

**Application for Federal Assistance SF-424**

**\* 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

**\* 2. Type of Application:**

- New
- Continuation
- Revision

**\* If Revision, select appropriate letter(s):**

\_\_\_\_\_

**\* Other (Specify):**

\_\_\_\_\_

**\* 3. Date Received:**

01/03/2012

**4. Applicant Identifier:**

\_\_\_\_\_

**5a. Federal Entity Identifier:**

\_\_\_\_\_

**5b. Federal Award Identifier:**

\_\_\_\_\_

**State Use Only:**

**6. Date Received by State:**

\_\_\_\_\_

**7. State Application Identifier:**

\_\_\_\_\_

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:**

Big Pine Paiute Tribe of the Owens Valley

**\* b. Employer/Taxpayer Identification Number (EIN/TIN):**

\_\_\_\_\_

**\* c. Organizational DUNS:**

0107086340000

**d. Address:**

**\* Street1:**

825 S. Main Street

**Street2:**

PO Box 700

**\* City:**

Big Pine

**County/Parish:**

Inyo

**\* State:**

CA: California

**Province:**

**\* Country:**

USA: UNITED STATES

**\* Zip / Postal Code:**

93513-0700

**e. Organizational Unit:**

**Department Name:**

CDD

**Division Name:**

Housing

**f. Name and contact information of person to be contacted on matters involving this application:**

**Prefix:**

Mrs.

**\* First Name:**

Jennifer

**Middle Name:**

G.

**\* Last Name:**

John

**Suffix:**

Title: Housing Manager

**Organizational Affiliation:**

\_\_\_\_\_

**\* Telephone Number:**

760-938-2003

**Fax Number:**

760-938-2942

\* Email: j.john@bigpinepaiute.org

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

US Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.862

CFDA Title:  
Indian Community Development Block Grant Program

**\* 12. Funding Opportunity Number:**

FR-5600-N-02

\* Title:  
Community Development Block Grant Program for Indian Tribes and Alaska Native Villages (ICDBG)

**13. Competition Identification Number:**

ICDBG-02

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

[Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

**\* 15. Descriptive Title of Applicant's Project:**

FY 2012 Big Pine Community Housing Rehabilitation Project

Attach supporting documents as specified in agency instructions.  
[Add Attachments](#) [Delete Attachments](#) [View Attachments](#)

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="605,000.00"/>
* b. Applicant	<input type="text" value="220,000.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="825,000.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

## **FY 2012 ICDBG APPLICATION - NARRATIVE**

The Big Pine Paiute Tribe, a federally recognized Indian Tribe, is submitting a FY 2012 Indian Community Development Block Grant (ICDBG) application for rehabilitation of twelve (12) single family homes on the Big Pine Paiute Reservation. The ICDBG rehabilitation assistance will be provided to eligible homeowners in the form of grants. The Big Pine Reservation is located between the Sierra Nevada and the White Mountain Ranges in a beautiful area known as the Owens Valley.

In its housing mission statement, the Big Pine Paiute Tribe states its mission is "to perpetuate and promote safe, sanitary, and decent housing for its low-income tribal families". This application is in line with the Tribe's mission to provide quality housing for its low-income tribal members.

As a part of the application package, the following rating factors are being submitted:

### **RATING FACTOR #1 – CAPACITY OF THE APPLICANT (Managerial, Technical, and Administrative Capability)**

#### **1(a) Managerial and Technical Staff**

The Big Pine Paiute Tribe has or can obtain managerial, technical, and/or administrative capability necessary to carry out its proposed FY 2012 Big Pine Community Housing Rehabilitation Project, as described, for the key FY 2012 ICDBG Project positions including: **(1) Housing Manager; (2) Construction Project Manager; (3) Environmental Director, (4) Financial Manager, and (5) Technical Staff and/or Consultants.** Under the project, the Housing Manager and the Environmental Director are filled and the current employees will serve in these key positions. The Construction Project Manager and the Financial Manager will need to be filled; the Construction Project Manager will be filled under the ICDBG project and the Financial Manager will be filled as a Tribal Position. The Big Pine Paiute Tribe will solicit these positions per the Tribe's employment policies.

The key filled positions and the qualifications and requirements for the positions to be hired are set forth below:

**(1) Housing Manager** – Ms. Jennifer John, Big Pine's Housing Manager, will be the FY 2012 ICDBG Big Pine Community Housing Rehabilitation Project – Project Director. She will be responsible for the day-to-day administration and management of the project, ensuring that all ICDBG activities are completed per the project Implementation Schedule and effectively and efficiently producing the planned project outcomes. As ICDBG Project Director, Ms. John will be responsible for daily administration of the project to ensure that the project standards are in line with ICDBG requirements, resolution of all issues that arise in a timely manner to keep tasks on line, overseeing the performance of all contractors, subcontractors, and force account workers, completion of project tasks, monitoring of work in line with budgets, performance of LOCCS draws and effectively controlling cash management by regulating the flow of funds into the project and disbursement of the ICDBG funds as required. Ms. John will be responsible for all grant reporting requirements, ensuring reports to the funder as required and the integrity of the reported data. Ms. John and the Tribe's Finance Department will be responsible for all financial management aspects of the project, to ensure provision of accurate, current, and complete disclosure of all financial grant activities, maintenance of grant records that identify the sources and application of funds, maintenance of effective controls and accountability for all grant cash, property, and other assets, monitoring of actual expenditures with ICDBG budget amounts on a periodic basis, determining allowable costs per OMB cost principles, ICDBG program regulations, and the grant agreement. Ms. John will work with the Financial Manager to ensure that all accounting records are supported by source documentation and that all ICDBG procurement and other requirements specific to ICDBG are followed during the project.

Ms. John is a Certified Housing Manager through the National American Indian Housing Council and has served as Executive Director in HUD Indian Housing programs for over thirteen (13) years (1994-

1998, 2001-2007, and 2009-2011). She has a BA Degree in Paralegal/Business Administration. She has successfully taken NAIHC Leadership Institute housing courses. She has earned certificates from both NAIHC and HUD SWONAP trainings specific to Indian Housing and Management/Administration of Indian Housing Programs.

Ms. John has successfully managed both housing rehabilitation and new construction projects within the last five (5) years. In FY 2011, Ms. John managed a FY 2010 ICDBG project, completely rehabilitating a total of eight (8) homes using Force Account workers, four (4) more than what was proposed for the year. All homes were completed within budget, in line with projected work schedules, and all passed final inspections.

The Big Pine Paiute Tribe was recently awarded a FY 2011 ICDBG housing rehabilitation grant. Although work on the homes under the FY 2011 grant is scheduled to begin in March of 2012, the CDD Housing Program is meeting with families determined eligible for rehabilitation work, has completed inspections on four of the units, and has begun the ordering of materials and supplies for some of the units. Work on this project is ahead of schedule.

In FY 2010, the CDD Housing program rehabilitated three homeowner units for tribal members using Force Account workers and FY 2010 IHBG funding. The work was successfully completed, met code and rehabilitation requirements, and was within budget. In this project, handicap and visitability were incorporated into the rehabilitation work as well as Energy Star measures; all work met standards and each home was successfully passed by a contracted Inyo County inspector.

In FY 2009, Big Pine received a FY 2009 RHED grant for \$300,000.00 which was used for the Tribe's FEMA project. Sixteen (16) homes were obtained from FEMA and were set up to house tribal members. Units needing repair work were completed by Force Account workers and subcontractors. This project was successfully completed well within the RHED grant term. Under the RHED project, an alternative heating source was incorporated into the project. The source provides back-up heating to the all electric FEMA homes. The Big Pine Tribe received a FY 2009 ARRA Stimulus Grant which was also used for the FEMA project. It was necessary to obligate the funding and complete the project as per the ARRA Agreement. Ms. John was not only able to obligate and spend the ARRA grant funding ahead of schedule, but also successfully closed the grant and met all contract terms in doing so, stayed within budget, and again employed Force Account workers from the Big Pine Community. All sixteen (16) FEMA single family units are occupied by Big Pine Tribal members.

In 2006-2007, on the Fallon Paiute Shoshone Indian Reservation in Fallon, NV, Ms. John administered a three-million dollar (\$3,000,000.00) HUD Title VI construction project building eleven new homes; this project won regional recognition from SWONAP. The project used Force Account workers to construct the new homes which were built to house low-income families. Subcontractors were used on the project for installation of heating, cooling, plumbing, and electrical work. Ms. John was not only responsible for the procurement services of the subcontractors but also for ensuring that all subcontractor work was to code, per contract, and within budget. Around this same time period, 2006-2007, another Fallon project Ms. John administered was a phased housing project wherein over twenty-three new low rental homes were constructed using Force Account workers. This project also incorporated some energy efficient standards. The total cost of this project was approximately three million two-hundred and seventy-five dollars (\$3,275,000.00). Funding for this project came from Fallon's annual NAHASDA block grants (2002-2007) and a RHED infrastructure grant successfully written and administered by Ms. John. The NAHASDA and RHED grant used in this phased project were all successfully closed and were completed within budget and schedule. Ms. John was responsible for the day-to-day management and supervision of the project manager and force account construction crew. The annual goals and objectives were met as per Fallon's IHP's and HUD reporting requirements. Ms.

John has extensive experience (1994-2011) successfully working with local, state, and federal agencies in the administration of Indian Housing and other related programs.

**(2) Construction Project Manager** – Under the ICDBG Big Pine Community Housing Rehabilitation Project, Housing will employ a Construction Project Manager to oversee the FY 2012 ICDBG rehabilitation project. The Construction Project Manager will be responsible for overall direction, coordination, implementation, execution, control and completion of each housing rehabilitation project ensuring compliance with applicable housing rehabilitation standards. The position is responsible for understanding, interpreting, explaining and applying building codes and regulations in the projects and dealing effectively with funding agencies, regulatory agencies, contractors, Force Account staff, housing participants, and the public. The position requires the ability to problem-solve solutions to housing or construction related issues while maintaining a high level of customer service. The position requires knowledge of construction and rehabilitation practices, the ability to apply for grant funds to support housing programs, and to ensure compliance with all HUD and funding source regulations. The Construction Project Manager is a key position. The position will be advertised per the Big Pine Paiute Tribe's employment policies and the position will be hired as per the project Implementation Schedule. Qualifications required for the position are: at least five years experience in direct building trades with at least three years in the area of federally administered Indian Housing Programs, training and experience sufficient to have acquired technical expertise in residential rehabilitation, and college-level course work in a related technical curriculum is desired. The position requires possession of a valid California Class C driver's license and must be insurable under the Tribe's insurance program. The position also requires a California contractor or an appropriate license or certification. The Construction Project Manager must have knowledge of applicable housing codes, ordinances, and regulations; know building inspection and code enforcement methods and techniques; bidding and contracting best practices standards; typical construction and rehabilitation methods and materials and best management practices; knowledge of the objectives of assisted housing conservation and preservation programs, and knowledge of the basic principles of general contracting, cost estimating, budgeting, and project scheduling. The Construction Project Manager will be required to have relevant and successful experience related to the position within the past five years, with more recent experience a factor in consideration for hiring of the position.

**(3) Environmental Director** – The Big Pine Paiute Tribe's Environmental Director is Sara J. "Sally" Manning. Dr. Manning holds a Ph.D. in Botany (UC Davis) and is an Ecological Society of America Certified Senior Ecologist. Prior to joining the Tribe's staff, she was employed for twenty (20) years as a Research Scientist with Inyo County Water Department, where she supervised the monitoring and analysis of ecological conditions in Owens Valley, particularly with regard to the long-term environmental effects of groundwater withdrawal. She is intimately familiar with the vegetation, hydrology, climate, geology, and fauna, their interactions, as well as the role of humans in influencing the eastern California landscape. Her research focused on real-world resource management issues and includes several peer-reviewed papers, many conducted in collaboration with other scientific colleagues.

Dr. Manning has served as the Tribe's Environmental Director since June 2009. Her responsibilities as Environmental Director include a wide range of activities, including grant writing, managing grants and budgets, supervising staff engaged in Solid Waste and Water Quality, hiring consultants and project monitoring, organizing and presenting environmental programs to a wide range of audiences and ages, actively interacting with numerous agencies and groups with regard to regional environmental issues, and carrying out other activities related to environmental quality. She is currently working to finalize a Tribal Environmental Policy Ordinance. She is skilled in the use of ESRI GIS (Geographic Information System). She has recently been trained in Federal (EPA) grant management, air

pollution monitoring technology, preparation of quality assurance plans for scientific data collection, and aspects of hazardous materials emergency response.

Recent successful accomplishments for Sally are the preparation of environmental documentation for the Tribe's FY 2010 and FY 2011 ICDBG grant applications which were both accepted and approved by SWONAP in 2011. Sally will be responsible for all Environmental Requirements under the FY 2012 ICDBG Big Pine Community Housing Rehabilitation Project, if awarded. Sally actively assists the Tribe in performing and producing environmental assessments throughout the Big Pine Indian Reservation and she is prepared to do so under the FY 2012 proposed ICDBG project.

**(4) Financial Manager** – The Big Pine Paiute Tribe will employ a Financial Manager as the current person staffing this position has been recently promoted to Tribal Administrator. The position will be advertised per the Big Pine Paiute Tribe's employment policies. The position requires a BA degree in Accounting, Business Administration, Public Administration, or equivalent of a minimum of five (5) years of management experience in fiscal matters.

The Financial Manager is a key position under the FY 2012 ICDBG Community Housing Rehabilitation Project. The Fiscal Manager will have the overall responsibility for managing the FY 2012 ICDBG project's financial records and will assist the Housing Manager with preparation of required reports such as the SF 425's and ASER's, per ICDBG requirements, working to ensure reports to the funder as required and for the integrity of the reported data. The Financial Manager will work with the Housing Manager on all financial management aspects of the ICDBG project, to ensure provision of accurate, current, and complete disclosure of all financial grant activities, maintenance of grant records that identify the sources and application of funds, maintenance of effective controls and accountability for all grant cash, property, and other assets, monitoring of actual expenditures with ICDBG budget amounts on a periodic basis, determining allowable costs per OMB cost principles, ICDBG program regulations, and the grant agreement. The Financial Manager will be responsible for all accounting records, that they are supported by source documentation, and that all ICDBG procurement and other requirements specific to ICDBG are in compliance with applicable regulations and program requirements. The Financial Manager will be responsible for the audit of the ICDBG project and that the audit is completed in a timely manner as per the Project Implementation Schedule. The Financial Manager will also work with the Housing Manager to ensure Project closeout as per the Project Implementation Schedule.

**(5) Technical and Consultant Aspects** – These areas of the project will be administered the same as projects currently undertaken. All homes will first be inspected by a licensed inspector who will assess the condition of the homes and the work needed to meet code requirements. An inspector will be hired to inspect the work on an inspection schedule to be developed for all twelve single family homes included in the proposed project. All technical and consultant work, where needed, will be set per the Implementation Schedule in line with the project schedule and monitored daily as part of the administration of the project. Several homes under the project were built pre-1978. Where needed, technical consultants will be hired to assess these homes for lead and asbestos; contracts will be let for any work requiring the testing and removal of these materials prior to any rehab work on these homes. Consultants and abatement contractors will be hired per required procurement policies and as per the Implementation Schedule, where needed, during the assessment of homes Phase. Consultant's recent successful experience within the past three years will be used in the selection process for this project.

