

**Application for Federal Assistance SF-424**

Version 02

1. Type of Submission:		*2. Type of Application * If Revision, select appropriate letter(s)	
<input type="checkbox"/> Preapplication	<input checked="" type="checkbox"/> Application	<input checked="" type="checkbox"/> New	*Other (Specify) _____
<input type="checkbox"/> Changed/Corrected Application	<input type="checkbox"/> Continuation	<input type="checkbox"/> Revision	

3. Date Received:	4. Applicant Identifier:
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5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
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**State Use Only:**

6. Date Received by State:	7. State Application Identifier:
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**8. APPLICANT INFORMATION:**

*a. Legal Name: Native Village of Buckland	
*b. Employer/Taxpayer Identification Number (EIN/TIN): ██████████	*c. Organizational DUNS: ██████████

**d. Address:**

*Street 1:	<u>PO Box 67</u>
Street 2:	_____
*City:	<u>Buckland</u>
County:	_____
*State:	<u>AK</u>
Province:	_____
*Country:	<u>USA</u>
*Zip / Postal Code	<u>99727</u>

**e. Organizational Unit:**

Department Name:	Division Name:
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**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: <u>Mr.</u>	*First Name: <u>Floyd</u>
Middle Name: <u>H.</u>	
*Last Name: <u>Ticket</u>	
Suffix: _____	

Title: <u>President</u>
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Organizational Affiliation: <u>Buckland IRA Council</u>
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*Telephone Number: <u>(907) 494-2171</u>	Fax Number: <u>(907) 494-2217</u>
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*Email: <u>tribeadmin@nunachiak.org</u>
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**Application for Federal Assistance SF-424**

Version 02

**\*9. Type of Applicant 1: Select Applicant Type:**

I. Indian/Native Am Tribal Govn.(Fed. Recognized)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

HUD - ICDBG

**11. Catalog of Federal Domestic Assistance Number:**

14.862

CFDA Title:

ICDBG

**\*12 Funding Opportunity Number:**

FR-5600-N-02

\*Title:

ICDBG

**13. Competition Identification Number:**

ICDBG-04

Title:

Community Development Block Grant Program for Indian Tribes and Alaska Native Villages (ICDBG)

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Native Village of Buckland, Alaska (community wide)

**\*15. Descriptive Title of Applicant's Project:**

Construction of five (5) single family units for qualified Alaska Natives within community

**Application for Federal Assistance SF-424** Version 02

**16. Congressional Districts Of:**  
\*a. Applicant: AK at large \*b. Program/Project: AK at large

**17. Proposed Project:**  
\*a. Start Date: 6/15/12 \*b. End Date: 2/28/13

**18. Estimated Funding (\$):**

*a. Federal	600,000.00
*b. Applicant	1,500,000.00
*c. State	
*d. Local	
*e. Other	
*f. Program Income	
*g. TOTAL	2,100,000.00

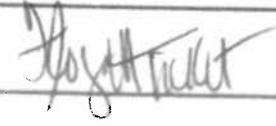
**\*19. Is Application Subject to Review By State Under Executive Order 12372 Process?**  
 a. This application was made available to the State under the Executive Order 12372 Process for review on \_\_\_\_\_  
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E. O. 12372

**20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**  
 Yes  No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)  
 \*\* I AGREE  
\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

**Authorized Representative:**

Prefix: Mr. \*First Name: Floyd  
Middle Name: H.  
\*Last Name: Ticket  
Suffix: \_\_\_\_\_  
\*Title: President  
\*Telephone Number: (907) 494-2171 Fax Number: (907) 494-2217  
\* Email: tribeadmin@nunachiak.org

\*Signature of Authorized Representative:  \*Date Signed: 11/28/2011

# Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing  
and Urban Development

OMB Approval No. 2510-0011 (exp. 9/30/2013)

**Instructions.** (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

## Applicant/Recipient Information

Indicate whether this is an Initial Report  or an Update Report

1. Applicant/Recipient Name, Address, and Phone (include area code): Native Village of Buckland, PO Box 67, Buckland, AK 99749 (907) 494-2171		2. Social Security Number or Employer ID Number: [REDACTED]
3. HUD Program Name ICDBG		4. Amount of HUD Assistance Requested/Received \$600,000.00
5. State the name and location (street address, City and State) of the project or activity: Native Village of Buckland, AK (community wide)		

## Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.
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If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However,** you must sign the certification at the end of the report.

## Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds
Northwest Inupiat Housing Authority	IHBG	\$600,000.00	Supplement Project Budget

(Note: Use Additional pages if necessary.)

## Part III Interested Parties. You must disclose:

- All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
- any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity (\$ and %)
Northwest Inupiat Housing Authority	[REDACTED]	Sub-recipient	\$600,000.00

(Note: Use Additional pages if necessary.)

## Certification

**Warning:** If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

Signature: 	Date: (mm/dd/yyyy) 12/10/2011
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Mr. Floyd Ticket, President  
Buckland IRA Council

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## Project Thresholds: New Construction

- ✓ 2000 US Census Table of LMI Persons by Tribal Area
- ✓ Tribal Resolution Documenting NIHA Qualifies as CBDO
- ✓ Tribal Resolution Adopting Construction Standards
- ✓ Copy of AKONAP 2003 Over-Crowding Report
- ✓ NIHA Certification of Required Criteria

The Native Village of Buckland (NVB) meets the minimum 51% threshold as outlined in the Area Benefit, as reflected in the attached 2000 US Census table, with 66% of the local population considered within the low or moderate income bracket. NVB also certifies that all families selected to receive assistance with ICDBG funds will meet the existing low-income limits.

The Native Village of Buckland, via resolution (see attachment), hereby states and accepts the status of the Northwest Inupiat Housing Authority (NIHA) to be considered as an equivalent to that of a Community Based Development Organization (CBDO), based upon its 30+ year history of providing safe, decent, and affordable housing opportunities to our community, as well as our region in general.

The Native Village of Buckland, via resolution (available upon request), previously adopted a set of construction standards as outlined in the Housing Quality Standards as set forth and approved by the Alaska Office of Native American Programs in 2007.

In 2003, the AKONAP authored a report outlining the existing over-crowding condition in several communities, including Buckland, in the Northwest arctic region. In summary, the report identified that approximately 57% of the households within Buckland were deemed to be experiencing an over-crowded situation. The proposed construction of five (5) single family units will be highly critical in reducing the number of families living in such over-crowded conditions.

The Northwest Inupiat Housing Authority (NIHA) hereby certifies, see attachment, that all five (5) families selected to occupy the new homes will meet the low-income requirements, as set forth in their Mutual Help & Occupancy program policies. NIHA further states that there are no other available homes within the community for the either general rehabilitation and or is suitable to accommodate the selected families, who currently reside in an over-crowded situation.

## (Based on 2000 Census Data)

Area ONAP	Tribe	Tribal Area	Number of Persons	Number of Persons with Low or Moderate Incomes	Percentage of Persons with Low or Moderate Incomes
Alaska	Afognak	No Census Data Available	0	0	0%
Alaska	Akhiok	Akhiok ANVSA, AK	91	83	91%
Alaska	Akiachak	Akiachak ANVSA, AK	590	448	76%
Alaska	Akiak	Akiak ANVSA, AK	280	205	73%
Alaska	Akutan	Akutan ANVSA, AK	653	45	7%
Alaska	Alakanuk	Alakanuk ANVSA, AK	662	573	87%
Alaska	Alatna	Alatna ANVSA, AK	22	17	77%
Alaska	Aleknagik	Aleknagik ANVSA, AK	233	173	74%
Alaska	Algaacig	Algaacig ANVSA, AK	371	212	57%
Alaska	Allakaket	Allakaket ANVSA, AK	127	96	76%
Alaska	Ambler	Ambler ANVSA, AK	245	148	60%
Alaska	Anaktuvuk Pass	Anaktuvuk Pass ANVSA, AK	316	142	45%
Alaska	Andreafsky	Andreafsky ANVSA, AK	166	100	60%
Alaska	Angoon	Angoon ANVSA, AK	573	426	74%
Alaska	Aniak	Aniak ANVSA, AK	584	305	52%
Alaska	Annette Island (Metlakakla)	Annette Island Reserve, AK	1,447	657	45%
Alaska	Anvik	Anvik ANVSA, AK	120	95	79%
Alaska	Arctic Village	Arctic Village ANVSA, AK	123	98	80%
Alaska	Atka	Atka ANVSA, AK	93	48	52%
Alaska	Atmautluak	Atmautluak ANVSA, AK	319	191	60%
Alaska	Atkasuk	Atkasuk ANVSA, AK	203	99	49%
Alaska	Barrow	Barrow ANVSA, AK	4,581	1,655	36%
Alaska	Beaver	Beaver ANVSA, AK	137	125	91%
Alaska	Belkofski	Belkofski ANVSA, AK	0	0	0%
Alaska	Bill Moore's	Bill Moore's ANVSA, AK	0	0	0%
Alaska	Birch Creek	Birch Creek ANVSA, AK	27	27	100%
Alaska	Brevig Mission	Brevig Mission ANVSA, AK	278	250	90%
Alaska	Buckland	Buckland ANVSA, AK	411	270	66%
Alaska	Cantwell	Cantwell ANVSA, AK	244	126	52%
Alaska	Chaikyitsik	Chaikyitsik ANVSA, AK	57	54	95%
Alaska	Chefornak	Chefornak ANVSA, AK	355	238	67%
Alaska	Chenega	Chenega ANVSA, AK	77	35	45%
Alaska	Chevak	Chevak ANVSA, AK	780	609	78%
Alaska	Chickaloon	Chickaloon ANVSA, AK	16,818	6,371	38%
Alaska	Chignik	Chignik ANVSA, AK	89	47	53%
Alaska	Chignik Lagoon	Chignik Lagoon ANVSA, AK	111	25	23%
Alaska	Chignik Lake	Chignik Lake ANVSA, AK	132	74	56%
Alaska	Chilkat	Chilkat ANVSA, AK	131	107	82%
Alaska	Chilkoot	Chilkoot ANVSA, AK	347	191	55%
Alaska	Chistochina	Chistochina ANVSA, AK	89	77	87%
Alaska	Chitina	Chitina ANVSA, AK	119	102	86%
Alaska	Chuathbaluk	Chuathbaluk ANVSA, AK	135	102	76%
Alaska	Chulloonawick	Chulloonawick ANVSA, AK	0	0	0%
Alaska	Circle	Circle ANVSA, AK	69	58	84%
Alaska	Clark's Point	Clark's Point ANVSA, AK	84	56	67%

***NATIVE VILLAGE OF BUCKLAND***

***PO BOX 67***

***Buckland, AK 99727***

***(907) 494-2171 phone***

***(907) 494-2217 fax***

**RESOLUTION 2011-52**

**A RESOLUTION REQUESTING THAT NORTHWEST INUPIAT HOUSING AUTHORITY BE DETERMINED BY HUD/AKONAP AS A COMMUNITY BASED DEVELOPMENT ORGANIZATION (CBDO) EQUIVALENT AS ALLOWED PER 23CFR 1003.204(C)(3).**

