\$ -
Total P & A	9.35%	\$ 9,074	\$ 127,042
Total ICDBG		\$ 58,750	\$ 822,500
Total IHSG		\$ 35,714	\$ 500,000
Total Enterprise		\$ 537	\$ 7,525
Total Project		\$ 95,002	\$ 1,330,025
Does Budget Exceed Maximum 2013 ICDBG?	No	\$ 825,000.00	
Does Budget Exceed Maximum SOTHA Leverage	No	\$ 500,000.00	

Santo Domingo Tribal Housing Authority

Budget Line Item

Staff Training	1,500.00
Travel Expenses	1,200.00
Telephone/Internet	2,640.00
Publications	500.00
Advertisements	600.00
Postage	1,200.00
Office Supplies	3,000.00
Office Equipment	5,000.00
Rental Equipment: Copier	6,000.00
Insurance	26,000.00
Auto Insurance	1,500.00
Utilities: Water/Waste Water	1,200.00
Utilities: Electrical	1,800.00
Utilities: Gas	960.00
Vehicle Maintenance	1,000.00
Planning & Administrative	<u>54,100.00</u>





SANTO DOMINGO TRIBAL HOUSING AUTHORITY

P. O. Box 10, Santo Domingo Pueblo, NM 87052
(505) 465-1003/0631 Fax (505) 465-1012

SANTO DOMINGO TRIBAL HOUSING AUTHORITY RESOLUTION NO. 13-03-01 AUTHORIZING IHBG FUNDS AS LEVERAGE TO 2013 ICDBG APPLICATION

WHEREAS, Kewa Pueblo has determined rehabilitation of homes, particularly substandard and deteriorated traditional and historic homes, occupied by LMI tribal members is critical to ensuring that Kewa Pueblos remains a place where Tribal members are able and desire to live is critical to the viability of the Pueblo; and

WHEREAS, Santo Domingo Tribal Housing Authority, as the Tribally Designated Housing Entity, is authorized to develop, rehabilitate and manage affordable housing for Kewa Pueblo and to participate in the design and implementation of comprehensive community and housing development activities; and

WHEREAS, the Kewa Pueblo Tribal Council has authorized the Santo Domingo Tribal Housing Authority (SDTHA), as a Tribal Organization so determined by the BIA under the Indian Self-Determination and Education Assistance Act, to apply for a Fiscal Year 2013 Indian Community Development Block Grant funding on behalf of the Kewa Pueblo Indian tribe in accordance 24 U.S.C. § 1003.5(b) under Tribal Resolution No. 02-13-05; and

WHEREAS, the Kewa Pueblo sought community input and posted the Citizen Participation Statement, Budget, and Schedule for the Project in advance of the 2013 Indian Housing Block Grant Application; and

WHEREAS, Kewa Pueblo and Santo Domingo Tribal Housing Authority have adopted housing rehabilitation standards and policies in accordance with Tribal Law and practice under SDTHA resolution 11-06-01; and

WHEREAS, the Santo Domingo Tribal Housing Authority has adopted fiscal management and contract and procurement policies under resolution number policies and procedures and in accordance with the SDTHA by-laws amended under resolution number 11-05-02; and

WHEREAS, the Santo Domingo Tribal Housing Authority has adopted a code of conduct policy consolidated from code of conduct provisions in its adopted Ethics, Personnel and Procurement Policies and prepared and disclosed to the public a statement of conflict of interests, specifically that no conflict of interest exists within the qualified applicants for the housing rehabilitation project and the criteria for the selection of the proposed beneficiaries. The Code of Conduct provisions in these policies are consolidated under the *Santo Domingo Tribal Housing Authority (SDTHA) Code Of Conduct Policy Statement An Overview And Incorporation Of*

Currently Adopted Policies, which is signed by the Executive Director of SDTHA and to be attached to the 2013 application.

WHEREAS, the Santo Domingo Tribal Housing Authority is in compliance with other 2013 ICDBG Program Threshold requirements including those pursuant to 24 CFR 1003.301(a), and has no outstanding civil rights matters.

