

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify)

* 3. Date Received:

06/12/2008

4. Applicant Identifier:

5a. Federal Entity Identifier:

* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Loyola University of Chicago

* b. Employer/Taxpayer Identification Number (EIN/TIN):

36-1408475

* c. Organizational DUNS:

d. Address:

* Street1:

6525 N. Sheridan Road

Street2:

* City:

Chicago

County:

* State:

IL: Illinois

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

60626

e. Organizational Unit:

Department Name:

Sociology

Division Name:

College of Arts and Sciences

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Caroline

Middle Name:

Mary

* Last Name:

Kappers

Suffix:

Title:

Research Administrator

Organizational Affiliation:

Loyola University of Chicago

* Telephone Number:

773-508-2477

Fax Number:

773-508-8942

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ckapper@luc.edu

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9. Type of Applicant 1: Select Applicant Type:

0: Private Institution of Higher Education

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.516

CFDA Title:

Doctoral Dissertation Research Grants

* 12. Funding Opportunity Number:

FR-5200-N-22

* Title:

The Early Doctoral Student Research Grant Program and Doctoral Dissertation Research Grant Program

13. Competition Identification Number:

DDR-22B

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Cook County

* 15. Descriptive Title of Applicant's Project:

Active Members of Diverse Communities: A Contextual Examination of Racial Self-Concept

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

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16. Congressional Districts Of:

* a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="18,744.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="18,744.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

 ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email: * Signature of Authorized Representative: * Date Signed:

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*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

Executive Summary

Project Overview

This project is seeking to fill a much-needed gap in prior research around stably diverse urban communities and their active members. While there has been a large body of research into persistent urban segregation in the United States, diverse urban communities –and particularly those communities whose diversity persists from decade to decade –are seldom examined. What little research we have in this area is largely quantitative or descriptive; there is little qualitative analysis into *how* the diversity of these neighborhoods is understood, contested, and maintained. In particular, this study aims to understand A) the degree to which these neighborhoods' diversity is intentional; B) the ways in which active members of these communities understand and shape policy at the local level to interact with that diversity; and C) the racial identities of these active members in a diverse context.

I am working in collaboration with Loyola University Chicago's Center for Urban Research and Learning (CURL), whose past HUD-funded work was featured in a special edition of *Cityscape* (vol. 4, no.2) focusing on racially and ethnically diverse urban neighborhoods. Following CURL's tradition of community-driven and policy-relevant research, I have designed a study to better examine the nuances of three of the nation's 14 stably diverse urban neighborhoods. My study, following ten years after the initial study, comes at a time when many commentators are pointing toward a shift in U.S. race relations. Further, much attention has been given to the re-emergence of cities as a desirable place to live; diverse neighborhoods in particular have been shown to have far more appeal than previously recognized. Paying special attention to the policies which have been contested in these neighborhoods, paired with the ways in which residents in these communities understand and work to maintain their diversity, is vital for an increasingly diverse nation. My close examination of the struggle for minority homeownership, retention of affordable rental housing, the structure and impact of local grass-roots organizations, and initiatives to strengthen these communities will provide key insights for policymaking that may continue to nurture and support diverse neighborhoods in the future.

Methods

I am conducting 50-75 qualitative interviews with active members of three neighboring communities in Chicago whose racial demographics roughly mirror the racial demographics of the city at large. Further, these three neighborhoods are unique in that their diversity has been maintained over several decades. I am conducting a snowball sample of those named by others as "active" members of these communities, and interviewing them about their housing history, their history of neighborhood involvement, their viewpoints about the neighborhood's diversity, their viewpoints about current and past neighborhood issues, details about their own community involvement, and the salience of race both in their life and in their community.

Qualifications

I am a Ph.D. Candidate in the Department of Sociology at Loyola University Chicago, seeking funding from HUD's Doctoral Dissertation Research Grant Program. I am a U.S. Citizen whose doctoral dissertation proposal was successfully defended to my committee on November 27, 2007 and after receiving IRB approval, accepted by our graduate program on January 10, 2008. As such, I have already completed all of my written and oral degree requirements, other than the dissertation, granting me ABD status. My dissertation chair is [REDACTED], Director of the Center for Urban Research and Learning (CURL) at Loyola University Chicago, one of the central researchers for past work on stably diverse communities.

I strongly believe that my research will be useful to scholars, residents, and policymakers as we better discover how diverse urban communities are helped or hindered by local policymaking, so that we may best nurture diverse urban neighborhoods in this increasingly diverse nation.

Contact Information:

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Faculty Advisor: [REDACTED]
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[REDACTED] 312.915.7770 (fax)
[REDACTED]

Narrative Statement

Rating Factor 1: Capacity to do the Research (25 points)

a. Describe the skills and expertise you possess to conduct research.