The proposed ICDBG rehabilitation project will be a success for the Big Pine Tribe through the management and administrative efforts of all listed above. Each staff person listed above and working under the direction of the Housing Committee and the Tribal Council not only has the qualifications and recent experience to make this project a success, but with their key roles and responsibilities within

each respective department, is committed in ensuring the ICDBG rehabilitation project will be completed and closed as per the Implementation Schedule and within ICDBG program requirements.

#### **1(b) - Project Implementation Plan**

☆ **Project Implementation Schedule (form HUD-4125) is submitted herewith (Attachment 4).** The FY 2012 Big Pine Community Housing Rehabilitation Project's effective date is May 1, 2012 and the proposed completion date September 30, 2015, with closeout within ninety (90) days of project completion, by December 30, 2015. As set forth on the Implementation Schedule, specific tasks and timelines have been developed to complete the FY 2012 Big Pine Community Housing Rehabilitation Project within a four year period. In year one (2012), three single family homes will be rehabilitated; in year two (2013), three single family homes will be rehabilitated; in year three (2014), three single family homes will be rehabilitated, and in year four (2015), three single family homes will be rehabilitated. This Implementation Schedule has been developed based on the amount of leveraging from NAHASDA Block grant dollars (\$55,000.00) available each year from the Big Pine Paiute Tribe.

**1(c) – Financial Management** – The Big Pine Paiute Tribe's Finance Department is staffed by a full-time Financial Manager and full-time Fiscal Clerk. Within the past week, the current Financial Manager was promoted to Tribal Administrator and this position is currently being advertised. The Big Pine Paiute Tribe will fill the position as soon as possible.

The Tribe's finance system utilizes a computerized software accounting system "Fundware" in its day-to-day operations. Fundware is utilized to identify, assemble, analyze, classify, record, and report the Tribe's financial transactions and to maintain accountability for its related assets and liabilities. This accounting system segregates the sources of and uses of tribal resources/funding, tracking each grant separately and furthermore, tracks each NAHASDA and grant department and object code separately comparing actual expenditures to budget. Budgetary versus actual expense results are reviewed by the Housing Manager, Housing Committee, Tribal Administrator, and the Tribal Council on a monthly basis or as requested.

The Tribe also contracts the services of a CPA. The CPA has worked for the Tribe for several years and he is familiar with HUD financial and reporting requirements. It is his overall responsibility to maintain the financial integrity of the Tribe's fiscal records and accounting systems. He ensures that all reporting is prepared and submitted to funding agencies in a timely manner. He is also responsible for preparing the Tribe's records for audit purposes.

The Big Pine Paiute Tribe has a comprehensive set of financial management policies and procedures that meet NAHASDA and other HUD funding source requirements, including ICDBG. As an example, the Internal Control policies include procedures for the proper authorization of transactions and activities, segregation of duties, design and use of documents and records, safeguards over assets, independent check on performance, and independent checks on the proper valuation of recorded amounts. The purpose of the policies are to ensure that procedures are consistent with audit findings regarding separation of duties, cash receipts, cash disbursements, budget overruns, property management, cash management, documentation, bookkeeping functions, and other internal control requirements pursuant to the requirements of 24 CFR Part 85 and 24 CFR part 1003.

The Tribe's fiscal staff is well versed in all financial management policies; it should be noted that under their direction, many of the Tribe's prior year findings were cleared and under this year's audit, there were no findings. Staff worked hard to bring prior year findings into compliance. These personnel will ensure that there is open competition, fairness, and compliance with all Federal, NAHASDA, and ICDBG financial requirements under the rehabilitation project using ICDBG funds.

The Big Pine Paiute Tribe will use the LOCCS system to draw down funding in line with the Project Implementation Schedule and according to ICDBG contractual agreements and requirements.

The Tribe's current audit for the year ended December 31, 2010, was forwarded to the Federal Audit Clearinghouse on September 29, 2010, Report ID: 440396, and was a timely submission. There were no serious or significant findings related to Big Pine's Financial Management System. A Summary of the Auditor's results is:

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**SECTION 1 – SUMMARY OF AUDITOR'S RESULTS:**

**Financial Statements**

Type of Auditors Report Issued:	<b>Unqualified</b>
Internal Control over financial reporting:	
Material weakness(es) identified?	<b>No</b>
Significant deficiency(ies) identified that are not considered to be material weakness(es)?	<b>None Reported</b>
Noncompliance material to financial statements noted?	<b>No</b>

**Federal Awards**

Internal control over major programs:	
Material weakness(es) identified?	<b>No</b>
Significant deficiency(ies) identified that are not considered to be material weakness(es)?	<b>None Reported</b>
Type of Auditors Report Issued on compliance for major programs:	<b>Unqualified</b>

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?	<b>No</b>
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**Major Programs:**

<u>CFDA#</u>	<u>Federal Program</u>		
14.882	IHBG ARRA	14.8867	IHBG
15.021	BIA-CTGP	66.926	EPA GAP
Dollar threshold used to distinguish between Type A and Type B programs:			<b>\$300,000</b>

Auditee qualified as a low-risk auditee?	<b>No</b>
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**SECTION II – FINANCIAL STATEMENTS:**

No matters to report.

**SECTION III – FEDERAL AWARDS**

No matters to report.

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The Big Pine Paiute Tribe has a strong financial management system in place and this same system will be used for the ICDBG Rehabilitation Project to meet all statutory and regulatory requirements of 24 CFR Part 85 and 24 CFR Part 1003.

**1(d) Procurement and Contract Management**

The Big Pine Paiute Tribe uses the following in its Procurement Administration:

- A. All procurement transactions shall be administered by the Contracting Officer, who shall be the Administrator/Director, or other individual he or she has authorized in writing. The Administrator/Director shall issue operational procedures to implement this policy and shall establish a system of sanctions for violations of ethical standards.
- B. The Administrator/Director or his/her designee shall ensure that: (1) Procurement requirements are subject to an annual planning process to assure efficient and economical planning; (2) Contracts and modifications are in writing, clearly specifying the desired supplies, services, or construction and are supported by sufficient documentation regarding the history of the procurement, including as a minimum, the method of procurement chosen, the selection of the contract type, the rationale for

selecting or rejecting offers, and the basis for the contract price; (3) For procurement other than small purchases, public notice is given of each upcoming procurement at least ten (10) days before solicitations are issued; responses to such notice are honored to the maximum extent practical; a minimum of thirty (30) days for main construction contracts and fifteen (15) days for other contracts is provided for preparation and submission of bids or proposals; and notice of contract awards is available to the public; (4) Solicitation procedures are conducted in full compliance with Federal Standards stated in 24 CFR 85, OMB Circular A-133, and the Indian Preference requirements and methods of procurement at 24 CFR 1003; (5) An independent cost estimate is prepared before solicitation issuance and is appropriately safeguarded for each procurement above the small purchases limitation, and a cost or price analysis is conducted of the responses received for all procurement; (6) Contract award is made to the responsive and responsible bidder offering the lowest price, consistent with Indian preference requirements (for sealed bid contracts) or contract award is made to the offeror whose proposal offers the greatest value to the Tribe; considering price, technical, and other factors as specified in the solicitation, including Indian preference; unsuccessful firms are notified within ten (10) days after contracts award; (7) There are sufficient unencumbered funds available to cover the anticipated cost of each procurement before contract award or modification, work is inspected before payment, and payment is made promptly for contract work performed and accepted; (8) Procedures for inventory control, storage and protection of goods and supplies and issuance of other disposition supplies and equipment are established in accordance with applicable HUD regulations.

As noted under the preceding Financial Management section, there were no significant findings related to procurement and contract management identified in Big Pine's 2010 audit which is current and which is on file with the Federal Audit Clearinghouse, Report ID 440396. All Procurement with the Big Pine Tribe meets the requirements of 24 CFR 85 and 24 CFR Part 1003 as well as OMB Circular A-133.

#### **RATING FACTOR #2 – NEED/EXTENT OF PROBLEM**

**1 Need and Viability** – The proposed project meets an essential community need and is critical to the viability of the Big Pine Paiute Tribal community; one of the responsibilities of the Big Pine Paiute Tribe per its Housing Mission Statement is to ensure that housing for its low income tribal members is safe, sanitary, and decent. One of the Tribe's goals is to promote housing programs/activities to fit the needs of eligible participants in the Big Pine Service Area which includes the Big Pine Reservation and all of Inyo County by developing community housing programs to benefit low-income tribal members.

The Housing Program has identified low and moderate-income families residing in paid-off HUD and other homes on the Big Pine Reservation that need housing rehabilitation. These groups of families include Tribal Elders, handicapped and disabled families, and others who living in homes that are not safe, sanitary, or decent. Many homes do not have handicap accommodations for those in need. 67% or eight (8) of the twelve (12) identified households house Tribal Elders that need handicap accommodations to enable them to remain in their homes. Handicap showers, toilets, and bathroom and home upgrades including access ramps, are essential for our Elders to maintain an independent lifestyle and to remain living in their homes. 25% or three (3) of the homes contain mold and to varying degrees, the effects of the mold are causing health related problems including asthma, rash, eye and other conditions to the families therein. Some types of mold can cause severe/permanent health issues to the individuals occupying homes with mold of this degree. 33% or four (4) of the homes contain lead and/or asbestos material that needs removal. 17% or two (2) of the twelve (12) identified households have overcrowding that needs to be addressed; families are living in overcrowded conditions with two or three generations all residing in substandard housing. The extent of need is reservation-wide. The proposed rehabilitation project and FY 2012 ICDBG funding is greatly needed to address these immediate housing needs. The Big Pine Paiute Tribe expects to receive \$393,430.00 in Indian Housing

Block Grant funds from its FY 2012 grant; this amount greatly falls short of what is needed to bring the twelve (12) proposed homes up to code.

As proposed, this rehabilitation project is the most viable method to address the dire housing needs in our community. Twelve (12) homes are proposed to be rehabilitated under this project. All twelve (12) homes are located on the Big Pine Paiute Reservation. Of the twelve (12) homes needing rehabilitation work, 33% belong to extremely low, 42% are very low, and 25% are low -income families. 100% of the families to be served under the project are at or below low-income standards based on the FY 2011 HUD scale for Inyo County, CA.

Finally, the greatest need and viability to the project is that 100% of the homes are substandard and do not meet housing code specifications. Through the project, 100% of the homes will be brought to standards. The work needed to bring these homes into code compliance does not require demolition of the unit and rebuilding a new structure; it would not be cost effective to do so.

It is critical to the viability of the Big Pine Tribal Community that repairs to these homes be made as expeditiously as possible so that families will be able to live in homes that are safe, sanitary, and decent and that with these improvements, the Tribe will be able to minimize all risk factors associated with the families living in their substandard homes.

**2 Project Benefit** – The need for the project is determined by the FY 2012 ICDBG Needs Table which lists the Big Pine Band’s need at \$636; a copy of the Needs Table is included as attachment # 9.

**RATING FACTOR #3 – SOUNDNESS OF APPROACH**

1. **Description of and Rationale for Project** – The Big Pine Paiute Tribe is proposing rehabilitation of twelve (12) extremely low, very low, and low-income households on the Big Pine Reservation. The Project is designed to address handicap needs, mold remediation, overcrowding, lead remediation, asbestos remediation, and code compliance. The housing rehabilitation assistance to be provided is a grant to the homeowners. The following table includes a listing of homes proposed to be rehabilitated, the address of each home, annual household income/size, income status, type of proposed rehab work, and the ICDBG repair/labor costs not to exceed limit. It should be noted that all applicants income status/eligibility for ICDBG rehabilitation assistance will require recertification immediately prior to final section for participation in this project.

HOUSE #	ADDRESS	ANNUAL HOUSEHOLD INCOME/SIZE	INCOME STATUS PER INYO COUNTY, CA SCALE	TYPE OF REHAB WORK NEEDED	ICDBG REPAIR/LABOR COSTS NOT TO EXCEED
		\$7,764.00/5	Extremely Low	Code Compliance, Overcrowding, Mold, Asbestos Removal	\$50,000.00
		\$28,500.00/4	Very Low	Code Compliance, Handicap	\$50,000.00
		\$26,570.00/2	Low	Code Compliance, Handicap	\$50,000.00
		\$28,336.00/4	Very Low	Code Compliance, Mold, Handicap, Lead, Asbestos Removal	\$50,000.00
		\$28,344.00/1	Low	Code Compliance, Handicap	\$50,000.00

	\$10,000.00/5	Extremely Low	Code Compliance	\$50,000.00
	\$12,000.00/1	Extremely Low	Code Compliance, Handicap	\$50,000.00
	\$21,000.00/1	Very Low	Code Compliance, Handicap	\$50,000.00
	\$29,000.00/1	Low	Code Compliance, Handicap, Lead, Asbestos Removal	\$50,000.00
	\$13,420.00/1	Very Low	Handicap, Code Compliance, Lead, Asbestos Removal	\$50,000.00
	\$4,000.00/5	Extremely Low	Code Compliance, Overcrowding, Mold	\$50,000.00
	\$18,000.00/1	Very Low	Code Compliance	\$50,000.00

**Total Projected Repair Costs – ICDBG & IHBG Combined Funds \$600,000.00**

The Tribe proposes rehabilitation/renovation work on the homes rather than demolition. This determination was made based on the overall structural integrity of the houses. It has been determined not cost effective to tear the homes down and build new ones as construction costs in the area are at a minimum, \$150.00 per sq. ft. As the smaller homes to be renovated are approximately 1,200 sq. ft., it would cost approximately \$180,000.00 to replace a small home in this area. Under the proposed ICDBG project, the cost per unit is controlled by ICDBG regulations and limits the maximum per unit cost not to exceed \$50,000.00. Rehabilitation under the project to bring these homes into code compliance and to address the other safe, sanitary, and decent housing needs is projected at 28% of the cost of a new home (\$180,000.00 v \$50,000.00).

The Project Implementation Schedule shows that the project will be completed in four years with the effective date as May 1, 2012 and the expected closeout date by December 30, 2015. The Big Pine Paiute Tribe's Housing Committee will approve the work schedules on the houses to be included in the project based on eligibility requirements established for participation in the project. The Implementation Schedule shows that three single family homes will be completed in 2012, three single family homes will be completed in 2013, three single family homes will be completed in 2014, and three single family homes will be completed in 2015.

As soon as the first three homes are selected, the Housing and Environmental Department will complete all required Environmental Work under ICDBG and NAHASDA regulations.

The first three single family homes to be rehabilitated will be inspected by an independent inspector to determine the condition of the homes and to assess the work needed. If needed, consultants/contractors will be hired in regards to any mold, lead, or asbestos needing removal.

Housing staff will next prepare Scopes of Work on each home and prepare Cost Estimates/Budgets for rehabilitation costs on each home.