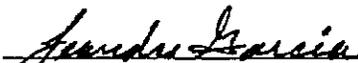
NOW, THEREFORE, BE IT RESOLVED that the Santo Domingo Tribal Housing Authority is hereby submitting an application for 2013 ICDBG funding on behalf of the Kewa Pueblo to rehabilitate substandard homes occupied and owned by LMI tribal members to the adopted housing rehabilitation standards; and

BE IT FURTHER RESOLVED that the Santo Domingo Tribal Housing Authority authorizes its Executive Director to take any and all actions necessary to carryout the intent of the Project and the 2013 ICDBG application and process; and

BE IT FINALLY RESOLVED that the Santo Domingo Tribal Housing Authority hereby commits \$250,000 in 2013 funding as identified in the 2013 Indian Housing Plan and \$250,000 of Kewa Pueblos future 2014 Indian Housing Block Grant funds for the rehabilitation project and SDTHA will include this activity and IHBG amount in their 2014 Indian Housing Plan, when it is due in October 2013 and the SDTHA is expressly authorize to take any and all steps necessary to effectuate the intent of this Resolution.

CERTIFICATION OF THE SANTO DOMINGO TRIBAL HOUSING AUTHORITY

I, the undersigned, duly selected Chair of the Santo Domingo Tribal Housing Authority, do hereby certify that the foregoing Resolution was presented to and duly approved by the Board by a vote of 5 for, and 0 abstaining, at a duly called regular meeting of the Board held on March 7, 2013, at which a quorum was present.



Leandro Garcia, Board Chair

Cynthia Aguilar, Board Vice-Chair



Iris Reano, Secretary



Paul Coriz, Treasurer



SANTO DOMINGO TRIBAL HOUSING AUTHORITY

P.O. Box 10, Santo Domingo Pueblo, NM 87052
505-465-1003/0631 FAX: 505-465-1012

January 13, 2006

Florine L. Gutierrez, Superintendent
BIA/Southern Pueblos Agency
P.O. Box 1667
Albuquerque, NM 87103

Dear Ms. Gutierrez:

The Santo Domingo Tribal Housing Authority will submit an application for the 2006 Indian Community Development Block Grant (ICDBG) where we will request funding for the home rehabilitation of elderly and disabled low income families. Under the ICDBG regulation, we will need a Tribal Council resolution, which we expect to get approval; and an accompanying letter from the BIA that we are an eligible organization under the Title I of the Indian Self-Determination and Education Assistance Act. See included excerpt from the ICDBG CFR.

HUD is expected to publish the NOFA early this year and we are going to be proactive and request this letter at the present time. We are prepared to give you any information that is required for this process.

Sincerely,

Charles R. England
Executive Director



United States Department of the Interior



BUREAU OF INDIAN AFFAIRS
Southern Pueblos Agency
P.O. Box 1667
Albuquerque, New Mexico 87103

IN REPLY REFER TO:
Indian Self-Determination Office

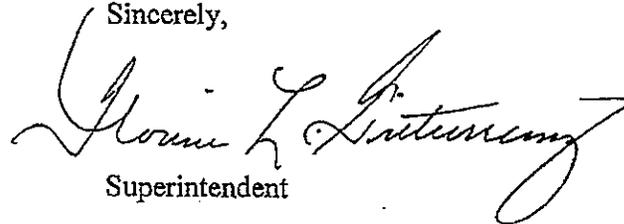
FEB 01 2006

Charles R. England, Executive Director
Santo Domingo Tribal Housing Authority
P.O. Box 10
Santo Domingo Pueblo, New Mexico 87052

Dear Mr. England:

This Agency hereby certifies that the Santo Domingo Tribal Housing Authority is an eligible organization under Title I of the Indian Self-Determination and Education Assistance Act (Pub. L. 93-638). The Bureau of Indian Affairs' Housing Improvement Program is currently contracted with the Santo Domingo Tribal Housing Authority, which is administered on behalf of the Pueblo of Santo Domingo. If you have any questions, please do not hesitate to contact Christine R. Savilla, Awarding Official, at (505) 563-3610.

