



Clean Air Act

Compliance



Learning Objectives

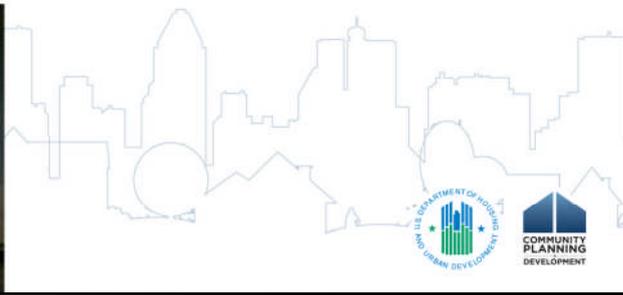
- Understand the basic history and structure of the Clean Air Act
- Recognize the types of projects that do and do not trigger CAA compliance, and when to hire a consultant
- Determine what locations are subject to CAA regulations, and what emissions levels are permitted
- Investigate mitigation options



Air Quality Compliance

Takeaways

- Federal law, implemented by the states
- Very rare that compliance measures are required for HUD-assisted projects
- Very technical- best to use engineers/consultants



Clean Air Act history



Our atmosphere is something we have taken for granted in the past, but, in the last forty years or so, scientists, elected officials, and the general public have begun to realize the effects of pollutants on the air we breathe. It is now recognized that pollutants such as sulfur dioxide, nitrous oxides, and particulates released into the atmosphere as a result of energy generation, [industrial development](#), and increased use of [motor vehicles](#), have serious health and environmental consequences.

Clean Air Act Structure

- Regulations written by federal EPA, but **administered through state agencies**
- Each state has its own unique plan for meeting CAA goals, known as a **State Implementation Plan (SIP)**
- Become familiar with your area's SIP: locations, emissions levels and mitigation



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Clean air and air pollution have been public issues for centuries. In 1306 King Edward I of England issued a proclamation banning the use of sea coal in London due to the smoke it caused. Over the next few centuries, additional efforts were made in Great Britain to reduce the amount of smoke in the air. The first attempt to control air pollution in the United States occurred during the industrial revolution. The cities of Chicago and Cincinnati enacted clean air legislation in 1881. Subsequently, other cities, towns, and regions slowly began enforcing their own clean air policies.

4 Steps to CAA Compliance

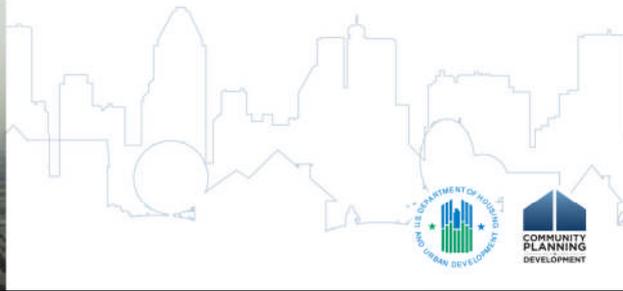
1. Determine if the project review rises to Environmental Assessment level
2. Determine if the project is located in a non-attainment area for any of six pollutants
3. Determine if the project exceeds allowable emissions levels
4. Determine what mitigation options are available

Note: Most projects will not have to proceed past Step 3

Step #1

HUD-assisted projects that are exempt or categorically excluded (CATEX) will virtually never produce enough air pollution to trigger CAA mitigation measures...