Housing will hire Force Account workers and the Inspectors for the project. Procurement of Materials/Supplies will be the next step in the project and by September 1, 2012, the first three families will be relocated and work will begin on their homes. The first three homes are scheduled to be completed before the end of October 2012. For fiscal years 2013, 2014, and 2015, three homes will be

completed each year following this same schedule. Work has been scheduled based on the amount of IHBG leveraged funding to go into the project each year.

The proposed rehabilitation project is expected to result in a significant overall cost savings. The work to be performed under the project will be completed by Force Account which should result in a significant cost savings of approximately \$65,000.00 or 10% of the project repair costs rather than contracting the entire project out.

Another significant savings will come from the procurement of materials and supplies purchased and delivered onto the reservation which are tax free. It is anticipated that approximately \$335,000.00 (energy efficient windows, handicap shower units, toilets, handrails/grab bars, sinks, roofing material, storm doors, interior and exterior doors, energy efficient appliances, water heaters, fire extinguishers, smoke detectors, carbon monoxide detectors, cabinets, flowing material) will be purchased tax free resulting in an anticipated savings of approximately \$23,450.00 ( $\$335,000.00 \times .07\%$ ).

The proposed project is a cost effective and viable plan in line with the Tribe's Housing Mission Statement. In its 2011 IHP, the mission statement states that one of the responsibilities of the Big Pine Paiute Tribe is to insure that housing for its low-income tribal members is safe, sanitary, and decent. The proposed project will benefit the community by improving the living conditions of families who do not have the financial resources to make the necessary safe, decent, sanitary, and code compliant improvements on their homes. The Big Pine Paiute Tribe's viability will be enhanced by completing the following goals: (a) The dire need for rehabilitation of homes of Tribal Elders and Disabled/Handicapped Members and to provide handicap (ADA) and/or visitability accommodations in accordance with ADA and visitability principles; (b) The need to reduce the number of substandard housing units on the reservation; (c) the need to remove asbestos and/or lead from homes in order to complete the rehab work and to improve the living conditions of the residents therein; (d) The need to incorporate energy efficiency measures into the project for sustainability of the project; and (e) The need to promote employment opportunities to low income community members.

The ICDBG project is a viable and capable of being very successful and will continue to be effective as the dire needs of the participants will be addressed through renovation/rehabilitation to retrofit homes with ADA accessories and code compliance work that will extend the useful life of the homes and make the homes more accommodating to the families that live in them. There will be an increase in the number of standard homes on the reservation (twelve (12) substandard homes will receive rehab work that will bring them into code compliance). Under the project, energy efficiency measures will be incorporated that will result in long-term reduction in the costs of utilities for the occupants of the homes (all twelve homes will receive this work).

Employment opportunities will be created under the project through the use of Force Account workers and TANF placements which will result in a decrease in the unemployment rate and an increased income from employment generated through this project. The project is a win-win viable project for the entire community.

**2 Budget and Cost Estimates** - The budget and cost estimates presented in the table on the following page for the proposed FY 2012 ICDBG Big Pine Community Housing Rehabilitation Project were prepared by the Housing Manager for the Big Pine Paiute Tribe, Jennifer John, based on her experience and knowledge of rehabilitation projects and construction costs in Inyo County and in using Force Account Labor. Ms. John has thirteen (13) years experience in preparing budgets and cost estimates for Indian housing rehabilitation projects, maintenance work projects, new construction, and also in providing projected estimates for HUD, BIA, and other funding source housing grant applications. A complete description of Ms. John's qualifications has been provided under rating factor #1 and which is to be incorporated herewith to eliminate repetition in this application. Ms. John was assisted in this budget

by the HOC Secretary who as a part of her daily workload, researches costs and obtains quotes by telephone, fax, and over the internet on construction material, appliances, and other job related requirements necessary to not only implement projects but to complete them per schedule and within budget. The HOC Secretary, Lynette Hess-Blossom, has extensive experience working with HUD Indian Programs. Prior to her employment at Big Pine, Ms. Blossom worked for a total of eight years with the Pyramid Lake Indian Housing Authority at Nixon, NV.

The following Budget Table sets forth a description of the rehabilitation work to be completed under the FY 2010 Big Pine Community Housing Rehabilitation Project, cost estimates, a subtotal for each area to be addressed, and the total Project Cost. Remediation costs for mold, lead, and asbestos are also provided. The table also breaks down all Planning and Administration costs for General Management and Oversight as well as Audit costs. There will be no Indirect costs charged to this project. Relocation costs are provided for all twelve (12) families.

<b>BIG PINE COMMUNITY HOUSING RENOVATION PROJECT BUDGET</b>			
<b>Description of Rehabilitation Work</b>	<b>Cost Estimate</b>	<b>Subtotal</b>	<b>Total Cost</b>
<b>Accessibility</b>			
ADA Bathroom (8 homes @ 7,550.00)	60,400.00		
ADA Doors (8 homes @ 500.00)	4,000.00		
ADA Ramps (8 homes @ 5,275.00)	42,200.00		
		106,600.00	
<b>Energy Efficiency Upgrades</b>			
Energy Star Appliances (Refrigerator, Stove, Water Heater (12 homes at 2,075.00)	24,900.00		
Energy Star Thermal Double Pane Windows (12 homes at 4,550.00)	54,600.00		
Upgrade Heating/Cooling Systems (12 homes @ 5,500.00)	66,000.00		
		145,500.00	
<b>Environmental &amp; Inspections</b>			
(12 homes 550.00)	6,600.00		
		6,600.00	
<b>Exterior Work</b>			
Roofing (12 homes @ 5,750.00)	69,000.00		
Exterior Painting/Siding Repair (12 homes @ 3,500.00)	42,000.00		
Entry Doors/Storm Doors (12 homes @ 650.00)	7,800.00		
		118,800.00	
<b>Interior Doors</b>			
Paint Interior/Drywall Repair (12 homes @ 3,040.00)	36,480.00		
Replace Flooring (Carpet & Tile) ( 12 homes @ 5,750.00)	69,000.00		
Interior Door Replacement (12 homes @ 600.00)	7,200.00		
Electrical (12 homes @ 1,100.00)	13,200.00		
Plumbing Repairs (12 homes @ 1,450.00)	17,400.00		
Replace Cabinets (12 homes @ 5,750.00)	69,000.00		
		212,280.00	
<b>Mold Remediation</b>			
Remediation Expenses (3 homes @ 2,728.33.)	8,185.00		
		8,185.00	
<b>Lead/Asbestos Remediation</b>			
Remediation Expenses (4 homes at 12,319.75)	49,279.00		
		49,279.00	
<b>Overcrowding</b>			
Bedroom/Bathroom Addition (2 homes at 16,750.00)	33,500.00		
		33,500.00	

<b>Relocation Costs</b>			
(12 families at 1,750.00)	21,000.00		
		21,000.00	
<b>Safety/Security</b>			
Carbon Monoxide Detectors, Smoke Detectors, Fire Extinguishers (12 homes @ 188.00)	2,256.00		
		2,256.00	
<b>Planning &amp; Administration</b>			
<b>General Management and Oversight</b>			
a. Insurance Coverage for Force Account Workers	25,000.00		
Office Supplies	4,000.00		
Salary/Fringe – Housing Manager (P/T)	10,894.00		
Salary/Fringe – Project Manager (P/T)	50,684.00		
Salary Fringe – Project Clerk/Secretary (P/T)	16,422.00		
Travel/Training Expense to HUD ICDBG trainings	6,000.00		
Work Truck Expenses/Gas	3,500.00		
b. Indirect Costs	0		
c. Audit Costs	4,500.00		
		121,000.00	
<b>TOTALS:</b>	<b>825,000.00</b>	<b>825,000.00</b>	<b>825,000.00</b>

### **3 HUD Policy Priorities**

#### **Job Creation/Employment:**

a (2) – Under the FY 2012 ICDBG Big Pine Community Housing Rehabilitation Project, the Big Pine Paiute Tribe will work with the local Owens Valley Career Development Center (OVCDC) Tribal Assistance for Needy Families (TANF) Program to coordinate job training, career services, and work that will be made available to low and moderate income families in our community. There will be a Project Clerk/Secretary position made available under the ICDBG project as a TANF placement. The position will be extended by TANF for a twelve to eighteen month training period and then Housing will hire the worker on as an office employee for the Tribe's CDD office (IHBD funding permitting). The position will receive job training and career services through the local TANF program which can include college classes at the local CERO COSO College, construction and job related training through the local TERO and Bishop, CA TERO programs, and NAHASDA, NAIHC, and HUD trainings under the Housing Program. Training will be directed towards office skills, clerical, and secretarial/business related fields as well as Indian Housing programs, policies, and related fields. It is anticipated that this position will be made available in the second year of the project (2013).

#### **Sustainability:**

B (1) and/or (2) – NA

### **4 Commitment to Sustain Activities**

Under this rehabilitation project, the on-going maintenance responsibilities for the **Tribe** are:

#### **Warranty Phase – Up to One Year from completion of Rehabilitation Work**

- Maintenance for appliances is the responsibility of the vendor. Warranty information from the vendor/contractor will be provided to the participant by Housing staff upon move-in or occupancy;
- Maintenance of electrical wiring/circuits is the responsibility of the electrical subcontractor. The vendor/contractor designates a contact person for problems and he/she must be contacted immediately for repair of the problem.

- (c) Maintenance for internal or external damages or latent defects is the responsibility of the contractor. Participant will be notified of whom to contact when such a need arises.
- (d) Annual Inspections are the responsibility of the Tribe. These are mandatory and are scheduled to occur the same time every year. Homeowners receiving ICDBG rehabilitation assistance will be required to have their homes inspected yearly for a period of five years. If the homes are in satisfactory condition, the CDD will waive the inspection requirement of the homes and the homes will no longer be required to be inspected. If the homes need further inspection as determined under the 5<sup>th</sup> year inspection, the HOC/Secretary and Housing staff will work with the family to correct the deficiency. If the family does not correct the deficiency, then Housing will continue to inspect the unit for up to ten years. Following year ten, the home will no longer be inspected by Housing and the homebuyer will be required to sustain/maintain the home.
- (e) The Tribe will insure rehabilitated homes for the first five years following completion of the rehabilitation work (structural coverage only). Homeowners will be responsible for insuring their homes thereafter for the useful life of their homes. The Tribe will provide coverage on rehabilitated units owned by Tribal elders for the useful life of the homes. This is a continuing effort on the part of the Tribe to sustain/maintain the viability of the community on the homes rehabilitated.

The Tribe is committed to assume the maintenance responsibilities under this project as stated in (d) and (e) above. The Tribe is providing a **Letter of Commitment** of these maintenance responsibilities which is included herewith as attachment #11.

Under this project, if a home is no longer under the warranty phase or the maintenance required falls under the homeowner's responsibility, the responsible party for preventative maintenance is the **homeowner**.

#### Occupancy – Useful Life of the Home

- (a) Preventative and routine maintenance will be on-going and provided by the homeowner to minimize the need for costly maintenance at some future time. The homeowner will be responsible for servicing of coolers, furnaces, fire extinguishers, smoke alarms, refrigerators and appliances, water/gas line inspections, weatherization items or corrections to the home and grounds.
- (b) The Homeowner shall provide on-going routine and recurring maintenance tasks on the home which will include all repairs and replacements required to maintain the home in a decent, safe and sanitary standard. The homeowner will be responsible for on-going maintenance on the home for the useful life of the unit.
- (c) Homeowners will be responsible for cleaning the surrounding grounds of the dwelling unit. Weeds and other rubbish will not be allowed to accumulate. Inoperative junk cars will not be permitted on the grounds. Any landscaping including shrubs, trees, lawns, and other plants will be the homeowner responsibility to maintain by performing such tasks as watering, pruning, mowing, and other work. If the unit is not fenced and the homeowner desires fencing, the homeowner will be responsible for fencing the property.
- (d) Homeowners will be responsible for any required treatment for pest control as part of routine maintenance of the home.
- (e) Homeowners will be responsible to dispose of garbage in receptacles or containers. The homeowner will be responsible for property bagging, discarding in containers, and trash removal fees to the company of choice. Burning trash in yards will not be allowed except on allowed burn days and per permit. Compliance with local environmental regulations will be enforced.

To clearly set forth the ongoing maintenance responsibilities for the Tribe and for the Homeowner, a maintenance contract/agreement will be required to be signed by both parties which will detail their maintenance responsibilities. The agreement will be discussed with the homeowner and will be required to be signed prior to the homeowner moving back into the home once the rehabilitation work is completed.

This ICDBG project's sustainability is viable in that it meets stated goals in this ICDBG rehabilitation project by requiring homeowners to maintain homes that were brought from substandard condition to standard condition. Overall, maintenance of the homes is the responsibility of the homeowners and the Tribe's Housing program will work with the homeowners for a period of up to ten years to ensure the sustainability and viability of the completed project. Energy efficiency measures have been incorporated into the project through purchasing of Energy Star and Energy rated appliances. Overall, costs should be greatly lowered and will result in the homeowner's ability to sustain the homes at much lower costs than current rates. This will occur over the useful life of the rehabilitated homes.

#### **RATING FACTOR #4 – LEVERAGING RESOURCES**

The Big Pine Paiute Tribe agrees to contribute as leveraged funding, \$220,000.00 which will come from its 2012, 2013, 2014, and 2015 Indian Housing Block Grants (IHBG) in the amount of \$55,000.00 per year (see Tribal Resolution #11-37) under attachment #6 and attachment #11 of this application.

Total leveraged funding is approximately 27% of the project costs. The total project cost is \$825,000.00 and the leveraged amount is \$222,000.00, calculated as follows ( $\$220,000.00$  divided by  $\$825,000.00 = .267\%$ ).

**TOTAL LEVERAGED RESOURCES: \$220,000.00.**

#### **RATING FACTOR #5 – COMPREHENSIVENESS AND COORDINATION**

**1 Coordination** – The Project is a coordinated effort between the following Tribal Departments that are not providing direct financial support to the project and that are working to meet objectives in a holistic and comprehensive manner:

- The **Tribal Housing Program** will oversee the project, use Force Account Workers for construction and rehabilitation, procure materials and supplies, implement inspections, prepare construction schedules, prepare cost estimates and budgets, and prepare required ICDBG and project reports.
- The **Tribal Environmental Department** will oversee all environmental requirements required under ICDBG and other applicable regulations and policies. The Environmental Director and Housing Manager will coordinate efforts to ensure all environmental work is completed and on schedule.
- The **Tribal Finance Department** will oversee the financial aspects of the project. The Housing Manager will be responsible for the LOCCS draws. The Finance Department will track the grant using Fundware software accounting system, prepare required Financial Reports, and ensure audit requirements.
- The **Tribal Historic Preservation Department** will oversee this aspect of the Project, coordinating work efforts with the Environmental and Housing Departments to ensure that tribal homes and resources are protected under applicable laws and regulations.