**WHEREAS:** The Native Village of Buckland is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

**WHEREAS:** The Native Village of Buckland, in conjunction with the Northwest Inupiat Housing Authority (NIHA), has plans to submit an application to the Indian Community Development Block Grant program for supplemental funding for the construction of up to five (5) single family units within the community; and

**WHEREAS:** The Native Village of Buckland and the Northwest Inupiat Housing Authority (NIHA) are partnering to construct up to five (5) single family homes in Buckland, and

**WHEREAS:** it is necessary to request a determination of HUD for NIHA to be recognized as a Community Based Development Organization equivalent as allowed per 24 CFR 1003.204(c)(3); and

**WHEREAS:** NIHA is sufficiently similar in purpose, function, and scope to qualifying groups qualifying as CDBO's under 24 CFR 10003.204(c)(2)(iv), as detailed below:

NIHA qualifies under 1003.204(c)(3) because its charter and bylaws establish that it "Is sufficiently similar in purpose, function, and scope to those entities qualifying under paragraphs (c)(1) or (c)(2)." NIHA meets many of the criteria of (c)(1) and (c)(2)(iv):

- (i) NIHA is an association organized under State law (AS 18.55.996) to provide housing for low-income Alaska Natives within an identified geographic area (Northwest region). As such, serves

Native Alaskans that have a common heritage and sharing common Interests, demonstrated by NIHA's service to those villages whose inclusion in other Regional entities such as the NANA Regional Corporation, and the Maniilaq Association, also as demonstrated by AS 18.55.996(b) being similar to the ANCSA Section 7(a), which was recognized by the U.S. Congress as determining common heritages and common interests of Alaska Natives, such regional customs are recognized by many anthropologic and sociologic studies, including Nelson(1888), Berger (1985), Landon (2002) and the US Department of Interior EIS's for the Mineral Management Services (1985).

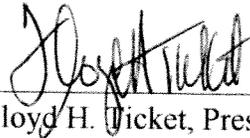
- (ii) NIHA meets the criteria of (c) (1)(II);
- (iii) NIHA is a nonprofit organization, because any profits are merely incidental to its operations and must be funneled back into low-income housing;
- (iv) More than half of NIHA's 5-member governing body (BOC) are low- and moderate- income residents of its geographic area;
- (v) NIHA is not and agency or Instrumentality of the grantee and does not permit more than one-third of its governing body to be appointed by an ineligible entity;
- (vi) NIHA is tribally-based; Maniilaq Association appoints NIHA's BOC, and members of NIHA are the village tribes within the region; In addition, tribes appoint NIHA as their TDHE under NAHASDA;
- (vii) NIHA is not subject to requirements under which it's assets revert to grantee (or any other organization) upon dissolution, and
- (viii) NIHA is free to contract for goods and services from vendors of its own choosing.

**WHEREAS:** The Native Village of Buckland and NIHA has entered into a Memorandum of Agreement for the project, which will include language which details that NIHA will "Carry-out" the activity independent of the Council, is free to contract for goods and services from vendors of its own choosing, it not subject to requirements under which its assets revert to the grantee (NVB) or to any other organization upon dissolution, and

**NOW THEREFORE BE IT RESOLVED:** the Buckland IRA Council hereby requests that HUD/AKONAP hereby determine that the Northwest Inupiat Housing Authority (NIHA) be a Community Block Development Organization (CBDO) as allowed under CFR 1003.24(c)(3) for purposes of the tribes ICDBG application.

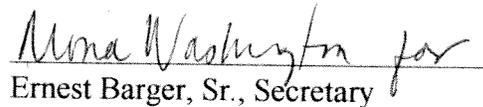
**CERTIFICATION**

The foregoing resolution was enacted by the Buckland IRA Council for the Native Village of Buckland on this 25<sup>th</sup> Day of October, 2011 by a vote of 5 for and 0 against and 0 abstaining at a duly called meeting at which 5 of seven members were present.



Floyd H. Tacket, President

ATTEST:



Ernest Barger, Sr., Secretary

# NORTHWEST INUPIAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • FAX (907) 442-3486

December 12, 2011

Office of Native American Programs  
Grants Management Division  
3000 C Street, Suite 401  
Anchorage, AK 99503

Subj: Letter of Certification of Various Project Threshold Criteria Met

Dear Sir or Madam:

Please be advised that on behalf of NIHA, I hereby certify the following information, in relation to the Native Village of Buckland's FY12 Indian Community Development Block Grant (ICDBG) application:

- 1) There currently does not exist suitable and or adequate housing stock within the community that would alleviate the existing over-crowding situation.
- 2) Although IHBG funds are being used to fund the major portion of the proposed project, they are inadequate to complete the construction of all five (5) proposed single family units. Also, the Native Village of Buckland has not been successful in obtaining any other funds, either state and or federal, to complete the project.
- 3) Rehabilitation of the existing units occupied by the identified families residing in an over-crowded situation is not economically feasible.
- 4) Since approximately 57% (according to the 2003 AKONAP NW Arctic region report on over-crowding), the families to be selected to be occupy the five (5) single family units, and participate in our Mutual Help program, will most likely be residing in an over-crowded situation, and has no residence of their own.
- 5) All families to be selected to occupy the units will meet the low-income guidelines as set forth in our Mutual Help program policies.

If you have any questions, please contact me at (907) 442-3450.

Sincerely,



Guy Adams  
Executive Director

cc: file



**U.S. Department of Housing and Urban Development**

**Alaska Office of Native American Programs**

VECO Alaska Building

949 East 36th Avenue, Suite 401

Anchorage, AK 99508-4399

<http://www.hud.gov/local/anc/anconap.html>

MEMORANDUM FOR: Roger J. Boyd, Deputy Assistant Secretary, PN

FROM: Wayne Mundy, Administrator, OCPI

SUBJECT: Performance Measure  
Reduction of Overcrowded Households

**COPY**

This is the Alaska Field Office report on our experience and progress to reduce the number of overcrowded households in accordance with Performance Goal #1. The staff participating in this effort includes Cheryl Benson, Julie Kander, Randy Thorne and David Vought. This report includes a general overview of our approach and experience in developing this goal followed by a detailed report on each of the three Alaska regions and five tribes that are participating in this effort. Although we have made substantial progress in implementing this performance objective, we continue to expand our understanding of overcrowding and how to address the problem. As our understanding grows, we will continue to enhance the overcrowding data and action plan. In addition to the intended results of the efforts towards this goal, we have found that the pursuit of this goal has created a fertile basis to enhance our understanding of Alaska housing needs, to develop new and more dynamic partnerships and to strengthen our working relationships with the tribes. In addition to the reduction of overcrowded households, we also anticipate this effort will enhance the capacity of this office and the quality of our working partnerships.

OVERVIEW



Overcrowding is a prevailing problem in rural Alaska. The 2000 census reports 50 Alaska Native Villages experience overcrowded conditions in excess of 40 % of the community households or approximately 2,665 households. The census data provides insight on the extent of overcrowding on the basis of occupants per room and in relation to other communities. However, the census data is insufficient to provide insight on the extent of overcrowding in community households, the condition of the homes and the availability of resources to address housing needs. This

**Housing Profile:**

The Northwest Inupiat Housing Authority (NIHA) estimates that 90% of the housing in the region was developed by the Housing Authority. Until recently, nearly all of the units developed by NIHA in the villages were single-family homes occupied under the Mutual Help program. Most of these homes are now conveyed. This year NIHA completed development of 10 single-family homes in Kobuk and Shungnak with the assistance of the Title VI Loan Guarantee Program. These homes were sold to homebuyers through an assisted mortgage program. Although this program was considered to be experimental by NIHA to determine the level of support for mortgage based homeownership, all the homes were sold and additional qualified applicants are on a waiting list. Based on our overcrowding survey, nearly all the homes identified as overcrowded were developed by NIHA. These homes were inspected during our community visit. Generally the structures were found to be in viable condition although generally in need of rehabilitation. Buckland was the direct recipient of their NAHASDA allocation until 2003. NIHA is now designated as the Tribally Designated Housing Entity (TDHE) for the tribe. NIHA is planning to develop new units in the community within the next two years.

**Overcrowded  
Baseline:**

The following is the current baseline for overcrowded households based on tribal consultation during the site visit and complete household surveys. NIHA is launching a comprehensive housing needs assessment in the region that will further refine the current data:

Community	Households	Overcrowded Per Census	Overcrowded Per Survey
Buckland	84	48	69
Kobuk	26	17	8
Shungnak	56	35	11

**Overcrowded  
Strategy:**

The overall strategy to address the overcrowded housing conditions is to expand the size the houses. This is the most co effective approach to directly address overcrowding. As a matter of policy, all new NIHA housing is four bedrooms. Based on its experience, NIHA has concluded that building small, 2 bedroom homes, creates future overcrowding problems. Accordingly NIHA now builds new housing with future housing needs in mind. Most of

application training. Unfortunately, the delay of the Notice of Funding Availability also delayed the community visits. Other factors also effected the scheduling of the visits the progress of the initiative. The short summer is the seasonal opportunity for most subsistence and commercial activities in Alaska. Accordingly, it is necessary accommodate the community schedule when arranging our visits. In one case, a tragic suicide in a community required our visit to be rescheduled.

In order to establish an actionable plan, our strategy is to identify the specific households that are overcrowded and gather data about these households related to demographics, income and housing conditions. In order to solicit this information, a survey form was developed and offered to the participating communities. The communities were encouraged to use the survey forms or other formats, as preferred, to gather relevant information. In the meantime, we gathered census data and other information about the community in an effort to be as informed as possible about the community prior to our visit. Additionally, a funding matrix was developed as a reference tool. The funding matrix identifies funding sources from state and federal agencies that offer financing for housing.

The visit to each of the communities was essential. The visit provided an opportunity to meet with the tribal government, housing officials and overcrowded residents. The visits were also intended to provide an opportunity to inspect the overcrowded housing units and gather information about the overcrowded residents. Additionally, the visits were an opportunity to discuss the causes of the overcrowded housing conditions with the community leaders and residents.

We found that that definition of overcrowded is fluid. It appears that the best indicator of adequate space is the number of square feet per person. The data used by the census "occupants per room" has little meaning because it does not reflect any value in terms of space. Partitions within the house can be added or removed without affecting the usable living space in the house. However the addition or removal of partitions will affect the census data related to overcrowded conditions. Many families expressed much more concern about the condition of the house rather than the size of the house. In some cases houses were abandoned due to the condition of the house. The displaced families move into a relative's home and create an overcrowded condition. Young families have nearly no access to affordable housing. Most of the overcrowded families include adult children, frequently with young children of their own. Elements of the village population are also transient with regular movement between the village, regional center and urban areas within the state. This movement is frequently associated with employment opportunities or health needs. We did not attempt to impose a definition of overcrowding on the community. We meet with community leaders to discuss community standards for overcrowding and found that the communities preferred to let each household determine its need for space. Families identified as overcrowded were then surveyed. The survey data is being used as the basis for developing the action plan and to involve partners to address overcrowding.