My dissertation proposal focused tightly around issues of diversity and urban neighborhoods, and my project is being overseen by [REDACTED] the Director of Loyola's Center for Urban Research and Learning (CURL), whose work was central in organizing, researching, and publishing prior HUD-funded research on stably diverse neighborhoods and other related urban policy projects. CURL is a past recipient of the Community Outreach Partnership Center (COPC) grant and has a strong tradition for the kind of collaborative community research that was at the core of my research design. Further, Loyola's sociology department, where I am a Ph.D. Candidate, is distinctive for their tradition of ethnographic research, which I am utilizing to best answer my research question around the process of maintaining diverse urban communities.

b. Describe the knowledge and experience you possess to undertake the proposed research hypothesis.

Throughout my graduate career, I have specialized in both race and ethnicity and qualitative ethnographic research, which is not only a strength in my department, but also for the Center for Urban Research and Learning (CURL), upon whose prior work I am building. Working closely with CURL, I am seeking to conduct a follow-up study to a 1998 project, highlighted in *Cityscape* (vol. 4, no. 2), around three of Chicago's stably diverse neighborhoods. In my five previous years of graduate school, I have focused my studies around race and ethnicity, social theory, qualitative and quantitative methods, identities and consumption, immigration and ethnicity, and political sociology. My comprehensive exams were in social theory and race and ethnicity, which earned a "pass with distinction". I have presented research on preliminary findings from this dissertation research at several regional conferences, and plan to present at the upcoming Association of Black Sociologists meeting at the American Sociological Association's annual meeting in Boston, MA. I have published six encyclopedia articles about central issues regarding race and ethnicity, and I have taught extensively in the areas of race and ethnic relations and social inequality.

c. Provide a detailed list that outlines the preliminary steps that were taken to identify the proposed manuscript/dissertation topic/hypothesis.

I began with an interest in contemporary issues of race and ethnicity, particularly discourses around diversity and color-blindness. I began searching for a site for ethnographic research on racial identities and diversity, and discovered that there was little qualitative research on diverse communities, particularly stably diverse communities (those whose diversity roughly mirrors that of the city at large, and which does so consistently over the course of several decades). I saw that while previous HUD-backed research into these particular communities had deemed them diverse by circumstance rather than diverse by design, researchers and policymakers were still lacking an “on the ground” understanding of these neighborhoods and how their diversity is understood and contested by residents and community organizations. I designed a study that could help us better understand A) how diverse communities are maintained, B) how discourses of diversity impact policy, C) how racial identities are enacted in diverse settings, and D) how community organizations play a role in shaping policy within diverse communities.

Rating Factor 2: Need for the Research (25 points)

a. Describe the need for funding the proposed research manuscript/dissertation.

While there has been a tremendous amount of research on the realities of pervasive racial segregation in the United States, particularly in urban communities, there has been very little research into the few stably diverse urban communities in the nation (Maly 2005). In 1998, a seminal study coordinated by Loyola’s Center for Urban Research and Learning (CURL) and funded by HUD was published in *Cityscape*, which devoted its entire issue (vol. 4, no.2) to racially and ethnically diverse urban neighborhoods. As the study notes, “Although much research has focused on the roadblocks to diversity, we concentrate on policies and strategies that have brought and can bring about greater diversity and equity in our Nation’s cities” (Nyden et. al., 1998, p. 4).

This collaborative, community-driven research project examined 14 urban communities around the nation, giving an overview of each community’s economic, political, and community structures and a brief history and demographic snapshot of each community. A central question raised by this research was whether these communities

were diverse by circumstance or diverse by design, a question that was answered to various degrees in each coordinated study. In the ten years since that study, the United States has shown an increasing trend toward both diversity and urban living, making communities such as these key guideposts for the future of the nation.

My research examines Uptown, Edgewater, and Rogers Park, 3 adjoining neighborhoods on Chicago's Northeast side, all of which were part of the 1998 study. While the 1998 study gave a vital oversight into the history and demographics of these communities (Maly & Leachman 1998), critical questions remain as to A) whether or not these communities are intentionally or accidentally diverse, B) how residents' understandings of the neighborhood's diversity inform local policymaking, and C) how housing and business development in these communities is paired with that diversity discourse to impact the maintenance of the community's diverse demographics. Better understanding how diversity is understood, debated, and forged by residents, business owners, and community organizers can prove a vital source for nurturing and maintaining those communities, as well as serving as a model for the future, particularly as the United States becomes an increasingly diverse nation.

b. Discuss the results or findings the proposed research manuscript/dissertation is expected to produce and explain why the information will be generally accepted by researchers and practitioners in your field and other related disciplines.