The Big Pine Paiute Tribe not only coordinated efforts with the above tribal departments but has also coordinated efforts with community members to ensure a feasible project in line with community needs. Two public meetings were held to gather community resident input into the project, the tribal newsletter was used to disseminate information on the FY 2012 ICDBG grant application, and a

questionnaire was distributed by housing staff to community residents to gather income and other information in regards to the project.

**2 Outputs, Outcomes, and/or Goals** – The Big Pine Tribe, based on community input, housing committee meetings, and Tribal Council direction, has identified the following **Goals** in this project:

**GOALS:**

- (a) The dire need for rehabilitation of homes of Tribal Elders and Disabled/Handicapped Members and to provide handicap (ADA) accommodations in these units in accordance with ADA and visitability principles;
- (b) The need to reduce the number of substandard housing units on the reservation;
- (c) The need to address mold, lead, and asbestos and abatement of these materials in some of the substandard homes;
- (d) The need to promote employment opportunities to low income community members.

Based on the above goals, the following **Outputs** under this grant are:

**OUTPUTS:**

- (a) Address ADA accessibility and visitability to eight (8) single family homes in the project;
- (b) Provide housing rehabilitation to low and moderate income participants for a total of twelve (12) single family homes;
- (c) Provide employment opportunities for community tribal members through use of Force Account which will employ a minimum of ten (10) Force Account workers throughout the four year project period;
- (d) Provide an employment opportunity for a community member through the use of TANF referrals which will and Project Clerk/Secretary position;
- (e) Provide contracts and subcontracts to Native American/small businesses in the local area; number to be determined on the basis of contracts and subcontracts let throughout the project.

Based on the above outputs, the **Outcomes** under this project are:

**OUTCOMES:**

- (a) Increase the quality of life for eight (8) of the housing participants by providing handicap (ADA) and visitability rehabilitation on eight homes;
- (b) 100% of the participants in the rehabilitation project will meet LMI standards (100% fall below low income status for Inyo Co., CA scale);
- (c) Reduction in the number of unemployed community tribal members through the use of Force Account on the project and an increased income resulting from employment generated through this project;
- (d) Increase in the number of full-time positions in the Housing Department as a result of job training and employment opportunity for one position which will result in a 33% staffing increase in the Housing Department;
- (e) Increase in the number of Native American owned and small businesses contracted under the project by promoting businesses in the local area assisted under the project.

The above measureable outputs and outcomes clearly enhance the Big Pine Community viability and clearly support the award of an FY 2012 ICDBG for housing rehabilitation assistance to the Big Pine Tribe.

Thank you.

**Application for Federal Assistance SF-424**

**\* 1. Type of Submission:**

- Preapplication  
 Application  
 Changed/Corrected Application

**\* 2. Type of Application:**

- New  
 Continuation  
 Revision

**\* If Revision, select appropriate letter(s):**

**\* Other (Specify):**

**\* 3. Date Received:**

Completed by Grants.gov upon submission.

**4. Applicant Identifier:**

**5a. Federal Entity Identifier:**

**5b. Federal Award Identifier:**

**State Use Only:**

**6. Date Received by State:**

**7. State Application Identifier:**

**8. APPLICANT INFORMATION:**

**\* a. Legal Name:**

Big Pine Paiute Tribe of the Owens Valley

**\* b. Employer/Taxpayer Identification Number (EIN/TIN):**

95-3059258

**\* c. Organizational DUNS:**

0107086340000

**d. Address:**

**\* Street1:**

825 S. Main Street

**Street2:**

PO Box 700

**\* City:**

Big Pine

**County/Parish:**

Inyo

**\* State:**

CA: California

**Province:**

**\* Country:**

USA: UNITED STATES

**\* Zip / Postal Code:**

93513-0700

**e. Organizational Unit:**

**Department Name:**

CDD

**Division Name:**

Housing

**f. Name and contact information of person to be contacted on matters involving this application:**

**Prefix:**

Mrs.

**\* First Name:**

Jennifer

**Middle Name:**

G.

**\* Last Name:**

John

**Suffix:**

**Title:**

Housing Manager

**Organizational Affiliation:**

**\* Telephone Number:**

760-938-2003

**Fax Number:**

760-938-2942

**\* Email:**

j.john@bigpinepaiute.org

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

US Department of Housing and Urban Development

**11. Catalog of Federal Domestic Assistance Number:**

14.862

CFDA Title:

Indian Community Development Block Grant Program

**\* 12. Funding Opportunity Number:**

FR-5600-N-02

\* Title:

Community Development Block Grant Program for Indian Tribes and Alaska Native Villages (ICDBG)

**13. Competition Identification Number:**

ICDBG-02

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

FY 2012 Big Pine Community Housing Rehabilitation Project

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

\* a. Applicant: CA-25

b. Program/Project: CA-25

Attach an additional list of Program/Project Congressional Districts if needed.

[ ] Add Attachment [ ] Delete Attachment [ ] View Attachment

17. Proposed Project:

\* a. Start Date: 04/01/2012

\* b. End Date: 12/31/2016

18. Estimated Funding (\$):

* a. Federal	605,000.00
* b. Applicant	220,000.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	825,000.00

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on [ ]
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

If "Yes", provide explanation and attach

[ ] Add Attachment [ ] Delete Attachment [ ] View Attachment

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr. \* First Name: Virgil  
Middle Name: [ ]  
\* Last Name: Moose  
Suffix: [ ]

\* Title: Tribal Chairman

\* Telephone Number: 760-938-2003 Fax Number: 760-938-2942

\* Email: j/john@bigpinepaiute.org

\* Signature of Authorized Representative: Completed by Grants.gov upon submission. \* Date Signed: Completed by Grants.gov upon submission.

# Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing  
and Urban Development

OMB Approval No. 2510-0011 (exp. 08/31/2006)

**Instructions.** (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

## Applicant/Recipient Information

Indicate whether this is an Initial Report  or an Update Report

1. Applicant/Recipient Name, Address, and Phone (include area code): Big Pine Paiute Tribe of the Owens Valley, P.O. Box 700, Big Pine, CA 93513-0700  (760) 938-2003		2. Social Security Number or Employer ID Number: 	
3. HUD Program Name Office of the Assistant Secretary for Public and Indian Housing, HUD		4. Amount of HUD Assistance Requested/Received \$605,000.00	
5. State the name and location (street address, City and State) of the project or activity: FY 2012 Big Pine Community Housing Rehabilitation Project, 825 S. Main Street, Big Pine, CA			

## Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.
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If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However,** you must sign the certification at the end of the report.

## Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds

(Note: Use Additional pages if necessary.)

## Part III Interested Parties. You must disclose:

- All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
- any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity (\$ and %)

(Note: Use Additional pages if necessary.)

## Certification

**Warning:** If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

Signature:  X	Date: (mm/dd/yyyy)  12/28/2011
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**Public reporting burden** for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

**Privacy Act Statement.** Except for Social Security Numbers (SSNs) and Employer Identification Numbers (EINs), the Department of Housing and Urban Development (HUD) is authorized to collect all the information required by this form under section 102 of the Department of Housing and Urban Development Reform Act of 1989, 42 U.S.C. 3531. Disclosure of SSNs and EINs is optional. The SSN or EIN is used as a unique identifier. The information you provide will enable HUD to carry out its responsibilities under Sections 102(b), (c), and (d) of the Department of Housing and Urban Development Reform Act of 1989, Pub. L. 101-235, approved December 15, 1989. These provisions will help ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. They will also help ensure that HUD assistance for a specific housing project under Section 102(d) is not more than is necessary to make the project feasible after taking account of other government assistance. HUD will make available to the public all applicant disclosure reports for five years in the case of applications for competitive assistance, and for generally three years in the case of other applications. Update reports will be made available along with the disclosure reports, but in no case for a period generally less than three years. All reports, both initial reports and update reports, will be made available in accordance with the Freedom of Information Act (5 U.S.C. §552) and HUD's implementing regulations at 24 CFR Part 15. HUD will use the information in evaluating individual assistance applications and in performing internal administrative analyses to assist in the management of specific HUD programs. The information will also be used in making the determination under Section 102(d) whether HUD assistance for a specific housing project is more than is necessary to make the project feasible after taking account of other government assistance. You must provide all the required information. Failure to provide any required information may delay the processing of your application, and may result in sanctions and penalties, including imposition of the administrative and civil money penalties specified under 24 CFR §4.38.

**Note:** This form only covers assistance made available by the Department. States and units of general local government that carry out responsibilities under Sections 102(b) and (c) of the Reform Act must develop their own procedures for complying with the Act.

## Instructions

### Overview.

**A. Coverage.** You must complete this report if:

- (1) You are applying for assistance from HUD for a specific project or activity and you have received, or expect to receive, assistance from HUD in excess of \$200,000 during the fiscal year;
- (2) You are updating a prior report as discussed below; or
- (3) You are submitting an application for assistance to an entity other than HUD, a State or local government if the application is required by statute or regulation to be submitted to HUD for approval or for any other purpose.

**B. Update reports (filed by "Recipients" of HUD Assistance):**

**General.** All recipients of covered assistance must submit update reports to the Department to reflect substantial changes to the initial applicant disclosure reports.

### Line-by-Line Instructions.

#### Applicant/Recipient Information.

All applicants for HUD competitive assistance, must complete the information required in blocks 1-5 of form HUD-2880:

1. Enter the full name, address, city, State, zip code, and telephone number (including area code) of the applicant/recipient. Where the applicant/recipient is an individual, the last name, first name, and middle initial must be entered.
2. Entry of the applicant/recipient's SSN or EIN, as appropriate, is optional.
3. Applicants enter the HUD program name under which the assistance is being requested.
4. Applicants enter the amount of HUD assistance that is being requested. Recipients enter the amount of HUD assistance that has been provided and to which the update report relates. The amounts are those stated in the application or award documentation. NOTE: In the case of assistance that is provided pursuant to contract over a period of time (such as project-based assistance under section 8 of the United States Housing Act of 1937), the amount of assistance to be reported includes all amounts that are to be provided over the term of the contract, irrespective of when they are to be received.
5. Applicants enter the name and full address of the project or activity for which the HUD assistance is sought. Recipients enter the name and full address of the HUD-assisted project or activity to which the update report relates. The most appropriate government identifying number must be used (e.g., RFP No.; IFB No.; grant announcement No.; or contract, grant, or loan No.) Include prefixes.

#### Part I. Threshold Determinations - Applicants Only

Part I contains information to help the applicant determine whether the remainder of the form must be completed. **Recipients filing Update Reports should not complete this Part.**

If the answer to **either** questions 1 or 2 is No, the applicant need not complete Parts II and III of the report, but must sign the certification at the end of the form.

#### Part II. Other Government Assistance and Expected Sources and Uses of Funds.

**A. Other Government Assistance.** This Part is to be completed by both applicants and recipients for assistance and recipients filing update reports. Applicants and recipients must report any other government assistance involved in the project or activity for which assistance is sought. Applicants and recipients must report any other government assistance involved in the project or activity. Other government assistance is defined in note 4 on the last page. For purposes of this definition, other government assistance is expected to be made available if, based on an assessment of all the circumstances involved, there are reasonable grounds to anticipate that the assistance will be forthcoming.

Both applicant and recipient disclosures must include all other government assistance involved with the HUD assistance, as well as any other government assistance that was made available before the request, but that has continuing vitality at the time of the request. Examples of this latter category include tax credits that provide for a number of years of tax benefits, and grant assistance that continues to benefit the project at the time of the assistance request.

The following information must be provided:

1. Enter the name and address, city, State, and zip code of the government agency making the assistance available.
2. State the type of other government assistance (e.g., loan, grant, loan insurance).
3. Enter the dollar amount of the other government assistance that is, or is expected to be, made available with respect to the project or activities for which the HUD assistance is sought (applicants) or has been provided (recipients).
4. Uses of funds. Each reportable use of funds must clearly identify the purpose to which they are to be put. Reasonable aggregations may be used, such as "total structure" to include a number of structural costs, such as roof, elevators, exterior masonry, etc.

**B. Non-Government Assistance.** Note that the applicant and recipient disclosure report must specify all expected sources and uses of funds - both from HUD **and any other source** - that have been or are to be, made available for the project or activity. Non-government sources of

funds typically include (but are not limited to) foundations and private contributors.

**Part III. Interested Parties.**

This Part is to be completed by both applicants and recipients filing update reports. Applicants must provide information on:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

**Note:** A financial interest means any financial involvement in the project or activity, including (but not limited to) situations in which an individual or entity has an equity interest in the project or activity, shares in any profit on resale or any distribution of surplus cash or other assets of the project or activity, or receives compensation for any goods or services provided in connection with the project or activity. Residency of an individual in housing for which assistance is being sought is not, by itself, considered a covered financial interest.

The information required below must be provided.

1. Enter the full names and addresses. If the person is an entity, the listing must include the full name and address of the entity as well as the CEO. Please list all names alphabetically.
2. Entry of the Social Security Number (SSN) or Employee Identification Number (EIN), as appropriate, for each person listed is optional.
3. Enter the type of participation in the project or activity for each person listed: i.e., the person's specific role in the project (e.g., contractor, consultant, planner, investor).
4. Enter the financial interest in the project or activity for each person listed. The interest must be expressed both as a dollar amount and as a percentage of the amount of the HUD assistance involved.

**Note** that if any of the source/use information required by this report has been provided elsewhere in this application package, the applicant need

not repeat the information, but need only refer to the form and location to incorporate it into this report. (It is likely that some of the information required by this report has been provided on SF 424A, and on various budget forms accompanying the application.) If this report requires information beyond that provided elsewhere in the application package, the applicant must include in this report all the additional information required.

Recipients must submit an update report for any change in previously disclosed sources and uses of funds as provided in Section I.D.5., above.

**Notes:**

1. All citations are to 24 CFR Part 4, which was published in the Federal Register. [April 1, 1996, at 63 Fed. Reg. 14448.]
2. Assistance means any contract, grant, loan, cooperative agreement, or other form of assistance, including the insurance or guarantee of a loan or mortgage, that is provided with respect to a specific project or activity under a program administered by the Department. The term does not include contracts, such as procurements contracts, that are subject to the Fed. Acquisition Regulation (FAR) (48 CFR Chapter 1).
3. See 24 CFR §4.9 for detailed guidance on how the threshold is calculated.
4. "Other government assistance" is defined to include any loan, grant, guarantee, insurance, payment, rebate, subsidy, credit, tax benefit, or any other form of direct or indirect assistance from the Federal government (other than that requested from HUD in the application), a State, or a unit of general local government, or any agency or instrumentality thereof, that is, or is expected to be made, available with respect to the project or activities for which the assistance is sought.
5. For the purpose of this form and 24 CFR Part 4, "person" means an individual (including a consultant, lobbyist, or lawyer); corporation; company; association; authority; firm; partnership; society; State, unit of general local government, or other government entity, or agency thereof (including a public housing agency); Indian tribe; and any other organization or group of people.