The following are the primary causes of overcrowding:

1. **Lack of a Housing Market:** In the remote villages, no aspect of a housing market exists that is necessary to support residents in meeting their housing needs including:
  - ✓ No homes for sale
  - ✓ No housing units for rent
  - ✓ No source to purchase building materials
  - ✓ No contractors to perform work
  - ✓ No financial institutions to provide financing
  
2. **Insufficient Personal Income:** Employment opportunities are very limited in the remote communities. Traditionally the family economy is based on subsistence rather than cash, which does not enable families to establish credit for private financing. In many communities, personal income is declining due to the collapse of the fishing and logging industries. State funding programs are also declining due to diminishing oil production, the primary source of income to the State of Alaska. Another factor is the high cost of living in Alaska as reflected in the Total Development Costs established by HUD that exceeds \$350,000 for a four-bedroom house in some areas of Alaska.
  
3. **Insufficient Affordable Housing Stock:** With few exceptions, subsidized rental housing in rural Alaska villages does not exist. Due to maintenance and management concerns, single-family Mutual Help homes have been used almost exclusively as the affordable housing in the Alaska Native Villages. However, this situation is beginning to change under NAHASDA. Assisted mortgage programs have been successfully developed in several villages. Additionally, tribal program administrators can no longer manage rental developments locally. However the amount of funds available through NAHASDA simply does not provide enough funding to finance an adequate number of units to meet the need for housing. Construction costs in the villages are extremely high. Transportation costs alone can account for more than 30% of the cost of the home. In the villages it is generally necessary to develop the housing infrastructure along with the housing units. Infrastructure development costs can exceed 25% of the housing cost. Construction costs vary significantly between communities based on location and other factors specific to the development.

## NORTHWEST ARCTIC REGION



### **Community: Buckland**

Population:	406
Number of Housing Units:	89
Overcrowded Households:	57.1%
Road Access:	None
Water and Sewer Service:	None
Per Capita Income:	\$9,624
Location:	Between Kotzebue and Nome near the Bering Sea

### **Community: Kobuk**

Population:	109
Number of Housing Units:	45
Overcrowded Households:	63%
Road Access:	None
Water and Sewer Service:	Yes
Per Capita Income:	\$9,845
Location:	Kobuk River 128 air miles east of Kotzebue

### **Community: Shungnak**

Population:	256
Number of Housing Units:	64
Overcrowded Households:	61.7%
Road Access:	None
Water and Sewer Service:	Yes
Per Capita Income:	\$10,377
Location:	Kobuk River 150 miles east of Kotzebue

**Economic Profile:** The Northwest Arctic region subsists mainly on fishing, seasonal employment, hunting and trapping. Full time employment opportunities are limited in the local communities related to the school district, the health care system and tribal government. A major employer in the regional economy is the Red Dog Mine. Employees work at this remote mine site for 2 straight weeks followed by one week off. Wages for the Red Dog Mine have significantly raised the average income for the region. Red Dog Mine workers earn approximately \$50,000 per year.

information must be gathered from the communities participating in the overcrowding initiative. The selection of the communities was based on the following considerations:

1. **Diversity:** Since one of the purposes of this goal is to develop experience and insight to the overcrowding problem and the solutions to this problem, a range of diversity in the Alaska Native Villages participating in this performance objective was desired to provide a broader perspective.
2. **Need:** Since the primary purpose of the goal is to address overcrowding, the selected community should have severe overcrowding to maximize the benefit from the anticipated results of this initiative.
3. **Interest:** Participation in this effort is fully voluntary. Additionally, participation requires a long-term commitment and willingness to make a partnership effort to address the overcrowding needs.

Based on the above, the five communities participating in the overcrowding initiative are located in three regions, Bristol Bay, Yukon-Kuskokwim delta and Northwest Arctic. All three regions are located on the western side of Alaska, which has severe overcrowding. This area is remote with no road access. Four of the participating communities have designated their regional housing authority as their Tribally Designated Housing Entity. These housing authorities are stable organizations with capable staffs with extensive housing expertise. The remaining community, Atmautluak, has chosen to be the direct recipient of its NAHASDA allocation for the first time in 2003. In the past Atmautluak has relied on its regional housing authority. Accordingly the community has virtually no direct experience with housing or the administration of HUD grants.

Our work with the communities is grounded on the following principles:

1. **Self-Determination:** The tribal government will determine how this initiative will be developed in their community including the definition of overcrowded conditions and the approach to addressing the problem.
2. **Self-Reliance:** The initiative will be developed in a manner that will maximize the self-reliance of the tribal government and its members through capacity building, economic development and fostering the development of the private market.
3. **Results Orientation:** The purpose of this effort is to reduce overcrowding in the participating community. This will be accomplished by developing an understanding of each community, creating a realistic action plan with the community partnership and facilitating the implementation of the plan.

Based on the above, our first step was to arrange a teleconference with the community leadership to hear about the community issues, concerns, priorities and the approaches to the overcrowded conditions. Although a personal meeting would have been much preferred, the logistics and cost of travel were prohibitive. We did however plan one trip to each of the five communities and planned the trips to be as productive as possible. In some cases, the trip was scheduled in conjunction with the provision of the regional Indian Community Development Block Grant

the overcrowded households in housing developed by NIHA. This housing is professionally designed and constructed with sound foundation systems. Accordingly adding rooms to the existing structure by extending the roof line is feasible and cost efficient. However, even when household income is sufficient to finance such an addition, families are unable take the steps for the expansion due to the lack of housing infrastructure in the village as discussed above. NIHA is working to fill this gap. NIHA is developing a standard design to expand the houses. Current cost estimates are \$30,000 per house. NIHA is also planning to facilitate the construction work, either through a construction contract or by force account. NIHA will also facilitate arrangements for financing. There has already been a preliminary meeting with the Department of Agriculture Rural Development to utilize their reduced interest loan programs to assist with this initiative. Other sources of funding assistance will be sought to help finance the rehabilitation needs of the homes that are being expanded. The approach to overcrowding in Buckland will also include new development. We are planning to have a community meeting to develop ideas to further expand the assisted mortgage program used in Kobuk and Shungnak. For example, the new homebuyers could sell the old Mutual Help homes to NIHA as part of the payment for the new homes. The old homes would then be renovated and occupied under the Low Income Tax Credit Program. The additions for the homes in Kobuk and Shungnak are targeted for 2004. The work in Buckland is targeted for 2005.

**NATIVE VILLAGE OF BUCKLAND**

**PO BOX 67  
Buckland, AK 99727  
(907) 494-2171  
(907) 494-2217 fax**

**RESOLUTION 2011-54**

**A RESOLUTION AUTHORIZING THE SUBMISSION OF A GRANT APPLICATION TO THE INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM FOR THE PURPOSE OF CONSTRUCTING UP TO FIVE (5) SINGLE FAMILY UNITS WITHIN THE COMMUNITY**

**WHEREAS:** The Native Village of Buckland is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

**WHEREAS:** The Buckland IRA Council is the legally constituted and governing body of the Native Village of Buckland and has authorized the Northwest Inupiat Housing Authority (NIHA) to administer its annual Indian Housing Block Grant funds via the 1996 Native American Housing and Self-Determination Act (NAHASDA); and

**WHEREAS:** The Council and NIHA recently determined that the community is need of additional housing units for its residents; and

**WHEREAS:** The Council and NIHA have determined that additional funding is needed to supplement NIHA's funding of the proposed construction of up to five (5) single family units within the community; and

**WHEREAS:** The Council has agreed to authorize NIHA to complete the grant application and to administer the proposed housing development project funded with monies from the FY12 ICDBG program; and

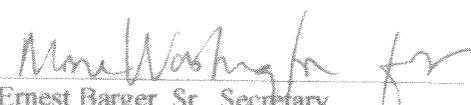
**NOW THEREFORE BE IT RESOLVED:** the Buckland IRA Council hereby authorizes the submission of the 2012 Indian Community Development Block Grant application on behalf of the Native Village of Buckland for the purpose of providing supplemental funding to the Northwest Inupiat Housing Authority plans to construct up to five (5) single family units within the community; and

**BE IT FURTHER RESOLVED:** In the event that the grant application is awarded funds, that the Native Village of Buckland's administration is authorized to execute the necessary agreements in the implementation of the Indian Community Development Block Grant program.

**CERTIFICATION**

The foregoing resolution was enacted by the Buckland IRA Council for the Native Village of Buckland on this 25<sup>th</sup> Day of October, 2011 by a vote of 5 for and 0 against and 0 abstaining at a duly called meeting at which 5 of seven members were present.

  
\_\_\_\_\_  
Floyd H. Ticket, President

ATTEST:   
\_\_\_\_\_  
Ernest Barger, Sr., Secretary

**NATIVE VILLAGE OF BUCKLAND**

**PO BOX 67  
Buckland, AK 99727  
(907) 494-2171**

**RESOLUTION 2011-53**

**A RESOLUTION AUTHORIZING ADMINISTRATION TO ENTER INTO A SUB-RECIPIENT AGREEMENT WITH THE NORTHWEST INUPIAT HOUSING AUTHORITY FOR THE ADMINISTRATION OF THE 2012 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT**

**WHEREAS:** The Native Village of Buckland is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

**WHEREAS:** The Native Village of Buckland, in conjunction with the Northwest Inupiat Housing Authority (NIHA), has plans to submit an application to the Indian Community Development Block Grant program for supplemental funding for the construction of up to five (5) single family units within the community; and

**WHEREAS:** The Native Village of Buckland has determined that NIHA should administer the funds and subsequent project upon notice of award of the grant; and

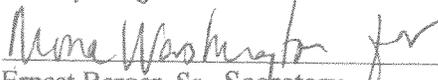
**WHEREAS:** The administration hereby recommends that the Native Village of Buckland enter into a sub-recipient agreement, as outlined in the attachment to this resolution, which is incorporated in and made a part by reference hereof, with NIHA.

**NOW THEREFORE BE IT RESOLVED:** the Buckland IRA Council hereby authorizes the administration to enter into a Sub-recipient Agreement with the Northwest Inupiat Housing Authority for the purpose of administering the proposed project as submitted in the 2012 Indian Community Development Block Grant application.

**CERTIFICATION**

The foregoing resolution was enacted by the Buckland IRA Council for the Native Village of Buckland on this 15<sup>th</sup> Day of October, 2011 by a vote of 5 for and 0 against and 0 abstaining at a duly called meeting at which 5 of seven members were present.

  
\_\_\_\_\_  
Floyd H. Ticker, President

ATTEST:   
\_\_\_\_\_  
Ernest Barger, Sr., Secretary

## SUBRECIPIENT AGREEMENT

This agreement is made between the Native Village of Buckland, hereinafter referred to as "Tribe" and the Northwest Inupiat Housing Authority, hereinafter referred to as "NIHA". This agreement is made on October 25<sup>th</sup>, 2011, in two original counterparts.

### PURPOSE OF AGREEMENT

The purpose of this agreement is for NIHA, in its capacity as a CBDO, as determined by the Alaska Office of Native American Programs office, to serve as the tribal sub-recipient of the FY12 Indian Community Development Block Grant, which funds will supplement NIHA's construction of up to five (5) single family units within the community, hereinafter referred to as "Project".