While the 1998 research into stably diverse urban neighborhoods was a vital start in identifying stably diverse neighborhoods and learning what forces are at work to impact their stability, critical questions about the continued maintenance of that diversity, particularly in the era of color-blindness, remain. Analyses such as these can only be undertaken with ethnographic methods, which expose the everyday lives, viewpoints, and detailed involvements of community members, putting that data in political, economic, and cultural context. In particular, it is well worth examining how discourses of color-blindness (see Bonilla-Silva 2003) and diversity (Bell and Hartman 2007) interact not only with one another, but also how those discourses impact residents and community organizations who mediate that diversity and struggle for their neighborhood's stability.

My preliminary findings suggest that diversity is an idea celebrated and spoken about positively among the residents of diverse communities, but it is also a reality which

often becomes a source of tension, contradiction, incoherence, and debate. Residents in these neighborhoods organize in ways that actively engage the identity of their community as diverse, but also in ways that may intentionally or unintentionally subvert that diversity. As such, a close examination of the pairing of these discourses around diversity, policies which have been implemented and debated, and an updated look at the diversity in these communities in the decade after the seminal 1998 study is desperately needed.

The results of this research are expected to significantly impact our understanding of the policies that nurture or subvert diversity, particularly around affordable rental housing, minority homeownership, interactions with community policing organizations, and various forms of economic development. Further, this research is expected to fill a much-needed gap in our understanding of the impact of color-blind discourses on urban policy, and the ways in which racial identities are constructed in the context of diverse communities. Some residents in these communities refer to their neighborhoods as a “grand experiment”. Given the rarity of these stably diverse urban neighborhoods, and the increasing diversity at local, regional, and national levels in the United States, a nuanced ethnographic study of these neighborhoods is vital for our nation’s future.

c. Explain the direct relationship between the proposed manuscript/dissertation and at least one of HUD’s annual goals and objectives.

The central goal of this research is to provide a still-lacking qualitative ethnographic examination of how residents in these diverse neighborhoods understand their neighborhood’s diversity, the mechanisms of personal community involvement, and the organizational structure of local politics, particularly around the following HUD objectives:

1. *Increase Homeownership Opportunities*: My preliminary research confirms what the 1998 study (Maly & Leachman 1998) had merely suggested. The diverse status of these three neighborhoods is most often contested in light of changes in the housing market, particularly as a recent shift from rental units to condominiums took place in the early 2000’s. However, the history of fighting to retain affordable housing in these neighborhoods is strong, most notably during the 1990’s when governmental officials rallied to prevent former LIPRA housing

from converting to market-rate housing and displacing an estimated 10,000 residents. While the housing market has since slowed, community members are still active in debating, understanding, and forming policy around these issues of homeownership, with particular concern toward access to homeownership among minorities and protecting longtime homeowners of all races from losing their homes. Community members see this as key to maintaining the diversity of these neighborhoods, yet often find themselves in a contradictory position of undermining their own rising property values. Further, residents are concerned about their perception of crime in the neighborhood, and how fighting crime may impact the neighborhood's diversity. My research will give policymakers an extended analysis of these debates, a systematic read on the varying goals and objectives of those who are active in these communities around these very issues, and a contextual understanding of the social, political, and economic forces which shape the demographics of homeownership in these communities. Given that these communities have thus far been successful in maintaining their diversity in light of these conflicts and varying interests, and given that many community members have in fact rallied around those long-term residents in threat of losing their homes to developers, a qualitative analysis of how this is working and the discourses surrounding these conflicts will prove useful to policymakers, community organizations, and scholars of urban environments and race.

2. *Promote Decent Affordable Housing*: Alongside the contestation of homeownership issues referenced in objective (1) listed above, these community organizations and other active members are also debating, understanding, and forming policy around the maintenance of rental housing in general, and affordable rental housing in particular. Community members are actively involved in making sure that their communities do not lose their long-standing rental communities, in part because from their viewpoint this could directly impact the diversity of the neighborhood, and for some, their own ability to remain in the community. Further, residents and community organizations are working hard to ensure that these rental housing units are being responsibly maintained, and being kept clean and safe for their residents and neighbors.

Many residents and community organizations have engaged in legal battles against absentee landlords, those who don't keep their rental properties clean, and they have also actively struggled against the crime that has surrounded some rental units in the past. Their work to maintain not just rental housing in their communities, but also safe and clean rental communities, is rife with contradictions and complexities, but it is also a vital site for debating and maintaining diversity in their communities. Ethnographic research into this type of discourse and organizing will prove key in understanding how local policies shape, and are shaped by, the diversity in these communities. Better understanding how and why some policies have failed while others have succeeded, and pairing that with maintained or decreased diversity in these communities, will prove tremendously instructive for other communities looking to maintain their diversity via affordable rental housing while still fighting crime and make their communities viable places to live.