# Implementation Schedule

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
**Big Pine Paiute Tribe of the Owens Valley**

2. Application/Grant Number (to be assigned by HUD)

3.  Original (First submission to HUD)  
 Pre-Award Submission  
 Amendment (submitted after grant approval)

Date (mm/dd/yyyy) **01/03/2012**

4. Name of Project (as shown on form HUD-4123, Item 4)  
**FY 2012 Big Pine Community Housing Rehabilitation Project**

5. Effective Date (mm/dd/yyyy) **05/01/2012** | Expected Completion Date (mm/dd/yyyy) **09/30/2015** | Expected Closeout Date (mm/dd/yyyy) **12/30/2015**

6. Environmental Review Status

Exempt (As described in 24 CFR 59.34)  Under Review (Review underway; findings not yet made)

EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 59.37)  Not Started (Review not yet begun)

Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)

Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)

Categorically Excluded (As described in 24 CFR 59.35)

7. Tribal Fiscal Year (mm/dd/yyyy)  
**01/01/2012**

8. Task List  
(List tasks such as environmental assessment, acquisition, etc.)

9. Schedule.  
Use Calendar Year (CY) quarters. Fill in the CY below. See detailed instructions on back.

	CY <u>12</u>				CY _____				Date (mm/dd/yyyy) (if exceeds 8th Q. "		
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.			
1. Address any Special Grant Conditions		A	M	J	J	A	S	O	N	D	
2. Return Grant Agreement to Funding Agency			X	X							
3. Eligibility/Final Selection of Rehab Homes (3)			X	X							
4. Complete Environmental Work (3) Homes				X	X						
5. Inspection of (3) homes to Assess Rehab Work				X	X						
6. Develop Scope of Work for (3) Homes				X	X						
7. Develop Cost Estimate/Budget for (3) Homes				X	X						
8. Hire All Workers for Project (Project Manager, Force Account, Maintenance, and Office)				X	X						
9. Hire Inspector(s) for Project				X	X						
10. Procurement of Material/Supplies for (3) Homes				X	X						
11. Relocation of (3) Families				X	X						
12. Rehab Work on House #1				X	X						

10. Planned Drawdowns by Quarter  
(Enter amounts non-cumulatively)

Quarter	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	Total
\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
		130,000.00	21,250.00						151,250.00

11. Cumulative Drawdown  
(If more than one page, enter total on last page only)

Quarter	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	Total
\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
	0.00	130,000.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00

Previous editions are obsolete

# Implementation Schedule

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
**Big Pine Palute Tribe of the Owens Valley**

2. Application/Grant Number (to be assigned by HUD)

3.  Original (First submission to HUD)  
 Pre-Award Submission  
 Amendment (submitted after grant approval)

Date (mm/dd/yyyy) **01/03/2012**

4. Name of Project (as shown on form HUD-4123, Item 4)  
**FY 2012 Big Pine Community Housing Rehabilitation Project**

5. Effective Date (mm/dd/yyyy) **05/01/2012**

Expected Completion Date (mm/dd/yyyy) **09/30/2015**

Expected Closeout Date (mm/dd/yyyy) **12/30/2015**

6. Environmental Review Status

Exempt (as described in 24 CFR 58.34)

Under Review (Review underway; findings not yet made)

Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)

EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)

Not Started (Review not yet begun)

Certification (Environmental review completed; being prepared for submission.)

Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy)  
**01/01/2012**

8. Task List

9. Schedule. Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.

	CY 12								Date (mm/dd/yyyy) (if exceeds 8th Q. it		
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.			
13. Rehab Work on House #2		A	M	J	J	A	S	O	N	D	
14. Rehab Work on House #3							X	X			
15. Required Reporting per Grant Terms (425's, ASERs, etc.)		X	X	X	X	X	X	X	X		

10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)

Quarter	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	Total
\$	\$	\$130,000.00	\$21,250.00	\$	\$	\$	\$	\$	\$151,250.00

11. Cumulative Drawdown (If more than one page, enter total on last page only)

Quarter	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	Total
\$	\$	\$130,000.00	\$151,250.00	\$151,250.00	\$151,250.00	\$151,250.00	\$151,250.00	\$151,250.00	\$151,250.00

Previous editions are obsolete

page 2 of 6 pages

form HUD-4125 (12/97)

# Implementation Schedule

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
**Big Pine Paiute Tribe of the Owens Valley**

2. Application/Grant Number (to be assigned by HUD)  
**05/01/2012**

3.  Original (First submission to HUD)  
 Pre-Award Submission  
 Amendment (submitted after grant approval)  
Date (mm/dd/yyyy)  
**01/03/2012**

4. Name of Project (as shown on form HUD-4123, Item 4)  
**FY 2012 Big Pine Community Housing Rehabilitation Project**

5. Effective Date (mm/dd/yyyy) | Expected Completion Date (mm/dd/yyyy) | Expected Closeout Date (mm/dd/yyyy)  
**05/01/2012 | 09/30/2015 | 12/30/2015**

6. Environmental Review Status  
 Exempt (As described in 24 CFR 58.34)  Under Review (Review underway; findings not yet made)  
 EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)  Not Started (Review not yet begun)

Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment)  
 Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)  Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy)  
**01/01/2012**

8. Task List  
(List tasks such as environmental assessment, acquisition, etc.)

9. Schedule.  
Use Calendar Year (CY) quarters. Fill in the CY below. See detailed instructions on back.

	CY 13													Date (mm/dd/yyyy) (if exceeds 8th Q. tr				
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.	9th Qtr.	10th Qtr.	11th Qtr.	12th Qtr.						
GRANT YEAR TWO	J	F	M	A	M	J	J	A	S	O	N	D						
1. Eligibility/Final Selection of Rehab Homes (3)					X													
2. Complete Environmental Work (3) Homes						X												
3. Inspection of (3) Homes to Assess Rehab Work						X												
4. Develop Scope of Work for (3) Homes						X												
5. Develop Cost Estimate/Budget for (3) Homes						X												
6. Hire Force Account Workers for Project						X												
7. Hire Inspector(s) for Project						X												
8. Procurement of Material/Supplies for (3) Homes						X												
9. Relocation of (3) Families						X												
10. Rehab Work on House #4							X											
11. Rehab Work on House #5							X											
12. Rehab Work on House #6							X											
13. Required Reporting Per Grant Terms	X	X	X	X	X	X	X	X	X	X	X	X						
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
	0.00	130,000.00	130,000.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00	151,250.00
Total																		
Total																		
Total																		



# Implementation Schedule

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/28/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
Big Pine Paiute Tribe of the Owens Valley

2. Application/Grant Number (to be assigned by HUD)

3.  Original (First submission to HUD)  
 Pre-Award Submission  
 Amendment (submitted after grant approval)

Date (mm/dd/yyyy) 01/03/2012

4. Name of Project (as shown on form HUD-4123, Item 4)  
FY 2012 Big Pine Community Housing Rehabilitation Project

5. Effective Date (mm/dd/yyyy) 05/01/2012

Expected Competition Date (mm/dd/yyyy) 09/30/2015

Expected Closeout Date (mm/dd/yyyy) 12/30/2015

6. Environmental Review Status

Exempt (as described in 24 CFR 58.34)  Under Review (Review underway; findings not yet made)

EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)  Not Started (Review not yet begun)

Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)

Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)

Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy) 01/01/2012

8. Task List  
(List tasks such as environmental assessment, acquisition, etc.)

9. Schedule.  
Use Calendar Year (CY) quarters. Fill in the CY below. See detailed Instructions on back.

	CY 14				CY 15				Date (mm/dd/yyyy) (if exceeds 8th Q. tr					
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.						
<b>GRANT YEAR FOUR</b>														
1. Eligibility/Final Selection of Rehab Homes (3)	J	F	M	A	M	J	J	A	S	O	N	D		
2. Complete Environmental Work (3) Homes					X	X	X							
3. Inspection of (3) Homes to Assess Rehab Work					X	X	X							
4. Develop Scope of Work for (3) Homes					X	X	X							
5. Develop Cost Estimator/Budget for (3) Homes					X	X	X							
6. Hire Force Account Workers for Project					X	X	X							
7. Hire Inspector(s) for Project					X	X	X							
8. Procurement of Material/Supplies for (3) Homes					X	X	X							
9. Relocation of (3) Families					X	X	X							
10. Rehab Work on House #10							X	X						
11. Rehab Work on House #11							X	X						
12. Rehab Work on House #12							X	X						
13. Required Reporting Per Grant Terms	X	X	X	X	X	X	X	X	X					
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$	0.00	\$	130,000.00	\$	21,250.00	\$	151,250.00	\$	151,250.00	\$	151,250.00	\$	151,250.00
Total													\$	151,250.00
Total													\$	151,250.00

# Implementation Schedule

## Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
**Big Pine Paiute Tribe of the Owens Valley**

2. Application/Grant Number (to be assigned by HUD)

3.  Original (First submission to HUD)  
 Pre-Award Submission  
 Amendment (submitted after grant approval)

Date (mm/dd/yyyy)  
**01/03/2012**

4. Name of Project (as shown on form HUD-4123, Item 4)  
**FY 2012 Big Pine Community Housing Rehabilitation Project**

5. Effective Date (mm/dd/yyyy) **05/01/2012** Expected Completion Date (mm/dd/yyyy) **09/30/2015** Expected Closeout Date (mm/dd/yyyy) **12/30/2015**

6. Environmental Review Status  
 Exempt (As described in 24 CFR 58.34)  Under Review (Review underway; findings not yet made)  
 EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)  Not Started (Review not yet begun)  
 Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)  
 Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)  Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy)  
**01/01/2012**

8. Task List  
(List tasks such as environmental assessment, acquisition, etc.)

9. Schedule.  
(List tasks such as environmental assessment, acquisition, etc.) Use Calendar Year (CY) quarters. Fill in the CY below. See detailed instructions on back.

	CY				CY				Date (mm/dd/yyyy) (if exceeds 8th Q. tr									
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.										
14. Grant Closeout	J	F	M	A	M	J	J	A	S	O	N	D	X	X	X			
10. Planned Drawdowns by Quarter (Either amounts non-cumulatively)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00	\$	0.00
Previous editions are obsolete																		

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0191), Office of Information Technology, Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best project funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is entered HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act, 101-235 as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

**Instructions for Item 9 Schedule:** Use Calendar Year (CY) quarters. Fill in the CY below. If the project begins in May, for example, enter under "1st Qtr" (1st Qtr), M(May), J(June). Indicate time period required to complete each activity, e.g., acquisition, by entering "X" under the months it will begin and end. Draw a horizontal line from the first to the second "X". If the completion date will extend beyond the 6th quarter, enter date in the far right column and attach an explanation.

# Cost Summary

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 2577-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.

1. Name of Applicant (as shown in Item 5, Standard Form 424) **Big Pine Paiute Tribe of the Owens Valley** 2. Application/Grant Number (to be assigned by HUD upon submission)

3.  **Original** (check here if this is the first submission to HUD)  **Revision** (check here if submitted with implementation schedule as part of pre-award requirements)  **Amendment** (check here if submitted after HUD approval of grant) Date (mm/dd/yyyy) **01/03/2012**

4. Project Name & Project Category (see instructions on back) a	ICDBG Amount Requested for each activity b	Program Funds (in thousands of \$)	
		Other Source Amount for each activity c	Other Source of Other Funds for each activity d
FY 2012 Big Pine Community Housing Rehabilitation Project	\$	\$	
Housing			
Rehabilitation	484,000.00	220,000.00	IHBG @ \$55,000.00 for 2012 - 2015
5. Administration			
a. General Management and Oversight	116,500.00		
b. Indirect Costs: Enter indirect costs to be charged to the program pursuant to a cost allocation plan.	0.00		
c. Audit: Enter estimated cost of Program share of A-133 audits.	4,500.00		
Administration Total *	121,000.00	0.00	
6. Planning The Project description must address the proposed use of these funds.			
7. Technical Assistance Enter total amount of ICDBG funds requested for technical assistance. **			
8. Sub Total Enter totals of columns b. and c.	\$ 605,000.00	\$ 220,000.00	
9. Grand Total Enter sum of column b. plus column c.			\$ 825,000.00

\* The total of items 5 and 6 cannot exceed 20% of the total ICDBG funds requested.  
 \*\* No more than 10% of ICDBG funds requested may be used for technical assistance. If funds are requested under this line item, a separate project description must accompany the application to describe the technical assistance the application intends to obtain. Only technical assistance costs associated with the development of a capacity to undertake a specific funded program activity are eligible (24 CFR 1003.206).

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

#### Instructions for Item 4.

##### Project Name and Project Type

Participants enter the project name and the name of one of the following three categories of activities:

- Housing
- Community Facilities
- Economic Development

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

##### Housing

###### Rehabilitation Component

- Rehabilitation
- Demolition

###### Land to Support New Housing Component

###### New Housing Construction Component

##### Community Facilities

###### Infrastructure Component

- Water
- Sewer
- Roads and Streets
- Storm Sewers

###### Buildings Component

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

##### Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development



**BIG PINE PAIUTE TRIBE OF THE OWENS VALLEY**  
*Big Pine Paiute Indian Reservation*

**RESOLUTION NO. 11-37**

**SUBJECT:** APPLICATION FOR FY 2012 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT FOR HOUSING REHABILITATION.

**WHEREAS:** The Big Pine Paiute Tribal Council is the duly elected governing body of the Big Pine Paiute Tribe of the Owens Valley, which is a federally recognized Tribe; and

**WHEREAS:** The Big Pine Tribal Council is the Tribe's autonomous governmental body established pursuant to the Tribe's Constitution to undertake the necessary steps and actions to protect tribal trust resources; and

**WHEREAS:** The Big Pine Paiute Tribe of the Owens Valley is a federally recognized Tribe, and is entitled to privileges and immunities by virtue of its status as a Tribe with a government-to-government relationship with the United States government; and

**WHEREAS:** The Big Pine Paiute Tribe has tribal jurisdiction within the exterior boundaries of the Big Pine Indian Reservation with a land area of approximately 299.5 acres; and

**WHEREAS:** The Big Pine Tribal Council is empowered to consult, negotiate, contract, or conclude agreements with Federal, State, Local, and Tribal governments and with private persons and organizations.

**NOW THEREFORE BE IT RESOLVED,** that the Big Pine Paiute Tribal Council, representing the Big Pine Paiute Tribe of the Owens Valley, hereby authorizes the submission of a Grant Application for FY 2012 Indian Community Development Block Grant (ICDBG) funds for housing rehabilitation for twelve (12) homes for tribal elders, disabled/handicapped persons, and low to moderate income tribal members.

**BE IT FURTHER RESOLVED,** that the Big Pine Paiute Tribe, in submitting this ICDBG grant application, does hereby certify that it has met the citizen participation requirements of 24 CFR 1003.604(a) and did consider comments and made necessary modifications, where applicable, to the application.

**BE IT FURTHER RESOLVED,** that the Big Pine Paiute Tribe agrees to leverage \$220,000.00 in Native American Housing and Self Determination Act (NAHASDA) Indian Housing Block Grant (IHBG) funds (\$55,000.00 per year for the years 2012, 2013, 2014, and 2015) as non-ICDBG resources to the Big Pine Community Housing Rehabilitation Project.

**BE IT FINALLY RESOLVED,** that the Chairperson is authorized to negotiate, complete and sign all necessary documents relating to this request and the Vice-Chairperson is authorized to act in the Chairperson's absence.