### PERIOD OF AGREEMENT

This agreement commences on the date written above and shall remain in effect until such time as NIHA filled the terms in the Statement of Work or the agreement is terminated per the Termination Clause.

### STATEMENT OF WORK

Upon the execution of this agreement, NIHA shall immediately prepare a plan approach from which to implement necessary tasks from the beginning to the closeout of the Grant.

1. NIHA shall update and submit to HUD form HUD-4125, Implementation Schedule, upon the Tribes approval of the plan no less than 30 working days from the execution of the agreement. This schedule shall establish the times for the identified tasks to follow.
2. NIHA shall review the preliminary grant budget and submit comments to the Tribe.
3. NIHA shall prepare and submit the appropriate force account work plan to regional ONAP office.
4. NIHA shall directly supervise all construction in accordance with its approved force account work plan.
5. NIHA shall prepare, or cause to prepare, proposals and/or bid documents necessary to procure materials, transportation of materials, labor supervision, construction oversight and construction dependent on needs as determined by NIHA.
6. NIHA shall submit allowable construction reimbursement requests to HUD on behalf of the Tribe on a bi-weekly basis. NIHA shall certify to the Tribe that the applicable procurement standards set forth in 24CFR 85.36 and 84.42 and Indian Preference provisions set forth in 24CFR 1003.510 have been met. NIHA shall certify that handicap access requirements are incorporated in the design and construction documents.
7. Upon determination of completion of the project, an inspection will be scheduled with the Tribe.
8. NIHA shall provide all necessary documentation required of the program audit and closeout of the Grant. The Tribe shall ensure the necessary requirements of the audit are met under this Grant.

### RESPONSIBILITY OF TRIBE

1. The Tribe shall ensure the ICDBG Grant conditions are met to the satisfaction of HUD. The Tribe, as a recipient, retains its duties and responsibilities to HUD in the implementation of the Grant.
2. The Tribe shall designate a local Contact Person whom shall coordinate with NIHA to ensure that timely execution of contracts, payment requests, quarterly reports and other required actions

- are taken to maintain positive forward progress of the project. The Contact Person shall also ensure the local filing system adequately meets the documentation requirements of the Grant.
3. The Tribe shall review and approve for submission the Construction schedule.
  4. The Tribe shall provide a suitable site, including proper site control, for the construction of the proposed single family units.
  5. The Tribe shall submit, or cause to be submitted, Environmental Review requirements of the Grant.
  6. The Tribe shall prepare the site for the construction of the single family units.
  7. The Tribe shall ensure all permits, fees, rights of way, and easement have been obtained for the construction of the Project.
  8. The Tribe shall allow access by NIHA or approved to the properties for the construction or construction observations of the Project and its appurtenances and any other improvements as required for the completion of the Project.
  9. The Tribe shall ensure all procurements meet the applicable sections of the Procurement Standards set forth at 24CFR 85.36 and 84.42 and Indian Preference Requirements set forth at 24 CFR1003.510. The Tribe shall require NIHA to certify that procurements made under the Project meet the Standards set forth in this paragraph.
  10. The Tribe shall review progress reports to ensure tasks meet the approved Implantation Schedule within the time frames specified.
  11. The Tribe shall release NIHA from any further work related to this Grant upon the closeout of this Grant or termination under the termination clause.

#### **TERMINATION OF AGREEMENT**

1. The Tribe may terminate the agreement for convenience in accordance with 24CFR 85.44, or the Tribe may terminate this agreement for cause.
2. If NIHA shall fulfill its obligation in a timely and proper manner or violate any of the covenants or stipulations of this Agreement, the Tribe shall have the right to terminate this Agreement by giving written notice to NIHA specifying the effective date thereof. Upon receipt of such said notice from the Tribe of an event of default by NIHA, NIHA shall have at least thirty days to cure the default under this Agreement and come into compliance. If NIHA fails to comply within thirty days, the Tribe may terminate the agreement by providing written notice specifying an effective date no earlier than ten working days after NIHA receives notice.
3. In such termination, all finished or unfinished documents, including data, studies, surveys, drawings, maps, models, photographs and reports prepared by NIHA under this Agreement, shall at the option of the Tribe become the Tribe's property.
4. Notwithstanding the above, NIHA shall not be relieved of liability to the Tribe for damages sustained by the Tribe by virtue of any breach of the Agreement by NIHA, and the Tribe may withhold any payments to the NIHA for the purpose of setoff until such time as the exact amount of damages due the Tribe from NIHA are determined.

#### **PERSONNEL**

1. NIHA represents that it has or will secure all personnel required in performing the services under this Agreement.
2. All of the services required hereunder shall be performed by NIHA or under NIHA's supervision. All personnel engaged in the work shall be fully qualified and shall be authorized or permitted under applicable law to perform such services.
3. Any work or services subcontracted hereunder shall be specified by written contract or agreement and shall be subject to each provision of this contract.

## **ASSIGNABILITY**

NIHA shall not assign any interest or transfer any interest of this Agreement without prior written consent of the Tribe thereto; provided however that claims for re-imbusement by NIHA to the Tribe may be assigned to a bank, trust company or other federally recognized financial institution without approval. Written notices of any assignment or transfer shall be furnished promptly to the Tribe.

## **REPORTS AND INFORMATION**

NIHA shall furnish forms and periodic reports to the Tribe as it may request as such times pertaining to the work or services undertaken pursuant to this Agreement and any other matter covered by this Agreement.

## **RECORDS AND AUDITS**

NIHA shall maintain accounts and records, including personnel, property and financial records, adequate to identify and account for all costs associated to this Agreement and other such records as may be deemed necessary by the Tribe to assure proper accounting for all project funds, both Federal and any non-Federal shares. These records will be made available for such purposes to the Tribe, U.S. Department of Housing and Urban Development, and will be retained for three (3) years after the expiration of this contract unless permission to destroy them is granted by the Tribe.

## **CONFIDENTIALITY**

All of the reports, information, data, etc., prepared or assembled by NIHA under this Agreement are confidential and NIHA agrees that they shall not be made available to any individual or organization without the prior written approval of the Tribe.

## **COMPLIANCE AND APPLICABLE LAW**

NIHA will comply with all applicable laws, ordinances and codes, and shall hold the Tribe harmless with respect to any damages arising from any tort done in performing any of the work embraced by this contract.

## **INDIAN PREFERENCE**

1. The work to be performed under this Agreement is for a project or program subject to Sections 7(b) of the Indian Self Determination and Education Assistant Act (24 U.S.C. 450c(b)). Section 7(b) requires that to the extent feasible (1) preference and opportunities for training and employment shall be given to Indians and (2) preferences in the award of contracts and subcontracts shall be given to Indian organizations and Indian owned economic enterprises. This project will comply with requirements of Sections 3 of the Housing and Urban Development Act of 1968 and regulations in 24 CFR Part 135 to provide Economic Opportunities for Low and Very Low Income Persons.
2. The parties to this Agreement shall comply with the provisions of said Sections 7(b) and all requirements pursuant thereto.
3. NIHA shall, in connection with this Agreement, to the greatest extent feasible, give preference in the award of any subcontracts to Indian organizations and Indian owned economic enterprises, and preference and opportunities for training and employment to Alaska Indians and Alaska Natives.
4. NIHA shall cause the foregoing provisions to be inserted un every subcontract and shall at the direction of the Tribe take appropriate action pursuant to the subcontract upon a finding by the Tribe that the subcontractor has violated the Section 7(b) clause of the Indian Act.

**REVERSION OF ASSETS**

- 1. NIHA shall reimburse the Tribe of any U.S. Department of Housing and Urban Development funds on hand at the time of expiration of this agreement when it finds excess funds have been collected.
- 2. NIHA shall transfer to the Tribe any receivables attributed to the use of ICDBG funds at the time of expiration of this agreement.
- 3. NIHA shall transfer any and all material and equipment procured for the implementation of this Grant to the Tribe upon the expiration of this agreement.

**PROGRAM INCOME**

This Grant will not generate any program income for NIHA beyond re-imbusement for costs rendered in the execution of the Statement of Work. The Tribe retains ownership of the Property at all times, and the Project shall be under the ownership of the Tribe immediately upon acceptance.

**CONDITIONS FOR RELIGIOUS ORGANIZATIONS**

No portion of any funds in this grant will be used by or for religious organizations.

**INTEREST OF MEMBERS, OFFICERS, OR EMPLOYEES OF THE TRIBE MEMBER OF LOCAL GOVERNING BODY OR OTHER PUBLIC OFFICIALS**

No member, officer, employee of the Tribe, member of the governing body or any public official who exercise any functions or responsibilities with respect to the project shall any direct or indirect interest in the contract or in the proceeds there of during their tenure or for one year thereafter. Nothing in this provision shall be construed as to limit any persons identified herein from benefiting from program activities for which they would be otherwise eligible. NIHA shall take appropriate steps to assure compliance with this paragraph.

**INTEREST OF CERTAIN FEDERAL OFFICIALS**

No member of, or delegate to the Congress of the United States, and no Resident Commissioner, shall be admitted to any share or part of this Agreement or any benefit arising there from.

**INTEREST OF NIHA AND EMPLOYEES**

NIHA covenants that it presently has no interest and shall not acquire any interest, direct or indirect, in the study area or any parcels therein or any other interests which would conflict in any manner or degree with the performances of its services hereunder. NIHA further covenants that in the performance of this Agreement, no person having any such interest shall be employed.

**ENTIRE AGREEMENT: MODIFICATION**

This Agreement sets forth the entire agreement and understanding between the Tribe and NIHA and supersedes any and all prior agreements and understandings between the parties. This contract may not be modified or amended except by a written instrument signed by each of the parties thereto.

Northwest Inupiat Housing Authority

By   
Guy Adams, Executive Director

Native Village of Buckland

By   
Floyd H. Ticket, President

## Rating Factor 1: Capacity of the Applicant

### **Subsection 1: Managerial, Technical, and Administrative Capability**

#### (a) Managerial and Technical Staff

Mr. Charlie Nelson, NIHA Deputy Director, is the **Project Director**, and will be leading a highly qualified in-house team of three from project conception thru completion. Mr. Nelson has ten plus years experience in the subsidized housing field including work as Housing Counselor and Deputy Director of NIHA and the Native Village of Kotzebue. During his time at the IRA (2000-2002), he oversaw the rehabilitation of 20+ homes in Kotzebue. Presently with NIHA, Mr. Nelson drafts the Indian Housing Plan (IHP) and Annual Performance Report (APR), oversees the daily functions of the various departments, and performs environmental reviews for all HUD funded activities. Mr. Nelson recently authored ICDBG grant applications on behalf of the Native Villages of Ambler and Buckland, (FY09), Deering, Kobuk, and Shungnak (FY10), and Noorvik (FY11) all of which were awarded \$600,000 respectively. As Project Director, his primary responsibilities will be to coordinate the project's in-house team with the tribe, its council, families selected to receive assistance, and the regional ONAP office. Mr. Nelson will also oversee the necessary environmental reporting requirements, report to the Executive Director and the NIHA Board of Commissioners on progress, and work with HUD/ONAP regional office on required reporting activities.