Sincerely,



Superintendent

Enclosure

cc: The Honorable Julian Coriz, Governor, Pueblo of Santo Domingo

Grant Agreement



Enterprise Community Partners, Inc.
American City Building
10227 Wincopin Circle
Columbia, MD 21044
Main: 410-964-1230
Fax: 410-772-3057

Enterprise Contact: Kate Diffenderfer
Phone: 410.772.2429
Email: kdifferfer@enterprisecommunity.org

Grant #: 12SG1973
Type of Action: Award
Effective Date: 11/26/2012
Grant Amount: \$142,500.00
Period of Performance: 01/01/2013 - 12/31/2015
Funder Agreement #: B-10-CB-MD-0001
Funder Name: U.S. Department of Housing and Urban Development

Grantee Name and Address:

Santo Domingo Tribal Housing Authority
PO Box 99
Santo Domingo Pueblo, NM 87052
Main: (505) 465-2214

Contact Information:

Kenneth Pin
Planning Director
(505) 465-2214 x. 2246
kennethpin@hotmail.com

Disbursement Details and Special Conditions:

All grantees are REQUIRED to have a DUNS number and be registered on the System for Award Management (SAM) website: www.sam.gov. Please ensure your registration in SAM remains active throughout the grant period of performance. Submit your DUNS number to oosilesi@enterprisecommunity.org upon receipt.

Grantee agrees, as applicable, to comply with the requirements of the Federal Funding Accountability and Transparency Act (FFATA or Transparency Act) and provide the necessary information to enable Enterprise to comply with the FFATA reporting requirements. Details on the Transparency Act can be found at <http://www.fars.gov/>.

Grant funds are issued on a cost reimbursement basis. Disbursement requests should be submitted quarterly on March 31, June 30, September 30 and December 31 along with a Narrative Report and a Project Work log. At the end of the grant period, grantee must submit a cumulative Narrative Report and final Close Out documentation (Exhibit E) along with the final disbursement request. If match has not yet been submitted, please complete the Certification of Private Match form and provide appropriate back up documentation with the final disbursement request.

Enterprise Community Partners, Inc. American City Building 10227 Wincopin Circle Columbia, MD 21044 Main: 410-964-1230 Fax: 410-772-3057	Grant #: 12SG1973 Type of Action: Award Effective Date: 11/26/2012 Grant Amount: \$142,500.00 Period of Performance: 01/01/2013 - 12/31/2015 Funder Agreement #: B-10-CB-MD-0001 Funder Name: U.S. Department of Housing and Urban Development
<p>This grant agreement sets forth the agreement between the parties and consists of the following documents which are attached and made a part hereof:</p> <p>Exh A - Scope of Work; Exh B - Budget & Disbursement Forms; Exh E - Close Out Documents; Federal Provisions; Match Certification; Standard Terms & Conditions; FFATA Form</p> <p>This Grant Agreement also includes any modification(s) that may be subsequently executed by the parties.</p>	

By signing below, Santo Domingo Tribal Housing Authority or his authorized representative hereby understands and agrees to the terms and conditions set forth in this agreement and the attached documents:

For: Santo Domingo Tribal Housing Authority

Signature:

Name & Title: _____

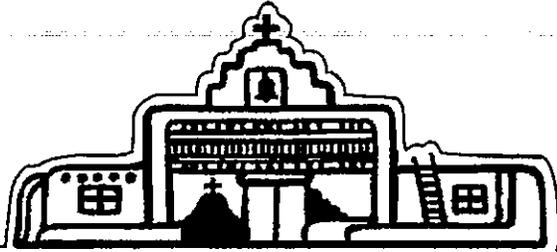
Date: _____

For: Enterprise Community Partners, Inc.