3. *Strengthen Communities*: In ways similar to objectives (1) and (2) above, residents are working to strengthen their community through fostering suitable living environments by improving physical conditions and quality of life. My preliminary research shows that for many active residents of these diverse communities, their entrée into community organization and involvement has been through “beautification” campaigns, designed to attract businesses, homeowners, and other forms of investment in these communities. This same project has at times produced direct confrontation with maintaining the diversity in their neighborhood, as residents navigate complex terrain between coded discourse around race being used in associated with crime and blight. Further, this engagement with the public spaces in these communities, as well as various forms of commercial development, has a direct impact on the affordability of the neighborhood and its ability to remain diverse. My project will examine how the quest for a suitable living environment, including a recent interest in creating a “green” community, brings residents and community organizations in direct and indirect confrontation with the maintenance of diversity in these neighborhoods, and the policies that have helped or hindered such projects.

4. *Promote Participation of Faith-Based and Other Community Organizations*: One very interesting element to this research is the role of neighborhood organizations, particularly block clubs and organizational ties to aldermanic administrations, as they both democratize the process of policymaking and also take place within a particular social context which is informed by race, gender, class, and other identity politics. These three communities are particularly rich in their various forms of faith-based and community organization, making an evaluation of the policies and discourses which have maintained or threaten to dismantle the diversity in these neighborhoods particularly insightful. At times community members have been able to highlight barriers to their full participation in the democratic processes in these neighborhoods in ways that an organizational or quantitative analysis cannot reveal. It is only through ethnographic research that policymakers can best understand what has worked to genuinely support faith-based and other community-organizations, or what, from the viewpoint of those active organizations members themselves, thwarts their full involvement in directing their community's future.

Rating Factor 3: Soundness of Approach (50 points)

a. (22 points) Quality of Research

(1) State the proposed research question/hypothesis and how it relates to the need you described in Factor 2.

My research is designed to fill a key gap in researchers' understanding of how diverse urban neighborhoods are maintained. In particular, I'm interested in how diversity is understood by residents and active members of diverse communities, how community organizations and businesses play a role in maintaining a community's diversity, and how policies have helped or hindered the maintenance of diversity in these neighborhoods. Preliminary research has indicated that residents' discourses around diversity are complex and at times contradictory, and that this ambivalence is manifest in neighborhood debates around policy, which has variously strengthened or undermined the neighborhood's ability to remain diverse. A closer analysis of these policies and their interaction with the various ways in which residents and organizations understand and debate diversity is emerging as my research progresses, creating very fruitful results for

the neighborhoods themselves as well as for other neighborhoods in the country whose diversity is similarly being contested through policy and discourse.

(2) Describe in detail the proposed research design as it relates to the question/hypothesis stated above.

I am conducting a snowball sample of 50-75 active members of stably diverse communities, asking residents, organizers, and business owners questions about their housing history, their history of neighborhood involvement, their viewpoints about the neighborhood's diversity, their viewpoints about current and past neighborhood issues, details about their own community involvement, and the salience of race both in their life and in their community. This is an ethnographic study designed to begin with community members' own understandings of diversity and other neighborhood issues, pairing that exploration with detailed explanations of their own active involvement in community organizing. This data will be analyzed for its common trends, and contextualized through the use of neighborhood history, current demographics, and a close examination of policies that may help or hinder the maintenance of diversity in these communities. Taken together, this approach will yield a vital source of information about the process of contesting policies around diversity, as well as an analysis that can inform communities facing similar issues.

(3) Explain how the proposed methodology will be used to complete the proposed manuscript/dissertation.

Sociologists such as myself are skilled in taking material realities, which includes discourses and individuals' own interactions with the social world, and placing those realities in political, social, and economic context. While I will be making use of census data, community documents, and historical sources to contextualize and analyze these communities, the interviews, once transcribed and coded, with these active residents will provide the core data for my analysis. From them I am hearing a variety of perspectives about successful policy, the organization of local political leadership, the role of economic development, the values placed on diversity, and the level of commitment to each neighborhood's diversity. Contextualizing these responses and systematically understanding them in economic, political, and cultural context is expected to make a

major contribution toward better understanding and nurturing diverse neighborhoods in urban communities.

(4) Discuss why you think the research design and methodology proposed is most appropriate and will produce data and information that will successfully answer the research hypothesis.

Qualitative research, particularly ethnography, is a vital tool for understanding how residents and active members of diverse communities interact with policymakers, other residents, and discourses of diversity. Given that I am seeking to understand how residents' viewpoints, experiences, and discourses interact with policy, gathering and analyzing this data is vital toward answering my research question and testing my hypothesis about the dialectic role of discourse, policy, and the maintenance of diversity.

(5) Identify potential obstacles in completing the research and discuss how they will be handled.