As **Project Manager**, Mr. Damon Schaeffer, will work closely with Mr. Nelson to develop the final scope of work, materials list, supervise on-site Project Superintendent, and manage the progress of project schedule. With over 5 years of experience in bush construction including overseeing the Housing Improvement Program (HIP) for Maniilaq Association from 2005-2008, Mr. Schaeffer is very familiar with the challenges of construction in our region. He oversaw the rehabilitation and weatherization projects in Ambler (28 SFU's receiving assistance) and Buckland (44 SFU's receiving assistance), funded with FY10 ICDBG funds, Selawik (22 SFU's receiving assistance), and Kotzebue (11 SFU's receiving assistance). He managed similar projects in Noatak (38 SFU's receiving assistance) and Noorvik (35 SFU's receiving assistance), respectively, in 2008, which were funded with both FY08 ICDBG funds as well as FY08 AHFC Lyman Hoffman Low Income Weatherization grant funds.

Allen Jessup, NIHA **Finance Director**, has been with NIHA for over ten years. Mr. Jessup's leadership in the accounting department has resulted in clean audits for NIHA over the last five (5) years. He has extensive experience in developing line-item budgets, overseeing bi-weekly payroll, completing quarterly employee tax reports, issuing and tracking of purchase orders, and subsequent accounts payables. As Finance Director, his primary duties will be to develop the project budget, provide weekly and monthly financial reports, and assist in completing the grant reporting requirements. Mr. Jessup has successfully overseen the financial reporting responsibilities of multiple state and federal grants over the last 5 years, well in excess of \$20million.

Since NIHA will complete the proposed work via the force account construction method, no consultants, contractors, and or sub-contractors will be hired. ✓

## **Rating Factor 1: Capacity of the Applicant**

### **Subsection 1: Managerial, Technical, and Administrative Capability**

#### **(b) Project Implementation Plan**

- ✓ HUD Form 4125 Implementation Schedule

The Northwest Inupiat Housing Authority, the sub-recipient, has outlined the various activities necessary for a successful completion of the proposed project in the attached Implementation Schedule. The timeline highlights the need for constant oversight to ensure that the project starts, and finishes on schedule and within budget.

To ensure that NIHA follows the Implementation Schedule it has set, the Project Director will hold weekly meetings with project leaders to review the progress. In the event that a particular task(s) is behind schedule, the project team will identify the reason(s) for the delay, make the necessary corrections to remedy the problem(s), and update the Implementation Schedule as required. During this on-going review process, NIHA will be in continuous consultation with the tribe to provide updates and or receive guidance.

## **Rating Factor 1: Capacity of the Applicant**

### **Subsection 1: Managerial, Technical, and Administrative Capability**

#### **(c) Financial Management**

- ✓ Copy of NIHA 2010 audit report

The sub-recipient, NIHA, will be responsible for all financial aspects of the project. NIHA has demonstrated successful management of over \$30million in public funds (IHBG, AHFC, FHLB grants, NAIHC grants, USDA grants) in the last five years and its latest (2010) federal and internal audit (see attachment) attest to this fact. NIHA has adopted finance policies and procedures and internal controls that dictate how they handle all revenues and expenditures that comply with 24 CFR 85 and 24 CFR 1003.

NIHA's financial management software system, American Fundware 7.40, has the capability to track and account for revenues and expenditures on a per-job basis. Line item expenditures such as: travel, labor payroll, administration payroll, fringe benefits, materials, freight, insurance, utilities, equipment rental, etc, are created for effective reporting to granting agencies. All requests for funds are documented by purchase requisitions and accounts payable vouchers and must be signed off both by the Finance Director and the Executive Director. The Finance Director will produce reports comparing the approved budget to the actual expenses on a monthly basis, or as requested, for review by the Project Director and the Executive Director of NIHA.

If budgeted line items need to be amended, the Project Director will create a revised budget and submit it to NIHA's Executive Director for approval.

NIHA will maintain a financial documentation file for the ICDBG award that will include, but not be limited, to the following:

- ✓ Grant award notice and acceptance letter
- ✓ NIHA leveraged contribution
- ✓ Preliminary budget
- ✓ HUD determined wage rate schedule
- ✓ Environmental Review Records
- ✓ Monthly budget reports
- ✓ Travel requests, accounts payable vouchers, purchase requisitions, project payroll reports, and quarterly payroll tax reports.
- ✓ Correspondence with tribe and ONAP
- ✓ ASER & FSER reports

NIHA's accounting team and financial management system will ensure effective fiscal control over the proposed project to complete it within budget, document financial activities, and comply with all applicable federal and state regulations.

**COPY**

**NORTHWEST INUPIAT HOUSING AUTHORITY**

**Letter to the Governing Board**

**Year Ended December 31, 2010**

COPY

Internal Control over Compliance

The management of Northwest Inupiat Housing Authority is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to state programs. In planning and performing our audit, we considered Northwest Inupiat Housing Authority's internal control over compliance with requirements that could have a direct and material effect on a major state program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with the *State of Alaska Audit Guide and Compliance Supplement for State Single Audits*, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Northwest Inupiat Housing Authorities internal control over compliance.

A deficiency in internal over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with a type of compliance requirement of a state program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a state program will not be prevented, or detected and corrected, on a timely basis.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a state program will not be prevented or detected by the entity's internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of Northwest Inupiat Housing Authority's Board of Commissioners and management, others within the entity, Alaska Housing Finance Corporation and other state awarding agencies and is not intended to be and should not be used by anyone other than these specified parties.

May 21, 2010

COPY

- **Unassigned** fund balance is the residual classification for the general fund and includes all amounts not contained in the other classifications. Unassigned amounts are technically available for any purpose. If another governmental fund has fund balance deficit, then it will be reported as a negative amount in the unassigned classification in that fund. Positive unassigned amounts will be reported only in the general fund.

It makes clear, for example, that special revenue funds are created only to report a revenue source (or sources) that is restricted or committed to a specified purpose, and that the revenue source should constitute a substantial portion of the resources reported in the fund.

Under Statement 54, governments will disclose their accounting policies that indicate the order in which restricted, committed, assigned, and unassigned amounts are spent, in circumstances when an expenditure is made for a purpose for which amounts are available in multiple fund balance classifications.

In addition, governments are required to describe the processes through which they commit and assign fund balance amounts. Governments are also required to disclose the purpose for each major special revenue fund – identifying which specific revenues and other resources are authorized to be reported in each.

Governments are required to implement Statement 54 for fiscal years first ending June 30, 2011. Fund balance reclassifications should be applied retroactively by restating fund balance for all prior periods presented in the financial statements.

#### Major Issues Discussed with Management Prior to Retention

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the governmental unit's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

This information is intended solely for the use of the governing board and management of Northwest Inupiat Housing Authority and is not intended to be and should not be used by anyone other than these specified parties.

Sincerely,

COPY

Internal Control Over Compliance

Management of Northwest Inupiat Housing Authority is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered Northwest Inupiat Housing Authority's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Northwest Inupiat Housing Authority's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of management, others within the entity, Northwest Inupiat Housing Authority's Board of Commissioners, the U.S. Department of Housing and Urban Development and other federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

May 21, 2010

NORTHWEST INUPIAT HOUSING AUTHORITY

Schedule of Findings and Questioned Costs, Continued

**Section II - Financial Statement Findings**

No matters were reported.

**Section III - Federal Award Findings and Questioned Costs**

No matters were reported.

**Section IV - Status of Prior Year Findings**

No matters were reported.

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Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management. During the course of the audit we discovered and posted the following entry.

\$61,060, to record revenue and expense related to PERS on-behalf.

Disagreements with Management

For the purposes of this letter, professional standards define a disagreement with management as a financial accounting, reporting or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditors' report. We are pleased to report that no such disagreements arose during the course of the audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated May 21, 2010.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the Organization's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Internal Controls

See the December 31, 2010 financial statements Compliance Section for definitions of significant deficiencies, material weaknesses and any reported findings.

## **Rating Factor 1: Capacity of the Applicant**

### **Subsection 1: Managerial, Technical, and Administrative Capability**

#### **(d) Procurement & Contract Management**

- ✓ Copy of NIHA 2010 audit report (refer to Section RF 1 Subsection 1c)

The designated sub-recipient, NIHA, has procurement and contract management policies and procedures previously adopted by its Board of Commissioners, and are in practice that will facilitate effective procurement and contract control over the proposed project. These policies clearly follow the requirements as set forth in both 24 CFR parts 85 and 1003, in so far as outlining the processes for both procurement of identified goods and services required by establishing thresholds of purchases (i.e. Small Purchases (up to \$5,000), Large Purchases (\$5,000 to \$100,000), and Purchases of \$100,000 and greater), as well as methods of purchases (i.e. Request for Price Quotes, Request for Proposals, and Invitation for Bids). The policies also include procedures for the administering of contract services (both professional and general), that may be entered into on an as needed basis.

NIHA has a position dedicated solely to procurement. This individual has been with NIHA for approximately 5 years and will work directly with the Project Director during the procurement process.

NIHA's preliminary estimates for the construction material needed for the project are over \$100,000. In accordance with its procurement policy, NIHA will seek proposals/bids from qualified vendors on a competitive basis (i.e. Invitation to Bid), which includes 30 day general advertisement of the bid announcement, public bid opening of all submitted bid packets, and review of all bid packets to ensure it meets general bid requirements. NIHA administration will seek its Board of Commissioners authorization to offer a contract to the apparent low bidder via resolution.

Other than the bulk purchase and delivery of construction materials, NIHA anticipates no additional significant procurement required. In the event that other purchases are required, NIHA will follow its Small Purchase procedures as outlined in its Procurement policy. A copy of the procurement policy is available upon request.

Since NIHA proposes to complete the project utilizing the force account method, there is no need to enter into a contract with any firm, other than for acquisition of identified construction materials.

The attached 2010 audit report reflects that no material weaknesses were to be found and or reported. NIHA will be fully administering the grant funds, the expenditures will be reported in its 2012 audit report, as opposed to being included in the Native Village of Buckland's annual audit report.

Since the Native Village of Buckland did not receive more than \$500,000 in federal funds in 2010, it was not required to submit an audit statement.

## **Rating Factor 2: Need/Extent of the Problem**

### **Subsection 1: Essential Community Development Need**

- ✓ AKONAP Report on Over-Crowded Households in NW Arctic Region

In 2003, the Alaska Office of Native American Programs, in response to the US Dept. of HUD's Performance Goal #1, conducted a community survey of three (3) communities, including Buckland, identified as having severely over-crowding issues in the Northwest Arctic region. The reports' findings stated that approximately 57% of Buckland existing households were considered "over-crowded" (see page 5 of the attachment).

The proposed development project will immediately alleviate five (5) families residing in an over-crowded situation and provide a safe, decent, and healthy environment in which low income families can live. A double benefit will be the households that the five (5) yet to be determined families were sharing dwellings with, will most likely no longer be considered over-crowded.