Signature:

Name & Title: Petra Montague, Vice President of Grants and Contracts Management

Date: _____



Santo Domingo Tribe

TRIBAL PLANNER P.O. BOX 99, SANTO DOMINGO PUEBLO, NEW MEXICO 87052 TELEPHONE (505) 465-2214 X-246 FAX (505) 465-2688

Ms. Greta Armijo
Executive Director
Santo Domingo Tribal Housing Authority
PO Box 10
Santo Domingo Pueblo, New Mexico 87052

Re: 2013 ICDBG Application

Dear Ms. Armijo:

The Kewa Pueblo Planning Department ("Planning") is pleased to be coordinating with the Santo Domingo Housing Authority ("SDTHA") among other Tribal, state and federal funding agencies on the Tribe's comprehensive plan to integrate culture and the tribal economy, which as know is also linked with the important housing rehabilitation work that is needed in the historic areas of the Pueblo.

The Pueblo is embarking on a National Endowment for Arts ("NEA") funded "Our Town" Cultural District Planning effort that will result in a Cultural District Plan for the historic village and adjacent village of Domingo, which is the location of an historic trading post, now under restoration, and the new Rail Runner commuter station at the pueblo. The project has begun with mapping and assessments, the analysis of historic photographs, and a major outreach to community members to discuss and elicit ideas about the future of the pueblo's buildings and their uses. The Cultural District Plan will provide the tribe with a strategy to integrate their significant and world-renowned art production into the physical structure and rehabilitation of the pueblo. Economic development and tourism programs will be carefully designed to achieve community goals and to build long-term sustainability for pueblo arts.

SDTHA and Planning are coordinating their efforts as follow:

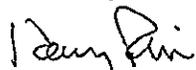
- Joint planning meetings to ensure the scope and schedule of the programs are in sync and consistent with the Tribe's priorities;
- Community Meeting to ensure the homeowners in the historic Village have a venue to advise to Planning on their needs and preferences for work/artist space and balancing tourism in the Pueblo with the need for privacy for the residents;

residents;

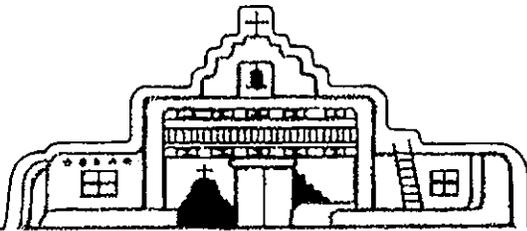
- Cross education and sharing information on SDTHA's work on documenting building and Tribal history and building/preservation techniques and costs;

A 2013 ICDBG grant would enable the SDTHA to being to implement the Tribe's vision by completing the rehabilitation of the first phase of whole house renovations in the historic area.

Sincerely,



Kenny Pin,
Planning Director



Santo Domingo Tribe Behavioral Health Program

P.O. Box 130 • Santo Domingo Pueblo, New Mexico 87052 • Telephone 505-465-2733 / 505- 465-2329 • Fax 505-465-0433

Ms. Greta Armijo
Executive Director
Santo Domingo Tribal Housing Authority
PO Box 10
Santo Domingo Pueblo, New Mexico 87052

Re: 2013 ICDBG Application

Dear Mrs. Amijo:

The Santo Domingo Behavioral Health (SDBH) provides substance abuse and mental health services to the Kewa Pueblo Tribal members. In 2012, with the closure of the Tribe's Workforce Investment Services Department, we have focused on serving Veterans and their families due to no funding and limited resources. This involves linking our Veterans with the services of the State of New Mexico's Department of Workforce Solutions to ensure they are able to obtain employment services and employment opportunities.

In addition to the above, we offer career exploration through Kewa House, a Positive Youth Development Center for Natives 13 to 21 years of age (known as Kewa House). Through Kewa House, we assist with career exploration through the self administration of a career interest inventory (O NET*) Profiler that helps members to identify occupational areas of interest and required skills-sets/training. Additionally, we also provide the following:

- Linking members to GED preparation classes/testing.
- Assisting members to re-enroll in high school if they wish to return.
- Determining which school is right by arranging college trips and visits from colleges.