So far my research has been conducted via snowball sample. In only three short months I have gained over half of my intended number of interviews, with many more contacts being recommended and interviews scheduled. However, should the snowball sampling run dry, I have been provided a comprehensive list of community organizations, block clubs, realtors, and other sites from which I may recruit respondents. I don't expect to need these, as the communities and their residents have been very receptive to my research and interview process. However, should the need arise, these resources and contacts will provide tremendously useful.

(6) Describe the quality assurance mechanisms that will be integrated into the proposed research design to ensure the validity and quality of the results.

Following the Center for Urban Research and Learning's (CURL's) innovative approach of community-driven research, I am working closely with community partners to assure the relevance and accuracy of my project as it develops. Further, all three of my dissertation committee members are trained and experienced in ethnography, and my dissertation chair ([REDACTED] Director of CURL) is a national expert on issues of housing and community organizations in urban environments. My data, once steps are taken to ensure anonymity and confidentiality of the respondents, will be given to the Center for Urban Research and Learning at Loyola University Chicago, for use and

independent verification of my findings by other scholars. Further, all research is being supervised and mediated by Loyola's Institutional Review Board (IRB) which ensures both my training and my compliance with both ethical and scientific research practices.

b. (20 points) Specific Activities

(1) Indicate the sequence in which these tasks/benchmarks will be performed;

I successfully defended my dissertation proposal on November 27, 2007. I received IRB approval on January 10, 2008, and conducted my first interview on January 30, 2008. I conducted 2 interviews in February, 1 in March, 6 in April, and 8 in May. By September 1, I plan to have completed 35 interviews, with final data interviews ending by December 31, 2008. Interviews are being transcribed throughout the process, as is the process of coding and analyzing the data for trends and outlining my findings. I expect to defend by dissertation by May 1, 2009, and also graduate in May 2009. Conference travel will take place in March and August of 2009, and manuscript preparations for dissertation abstracts, HUD use, and submission to peer-review journals will continue through summer 2009.

(2) The sequence and duration of this effort should be presented in quarterly intervals for the entire life of the grant.

	Fall 07	Winter 08	Spring 08	Summer 08	Fall 08	Winter 09	Spring 09	Summer 09
Task								
PROPOSAL								
Defense	X							
COMPLIANCE								
IRB approval		X						
IRB renewal						X		
DATA COLLECTION								
Interviews		X	X	X	X	X		
DATA MANAGEMENT								
Transcription			X	X	X	X	X	
Coding					X	X	X	
Analysis						X	X	X
Draft Writing								
CONFERENCE PRESENTATION								
MSS			X				X	

NCSA			X				X	
ABS				X				X
ASA				X				X
DISSERTATION								
Defense							X	
Graduation							X	
DISSEMINATION								
Results to HUD								X
Findings written for various publications								X

(3) Describe the plan that will be used to manage and analyze the data.

Ethnographic data is analyzed on an ongoing basis, with steps to ensure accuracy along the way. Data is managed by transcribing each interview, while granting a pseudonym to each participant and obscuring any other identifying information, to protect both the anonymity and confidentiality of the participants. Data is then coded for types of responses, and correlated with other interviews to identify trends, vacancies, and contradictions. Key elements are identified and systematized into analytic context, and findings are written with confidentiality-protected excerpts being used in the writing to illuminate ideas and trends.

(4) Identify any key individuals assisting in the proposed activities.

My research is greatly assisted by the training and guidance of my dissertation committee. My dissertation director, [REDACTED] is the director of Loyola’s Center for Urban Research and Learning (CURL), an innovative collaborative community research center which works closely with community partners to create policy-relevant research impacting urban communities around the nation. [REDACTED] has 30+ years of experience with communities, urban, and diversity issues makes him and his organization a key partner in my research. My committee is also comprised of [REDACTED] who likewise brings 30+ years of expertise on qualitative research, particularly ethnography to my project; and [REDACTED] whose new scholarship on diversity discourses and color-blind racism have been key in shaping my research design and analysis. Further, I am working closely with community members themselves, who have granted much of their time, help, and resources to facilitating this project.

c. (2 points) HUD Policy Priorities: **Applicants must describe how each policy priority is addressed.**

My research has a direct relationship to the following current HUD policy priorities:

1. *Providing Increased Homeownership and Rental Opportunities for Low- and Moderate- Income Persons, Persons with Disabilities, the Elderly, Minorities, and Persons with Limited English Proficiency*: The communities on which my research focuses have a long history of active struggles around diverse access to homeownership and maintaining affordable rental housing, as many community members see these arenas as key sites which help to maintain the diversity of their neighborhoods. While past studies have given us an overview of these communities, my research will provide key insight to the process of maintaining access to homeownership and affordable rental housing, and the ways that residents and community organizations think about and organize around these issues in relationship to the communities' diversity. A close analysis of the policies which have been imagined, implemented, and struck down in these communities, coupled with a micro-level analysis of community members' standpoints around these issues, will tell us much about sustainable diversity policies and further help researchers and policymakers understand the degree to which these neighborhoods' diversity is intentional or accidental.
2. *Improving Our Nation's Communities*: Residents and organizers in these communities are intimately involved in the process of recruiting and retaining businesses, capital, and other investments into these communities. Indeed, these investments serve as a primary site where contradictions and debates around the diversity of the neighborhood take place. While most residents would like to see more commercial investment in the neighborhoods as a way to attract more residents and reduce crime, these same residents are also concerned about how that investment will impact the community's diversity. A careful analysis of these debates and their relationship to both tried and debated policies will be central to this project. Further, the policy goal of "making communities more livable" particularly through "improving infrastructure and community facilities" is something these community members and organizations are doing at the grass-

roots level, and themselves also a site for debates and decisions which impact the maintenance of diversity in these communities. My project will illuminate the complex ways in which simultaneous projects of maintaining diversity and improving neighborhoods are co-negotiated, so that successful policies can be identified and so that other communities with similar issues may avoid some of the pitfalls identified in past efforts in these communities.

d. (3 points) Dissemination Strategies: **Describe your plan to disseminate research.**

This research will be presented at academic conferences centering around diversity, race and ethnicity, urban sociology, community organizations, and housing. The research will either be published in a book, or in a series of journal articles relevant to specific academic sub-communities. Further, results of the study will be made available to Loyola's Center for Urban Research and Learning, including their often-utilized website (www.luc.edu/curl) and to any interested community organization or participant, ideally at community forums.

e. (3 points) Institutional Support: **The student must provide a support letter from the institution that details and outlines the specific types of support/resources the institution will provide to support the student's research.**

See attached.

Rating Factor 4: Achieving Results and Program Evaluation (10 points)

See attached eLogic model spreadsheet.

Bibliography

- Bell, Joyce M. and Douglas Hartman. 2007. "Diversity in Everyday Discourse: The Cultural Ambiguities and Consequences of 'Happy Talk'". Paper presented at the Annual Meetings of the American Sociological Association. Montreal, Canada.
- Bonilla-Silva, Eduardo. 2003. *Racism without Racists: Color-Blind Racism and the Persistence of Racial Inequality in the United States*. Rowman & Littlefield Publishers.
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- Maly, Michael T. 2005. *Beyond Segregation: Multiracial and Multiethnic Neighborhoods in the United States*. Philadelphia: Temple University Press.
- Maly, Michael T. & Michael Leachman. 1998. "Rogers Park, Edgewater, Uptown, and Chicago Lawn, Chicago". *Cityscape: A Journal of Policy Development and Research*. Racially and Ethnically Diverse Urban Neighborhoods. Vol. 4, No. 2.
- Nyden, Philip *et. al.* 1998. "Neighborhood Racial and Ethnic Diversity in U.S. Cities". *Cityscape: A Journal of Policy Development and Research*. Racially and Ethnically Diverse Urban Neighborhoods. Vol. 4, No. 2.
- U.S. Department of Housing and Urban Development. 1998. *Cityscape: A Journal of Policy Development and Research*. Racially and Ethnically Diverse Urban Neighborhoods. Vol. 4, No. 2.

HUD budget narrative for Meghan Burke

Funds requested for

Item	Number Needed	Cost Per Item	Total Cost
Transcription	65	\$110	\$7150
Software	1	\$194	\$194
Conference Travel	4	\$600	\$2400
Stipend per month	8	\$1125	\$9000

Total budget request: \$18,744

Item Narratives:

1. *Transcription*: A local transcription service offers a rate of \$110 per hour of recorded tape for one or two voices. Given that most of my interviews are just two voices, and given that the average length of interview is an hour, that per hour rate is a good cost estimate for transcription services in Chicago. While I do hope to conduct 75 interviews, ten or so are already transcribed, making my estimated total 65. 65 interviews at \$110 per interview provides an estimated cost of \$7150.
2. *Software*: Qualitative analysis software would greatly improve the reliability and speed of the coding and analysis phase of my research. Qualrus is a well-regarded software tool for qualitative researchers, and is offered to students at a discounted rate of \$179 plus \$15 shipping, totaling \$194.
3. *Conference Travel*: I can anticipate attending four conferences in the coming year, where I can present my research, get feedback and exchange ideas with other scholars in my field, and network for publishing opportunities. Two will be regional, and attending these conferences and paying hotel and travel costs averaged \$600 per conference this past year. Two are not regional, but occur back to back and thus, while more expensive to stay longer and travel by airplane, they still will average to \$600 per conference or \$1200 total. Thus total cost for attending conferences in the upcoming year is \$2400.
4. *Stipend per month*: I am receiving a fellowship this upcoming academic year which does not allow me to take in any extra income. However, summers are not covered by this fellowship, and provide vital time to research and write. I am thus asking for a two summer stipends, for the summer before and after the fellowship period, in the amount that I would be paid to teach a summer course: \$4500. For both summers, this totals \$9000. Given that there are four summer months on each side of the fellowship period, and thus 8 total summer months of stipend I am requesting, this comes out to \$1125 per month. The ability to focus on research rather than teaching during these summers will greatly improve my ability to be productive and create research that is well-informed by prior research in the subfields to which my project is relevant.