### **Subsection 2: Project benefits neediest segment of population – Section C: Housing Rehabilitation**

- ✓ % of LMI Persons by Tribal Area (per 2000 US Census)
- ✓ FY10 HUD ICDBG Needs Table

In accordance with 24 CFR 1003.2, the proposed project will directly benefit 5 low-income families, as per NIHA's existing Mutual Help program policies, currently living in sub-standard conditions and provide them with a suitable living environment by providing them with a new home. It will also have a double benefit by allowing the existing households from which the selected families were residing to be less over-crowded.

2000 U.S. Census data shows that 66% of Buckland's residents are low to moderate income.

Per the 2011 Indian Housing Block Grant (IHBG) Needs formula, the Native Village of Buckland receives \$1,111 per Low Income Household (see Attachment A).



U.S. Department of Housing and Urban Development

Alaska Office of Native American Programs

VECO Alaska Building

949 East 36th Avenue, Suite 401

Anchorage, AK 99508-4399

<http://www.hud.gov/local/anc/anconap.html>

MEMORANDUM FOR: Roger J. Boyd, Deputy Assistant Secretary, PN

FROM: Wayne Mundy, Administrator, OCPI

COPY

SUBJECT: Performance Measure  
Reduction of Overcrowded Households

This is the Alaska Field Office report on our experience and progress to reduce the number of overcrowded households in accordance with Performance Goal #1. The staff participating in this effort includes Cheryl Benson, Julie Kander, Randy Thorne and David Vought. This report includes a general overview of our approach and experience in developing this goal followed by a detailed report on each of the three Alaska regions and five tribes that are participating in this effort. Although we have made substantial progress in implementing this performance objective, we continue to expand our understanding of overcrowding and how to address the problem. As our understanding grows, we will continue to enhance the overcrowding data and action plan. In addition to the intended results of the efforts towards this goal, we have found that the pursuit of this goal has created a fertile basis to enhance our understanding of Alaska housing needs, to develop new and more dynamic partnerships and to strengthen our working relationships with the tribes. In addition to the reduction of overcrowded households, we also anticipate this effort will enhance the capacity of this office and the quality of our working partnerships.

### OVERVIEW



Overcrowding is a prevailing problem in rural Alaska. The 2000 census reports 50 Alaska Native Villages experience overcrowded conditions in excess of 40 % of the community households or approximately 2,665 households. The census data provides insight on the extent of overcrowding on the basis of occupants per room and in relation to other communities. However, the census data is insufficient to provide insight on the extent of overcrowding in community households, the condition of the homes and the availability of resources to address housing needs. This

**Housing Profile:**

The Northwest Inupiat Housing Authority (NIHA) estimates that 90% of the housing in the region was developed by the Housing Authority. Until recently, nearly all of the units developed by NIHA in the villages were single-family homes occupied under the Mutual Help program. Most of these homes are now conveyed. This year NIHA completed development of 10 single-family homes in Kobuk and Shungnak with the assistance of the Title VI Loan Guarantee Program. These homes were sold to homebuyers through an assisted mortgage program. Although this program was considered to be experimental by NIHA to determine the level of support for mortgage based homeownership, all the homes were sold and additional qualified applicants are on a waiting list. Based on our overcrowding survey, nearly all the homes identified as overcrowded were developed by NIHA. These homes were inspected during our community visit. Generally the structures were found to be in viable condition although generally in need of rehabilitation. Buckland was the direct recipient of their NAHASDA allocation until 2003. NIHA is now designated as the Tribally Designated Housing Entity (TDHE) for the tribe. NIHA is planning to develop new units in the community within the next two years.

**Overcrowded Baseline:**

The following is the current baseline for overcrowded households based on tribal consultation during the site visit and complete household surveys. NIHA is launching a comprehensive housing needs assessment in the region that will further refine the current data:

Community	Households	Overcrowded Per Census	Overcrowded Per Survey
Buckland	84	48	69
Kobuk	26	17	8
Shungnak	56	35	11

**Overcrowded Strategy:**

The overall strategy to address the overcrowded housing conditions is to expand the size the houses. This is the most co effective approach to directly address overcrowding. As a matter of policy, all new NIHA housing is four bedrooms. Based on its experience, NIHA has concluded that building small, 2 bedroom homes, creates future overcrowding problems. Accordingly NIHA now builds new housing with future housing needs in mind. Most of

application training. Unfortunately, the delay of the Notice of Funding Availability also delayed the community visits. Other factors also effected the scheduling of the visits the progress of the initiative. The short summer is the seasonal opportunity for most subsistence and commercial activities in Alaska. Accordingly, it is necessary accommodate the community schedule when arranging our visits. In one case, a tragic suicide in a community required our visit to be rescheduled.

In order to establish an actionable plan, our strategy is to identify the specific households that are overcrowded and gather data about these households related to demographics, income and housing conditions. In order to solicit this information, a survey form was developed and offered to the participating communities. The communities were encouraged to use the survey forms or other formats, as preferred, to gather relevant information. In the meantime, we gathered census data and other information about the community in an effort to be as informed as possible about the community prior to our visit. Additionally, a funding matrix was developed as a reference tool. The funding matrix identifies funding sources from state and federal agencies that offer financing for housing.

The visit to each of the communities was essential. The visit provided an opportunity to meet with the tribal government, housing officials and overcrowded residents. The visits were also intended to provide an opportunity to inspect the overcrowded housing units and gather information about the overcrowded residents. Additionally, the visits were an opportunity to discuss the causes of the overcrowded housing conditions with the community leaders and residents.

We found that that definition of overcrowded is fluid. It appears that the best indicator of adequate space is the number of square feet per person. The data used by the census "occupants per room" has little meaning because it does not reflect any value in terms of space. Partitions within the house can be added or removed without affecting the usable living space in the house. However the addition or removal of partitions will affect the census data related to overcrowded conditions. Many families expressed much more concern about the condition of the house rather than the size of the house. In some cases houses were abandoned due to the condition of the house. The displaced families move into a relative's home and create an overcrowded condition. Young families have nearly no access to affordable housing. Most of the overcrowded families include adult children, frequently with young children of their own. Elements of the village population are also transient with regular movement between the village, regional center and urban areas within the state. This movement is frequently associated with employment opportunities or health needs. We did not attempt to impose a definition of overcrowding on the community. We meet with community leaders to discuss community standards for overcrowding and found that the communities preferred to let each household determine its need for space. Families identified as overcrowded were then surveyed. The survey data is being used as the basis for developing the action plan and to involve partners to address overcrowding.

The following are the primary causes of overcrowding:

1. **Lack of a Housing Market:** In the remote villages, no aspect of a housing market exists that is necessary to support residents in meeting their housing needs including:
  - ✓ No homes for sale
  - ✓ No housing units for rent
  - ✓ No source to purchase building materials
  - ✓ No contractors to perform work
  - ✓ No financial institutions to provide financing
  
2. **Insufficient Personal Income:** Employment opportunities are very limited in the remote communities. Traditionally the family economy is based on subsistence rather than cash, which does not enable families to establish credit for private financing. In many communities, personal income is declining due to the collapse of the fishing and logging industries. State funding programs are also declining due to diminishing oil production, the primary source of income to the State of Alaska. Another factor is the high cost of living in Alaska as reflected in the Total Development Costs established by HUD that exceeds \$350,000 for a four-bedroom house in some areas of Alaska.
  
3. **Insufficient Affordable Housing Stock:** With few exceptions, subsidized rental housing in rural Alaska villages does not exist. Due to maintenance and management concerns, single-family Mutual Help homes have been used almost exclusively as the affordable housing in the Alaska Native Villages. However, this situation is beginning to change under NAHASDA. Assisted mortgage programs have been successfully developed in several villages. Additionally, tribal program administrators can now manage rental developments locally. However the amount of funds available through NAHASDA simply does not provide enough funding to finance an adequate number of to meet the need for housing. Construction costs in the villages are extremely high. Transportation costs alone can account for more than 30% of the cost of the home. In the villages it is generally necessary to develop the housing infrastructure along with the housing units. Infrastructure development costs can exceed 25% of the housing cost. Construction costs vary significantly between communities based on location and other factors specific to the development.

## NORTHWEST ARCTIC REGION



### **Community: Buckland**

Population:	406
Number of Housing Units:	89
Overcrowded Households:	57.1%
Road Access:	None
Water and Sewer Service:	None
Per Capita Income:	\$9,624
Location:	Between Kotzebue and Nome near the Bering Sea

### **Community: Kobuk**

Population:	109
Number of Housing Units:	45
Overcrowded Households:	63%
Road Access:	None
Water and Sewer Service:	Yes
Per Capita Income:	\$9,845
Location:	Kobuk River 128 air miles east of Kotzebue

### **Community: Shungnak**

Population:	256
Number of Housing Units:	64
Overcrowded Households:	61.7%
Road Access:	None
Water and Sewer Service:	Yes
Per Capita Income:	\$10,377
Location:	Kobuk River 150 miles east of Kotzebue

**Economic Profile:** The Northwest Arctic region subsists mainly on fishing, seasonal employment, hunting and trapping. Full time employment opportunities are limited in the local communities related to the school district, the health care system and tribal government. A major employer in the regional economy is the Red Dog Mine. Employees work at this remote mine site for 2 straight weeks followed by one week off. Wages for the Red Dog Mine have significantly raised the average income for the region. Red Dog Mine workers earn approximately \$50,000 per year.

information must be gathered from the communities participating in the overcrowding initiative. The selection of the communities was based on the following considerations:

1. **Diversity:** Since one of the purposes of this goal is to develop experience and insight to the overcrowding problem and the solutions to this problem, a range of diversity in the Alaska Native Villages participating in this performance objective was desired to provide a broader perspective.
2. **Need:** Since the primary purpose of the goal is to address overcrowding, the selected community should have severe overcrowding to maximize the benefit from the anticipated results of this initiative.
3. **Interest:** Participation in this effort is fully voluntary. Additionally, participation requires a long-term commitment and willingness to make a partnership effort to address the overcrowding needs.

Based on the above, the five communities participating in the overcrowding initiative are located in three regions, Bristol Bay, Yukon-Kuskokwim delta and Northwest Arctic. All three regions are located on the western side of Alaska, which has severe overcrowding. This area is remote with no road access. Four of the participating communities have designated their regional housing authority as their Tribally Designated Housing Entity. These housing authorities are stable organizations with capable staffs with extensive housing expertise. The remaining community, Atmautluak, has chosen to be the direct recipient of its NAHASDA allocation for the first time in 2003. In the past Atmautluak has relied on its regional housing authority. Accordingly the community has virtually no direct experience with housing or the administration of HUD grants.

Our work with the communities is grounded on the following principles:

1. **Self-Determination:** The tribal government will determine how this initiative will be developed in their community including the definition of overcrowded conditions and the approach to addressing the problem.
2. **Self-Reliance:** The initiative will be developed in a manner that will maximize the self-reliance of the tribal government and its members through capacity building, economic development and fostering the development of the private market.
3. **Results Orientation:** The purpose of this effort is to reduce overcrowding in the participating community. This will be accomplished by developing an understanding of each community, creating a realistic action plan with the community partnership and facilitating the implementation of the plan.