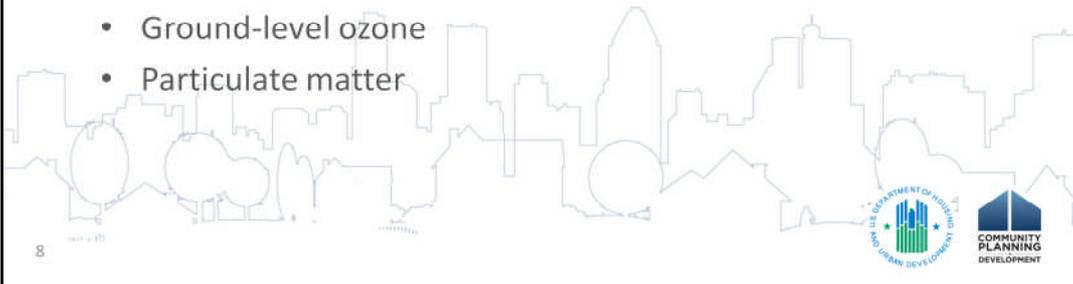
...only projects that require an Environmental Assessment continue to Step #2.



Step #2

Determine if your project is located in an area that has excessive levels of these 'criteria pollutants':

- Carbon monoxide
- Lead
- Sulfur oxides
- Nitrous oxides
- Ground-level ozone
- Particulate matter



Attainment vs non-attainment

- Attainment refers to “clean air sites” – below national level of pollutants
- Non-attainment refers to “dirty air sites” – above national level of pollutants

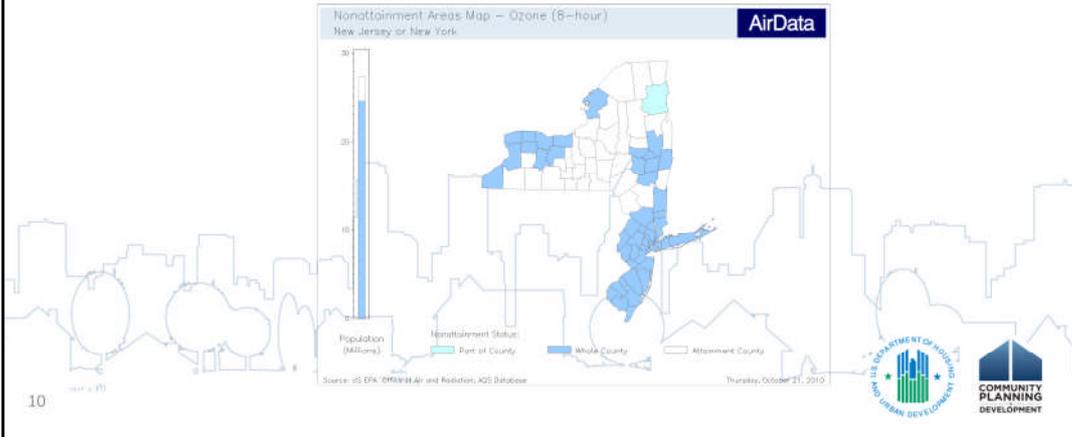


-‘Attainment’ and ‘non-attainment’ status refers to a county that has total levels of certain pollutants (CO, Pb, NO_x, SO_x, particulates, and ground-level ozone) either below a nationally-defined level (attainment) or above that level (non-attainment). These total levels of pollution are called National Ambient Air Quality Standards (NAAQS) and are periodically updated by the EPA based on public health findings.

HUD Clean Air Act Compliance

Check the county or air-quality district of your project for each of these pollutants at

<http://www.epa.gov/oaqps001/greenbk/index.html>



Step 3: Estimate emissions

- Become familiar with your local SIP- formal estimates may not be necessary
- If necessary - it is highly technical and should be completed by a qualified professional
- Compare estimated emissions to allowable levels in your area's SIP



New York State De minimis emission limits.

| <i>Air contaminant</i> | <i>De minimis emission limit (tons per year)</i> |
|--|--|
| Carbon monoxide | 100 |
| Nitrogen oxides | 40 |
| Sulfur dioxide | 40 |
| Particulates | 25 |
| Volatile organic compounds (for ozone nonattainment areas) | 40 |
| Lead | .6 |
| Asbestos | 0.007 |
| Beryllium | 0.0004 |
| Mercury | 0.1 |
| Vinyl chloride | 1 |
| Fluorides | 3 |
| Sulfuric acid mist | 7 |
| Hydrogen sulfide | 10 |
| Total reduced sulfur | 10 |
| Reduced sulfur compounds | 10 |