**Grant Applications
Detailed Budget**

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OMB Approval No 2501-0017
(expires 01/31/2008)

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* Organization Name: Loyola University of Chicago

* Project/Activity Name: Doctoral Dissertation Research Grant Program

	Functional Categories								
	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9
	HUD Share (\$)	Applicant Match (\$)	Other HUD Funds (\$)	Other Fed Share (\$)	State Share (\$)	Local/Tribal Share (\$)	Other Share (\$)	Program Income (\$)	Total (\$)
a. Personnel (Direct Labor)	4,500.00								4,500.00
b. Fringe Benefits									
c. Travel	2,400.00								2,400.00
d. Equipment (only items > \$5,000 depreciated value)									
e. Supplies (only items < \$5,000 depreciated value)	194.00								194.00
f. Contractual									
g. Construction									
1. Administration and Legal Expenses									
2. Land Structures, Rights-of-Way, Appraisals, etc.									
3. Relocation Expenses and Payments									
4. Architectural and Engineering Fees									
5. Other Architectural and Engineering Fees									
6. Project Inspection Fees									
7. Site Work									
8. Demolition and Removal									
9. Construction									
10. Equipment									
11. Contingencies									
12. Miscellaneous	7,150.00								7,150.00
h. Other Direct Costs									
i. Subtotal of Direct Costs	14,244.00								14,244.00
j. Indirect Costs (% Approved Indirect Cost Rate (%))									0.00
Grand Total (Year 1):									14,244.00
Grand Total (All Years):									14,244.00
Next Year									16,744.00

**Grant Applications
Detailed Budget**

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	Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9
	HUD Share (\$)	Applicant Match (\$)	Other HUD Funds (\$)	Other Fed Share (\$)	State Share (\$)	Local/Tribal Share (\$)	Other Share (\$)	Program Income (\$)	Total (\$)
a. Personnel (Direct Labor)	4,500.00								4,500.00
b. Fringe Benefits									
c. Travel									
d. Equipment (only items > \$5,000 depreciated value)									
e. Supplies (only items < \$5,000 depreciated value)									
f. Contractual									
g. Construction									
1. Administration and Legal Expenses									
2. Land Structures, Rights-of-Way, Appraisals, etc.									
3. Relocation Expenses and Payments									
4. Architectural and Engineering Fees									
5. Other Architectural and Engineering Fees									
6. Project Inspection Fees									
7. Site Work									
8. Demolition and Removal									
9. Construction									
10. Equipment									
11. Contingencies									
12. Miscellaneous									
h. Other Direct Costs									
i. Subtotal of Direct Costs	4,500.00								4,500.00
j. Indirect Costs (% Approved Indirect Cost Rate: <input type="text"/> %)									0.00
Grand Total (Year <input type="text"/> 2):									4,500.00
Grand Total (All Years):									16,744.00
	Previous Year		Next Year						

**Grant Applications
Detailed Budget**

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a. Personnel (Direct Labor)									
b. Fringe Benefits									
c. Travel									
d. Equipment (only items > \$5,000 depreciated value)									
e. Supplies (only items < \$5,000 depreciated value)									
f. Contractual									
g. Construction									
1. Administration and Legal Expenses									
2. Land Structures, Rights-of-Way, Appraisals, etc.									
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7. Site Work									
8. Demolition and Removal									
9. Construction									
10. Equipment									
11. Contingencies									
12. Miscellaneous									
h. Other Direct Costs									
i. Subtotal of Direct Costs									
j. Indirect Costs (% Approved Indirect Cost Rate: <input type="text"/> %)									
Grand Total (Year <input type="text"/> All <input type="text"/>):									
Grand Total (All Years):									

Year 1: Year 2: Year 3: All Years:

Previous Year

LS 744 .07



Research Services

Lake Shore Campus
6525 N. Sheridan Road | Chicago, Illinois 60626
Phone 773.508.2471

June 12, 2008

U.S. Department of Housing and Urban Development
Office of University Partnerships
Room 8106
451 Seventh Street, SW
Washington, DC 20410

Re: Institutional Letter of Support for the Doctoral Dissertation Research Grant Program
submitted by Loyola University of Chicago on behalf of Meghan Burke.

Loyola University of Chicago is pleased to support the submission of the Doctoral Dissertation Research Grant proposal titled "*Active Members of Diverse Communities: A Contextual Examination of Racial Self-Concept*" on behalf of student Meghan Burke.