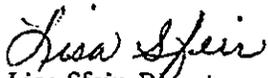
Our Center is pleased to coordinate with the Santo Domingo Housing Authority ("SDTHA") to achieve our mutual goals, which for SDTHA involves maximizing employment services and job training opportunities for the project area.

To that end, we will work with SDTHA to serve as the linkage for Veterans and Kewa House members for the ICDBG Project. This includes activities such as linking eligible individuals to employment services to improve employability skills and

knowledge of employment and job training opportunities. In addition to the above, we are also willing to act as a referral source for the Project by offering Behavioral Health Services and supports through Kewa House.

We are committed to strengthening our partnership/relationship with SDTHA through collaborative efforts by leveraging and maximizing limited resources. We also believe that strong partnerships are critical because working together presents valuable opportunities to share resources, develop future joint goals and objectives and learn from each other. Through our partnership with SDTHA under this 2013 ICDBG project, we will help Kewa to improve the economic well being by increasing employment services and job training in partnership, as well as, increasing access to Behavioral Health services and other supports.

Sincerely,



Lisa Sfeir, Director
Santo Domingo Behavioral Health/Kewa House

SANTO DOMINGO TRIBAL HOUSING AUTHORITY (SDTHA)
CODE OF CONDUCT POLICY STATEMENT
AN OVERVIEW AND INCORPORATION OF CURRENTLY ADOPTED POLICIES

I. PURPOSE

This Code of Conduct incorporates by references, highlights, and provides an overview of the various code of conduct provisions embodied in currently adopted policies of SDTHA, including the *Management and Ethics Policy (Ethics Policy)*, adopted by Resolution No. 10-10-02 on October 21, 2010; *Personnel Policy (Personnel Policy)*, adopted by Resolution No. 07-03 on May 8, 2007; and the *Procurement, Inventory, Acquisition, Disposition, and Housing Standards Policy (Procurement Policy)* adopted by Resolution No. 07-01 on May 8, 2007. The purpose of this Code of Conduct is to provide for fair, just, and impartial treatment of all clients, persons, or firms associated with SDTHA in a non-political manner; to assure that procurement of supplies, services, and construction are procured efficiently, effectively, and at the most favorable prices; to promote competition in contracting; to provide safeguards for maintaining a procurements system of quality and integrity; and to assure that purchasing actions comply fully with applicable federal laws and regulations. See *Ethics Policy* at § 1(C) (purposes), K (accounting and audits), O (contracts with insiders), II (ethics generally and in public contracting); *Personnel Policy* at § XIII (discipline); *Procurement Policy* at §§ I, III (procurement), IV (inventory); V (acquisition of real property); VI (disposition of property); VII (Indian preference), VIII (required contract provisions, conflicts of interest, and applicable laws).

II. GENERAL

SDTHA shall adhere to the following Code of Conduct, consistent with applicable Tribal or local law and comply with the Native American Housing Assistance and Self-Determination Act (NAHASDA) and Indian Community Development Block Grant (ICDBG) regulations. See *Ethics Policy* at § 1(C) (purposes), *Procurement Policy* at § I. The Code of Conduct shall apply to SDTHA's expenditure of funds for public purchasing, regardless of the source of funds; provided that nothing prevents SDTHA from complying with the terms of any grant, contract, gift, or bequest that is otherwise consistent with law.

III. APPLICATION

The *Ethics Policy*, *Personnel Policy*, and *Procurement Policy*, incorporated by reference into this Code of Conduct, are the official statements on procurement, ethics, and personnel matters of SDTHA. This Code of Conduct and the policies referenced herein apply to: all contracts for the procurements of supplies, services, and construction entered into by SDTHA; provided that nothing

prevents SDTHA from complying with the terms of any grant, contract, gift, or bequest that is otherwise consistent with law.

When SDTHA uses both federal and non-federal funds on a project, the work to be accomplished with such funds shall be separately identified and Indian preference applied to the work financed with federal funds; provided that if SDTHA is unable to separate the funds simply, Indian preference regulations must be applied to the total project.