**CERTIFICATION**

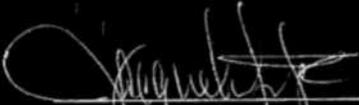
This resolution has been passed by the Tribal Council Members of the Big Pine Paiute Tribe of the Owens Valley. This resolution was adopted and approved on December 7, 2011 by a vote of 4 Ayes, 0 Nays, and 0 Abstains. This resolution has not yet been rescinded or amended in anyway.

ATTEST:



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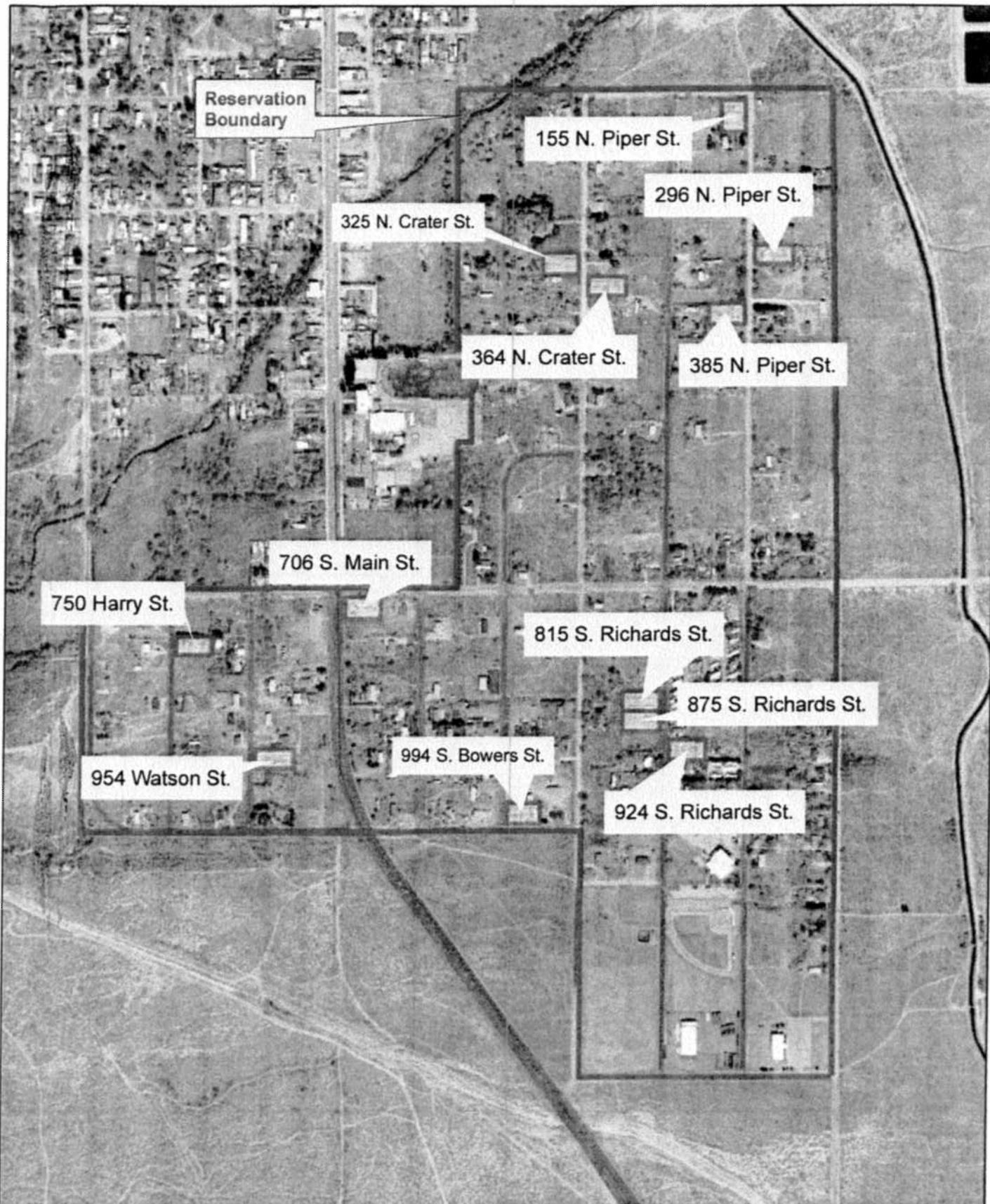
Virgil Moose, Chairperson  
The Big Pine Paiute Tribal Council



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Jacqueline Gutierrez, Secretary  
The Big Pine Paiute Tribal Council





**ICDBG 2012 Locations on Big Pine Indian Reservation**



**BIG PINE COMMUNITY HOUSING REHABILITATION PROJECT**

**Low-and Moderate-Income Benefit**

The information provided on the table below shows that 100% of the housing rehabilitation homes are for low income persons. This information is in line with the( LMI) ICDBG benefit requirements of households to be served for housing rehabilitation projects. 100% of the ICDBG funds will be used to benefit the twelve (12) households listed. Of the twelve (12) houses to be rehabilitated four (4) or 33% are extremely low, five (5) or 42% are very low, and three (3) or 25% are low income. 100% of the families to benefit from this project are at or below the low income range for the income scale for Inyo County, CA.

HOUSE #	ADDRESS	ANNUAL HOUSEHOLD INCOME/SIZE	INCOME STATUS PER INYO COUNTY, CA SCALE	TYPE OF REHAB WORK NEEDED	ICDBG REPAIR/LABOR COSTS NOT TO EXCEED
1-T S		7,764.00/5	Extremely Low	Code Compliance, Overcrowding, Mold, Lead, Asbestos Removal	\$50,000.00
2-EH		\$28,500.00/4	Very Low	Code Compliance, Handicap	\$50,000.00
#3-MZ		\$26,570.00/2	Low	Code Compliance, Handicap	\$50,000.00
#4-JT		\$28,336.00/4	Very Low	Code Compliance, Mold, Handicap	\$50,000.00
#5-CAD		\$28,344.00/1	Low	Code Compliance, Handicap	\$50,000.00
#6-NH		\$10,000.00/5	Extremely Low	Code Compliance	\$50,000.00
#7-RD		\$12,000.00/1	Extremely Low	Code Compliance, Handicap	\$50,000.00
#8-FM		\$21,000.00/1	Very Low	Code Compliance, Handicap	\$50,000.00
#9-NC		\$29,000.00/1	Low	Code Compliance, Handicap, Lead, Asbestos Removal	\$50,000.00
#10-RS		\$13,420.00/1	Very Low	Handicap, Code Compliance, Lead, Asbestos Removal	\$50,000.00
#11-PV		\$4,000.00/5	Extremely Low	Code Compliance, Overcrowding, Mold	\$50,000.00
#12SR		\$18,000.00/1	Very Low	Code Compliance	\$50,000.00
Total Projected Repair Costs – IDCBG & INBG Combined Funds					\$720,043.00
Total Planning & Administration Funds					\$104,957.00
<b>TOTAL PROJECT COST</b>					<b>\$825,000.00</b>

The annual household income was provided by the Head of Household Applicant, per a "FY 2012 ICDBG Housing Rehabilitation Questionnaire", to the CDD Housing Department in line with the citizen participation requirements in submission of this application.

Attached is a copy of the Official State HUD Income Limits for 2011 for the State of California. The income determinations on the chart on the preceding page were made based on the following scale:

		Number of Persons in Household							
Inyo County	Income Category	1	2	3	4	5	6	7	8
4 Person Area Median Income \$64,600	Extremely Low	13,300	15,200	17,100	18,950	20,500	22,000	23,500	25,050
	Very Low Income	22,150	25,300	28,450	31,600	34,150	36,700	39,200	41,750
	Lower Income	35,400	40,450	45,500	50,550	54,600	58,650	62,700	66,750
	Median Income	45,200	51,700	58,150	64,600	69,750	74,950	80,100	85,250
	Moderate Income	54,250	62,000	69,750	77,500	83,700	89,900	96,100	102,300

Section 1003.208 of 24 CFR 1003 states under Criteria for compliance with the primary objective – The Act establishes as its primary objective the development of viable communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low and moderate income. Consistent with this objective, not less than 70% (seventy-percent) of the expenditures of each single purpose grant shall be for activities which meet the criteria set forth in paragraphs (a), (b), (c), and (d) of this section. Activities meeting these criteria as applicable will be considered to benefit low and moderate income persons unless there is substantial evidence to the contrary. In assessing any such evidence, the full range of direct effects of the assisted activity will be considered. (The grantee shall appropriately ensure that activities that meet these criteria do not benefit moderate income persons to the exclusion of low income persons.)

Again, as of the date of submission of this application, 33% of the families to be served are of extremely low income, 42% are very low income, and 25% are low income which is in line with 1003.208 ICDBG requirements. 100% of the expenditures of the ICDBG grant \$605,000.00 will be for activities set forth in 1003.208.

Further, the Rehabilitation Project is an eligible activity to be carried out for the purpose of providing or improving permanent residential structures which, upon completion, will be occupied by low income households. The same families that live in these homes identified for rehabilitation work will be the same families that move back into their homes once the work is completed.

ATTACHMENT A – Official State HUD Income Limits for 2011 – REVISED - (State of California)

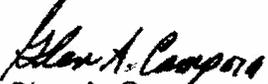
**DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT**  
**Division of Housing Policy Development**

1800 Third Street, Suite 430  
P. O. Box 952053  
Sacramento, CA 94252-2053  
(916) 323-3177 / FAX (916) 327-2643  
www.hcd.ca.gov

**MEMORANDUM**

**DATE:** July 13, 2011

**TO:** Interested Parties

**FROM:**   
Glen A. Campora, Assistant Deputy Director  
Division of Housing Policy Development

**SUBJECT:** Official State Income Limits for 2011 - *REVISED*

Attached are revisions to 2011 State Income Limits the Department of Housing and Community Development (Department) released on June 24, 2011 based on U.S. Department of Housing and Urban Development (HUD) income limits released May 31, 2011. HUD subsequently released revisions on June 30, 2011 reflecting small decreases and increases (ranging from -7% to +7%) to extremely low-, very low-, and lower-income categories and household sizes for these areas of California: Oakland-Fremont; Oxnard-Thousand Oaks-Ventura; Riverside-San Bernardino-Ontario; San Diego-Carlsbad-San Marcos; Santa Barbara-Santa Maria-Goleta; and Santa Rosa-Petaluma.

These five counties were impacted by HUD decreases: Alameda (-1.2%), Contra Costa (-1.2%), San Diego (-.6%), Santa Barbara (-7%), and Sonoma (-.7%). HUD increases impacted these three counties: Riverside (1.8%), San Bernardino (1.8%), and Ventura (7%). HUD revisions did not cause a change to area median income for any counties.

These official State Income Limits include all counties and reflect extremely low-, very low-, low-, median-, and moderate-income levels for households of various sizes. The income limits are posted at the Department of Housing and Community Development's (Department) website <http://www.hcd.ca.gov/hpd/hrc/rep/state/incNote.html> and replace those in effect until this posting.

California's State Income Limits apply to designated housing assistance programs and are to be used to determine applicant eligibility (based on household size and income) and calculate affordable housing cost (calculation based on county 4-person area median income) as specified in Health & Safety Code Sections (H&SC) 50052.5 and 50053. Note that use of these State Income Limits is subject to a particular program's definition of income, family and size, effective dates, and other factors. Also, definitions applicable to income categories, criteria, and geographic areas sometimes differ depending on funding source and program resulting in using other income limits for some programs.

## **Official State Income Limits for 2011 – *REVISED***

### **Page 2**

California law provides that income limits for extremely low- (H&SC 50106), very low- (H&SC Section 50105), and low- (H&SC Section 50079.5) income categories shall not exceed equivalent levels established by HUD for its Section 8 Housing Choice Voucher (HCV) Program. Pursuant to State law, the Department is required to publish these State income limits after HUD updates its new income limits (released May 23, 2011 and revised June 30, 2011). California H&SC Section 50093(c) requires the Department to file any changes that revise Section 6932 of Title 25 of the California Code of Regulations with the Office of Administrative Law. The changes for 2011 contain: (1) HUD updates to area median incomes and income limits applicable to extremely low-, very low-, and low-income households and, (2) Department adjustments to some area median incomes and income limits for moderate-income households.

If you have any questions concerning these income limits, please contact Department staff at (916) 445-4728.

Attachments

## **Income Limits Pursuant to Title 25, § 6932 California Code of Regulations (CCR)**

### Methodology

California's Official State Income Limits, contained in California Code of Regulations Section 6932, for extremely low-, very low-, and low-income, equal the extremely low-, very low-, and low-income limits established by the U.S. Department of Housing and Urban Development (HUD) for use in its Section 8 Housing Choice Voucher Program. The Department establishes the income limits for moderate-income households.

For FY 2011, there were no changes to the area definitions used in calculating median family income (MFI). However, HUD updated the methodology used to produce FY 2011 Median Family Income estimates to take advantage of new data available from the Bureau of the Census' American Community Survey (ACS). In December 2010, the first set of 5-year ACS data was published. These 5-year aggregations, covering surveys administered in 2005 through 2009, are unique because they are available for most areas of geography. Because of the increase in the geographic coverage of the 5-year data, HUD's methodology for calculating FY 2011 MFI is no longer based on Decennial Census data; it is now based on ACS (2005–2009) data. The factor used to trend the 2009 estimates to the midpoint of FY 2011 MFIs is unchanged at 3 percent per year.

Income limits are determined for metropolitan counties based on metropolitan statistical areas (MSAs) and for other non-metropolitan counties. For some non-metropolitan counties, the Department increased the median figure to equal the State non-metropolitan median (\$57,200), as HUD specifies that income limits for non-metropolitan areas shall not be less than the State non-metropolitan median family income level. HUD may adjust income limits for an area or county to account for a condition that warrants special consideration, called an exception, for areas with unusually high or low family income or housing-cost-to-income relationships. Upward adjustments may reflect high housing costs, a historical exception, or the Department making an adjustment for non-metropolitan areas in the event of a higher statewide median figure. Adjustments to the low-income limit are capped based on national median family income.

In most cases, area median income (AMI) is the greater of either the: 1) median family income for a county's metropolitan statistical area or 2) statewide median family income for non-metropolitan counties (\$57,200 for 2011). Once HUD determines median income, very low-income limits are then established. The very low-income limits are then used to determine the limits for extremely low- and low-income categories.

Generally, unless an exception has been made, the 4-person median income limit equals two times the 4-person very low-income limit. For 2011, adjustments cause the 4-person median income limit for 12 counties to be less than two times the 4-person very low-income limit and, for six counties to be more than two times the 4-person very low-income limit.



Applicability of California's Official State Income Limits

Applicability of these Income Limits is subject to particular programs as program definitions of such factors as income, family, and household size, etc., vary. Some programs, such as Multifamily Tax Subsidy Projects (MTSPs), use different income limits.