¹² <http://www1.dec.state.ny.us/regs/4210.html#13984>

**Table 3-1
Criteria Air Pollutants and Precursors and GHG Screening Level Sizes**

| Land Use Type | Operational Criteria Pollutant Screening Size | Operational GHG Screening Size | Construction Criteria Pollutant Screening Size |
|----------------------------|---|--------------------------------|--|
| Single-family | 325 du (NOX) | 56 du | 114 du (ROG) |
| Apartment, low-rise | 451 du (ROG) | 78 du | 240 du (ROG) |
| Apartment, mid-rise | 494 du (ROG) | 87 du | 240 du (ROG) |
| Apartment, high-rise | 510 du (ROG) | 91 du | 249 du (ROG) |
| Condo/townhouse, general | 451 du (ROG) | 78 du | 240 du (ROG) |
| Condo/townhouse, high-rise | 511 du (ROG) | 92 du | 252 du (ROG) |
| Mobile home park | 450 du (ROG) | 82 du | 114 du (ROG) |
| Retirement community | 487 du (ROG) | 94 du | 114 du (ROG) |
| Congregate care facility | 657 du (ROG) | 143 du | 240 du (ROG) |
| Day-care center | 53 ksf (NOX) | 11 ksf | 277 ksf (ROG) |
| Elementary school | 271 ksf (NOX) | 44 ksf | 277 ksf (ROG) |
| Elementary school | 2747 students (ROG) | - | 3904 students (ROG) |
| Junior high school | 285 ksf (NOX) | - | 277 ksf (ROG) |
| Junior high school | 2460 students (NOX) | 46 ksf | 3261 students (ROG) |
| High school | 311 ksf (NOX) | 49 ksf | 277 ksf (ROG) |
| High school | 2390 students (NOX) | - | 3012 students (ROG) |



**Table 3-1
Criteria Air Pollutants and Precursors and GHG Screening Level Sizes**

| Land Use Type | Operational Criteria Pollutant Screening Size | Operational GHG Screening Size | Construction Criteria Pollutant Screening Size |
|--------------------------------------|---|--------------------------------|--|
| Office park | 323 ksf (NOX) | 50 ksf | 277 ksf (ROG) |
| Government office building | 61 ksf (NOX) | 12 ksf | 277 ksf (ROG) |
| Government (civic center) | 149 ksf (NOX) | 27 ksf | 277 ksf (ROG) |
| Pharmacy/drugstore w/ drive through | 49 ksf (NOX) | 10 ksf | 277 ksf (ROG) |
| Pharmacy/drugstore w/o drive through | 48 ksf (NOX) | 10 ksf | 277 ksf (ROG) |
| Medical office building | 117 ksf (NOX) | 22 ksf | 277 ksf (ROG) |
| Hospital | 226 ksf (NOX) | 39 ksf | 277 ksf (ROG) |
| Hospital | 334 beds (NOX) | 84 ksf | 337 beds (ROG) |
| Warehouse | 864 ksf (NOX) | 64 ksf | 259 ksf (NOX) |
| General light industry | 541 ksf (NOX) | 121 ksf | 259 ksf (NOX) |
| General light industry | 72 acres (NOX) | - | 11 acres (NOX) |
| General light industry | 1249 employees (NOX) | - | 540 employees (NOX) |
| General heavy industry | 1899 ksf (ROG) | - | 259 ksf (NOX) |
| General heavy industry | 281 acres (ROG) | - | 11 acres (NOX) |
| Industrial park | 553 ksf (NOX) | 65 ksf | 259 ksf (NOX) |
| Industrial park | 61 acres (NOX) | - | 11 acres (NOX) |
| Industrial park | 1451 employees (NOX) | - | 577 employees (NOX) |