The term "procurement" as used in this Code of Conduct shall have the meaning set forth in the § III of the *Procurement Policy*: "Purchases of and contracts for equipment, materials, supplies, and services (other than personal services) and for construction, repairs, maintenance, and replacement. . . ."

The Executive Director will name a Contracting Officer for each procurement action. The Contracting Officer will comply with the procurement and authority established by the Board, including but not limited to the sanctions set forth within the *Personnel Policy* at § XIII and the *Ethics Policy* at § II.

IV. CONFLICT OF INTEREST

1. No employee, officer, or agent of SDTHA shall participate in the selection, award, or administration of a contract funded through SDTHA if a conflict of interest, real or apparent, would be involved. Such conflict would arise when the employee, officer, or agent; any member of his or her immediate family; his or her partner; or an organization that employs, or is about to employ any of the above persons has a financial interest in the firm selected for the award. See *Procurement Policy* at § VIII (B) and *Ethics Policy* at §§ II (B) (2) (a) and II (B) (14).
2. No employee, officer, or agent of SDTHA shall solicit or accept gratuities, favors, or anything of substantial monetary value from contractors, potential contractors, or subcontractors. The only permitted variance to this provision is that employees, officers, or agents of SDTHA may accept unsolicited gifts when, in the opinion of the Executive Director, the financial worth of the item is not substantial and may be of nominal intrinsic value. Any receipt of a gratuity, favor, or gift by an employee, officer, or agent of SDTHA must be reported immediately to the Executive Director for determination of substantial worth. See *Procurement Policy* at § VIII (B) and *Ethics Policy* at §§ II (B) (2) (b) and II (B) (14).
3. SDTHA Commissioners, officers, employees, or agents shall not solicit or accept gratuities, favors, or anything of monetary value from contractors, potential contractors, or parties to subcontracts, and shall not knowingly use confidential information for actual or anticipated personal gain. See *Ethics Policy* § II (B) (2) (b).

4. Because SDTHA employees, Commissioners, agents, and subgrantees may have acquired confidential and privileged information during their tenure with SDTHA, they are prohibited from publicly disclosing that information and from using that information for personal purposes. Former Commissioners and employees are prohibited for life from acquiring a contract or any other financial interest, direct or indirect, in any SDTHA project or activity that is affected by that confidential or privileged information; provided that SDTHA may waive this prohibition, but only if the Board in its sole discretion so chooses and does so in writing and on the record, and if (1) the information is no longer privileged or confidential, and (2) the information is provided to other competitors by SDTHA or potential competitors of the former employee or Commissioner. SDTHA may have other confidentiality requirements that are not contained in this particular Policy that apply to Commissioners and employees, current and former, that are unrelated to confidentiality and conflicts of interest. See *Ethics Policy* § II (B) (5).

V. PROHIBITION AGAINST CONTINGENT FEES

Contractors may not retain any person to solicit or secure a contract for commission, percentage, brokerage, or contingent fee, except for bona fide employees. See *Ethics Policy* at § II (B) (2) (c).

VI. DISCIPLINARY ACTIONS

1. SDTHA may terminate any employee or contractor at will; however, SDTHA may choose to impose less serious discipline, in its sole discretion. The nature and seriousness of an offense shall govern the type of disciplinary action imposed, which may be one of the four (4) types:
 - a. Reprimand
 - b. Disciplinary probation
 - c. Suspension; or
 - d. Termination
2. Any or all of the steps may be followed depending upon individual circumstances and the nature of the infraction. In addition, SDTHA may deduct an employee's pay or annual leave in the event of excessive tardiness or absenteeism, unexcused leave, or job abandonment. SDTHA reserves the right to any may digress from the progressive discipline process whenever it deems such digression appropriate. See *Personnel Policy* at § XIII.