Based on the above, our first step was to arrange a teleconference with the community leadership to hear about the community issues, concerns, priorities and the approaches to the overcrowded conditions. Although a personal meeting would have been much preferred, the logistics and cost of travel were prohibitive. We did however plan one trip to each of the five communities and planned the trips to be as productive as possible. In some cases, the trip was scheduled in conjunction with the provision of the regional Indian Community Development Block Grant

the overcrowded households live in housing developed by NIHA. This housing is professionally designed and constructed with sound foundation systems. Accordingly adding rooms to the existing structure by extending the roof line is feasible and cost efficient. However, even when household income is sufficient to finance such an addition, families are unable take the steps for the expansion due to the lack of housing infrastructure in the village as discussed above. NIHA is working to fill this gap. NIHA is developing a standard design to expand the houses. Current cost estimates are \$30,000 per house. NIHA is also planning to facilitate the construction work, either through a construction contract or by force account. NIHA will also facilitate arrangements for financing. There has already been a preliminary meeting with the Department of Agriculture Rural Development to utilize their reduced interest loan programs to assist with this initiative. Other sources of funding assistance will be sought to help finance the rehabilitation needs of the homes that are being expanded. The approach to overcrowding in Buckland will also include new development. We are planning to have a community meeting to develop ideas to further expand the assisted mortgage program used in Kobuk and Shungnak. For example, the new homebuyers could sell the old Mutual Help homes to NIHA as part of the payment for the new homes. The old homes would then be renovated and occupied under the Low Income Tax Credit Program. The additions for the homes in Kobuk and Shungnak are targeted for 2004. The work in Buckland is targeted for 2005.

## (Based on 2000 Census Data)

Area ONAP	Tribe	Tribal Area	Number of Persons	Number of Persons with Low or Moderate Incomes	Percentage of Persons with Low or Moderate Incomes
Alaska	Afognak	No Census Data Available	0	0	0%
Alaska	Akhiok	Akhiok ANVSA, AK	91	83	91%
Alaska	Akiachak	Akiachak ANVSA, AK	590	448	76%
Alaska	Akiak	Akiak ANVSA, AK	280	205	73%
Alaska	Akutan	Akutan ANVSA, AK	653	45	7%
Alaska	Alakanuk	Alakanuk ANVSA, AK	662	573	87%
Alaska	Alatna	Alatna ANVSA, AK	22	17	77%
Alaska	Aleknagik	Aleknagik ANVSA, AK	233	173	74%
Alaska	Algaacig	Algaacig ANVSA, AK	371	212	57%
Alaska	Allakaket	Allakaket ANVSA, AK	127	96	76%
Alaska	Ambler	Ambler ANVSA, AK	245	148	60%
Alaska	Anaktuvuk Pass	Anaktuvuk Pass ANVSA, AK	316	142	45%
Alaska	Andreafsky	Andreafsky ANVSA, AK	166	100	60%
Alaska	Angoon	Angoon ANVSA, AK	573	426	74%
Alaska	Aniak	Aniak ANVSA, AK	584	305	52%
Alaska	Annette Island (Metlakakla)	Annette Island Reserve, AK	1,447	657	45%
Alaska	Anvik	Anvik ANVSA, AK	120	95	79%
Alaska	Arctic Village	Arctic Village ANVSA, AK	123	98	80%
Alaska	Atka	Atka ANVSA, AK	93	48	52%
Alaska	Atmautluak	Atmautluak ANVSA, AK	319	191	60%
Alaska	Atkasuk	Atkasuk ANVSA, AK	203	99	49%
Alaska	Barrow	Barrow ANVSA, AK	4,581	1,655	36%
Alaska	Beaver	Beaver ANVSA, AK	137	125	91%
Alaska	Belkofski	Belkofski ANVSA, AK	0	0	0%
Alaska	Bill Moore's	Bill Moore's ANVSA, AK	0	0	0%
Alaska	Birch Creek	Birch Creek ANVSA, AK	27	27	100%
Alaska	Brevig Mission	Brevig Mission ANVSA, AK	278	250	90%
Alaska	Buckland	Buckland ANVSA, AK	411	270	66%
Alaska	Cantwell	Cantwell ANVSA, AK	244	126	52%
Alaska	Chalkyitsik	Chalkyitsik ANVSA, AK	57	54	95%
Alaska	Chefornak	Chefornak ANVSA, AK	355	238	67%
Alaska	Chenega	Chenega ANVSA, AK	77	35	45%
Alaska	Chevak	Chevak ANVSA, AK	780	609	78%
Alaska	Chickaloon	Chickaloon ANVSA, AK	16,818	6,371	38%
Alaska	Chignik	Chignik ANVSA, AK	89	47	53%
Alaska	Chignik Lagoon	Chignik Lagoon ANVSA, AK	111	25	23%
Alaska	Chignik Lake	Chignik Lake ANVSA, AK	132	74	56%
Alaska	Chilkat	Chilkat ANVSA, AK	131	107	82%
Alaska	Chilkoot	Chilkoot ANVSA, AK	347	191	55%
Alaska	Chistochina	Chistochina ANVSA, AK	89	77	87%
Alaska	Chitina	Chitina ANVSA, AK	119	102	86%
Alaska	Chuathbaluk	Chuathbaluk ANVSA, AK	135	102	76%
Alaska	Chulloonawick	Chulloonawick ANVSA, AK	0	0	0%
Alaska	Circle	Circle ANVSA, AK	69	58	84%
Alaska	Clark's Point	Clark's Point ANVSA, AK	84	56	67%

**FY 2012 ICDBG NOFA  
Factor 2 Needs Table**

N/A = No Need

Office	Tribe	Need \$ / Income + Conditions (With Minimum Funding)
ALASKA	Afognak	\$913
ALASKA	Agdaagux Tribe of King Cove	\$1,102
ALASKA	AHTNA, Incorporated	\$1,022
ALASKA	Akhiok	\$986
ALASKA	Akiachak	\$1,080
ALASKA	Akiak	\$1,149
ALASKA	Akutan	\$981
ALASKA	Alakanuk	\$1,082
ALASKA	Alatna	\$1,155
ALASKA	Aleknagik	\$1,001
ALASKA	Aleut Corporation	\$2,163
ALASKA	Algaaciq (St. Mary's)	\$1,444
ALASKA	Allakaket	\$1,087
ALASKA	Ambler	\$1,079
ALASKA	Anaktuvuk Pass	\$1,212
ALASKA	Andreafski	\$995
ALASKA	Angoon	\$814
ALASKA	Aniak	\$1,102
ALASKA	Annette Island (Metlakakla)	\$845
ALASKA	Anvik	\$1,057
ALASKA	Arctic Slope Regional Corp	N/A
ALASKA	Arctic Village	\$1,238
ALASKA	Atka	\$963
ALASKA	Atmauthluak	\$1,211
ALASKA	Atkasuk (Atkasook)	\$1,630
ALASKA	Barrow	\$1,358
ALASKA	Beaver	\$1,026
ALASKA	Belkofski	N/A
ALASKA	Bering Straits Regional Corp	N/A
ALASKA	Bill Moore's Slough	N/A
ALASKA	Birch Creek	\$1,471
ALASKA	Brevig Mission	\$1,272
ALASKA	Bristol Bay Native Corp	N/A
ALASKA	Buckland	\$1,114
ALASKA	Calista Corporation	N/A
ALASKA	Cantwell	\$981
ALASKA	Chalkyitsik	\$1,186

### Rating Factor 3: Soundness of Approach

#### **Subsection 1: Description of and Rationale for Proposed Project**

The proposed development project will consist of the construction of five (5) four bedroom single family units scattered within the community of Buckland. The project will help reduce the identified over-crowded situation within the community. The units will be occupied by qualified low-income Alaska Natives, per NIHA's Mutual Help program policies.

NIHA will complete the work via the force account method, utilizing its in-house administrative expertise to fully manage the project from conception thru completion.

NIHA will utilize, to the highest possible effort, the local work force to provide an economic boost to the local economy. The estimated wages of the project is approximately \$920,000, of which, 90% (or \$828,000) is expected to remain within the community.

By completing the proposed work by force account, NIHA anticipates significant cost savings that can be incurred by not having to pay the average profit margin of general contractors. These cost savings are estimated to be approximately \$315,000, or roughly 15% of the overall \$2.1 million project budget.

NIHA will utilize a floor plan and design that it has used in its last five (5) development projects, which consists a total of 24 single family units, and which attains a 5Star rating per the State of Alaska Building Energy Efficiency Standards (BEES). The design and use of high efficiency construction materials has provided its occupants huge savings in heating costs, as well as general electrical consumption. In surveying the occupants of these homes several times during the subsequent two years after move-in, NIHA has found that the homebuyers were using approximately 20% less on their heating fuel relative to their previous homes occupied.

#### SHORT TERM OBJECTIVES

- 1) Increase in 5 families immediately affected by living in a safe, decent, healthy household.
- 2) Increase in local employment opportunities by up to 25 temporary jobs with approximately \$828,000 in wages remaining in the community.
- 3) Decrease in 5 families currently residing in an over-crowded situation

#### LONG TERM OBJECTIVES

- 1) **Decreased utility expenses to both individual homeowners & community.** By reducing the amount of heating fuel requirements by the five (5) new homeowners, the local tribal utility provider will incur savings also by not having to incur larger annual debt service for annual bulk fuel orders, hence able to pass on these cost savings to their customers.
- 2) **Stronger local government & economy.** The combined savings in heating individual homes and added wages paid to local residents can be spent on other local commercial establishments and other tribal economic needs and or creation of other economic opportunities within the community.
- 3) **Decrease number of 5 households within community considered to be "over-crowded".**

**Rating Factor 3: Soundness of Approach**

**Subsection 2: Budget and Cost Estimates**

- ✓ Cost Summary (HUD form 4123)
- ✓ NIHA Letter of Commitment of Funds

The quality, thoroughness, and reasonableness of the proposed project budget (listed below) is supported by NIHA's extensive (30+ years) experience in performing this type of work in rural Alaska. In the past five years alone, NIHA has prepared cost estimates for the construction of 24 new homes, 5 handicap ramps, twenty-three 2bedroom additions, and the rehabilitation of 300+ homes and apartments. These projects have incorporated IHBG & ICDBG funds, USDA, FHLB, and AHFC grants and were completed on time and within budget.

NIHA's proposed project is to construct five (5) four bedroom single family units scattered within the community of Buckland.