Documentation required in the Environmental Review Record

- The proposed project is not a facility that contributes to air pollution; or
- Sites are located within NAAQS “attainment” areas; or all activities in “non-attainment” areas conform with SIP; or
- All activities within “non-attainment” areas have been designed/modified to conform with SIP requirements



Step 4: Mitigation

Mitigation comes in different forms:

- Emissions reduction technology
 - Specified technology
 - Specified emissions levels
- Emissions offsets
 - Emissions credit trading
 - Direct shutdown of existing sources
- State construction and operating permits almost always required

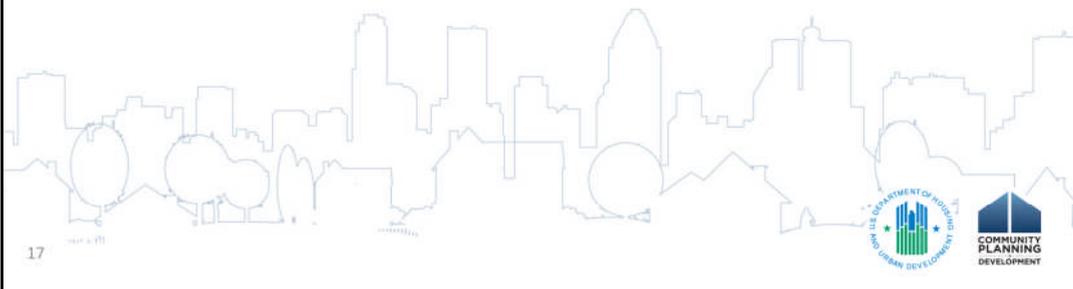
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These are too numerous to list here. States will typically indicate the level of technology required (Maximum Available Control Technology, Lowest Achievable Emissions Rate, etc) and define those terms either through absolute numerical emissions standards or through comparative standards vis-à-vis other intra-industry polluters. EPA and many states maintain clearinghouses where one can find example of specific technologies that have been recently deployed, and statistics on the efficacy of those technologies. Emissions offsets as a route to compliance. In practice, this generally applies to producers that are replacing existing sources of pollution while building a new plant. For instance, an energy company might purchase and shut down several existing coal-fired power plants and replace them with a new, more efficient version.

Site information the grantee shall furnish HUD

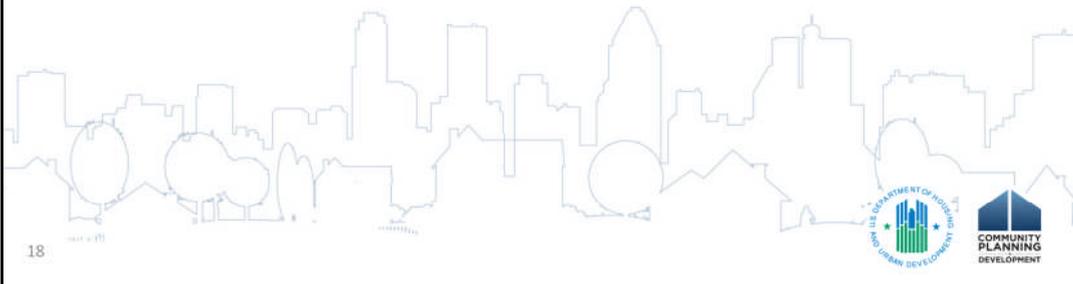
- ❑ A letter from the State on the non-attainment area project activities and
- ❑ Proper assurance on the project's asbestos containment materials handling



1. *stating that all project activities located within “nonattainment” areas conform with State Implementation Plan requirements; this is only required if the project requires mitigation and exceeds the emissions levels allowed by the state.*
2. *that all project asbestos containing materials will be handled and disposed of by certified professionals in accordance with applicable USEPA and state requirements. (Submit follow-up documentation when work is completed.)*