3. Board members may be removed in accordance with the *Ethics Policy* at § I (D) (6).
4. Under the Procurement Policy, SDTHA and its contractors must comply with the prohibitions in 24 C.F.R. parts 24 on the use of debarred, suspended, and ineligible contractors. See *Procurement Policy* at § VIII(C).
5. Proper procedures for discipline of contractors, including termination, shall be in compliance with the terms and conditions of the applicable contract(s).

VII. AWARENESS

All items listed in this Code of Conduct are included in the SDTHA Policy and Procedures Manual and are distributed to each employee, agents, and officers upon initial selection and otherwise from time to time as appropriate.

Santo Domingo Tribal Housing Authority



Greta Armijo (Executive Director

Facsimile Transmittal

U. S. Department of Housing and Urban Development
Office of Department Grants Management and Oversight

OMB Number: 2525-0118
Expiration Date: 06/30/2011

1363631791-5806

Name of Document Transmitting: 2013 ICDBG Application

1. Applicant Information:

Legal Name: Santo Domingo Tribal Housing Authority

Address:

Street1: P O Box 10

Street2:

City: Santo Domingo Pueblo

County:

State: NM: New Mexico

Zip Code: 87052-0010

Country: USA: UNITED STATES

2. Catalog of Federal Domestic Assistance Number:

Organizational DUNS: [REDACTED] CFDA No.: 14.862

Title: Indian Community Development Block Grant Program

Program Component:

3. Facsimile Contact Information:

Department: Santo Domingo Tribal Housing

Division:

4. Name and telephone number of person to be contacted on matters involving this facsimile.

Prefix: [REDACTED] First Name: Greta

Middle Name:

Last Name: Armijo

Suffix:

Phone Number: 505-465-1003

Fax Number:

5. Email: greta.armijo@hotmail.com

6. What is your Transmittal? (Check one box per fax)

a. Certification b. Document c. Match/Leverage Letter d. Other

7. How many pages (including cover) are being faxed?

1

ATTACHMENTS FORM

Instructions: On this form, you will attach the various files that make up your grant application. Please consult with the appropriate Agency Guidelines for more information about each needed file. Please remember that any files you attach must be in the document format and named as specified in the Guidelines.

Important: Please attach your files in the proper sequence. See the appropriate Agency Guidelines for details.

1) Please attach Attachment 1	KewaTribalResolutionICDBG.pdf	Add Attachment	Delete Attachment	View Attachment
2) Please attach Attachment 2	2013SDTHAICDBGBoardResolutio	Add Attachment	Delete Attachment	View Attachment
3) Please attach Attachment 3	SDTHA2013ICDBGFactorRatings.	Add Attachment	Delete Attachment	View Attachment
4) Please attach Attachment 4	2013ICDBGSupportletters.pdf	Add Attachment	Delete Attachment	View Attachment
5) Please attach Attachment 5	SDTHACodeofConduct.pdf	Add Attachment	Delete Attachment	View Attachment
6) Please attach Attachment 6	2013SDTHABudgetDetail.pdf	Add Attachment	Delete Attachment	View Attachment
7) Please attach Attachment 7	SDTHAPandABudget.pdf	Add Attachment	Delete Attachment	View Attachment
8) Please attach Attachment 8	2013SDTHAICDBGImplementations	Add Attachment	Delete Attachment	View Attachment
9) Please attach Attachment 9	BIAletter.pdf	Add Attachment	Delete Attachment	View Attachment
10) Please attach Attachment 10	Enterprisegrantagreement.pdf	Add Attachment	Delete Attachment	View Attachment
11) Please attach Attachment 11	SDTHAREhabilitationProjectMap	Add Attachment	Delete Attachment	View Attachment
12) Please attach Attachment 12	HUD4123CostSummary.pdf	Add Attachment	Delete Attachment	View Attachment
13) Please attach Attachment 13		Add Attachment	Delete Attachment	View Attachment
14) Please attach Attachment 14		Add Attachment	Delete Attachment	View Attachment
15) Please attach Attachment 15		Add Attachment	Delete Attachment	View Attachment