For MTSPs, separate income limits apply per provisions of the Housing and Economic Recovery Act (HERA) of 2008 (Public Law 110-289). Income limits for MTSPs are used to determine qualification levels as well as set maximum rental rates for projects funded with tax credits authorized under Section 42 of the Internal Revenue Code (Code). Also, MTSP income limits apply to projects financed with tax exempt housing bonds issued to provide qualified residential rental development under Section 142 of the Code. These income limits are available at this weblink <http://www.huduser.org/datasets/mtsp.html>.

State Income Limits for 2011

See instructions on last page to use these income limits to determine applicant eligibility and calculate affordable housing cost and rent

County	Income Category	Number of Persons in Household							
		1	2	3	4	5	6	7	8
El Dorado County 4-Person Area Median Income: <b>75,100</b>	Extremely Low	15,800	18,050	20,300	<b>22,550</b>	24,400	26,200	28,000	29,800
	Very Low Income	26,300	30,050	33,800	<b>37,550</b>	40,600	43,600	46,600	49,600
	Lower Income	42,100	48,100	54,100	<b>60,100</b>	64,950	69,750	74,550	79,350
	Median Income	52,550	60,100	67,600	<b>75,100</b>	81,100	87,100	93,100	99,150
	Moderate Income	63,050	72,100	81,100	<b>90,100</b>	97,300	104,500	111,700	118,950
Fresno County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600
Glenn County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600
Humboldt County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600
Imperial County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600
* Inyo County 4-Person Area Median Income: <b>64,600</b>	Extremely Low	13,300	15,200	17,100	<b>18,950</b>	20,500	22,000	23,500	25,050
	Very Low Income	22,150	25,300	28,450	<b>31,600</b>	34,150	36,700	39,200	41,750
	Lower Income	35,400	40,450	45,500	<b>50,550</b>	54,600	58,650	62,700	66,750
	Median Income	45,200	51,700	58,150	<b>64,600</b>	69,750	74,950	80,100	85,250
	Moderate Income	54,250	62,000	69,750	<b>77,500</b>	83,700	89,900	96,100	102,300
Kern County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600
Kings County 4-Person Area Median Income: <b>57,200</b>	Extremely Low	12,050	13,750	15,450	<b>17,150</b>	18,550	19,900	21,300	22,650
	Very Low Income	20,050	22,900	25,750	<b>28,600</b>	30,900	33,200	35,500	37,800
	Lower Income	32,050	36,600	41,200	<b>45,750</b>	49,450	53,100	56,750	60,400
	Median Income	40,050	45,750	51,500	<b>57,200</b>	61,800	66,350	70,950	75,500
	Moderate Income	48,050	54,900	61,800	<b>68,650</b>	74,150	79,650	85,150	90,600

See instructions on last page to use these income limits to determine applicant eligibility and calculate affordable housing cost and rent

# FY 2012 ICDBG NOFA

## Factor 2 Needs Table

N/A = No Need

PHOENIX	Acoma Pueblo	\$576
PHOENIX	Agua Caliente Band of Cahuilla	\$516
PHOENIX	Ak-Chin	\$444
PHOENIX	Alturas Rancheria	N/A
PHOENIX	Auburn Rancheria	\$770
PHOENIX	Augustine Band of Cahuilla	N/A
PHOENIX	Barona Group of Capitan Grande	\$2,731
PHOENIX	Berry Creek Rancheria	\$557
PHOENIX	Big Lagoon Rancheria	\$1,000
PHOENIX	* Big Pine Band	\$636
PHOENIX	Big Sandy Rancheria	\$700
PHOENIX	Big Valley Rancheria	\$568
PHOENIX	Blue Lake Rancheria	\$2,778
PHOENIX	Bridgeport Paiute Indian Colony	\$1,613
PHOENIX	Buena Vista Rancheria	\$16,669
PHOENIX	Cabazon Band	N/A
PHOENIX	Cahuilla Band	\$1,064
PHOENIX	California Valley Miwok Tribe	N/A
PHOENIX	Campo Band	\$858
PHOENIX	Cedarville Rancheria	\$1,667
PHOENIX	Chemehuevi	\$658
PHOENIX	Chicken Ranch Rancheria	N/A
PHOENIX	Chico Rancheria	\$544
PHOENIX	Cloverdale Rancheria	\$669
PHOENIX	Cochiti Pueblo	\$500
PHOENIX	Cocopah Tribe	\$497
PHOENIX	Cold Springs Rancheria	\$721
PHOENIX	Colorado River Indian Tribes	\$653
PHOENIX	Colusa Rancheria	\$962
PHOENIX	Cortina Rancheria	\$667
PHOENIX	Coyote Valley Band	\$698
PHOENIX	Death Valley Timba-Sha	\$469
PHOENIX	Dry Creek Rancheria	\$570
PHOENIX	Duck Valley Shoshone-Paiute	\$556
PHOENIX	Duckwater Shoshone	\$529
PHOENIX	Elk Valley Rancheria	\$981
PHOENIX	Ely Shoshone	\$541
PHOENIX	Enterprise Rancheria	\$549
PHOENIX	Ewiiapaayp Band of Kumeyaay	N/A

**PROJECT SPECIFIC THRESHOLD REQUIREMENTS**

**A. Housing Rehabilitation Project Thresholds**

In accordance with 24 CFR 1003.302(a), the Big Pine Paiute Tribe adopted rehabilitation standards and policies (Resolution #01-26) which were adopted prior to submission of this application (before the January 4, 2012 application deadline) and which were adopted in accordance with tribal law and practice. These rehabilitation standards and policies are in place and are the standards used in all modernization, rehabilitation, and renovation projects on the Big Pine Tribe.

The proposed project is to provide rehabilitation assistance in the form of grants to paid-off HUD homes or non-HUD homes on the Big Pine Paiute Reservation. Since no homes under housing management will be assisted under this project, the requirement of the homebuyer's payment being current or the homebuyer being current in a repayment agreement except because of an emergency situation does not apply.

100% of the participants to receive rehabilitation assistance are of or below low income status. Attachment #8 of this application (LMI Benefit) contains information which has been incorporated below which lists the House #, the Address of the Home, the Income Status of the Household to be Served/Size, Income Status, the Type of Rehabilitation Work needed, and the Maximum Amount of ICDBG funds to go into the project, as follows:

HOUSE #	ADDRESS	ANNUAL HOUSEHOLD INCOME/SIZE	INCOME STATUS PER INYO COUNTY, CA SCALE	TYPE OF REHAB WORK NEEDED	ICDBG REPAIR/LABOR COSTS NOT TO EXCEED
1-T S	[REDACTED]	7,764.00/5	Extremely Low	Code Compliance, Overcrowding, Mold, Lead, Asbestos Removal	\$50,000.00
2-EH		\$28,500.00/4	Very Low	Code Compliance, Handicap	\$50,000.00
#3-MZ		\$26,570.00/2	Low	Code Compliance, Handicap	\$50,000.00
#4-JT		\$28,336.00/4	Very Low	Code Compliance, Mold, Handicap	\$50,000.00
#5-CAD		\$28,344.00/1	Low	Code Compliance, Handicap	\$50,000.00
#6-NH		\$10,000.00/5	Extremely Low	Code Compliance	\$50,000.00
#7-RD		\$12,000.00/1	Extremely Low	Code Compliance, Handicap	\$50,000.00
#8-FM		\$21,000.00/1	Very Low	Code Compliance, Handicap	\$50,000.00

#9-NC	[REDACTED]	\$29,000.00/1	Low	Code Compliance, Handicap, Lead, Asbestos Removal	\$50,000.00
#10-RS	[REDACTED]	\$13,420.00/1	Very Low	Handicap, Code Compliance, Lead, Asbestos Removal	\$50,000.00
#11-PV	[REDACTED]	\$4,000.00/5	Extremely Low	Code Compliance, Overcrowding, Mold	\$50,000.00
#12SR	[REDACTED]	\$18,000.00/1	Very Low	Code Compliance	\$50,000.00
Total Projected Repair Costs – IDCDBG & IHBG Combined Funds					\$720,043.00
Total Planning & Administration Funds					\$104,957.00
<b>TOTAL PROJECT COSTS</b>					<b>\$825,000.00</b>

The LMI determination was made based on information provided by the Head of Household as part of the citizen participation requirements in the FY 2012 application process and based on the Official California HUD Income Limits for 2011 – REVISED, a copy of which has been included under attachment #8 of this application.

This income verification and income status will be re-verified through a recertification process if FY 2012 ICDBG funding is awarded and once the household is actually ready for rehabilitation assistance on the home. The Big Pine Tribe will certify, prior to each household's determination of eligibility for rehabilitation assistance, the household income status in line with application income guidelines and in line with the Big Pine Paiute Tribe's Modernization Policy which requires under Section IV. (4) – COMPLIANCE with all requirements of the Admissions and Occupancy Policies as mandatory for all residents receiving modernization, rehabilitation, or renovation assistance regardless of priority status. Income guidelines for ICDBG grant assistance requires that the households served are of low and moderate income which must be met before a participant will receive any ICDBG assistance. Again, all identified households are at or below low income status for the most recent INYO Co., CA. scale.

Again, the Big Pine Paiute Tribe meets all parts of the project-specific threshold requirements including: (1) adoption of rehabilitation standards and rehabilitation policies BEFORE the application deadline; (2) no homes identified for ICDBG grant assistance are under HUD management as they are either paid-off homes or non-HUD homes which makes the requirement of being current on house payments not applicable, and (3) 100% of the homes to be served with ICDBG grant assistance are of low or below low income status, as noted in the table herein.



**BIG PINE PAIUTE TRIBE OF THE OWENS VALLEY**  
*Big Pine Indian Reservation*

January 3, 2012

U.S. Department of Housing and Urban Development  
Southwest Office of Native American Programs  
One North Central Avenue, Suite 600  
Phoenix, AZ 85004

RE: Letter of Commitment – Leveraged Funding and Maintenance Responsibilities

To Whom It May Concern:

In regards to Leveraged Funds:

The Big Pine Paiute Tribe's Housing Program agrees to commit a total of \$220,000.00 to be used as leveraged resources in the Tribe's FY 2012 Indian Community Development Block Grant (ICDBG) application and subject to approval of the ICDBG award in the amount of \$605,000.00. The leveraged funds will come from future Indian Housing Block Grant (IHBG) funding in the amount of \$55,000.00 each year for the years 2012, 2013, 2014, and 2015.

The leveraged funding will be used for inspections of the units, consulting and/or engineering services, material/supplies for rehabilitation of the units, and Force Account Salary. This will be a four-year project; the leveraged funding of \$55,000.00 is being committed and will be made available no later than July 1 of each year or when the annual IHBG funds are made available by HUD to the Tribe.

Further, the Big Pine Paiute Tribe's Indian Housing Plans for the listed funding years will identify and commit the resources to the project (to be listed under the DEVELOPMENT section of the IHP's beginning in FY 2012). The committed resources are approved by the Big Pine Paiute Tribal Council (see attached Resolution 11-37).

In regards to Maintenance Responsibilities:

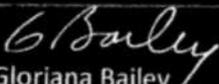
As a part of its commitment to sustain the housing rehabilitation activities and work under the FY 2012 ICDBG project, the Big Pine Tribe agrees that as a part of its maintenance commitment, it will conduct annual mandatory inspections of the repaired units annually for five years from the time the work is completed. If the homes are not in satisfactory condition, the annual mandatory inspections will continue for up to a period up to ten years. Any maintenance repair work may be completed by the Tribe and billed to the homeowner or deducted from tribal gaming revenue per the Tribe's Debt Collection Policy.

The Tribe is also committed to insuring the rehabilitated homes for a period of five years from the time the work is completed. The Tribe will provide coverage on rehabilitated units owned by Tribal elders for the useful life of the homes.

Inspections and Insurance coverage are hereby committed as a continuing effort on the part of the Tribe to sustain/maintain the viability of the community for the homes rehabilitated.

If you have any questions, please contact Ms. Jennifer John, Housing Manager, at 760-938-2003 or by e-mail at [j.john@bigpinepaiute.org](mailto:j.john@bigpinepaiute.org).

Sincerely,

  
Gloriana Bailey  
Tribal Administrator

Attachment – Resolution 11-37



**BIG PINE PAIUTE TRIBE OF THE OWENS VALLEY**  
*Big Pine Paiute Indian Reservation*

**RESOLUTION NO. 11-37**

**SUBJECT:** APPLICATION FOR FY 2012 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT FOR HOUSING REHABILITATION.

**WHEREAS:** The Big Pine Paiute Tribal Council is the duly elected governing body of the Big Pine Paiute Tribe of the Owens Valley, which is a federally recognized Tribe; and

**WHEREAS:** The Big Pine Tribal Council is the Tribe's autonomous governmental body established pursuant to the Tribe's Constitution to undertake the necessary steps and actions to protect tribal trust resources; and

**WHEREAS:** The Big Pine Paiute Tribe of the Owens Valley is a federally recognized Tribe, and is entitled to privileges and immunities by virtue of its status as a Tribe with a government-to-government relationship with the United States government; and

**WHEREAS:** The Big Pine Paiute Tribe has tribal jurisdiction within the exterior boundaries of the Big Pine Indian Reservation with a land area of approximately 299.5 acres; and

**WHEREAS:** The Big Pine Tribal Council is empowered to consult, negotiate, contract, or conclude agreements with Federal, State, Local, and Tribal governments and with private persons and organizations.

**NOW THEREFORE BE IT RESOLVED**, that the Big Pine Paiute Tribal Council, representing the Big Pine Paiute Tribe of the Owens Valley, hereby authorizes the submission of a Grant Application for FY 2012 Indian Community Development Block Grant (ICDBG) funds for housing rehabilitation for twelve (12) homes for tribal elders, disabled/handicapped persons, and low to moderate income tribal members.

**BE IT FURTHER RESOLVED**, that the Big Pine Paiute Tribe, in submitting this ICDBG grant application, does hereby certify that it has met the citizen participation requirements of 24 CFR 1003.604(a) and did consider comments and made necessary modifications; where applicable, to the application.

**BE IT FURTHER RESOLVED**, that the Big Pine Paiute Tribe agrees to leverage \$220,000.00 in Native American Housing and Self Determination Act (NAHASDA) Indian Housing Block Grant (IHBG) funds (\$55,000.00 per year for the years 2012, 2013, 2014, and 2015) as non-ICDBG resources to the Big Pine Community Housing Rehabilitation Project.

**BE IT FINALLY RESOLVED**, that the Chairperson is authorized to negotiate, complete and sign all necessary documents relating to this request and the Vice-Chairperson is authorized to act in the Chairperson's absence.

**CERTIFICATION**

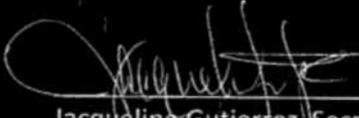
This resolution has been passed by the Tribal Council Members of the Big Pine Paiute Tribe of the Owens Valley. This resolution was adopted and approved on December 7, 2011 by a vote of 4 Ayes, 0 Nays, and 0 Abstains. This resolution has not yet been rescinded or amended in anyway.