Case Study #1: The Miraflores Concept Plan

- Project objective is to provide 336 units of a range of housing types on an urban infill site.
 - Provide 110 rental units for seniors
 - Provide 222 market-rate attached units, in a combination of townhouses and single story residences, and 4 single-family homes



Picture of Miraflores site

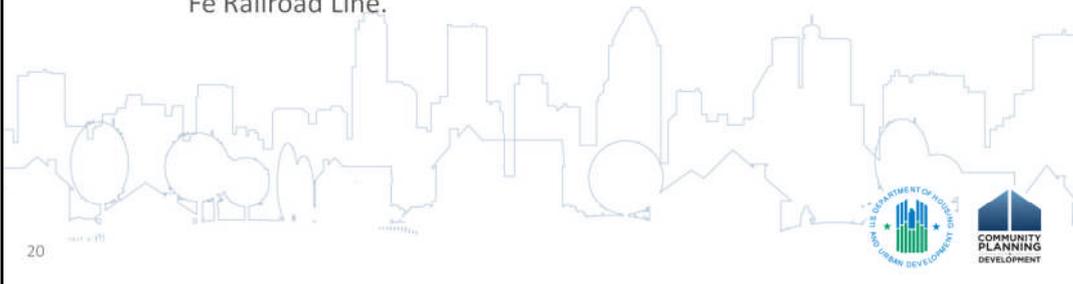


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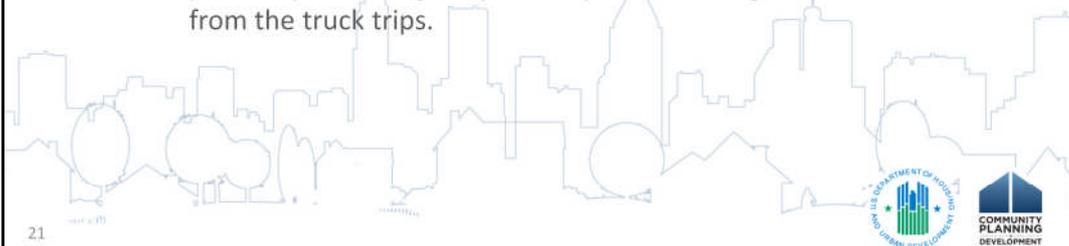
Case Study #1: The Miraflores Concept Plan

- Physical Setting/Existing conditions.
 - Irregular, L-shaped 14 acre property comprised of three major parcels
 - There is significant overgrowth and debris on the site. Traffic from I-80 can be heard through the property.
 - The project site is bounded on the north by the BART tracks and a roadbed berm of the old Atchinson, Topeka and Santa Fe Railroad Line.



Case Study #1: The Miraflores Concept Plan

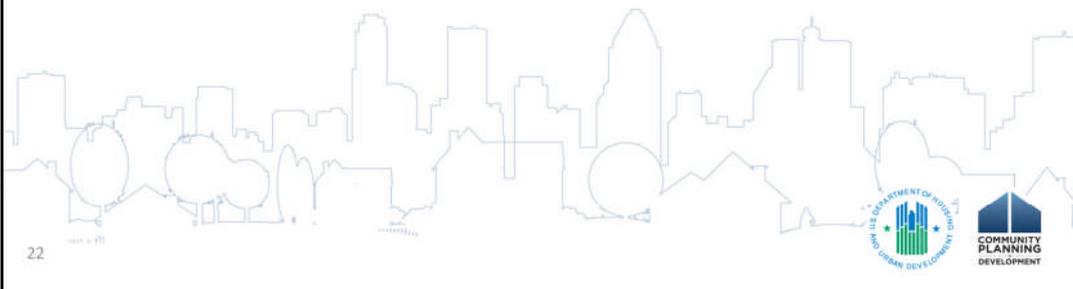
- Air compliance issues:
 - EPA designated the entire Bay area as non-attainment for the 24 hr PM 2.5 NAAQS.
 - Toxic air contaminants (TAC) found in low concentrations, even near the highway in lieu of diesel particulates and benzene.
 - No major stationary sources of TAC but in lieu of its proximity to the highway, diesel particulate is generated from the truck trips.