Ms. Burke's research will make a major contribution to scholars' understanding of racially and ethnically diverse communities in the United States. Building on past research supported by HUD and past research publications of HUD, this work represents a solutions-oriented approach in understanding new diverse communities in American society at a time when our overall population is becoming more diverse. Because Ms. Burke is working closely with our Center for Urban Research and Learning (CURL)--a non-traditional, innovative collaborative university-community research center--not only will she receive significant guidance, but will also be connected to local, regional, and national networks that will help guide this research and disseminate findings when completed. CURL worked with HUD over ten years ago in earlier work on this topic and is well-positioned to support this research. In its early development, CURL was also a recipient of a HUD Community Outreach Partnership Center grant which helped to center to grow into one of the top research centers at this university. With a \$10,000,000 endowment, ten staff, over 50 funded graduate and undergraduate fellows, and funded faculty and community fellows, CURL represents a major institutional resource to support the kind of research in which Ms. Burke is engaged. [REDACTED] the Director of CURL and a Professor in our Sociology Department, serves as Ms. Burke's dissertation advisor and provides substantial scholarly and institutional support and oversight for Ms. Burke's work.

Sincerely,

Patricia Mooney-Melvin, Ph.D.
Associate Dean
Loyola University of Chicago

Applicant Name: Loyola University Chicago
 Project Name: Humanities: A Contextual Examination c
 Project Type: 0
 Construction Type: 0

HUD Program: Doctoral Dissertation Research
 Period: 3312009
 Start Date: 8312009
 End Date: #N/A

OMB Approval 2535-0114 exp. 2

US Department of Housing and Urban Development
 Total

HUD Goals	Policy Priority	Problem, Need, Situation	Services or Activities/Outputs	Measure			Outcome	Measure		
				Pre	Post	YTD		Pre	Post	YTD
1	Policy	2	3	4			5	6		
	B.1	There is insufficient relevant research activity to support housing policy analysis.	Programming Faculty approve study design	Pre 11272007	Post Design	YTD	Impact Study findings presented at conference, symposium, or other	Pre 3312009	Post Papers	YTD
			Original data collected		Data Collected		Dissertation completed and approved	5012009	Dissertation	
			Faculty approve statistical methods of analysis	3312009	Analysis		Dissertation published in	6012009	Publications	
			Findings examined in relation to one or more HUD Policy	3312009	Policy Priorities		Study findings presented at conference, symposium, or other	8152009	Papers	
			Manuscripts prepared	8312009	Manuscripts		Study findings address one or more HUD Policy Priorities	8312009	Policy Priorities	
					#N/A		Study findings published in peer-reviewed journal	8312009	Papers	
	B.2	There is insufficient relevant research activity to support housing policy analysis.	Faculty approve study design	11272007	Design		Study findings presented at conference, symposium, or other	3312009	Papers	
			Original data collected	12312008	Data Collected		Dissertation completed and approved	5012009	Dissertation	
			Faculty approve statistical methods of analysis	3312009	Analysis		Dissertation published in	6012009	Publications	
			Findings examined in relation to one or more HUD Policy	3312009	Policy Priorities		Study findings presented at conference, symposium, or other	8152009	Papers	
			Manuscripts prepared	8312009	Manuscripts		Study findings address one or more HUD Policy Priorities	8312009	Policy Priorities	
					#N/A		Study findings published in peer-reviewed journal	8312009	Papers	
	B.3	There is insufficient relevant research activity to support housing policy analysis.	Faculty approve study design	11272007	Design		Study findings presented at conference, symposium, or other	3312009	Papers	
			Original data collected	12312008	Data Collected		Dissertation completed and approved	5012009	Dissertation	
			Faculty approve statistical methods of analysis	3312009	Analysis		Dissertation published in	6012009	Publications	
			Findings examined in relation to one or more HUD Policy	3312009	Policy Priorities		Study findings presented at conference, symposium, or other	8152009	Papers	
			Manuscripts prepared	8312009	Manuscripts		Study findings address one or more HUD Policy Priorities	8312009	Policy Priorities	
					#N/A		Study findings published in peer-reviewed journal	8312009	Papers	

0

Evaluation Tools

7

Accountability

A. Tools for Measurement

Interviews

B. Where Data Maintained

Individual case records

C. Source of Data

Referrals

D. Frequency of Collection

Upon incident

E. Processing of Data

Computer spreadsheets

Relational database

Development
008 Pending

Component Name:

0

Evaluation Tools

7

Accountability

Development

008 Pending

Component Name:

0

Evaluation Tools

7

Accountability

Development

008 Pending

Component Name:

0

Evaluation Tools

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008 Pending

Component Name:

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 2. Letter of Institutional Support
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