ATTEST:



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Virgil Moose, Chairperson  
The Big Pine Paiute Tribal Council



---

Jacqueline Gutierrez, Secretary  
The Big Pine Paiute Tribal Council

ATTACHMENT #12 - Code of Conduct

The Big Pine Paiute Tribe's Code of Conduct is listed on HUD's Website; no changes have been made to the Code of Conduct.

Name of Document Transmitted:

FY 2012 ICDBG Grant Application – Housing Rehabilitation Letter from Tribal Chairman Moose w/BIA Federally Recognized Tribe Attachment

**1. Applicant Information**

a. Legal Name:

Big Pine Paiute Tribe of the Owens Valley

b. Address

Street:

PO Box 700, 825 S. Main Street

City:

Big Pine

County:

Inyo

State:

CA

Zip Code:

93513

c. Country:

USA

d. DUNS number:

010708634

**2. Catalog of Federal Domestic Assistance Number:**

a. CFDA No.

**14.862**

b. Title (Name of Program)

Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages

c. Program Component

ICDBG

**3. Facsimile Contact Information**

a. Department:

Housing

b. Division

**4. Name and telephone number of person to be contacted on matters involving this facsimile:**

Prefix:

First Name:

Middle Initial:

Last Name:

Jennifer

G.

John

Phone number (include area code)

760-938-2003

Fax number (include area code)

760-938-2942

**5. Email:**

**j.john@bigpinepaiute.org**

**6. What is your transmittal? (Check one box per fax)**

b. Certification

c. Document

d. Match/Leverage Letter

e. Other

**7. How many pages (including cover) are being faxed?**

**4**



**BIG PINE PAIUTE TRIBE OF THE OWENS VALLEY**  
*Big Pine Indian Reservation*

January 03, 2012

U.S. Department of Housing and Urban Development  
Southwest Office of Native American Programs  
Main Office, One North Central Avenue, Suite 600  
Phoenix, AZ 85004

RE: Big Pine Paiute Tribe of the Owens Valley  
FY 2012 ICDBG Grant Application – Housing Rehabilitation

To Whom It May Concern:

The Big Pine Paiute Tribe of the Owens Valley is submitting a FY 2012 Indian Community Development Block Grant (ICDBG) application for a FY 2012 Big Pine Community Housing Rehabilitation Project. The funding will be used to rehabilitate twelve (12) homes on the Big Pine Reservation. The Big Pine Paiute Tribe is an eligible applicant as a federally recognized Indian Tribe (see attachment). 100% of the families to receive rehabilitation assistance under the proposed project are at or below the low income (LMI) requirement.

Big Pine is requesting ICDBG funding in the amount of \$605,000.00 with the total estimated project cost at \$825,000.00. \$220,000.00 of IHBG funding will be leveraged for the project for FY 2012 to 2015.

The Tribe's FY 2012 Native American Housing and Self-Determination Act (NAHASDA) Indian Housing Block Grant (IHBG) is estimated at \$393,430.00. This IHBG funding is not sufficient to meet housing needs in our community, much less address the proposed housing rehabilitation project need.

This ICDBG Housing Rehabilitation application will address overcrowding of homes, mold, lead, asbestos, code compliance, and handicap accessibility in the units. Big Pine's high unemployment rate on the reservation and in Inyo County clearly supports an award for FY 2012 ICDBG rehabilitation assistance. The Tribe has no other housing resources available to assist our members with adequate housing that meets decent, safe, and sanitary standards.

Your favorable consideration of this application is appreciated.

Sincerely,

Virgil Moose  
Tribal Chairman

xc: File

Attachment – BIA Listing of Federally Recognized Tribes dated October 1, 2010

Dated: August 5, 2010.

Mark J. Musaus,

Acting Regional Director.

[FR Doc. 2010-24668 Filed 9-30-10; 8:45 am]

BILLING CODE 4310-55-P

## DEPARTMENT OF THE INTERIOR

### Bureau of Indian Affairs

#### Indian Entities Recognized and Eligible To Receive Services From the United States Bureau of Indian Affairs

AGENCY: Bureau of Indian Affairs, Interior.

ACTION: Notice.

**SUMMARY:** This notice publishes the current list of 564 tribal entities recognized and eligible for funding and services from the Bureau of Indian Affairs by virtue of their status as Indian tribes. The list is updated from the notice published on August 11, 2009 (74 FR 40218).

**FOR FURTHER INFORMATION CONTACT:**

Elizabeth Colliflower, Bureau of Indian Affairs, Division of Tribal Government Services, Mail Stop 4513-MIB, 1849 C Street, NW., Washington, DC 20240. Telephone number: (202) 513-7641.

**SUPPLEMENTARY INFORMATION:** This notice is published pursuant to Section 104 of the Act of November 2, 1994 (Pub. L. 103-454; 108 Stat. 4791, 4792), and in exercise of authority delegated to the Assistant Secretary—Indian Affairs under 25 U.S.C. 2 and 9 and 209 DM 8.

Published below is a list of federally acknowledged tribes in the contiguous 48 states and in Alaska.

Amendments to the list include name changes and name corrections. To aid in identifying tribal name changes, the tribe's former name is included with the new tribal name. To aid in identifying corrections, the tribe's previously listed name is included with the tribal name. We will continue to list the tribe's former or previously listed name for several years before dropping the former or previously listed name from the list.

The listed entities are acknowledged to have the immunities and privileges available to other federally acknowledged Indian tribes by virtue of their government-to-government relationship with the United States as well as the responsibilities, powers, limitations and obligations of such tribes. We have continued the practice of listing the Alaska Native entities separately solely for the purpose of facilitating identification of them and reference to them given the large number of complex Native names.

Dated: September 22, 2010.

Larry Echo Hawk,

Assistant Secretary—Indian Affairs.

#### Indian Tribal Entities Within the Contiguous 48 States Recognized and Eligible To Receive Services From the United States Bureau of Indian Affairs

Absentee-Shawnee Tribe of Indians of Oklahoma  
 Agua Caliente Band of Cahuilla Indians of the Agua Caliente Indian Reservation, California  
 Ak Chin Indian Community of the Maricopa (Ak Chin) Indian Reservation, Arizona  
 Alabama-Coushatta Tribes of Texas  
 Alabama-Quassarte Tribal Town, Oklahoma  
 Alturas Indian Rancheria, California  
 Apache Tribe of Oklahoma  
 Arapahoe Tribe of the Wind River Reservation, Wyoming  
 Aroostook Band of Micmac Indians of Maine  
 Assiniboine and Sioux Tribes of the Fort Peck Indian Reservation, Montana  
 Augustine Band of Cahuilla Indians, California (formerly the Augustine Band of Cahuilla Mission Indians of the Augustine Reservation)  
 Bad River Band of the Lake Superior Tribe of Chippewa Indians of the Bad River Reservation, Wisconsin  
 Bay Mills Indian Community, Michigan  
 Bear River Band of the Rohnerville Rancheria, California  
 Berry Creek Rancheria of Maidu Indians of California  
 Big Lagoon Rancheria, California  
 \*Big Pine Band of Owens Valley Paiute Shoshone Indians of the Big Pine Reservation, California  
 Big Sandy Rancheria of Mono Indians of California  
 Big Valley Band of Pomo Indians of the Big Valley Rancheria, California  
 Blackfeet Tribe of the Blackfeet Indian Reservation of Montana  
 Blue Lake Rancheria, California  
 Bridgeport-Paiute Indian Colony of California  
 Buena Vista Rancheria of Me-Wuk Indians of California  
 Burns Paiute Tribe of the Burns Paiute Indian Colony of Oregon  
 Cabazon Band of Mission Indians, California  
 Cachil DeHe Band of Wintun Indians of the Colusa Indian Community of the Colusa Rancheria, California  
 Caddo Nation of Oklahoma  
 Cahuilla Band of Mission Indians of the Cahuilla Reservation, California  
 Cahto Indian Tribe of the Laytonville Rancheria, California  
 California Valley Miwok Tribe, California  
 Campo Band of Diegueno Mission Indians of the Campo Indian Reservation, California  
 Capitan Grande Band of Diegueno Mission Indians of California:  
 Barona Group of Capitan Grande Band of Mission Indians of the Barona Reservation, California  
 Viejas (Baron Long) Group of Capitan Grande Band of Mission Indians of the Viejas Reservation, California  
 Catawba Indian Nation (aka Catawba Tribe of South Carolina)  
 Cayuga Nation of New York  
 Cedarville Rancheria, California  
 Chemehuevi Indian Tribe of the Chemehuevi Reservation, California  
 Cher-Ae Heights Indian Community of the Trinidad Rancheria, California  
 Cherokee Nation, Oklahoma  
 Cheyenne and Arapaho Tribes, Oklahoma (formerly the Cheyenne-Arapaho Tribes of Oklahoma)  
 Cheyenne River Sioux Tribe of the Cheyenne River Reservation, South Dakota  
 Chickasaw Nation, Oklahoma  
 Chicken Ranch Rancheria of Me-Wuk Indians of California  
 Chippewa-Cree Indians of the Rocky Boy's Reservation, Montana  
 Chitimacha Tribe of Louisiana  
 Choctaw Nation of Oklahoma  
 Citizen Potawatomi Nation, Oklahoma  
 Cloverdale Rancheria of Pomo Indians of California  
 Cocopah Tribe of Arizona  
 Coeur D'Alene Tribe of the Coeur D'Alene Reservation, Idaho  
 Cold Springs Rancheria of Mono Indians of California  
 Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California  
 Comanche Nation, Oklahoma  
 Confederated Salish & Kootenai Tribes of the Flathead Reservation, Montana  
 Confederated Tribes of the Chehalis Reservation, Washington  
 Confederated Tribes of the Colville Reservation, Washington  
 Confederated Tribes of the Coos, Lower Umpqua and Siuslaw Indians of Oregon  
 Confederated Tribes of the Goshute Reservation, Nevada and Utah  
 Confederated Tribes of the Grand Ronde Community of Oregon  
 Confederated Tribes of Siletz Indians of Oregon (previously listed as the Confederated Tribes of the Siletz Reservation)  
 Confederated Tribes of the Umatilla Reservation, Oregon  
 Confederated Tribes of the Warm Springs Reservation of Oregon  
 Confederated Tribes and Bands of the Yakama Nation, Washington  
 Coquille Tribe of Oregon  
 Cortina Indian Rancheria of Wintun Indians of California  
 Coushatta Tribe of Louisiana

Name of Document Transmitted:  
FY 2012 ICDBG Grant Application – TABLE OF CONTENTS

**1. Applicant Information**

a. Legal Name:

Big Pine Paiute Tribe of the Owens Valley

b. Address

Street:

PO Box 700, 825 S. Main Street

City:

Big Pine

County:

Inyo

State:

CA

Zip Code:

93513

c. Country:

USA

d. DUNS number:

010708634

**2. Catalog of Federal Domestic Assistance Number:**

a. CFDA No.

14.862

b. Title (Name of Program)

Community Development Block Grant (ICDBG) Program for Indian Tribes and Alaska Native Villages

c. Program Component

ICDBG

**3. Facsimile Contact Information**

a. Department:

Housing

b. Division

**4. Name and telephone number of person to be contacted on matters involving this facsimile:**

Prefix:

First Name:

Middle Initial:

Last Name:

Jennifer

G.

John

Phone number (include area code)

760-938-2003

Fax number (include area code)

760-938-2942

**5. Email:**

j.john@bigpinepaiute.org

**6. What is your transmittal? (Check one box per fax)**

b. Certification

c. Document

d. Match/Leverage Letter

e. Other

**7. How many pages (including cover) are being faxed?**

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**FY 2011**

**INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT  
APPLICATION**

**BIG PINE PAIUTE TRIBE OF THE OWENS VALLEY  
---HOUSING REHABILITATION PROJECT---**

**TABLE OF CONTENTS**

<b>ATTACHMENT #</b>	<b>DESCRIPTION</b>
1	Narrative to all five of the Rating Factors
2	(SF 424) Application for Federal Assistance including DUNS number
3	Applicant/Recipient Disclosure Update Report (form HUD 2880)
4	Schedule for Implementing the Project (form HUD 4125 – Implementation Schedule)
5	Cost Information for each Separate Project, including specific activity costs, administration, planning, technical assistance and total HUD share (form HUD 4123 Cost Summary)
6	Tribal Resolution – Citizen Participation Requirements
7	Map of Project Location
8	Low-and moderate-income benefit.
9	Demographic Data (FY 2012 ICDBG Needs Table)
10	Project-Specific Thresholds
11	Evidence of Partner Commitment
12	Code of Conduct – N/A – Listed on HUD’s website
13	HUD Facsimile Transmittal (form HUD 96011)

**Applicant/Recipient  
Disclosure/Update Report**

U.S. Department of Housing  
and Urban Development

OMB Number: 2510-0011  
Expiration Date: 10/31/2012

Applicant/Recipient Information

\* Duns Number: 0107086340000

\* Report Type: INITIAL

1. Applicant/Recipient Name, Address, and Phone (include area code):

\* Applicant Name:

Big Pine Paiute Tribe of the Owens Valley

\* Street1: 825 S. Main Street

Street2: PO Box 700

\* City: Big Pine

County: Inyo

\* State: CA: California

\* Zip Code: 93513-0700

\* Country: USA: UNITED STATES

\* Phone: 760-938-2003

2. Social Security Number or Employer ID Number:

\* 3. HUD Program Name:

Indian Community Development Block Grant Program

\* 4. Amount of HUD Assistance Requested/Received: \$ 605,000.00

5. State the name and location (street address, City and State) of the project or activity:

\* Project Name: Big Pine Paiute Community Housing Rehabilitation Project

\* Street1: 825 S. Main Street

Street2: PO Box 700

\* City: Big Pine

County: Inyo

\* State: CA: California

\* Zip Code: 93513

\* Country: USA: UNITED STATES

**Part I Threshold Determinations**

\* 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).

Yes  No

\* 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1-Sep. 30)? For further information, see 24 CFR Sec. 4.9

Yes  No

If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form.

**However,** you must sign the certification at the end of the report.

**Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.**

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name:

\* Government Agency Name:

Government Agency Address:

\* Street1:

Street2:

\* City:

County:

\* State:

\* Zip Code:

\* Country:

\* Type of Assistance:

\* Amount Requested/Provided: \$

\* Expected Uses of the Funds:

Department/State/Local Agency Name:

\* Government Agency Name:

Government Agency Address:

\* Street1:

Street2:

\* City:

County:

\* State:

\* Zip Code:

\* Country:

\* Type of Assistance:

\* Amount Requested/Provided: \$

\* Expected Uses of the Funds:

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

**Part III Interested Parties.** You must decide.

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and

2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

\* Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)

\* Social Security No. or Employee ID No.

\* Type of Participation in Project/Activity

\* Financial Interest in Project/Activity (\$ and %)

			\$ [ ] [ ] %
			\$ [ ] [ ] %
			\$ [ ] [ ] %
			\$ [ ] [ ] %
			\$ [ ] [ ] %

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

**Certification**

**Warning:** If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

\* Signature:

\* Date: (mm/dd/yyyy)

Bill Helmer

01/03/2012