Case Study #1: The Miraflores Concept Plan

Air compliance discussion

- Originally, the proposed building was setback at 20 feet from the highway, the distance from the roadway and truck traffic densities are key factors affecting the strength of the association of adverse health effects.

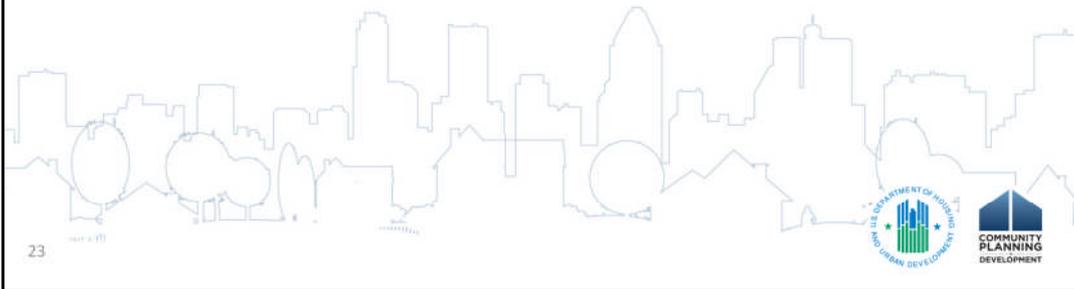


There are three carcinogenic toxic air contaminants from motor vehicle traffic, such as diesel, benzene and 1,3 butadiene.

Case Study #1: The Miraflores Concept Plan

Mitigation discussion

- Project sponsor has designed a 100% outdoor air ventilation system with supply fans located in the roof.
- Housing will be setback (as mitigation) approximately 220 feet from Interstate 80 to help mitigate adverse air quality and noise impacts from the freeway.



In addition, the system will have MERV air filters (remove 90% of ambient PM 2.5 from outdoor air). The City as Successor Agency is working on completing the clean-up of the site. The abatement and demolition work has been completed, including the moving of the historic structures to be preserved. We've also fully entitled an 80-unit low income senior housing development on a portion of the site. The City has also received a Prop 84 urban greening project grant to establish the green belt which includes daylighting a portion of Baxter Creek.

Case Study #2: 6th and Oak Apartments, Oakland

Physical Setting/Existing conditions

- Project site located at the northern corner of the intersection of 6th and Oak streets in Oakland. Interstate 880 passes by the project site parallel to and on the opposite side of 6th street.
- Air quality requires that qualified air quality consultants prepare a Health Risk Assessment to develop measures to achieve acceptable interior air quality





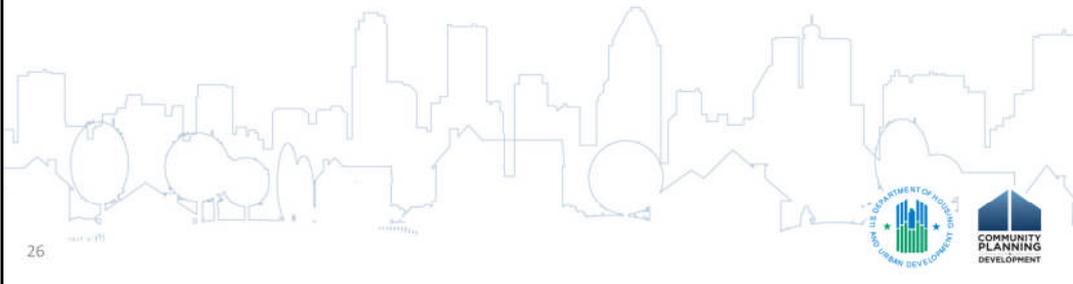
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Case Study #2: 6th and Oak Apartments, Oakland

Air compliance issues:

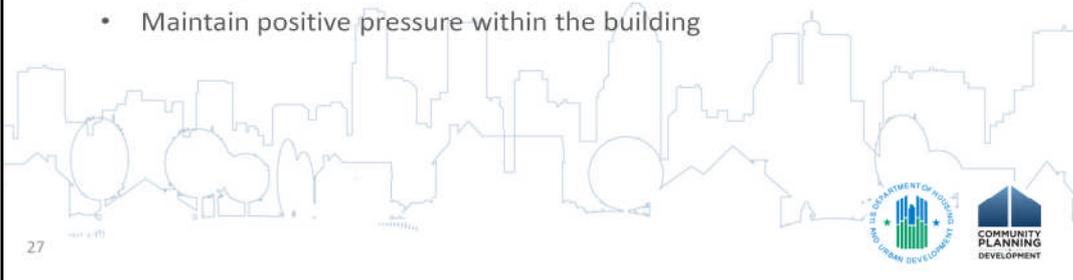
- Potential exposure from emissions from the adjacent freeway, exposing residents to vehicle emissions, including toxic air contaminants (diesel emissions).



Case Study #2: 6th and Oak Apartments, Oakland

Mitigation discussion:

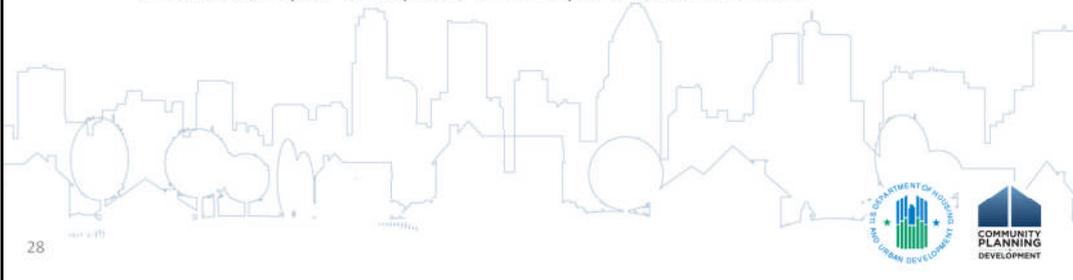
- No sensitive receptors near entry or exits of the proposed project site
- No sensitive receptor in the same building with hazardous materials.
- Install, operate and maintain and HVAC system, MERV 13.
- Maintain positive pressure within the building



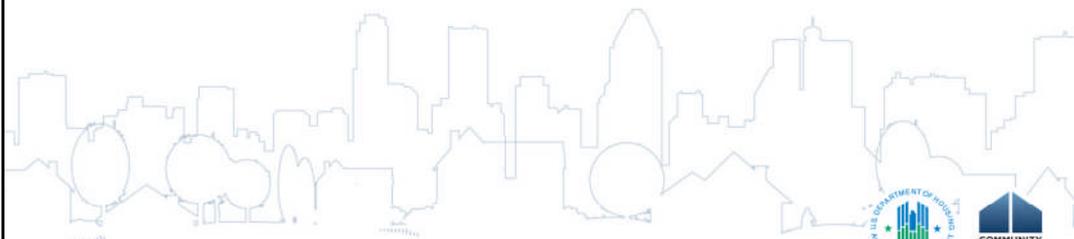
Case Study #2: 6th and Oak Apartments, Oakland

Mitigation discussion:

- Maintain one air exchange per hour of fresh outside air
- Maintain four air exchanges per hour of re-circulated air.
- If building is not positively pressurized, maintain 0.25 air exchanges per hour.
- Maintain, repair or replace an HV system and the filter.



Questions?



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Points of Contact

Jacob Levine

Environmental Review Specialist
U.S. Department of Housing and Urban Development
Office (212) 542-7438

Nelson A. Rivera, R.E.M.

Environmental Engineer
U.S. Department of Housing and Urban Development
Voice: 202.402.4455