NIHA has committed \$1,500,000 in FY12 Indian Housing Block Grant (IHBG) funds (see attachment) to supplement the requested \$600,000 grant. ✓

With this information in mind, and in conjunction with the finance department, Mr. Charlie Nelson has provided the following cost estimates:

	<u>FY11 IHBG</u>	<u>FY11 ICDBG</u>
1) Wages & Benefits	\$525,000	\$395,000
2) Material (delivered to site)	\$475,000	\$205,000
3) Misc. freight (in-region)	\$ 52,500	
4) Travel (in-region)	\$ 20,000	
5) Piling foundation	\$350,000	
6) Rental (housing)	\$ 7,500	
7) Equipment rental	\$ 15,000	
8) Gravel (delivered to site)	\$ 25,000	
9) Utilities	\$ 5,000	
10) Planning & Admin	\$ 25,000	
TOTAL	\$1,500,000	\$600,000

Mr. Nelson has 14+ years of construction administration in the NWAB region, and has successfully overseen the completion of similar sized projects, including the recent construction of 24 single family units throughout the region. ✓

# NORTHWEST INUPIAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • Fax (907) 442-3486

December 12, 2011

Office of Native American Programs  
Grants Management Division  
3000 C Street, Suite 401  
Anchorage, AK 99503

Subj: Letter of Commitment of FY12 IHBG Funds for ICDBG Application

Dear Sir or Madam:

Please be advised that on behalf of NIHA, I hereby certify that we will commit \$1,500,000 of FY12 Indian Housing Block Grant (IHBG) funds upon Notice of Award of the Native Village of Buckland's ICDBG grant application for the proposed housing project. The funds are already identified in our FY12 Indian Housing Plan (IHP) 1-Year Housing Plan (see attachment), under "New Development". The IHBG funds will supplement the anticipated ICDBG funds for construction of five (5) single family units.

If you have any questions, please contact me at (907) 442-3450.

Sincerely,



Guy Adams  
Executive Director

cc: file

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year
95			

1.9. APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

1.19 Program Name and Unique Identifier:  
2012-02: Development

1.20 Program Description (This should be the description of the planned program.):  
~~2012-02: Construct five (5) single family units in Buckland, AK~~

1.21 Eligible Activity Number (Select one activity from the Eligible Activity list.):  
2012-02: 11

1.22 Intended Outcome Number (Select one outcome from the Outcome list.): 3 & 9  
2012-02: 3

1.23 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

1.24 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a separate program within this section.):  
2012-02: Qualified low-income Alaska Native families.

1.25 Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):  
2012-02: Construct five (5) single family units in Buckland, AK, beginning in June, 2012, with anticipated completion by February, 2013.

1.26 APR: Describe the accomplishments for the APR in the 12-month program year.

(3) Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand. **Actual expenditures in the APR section are for the 12-month program year.**) (Insert as many rows as needed)

PROGRAM NAME (Use to program names in Section 4 above)	Eligible Activity Number	IHP					APR		
		(L) Prior year IHBG (only) funds to be expended in 12-month program year	(M) Current year IHBG (only) funds to be expended in 12-month program year	(N) Total all other funds to be expended in 12-month program year	(O) Total funds to be expended in 12-month program year (L + M + N)	(P) Total IHBG (only) funds expended in 12-month program year	(Q) Total all other funds expended in 12-month program year	(R) Total funds expended in 12-month program year (P+Q)	
Modernization/Maintenance	1	425,000.00	495,000.00	0.00	920,000.00				
Reconstruction	11	100,000.00	775,000.00	0.00	1,850,000.00				
Community Improvement Program	16	200,000.00	250,000.00	600,000.00*	1,050,000.00				
Housing Management Services	2	15,000.00	15,000.00	0.00	30,000.00				
Program Administration		260,000.00	390,000.00	0.00	650,000.00				
Cost repayment - describe in 4 below									
<b>TOTAL</b>		1,000,000.00	2,900,000.00	600,000.00*	4,500,000.00				

Notes: \* FY11 Noorvik Native Community ICDBG funds awarded

- Total of Column L cannot exceed the IHBG funds from Column A, Row 1 from the Sources Table on the previous page.
- Total of Column M cannot exceed the IHBG funds from Column B, Row 1 from the Sources Table on the previous page.
- Total of Column N should equal the total from Columns A and B, rows 2-10 from the Sources Table on the previous page.
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- Total of Column Q cannot exceed total of Column H of the Sources Table on the previous page excluding the IHBG funds on row 1.
- Total of Column R should equal total of Column I of the Sources Table on the previous page.

### **Rating Factor 3: Soundness of Approach**

#### **Subsection 3: HUD Policy Priorities – Job Creation/Employment and or Sustainability.**

##### A) Job Creation/Employment

- The Buckland 5Home Project will provide up to twenty five (25) temporary employment opportunities to qualified local residents during the project. As reflected in the 2000 Census (refer to Section RF 2 (2)), over 66% of the community's population is deemed low to moderate income, and as such, NIHA will make every possible effort to provide employment opportunities toward this section of the community. Based upon recent projects of similar scope in surrounding villages, and by utilizing the force account construction method, NIHA will have the ability to provide employment opportunities in excess of 90% to local residents, many of which are determined to be low-income.

##### B) Sustainability

- NIHA will follow the guidelines as set forth in the nationally recognized Energy Star for New Homes program, to ensure that the proposed new construction meets minimum standards.

##### C) Universal design features

- NIHA utilizes an existing design concept that promotes easy accessibility and visitability for both selected homeowners, who have identified disabilities, and the general public.

#### **Subsection 4: Commitment to Sustain Activities**

##### (b) New Construction

- ✓ Maintenance Agreement

Individual households will be responsible for all future maintenance of the homes. Upon completion of the five (5) new single family units, NIHA will perform an orientation with each family explaining their role in future maintenance needs of the home, per its Mutual Help & Occupancy program policies.

Homeowners, who are selected to occupy the new units, will be required to sign and submit the Buckland 5Home Project Homeowner Maintenance Agreement (see attachment).

# Buckland 5Home Project Homeowner Maintenance Agreement

I do hereby assume the sole responsibility for the maintenance of my home, per NIHA's Mutual Help & Occupancy program policies, as a result of my participation in the Buckland 5Home Project.

I do hereby further pledge to maintain my residence and make repairs in a timely fashion and voluntarily guarantee full compliance to the provisions of this agreement.

**Property Owner(s):**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**Rating Factor 4: Leveraging Resources**

**Subsection 1: Allowable Non-ICDBG Resources**

- ✓ NIHA Letter of Commitment of Funds
- ✓ NIHA FY 12 IHP 1 year Goals and Objectives

NIHA has committed \$1,500,000 of FY12 Indian Housing Block Grant (IHBG) funds (see attachment) to supplement the requested \$600,000 grant.

**Subsection 2: Calculation of Total Project Costs and Percent Leveraged**

Total Project Costs = Grant Request + Allowable Non-ICDBG Resources

<b>\$2,100,000</b>	<b>=</b>	<b>\$600,000</b>	<b>+</b>	<b>\$1,500,000</b>
--------------------	----------	------------------	----------	--------------------

Percent Leveraged =  $\frac{\text{Allowable Non-ICDBG Resources}}{\text{Total Project Costs}}$

<b>71%</b>	<b>=</b>	<b><u>\$1,500,000</u></b>
		<b>\$2,100,000</b>

# NORTHWEST INUPIAT HOUSING AUTHORITY

P.O. Box 331 • KOTZEBUE, ALASKA 99752 • (907) 442-3450 • FAX (907) 442-3486

COPY

December 12, 2011

Office of Native American Programs  
Grants Management Division  
3000 C Street, Suite 401  
Anchorage, AK 99503

Subj: Letter of Commitment of FY12 IHBG Funds for ICDBG Application

Dear Sir or Madam:

Please be advised that on behalf of NIHA, I hereby certify that we will commit \$1,500,000 of FY12 Indian Housing Block Grant (IHBG) funds upon Notice of Award of the Native Village of Buckland's ICDBG grant application for the proposed housing project. The funds are already identified in our FY12 Indian Housing Plan (IHP) 1-Year Housing Plan (see attachment), under "New Development". The IHBG funds will supplement the anticipated ICDBG funds for construction of five (5) single family units.

If you have any questions, please contact me at (907) 442-3450.

Sincerely,



Guy Adams  
Executive Director

cc: file

44

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year
95			

1.9 APR: If the program is behind schedule explain why. (24 CFR § 1000.512(b)(2)).

**1.19 Program Name and Unique Identifier:**  
**2012-02: Development**

**1.20 Program Description** (*This should be the description of the planned program.*):  
**2012-02: Construct five (5) single family units in Buckland, AK.**

**1.21 Eligible Activity Number** (*Select one activity from the Eligible Activity list.*):  
**2012-02: 11**

**1.22 Intended Outcome Number** (*Select one outcome from the Outcome list.*): **3 & 9**  
**2012-02: 3**

**1.23 Actual Outcome Number** (*In the APR identify the actual outcome from the Outcome list.*):

**1.24 Who Will Be Assisted** (*Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a separate program within this section.*):  
**2012-02: Qualified low-income Alaska Native families.**

**1.25 Types and Level of Assistance** (*Describe the types and the level of assistance that will be provided to each household, as applicable.*):  
**2012-02: Construct five (5) single family units in Buckland, AK, beginning in June, 2012, with anticipated completion by February, 2013.**

**1.26 APR:** *Describe the accomplishments for the APR in the 12-month program year*

45

**(3) Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand. Actual expenditures in the APR section are for the 12-month program year.) (Insert as many rows as needed)**

PROGRAM NAME (Use to program names in Section 4 above)	Eligible Activity Number	IHP				APR		(R) Total funds expended in 12-month program year (P+Q)
		(L) Prior year IHBG (only) funds to be expended in 12-month program year	(M) Current year IHBG (only) funds to be expended in 12-month program year	(N) Total all other funds to be expended in 12-month program year	(O) Total funds to be expended in 12-month program year (L + M + N)	(P) Total IHBG (only) funds expended in 12-month program year	(Q) Total all other funds expended in 12-month program year	
Maintenance/Maintenance	1	425,000.00	495,000.00	0.00	920,000.00			
<del>Community</del>	11	100,000.00	<del>1,750,000.00</del>	0.00	1,850,000.00			
Community Improvement Program	16	200,000.00	250,000.00	600,000.00*	1,050,000.00			
Housing Management Services	2	15,000.00	15,000.00	0.00	30,000.00			
Program Administration								
Loan repayment - describe in 4 below.		260,000.00	390,000.00	0.00	650,000.00			
<b>TOTAL</b>		1,000,000.00	2,900,000.00	600,000.00*	4,500,000.00			

**Notes: \* FY11 Noorvik Native Community ICDBG funds awarded**

- Total of Column L cannot exceed the IHBG funds from Column A, Row 1 from the Sources Table on the previous page.
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- Total of Column R should equal total of Column I of the Sources Table on the previous page.

## Rating Factor 5: Comprehensiveness and Coordination

### Subsection 1: Coordination with other organizations

✓ Letters of Support

This application is a direct result of a coordinated effort between regional and community tribal organizations, and federal agencies focused on improving living and health conditions for the residents of Buckland by increasing the number of affordable housing opportunities available to low-income families who currently reside in overcrowded homes within the community.

The Buckland IRA Council, in conjunction with NIHA, has determined that the community of Buckland has a high percentage of homes that are considered overcrowded per HUD's definition, and is taking the lead to secure funding to help address the issue in a cooperative manner.

Other local and regional entities have voiced their support (see attachments) of the mutual goal of the grant application.

**The City of Buckland** will benefit from the project as they share common membership with the Native Village of Buckland, and whose community will profit by the creation of temporary employment opportunities and safer and healthier living conditions for its residents.

**Maniilaq Association**, the regional non-profit agency whose primary goal is to oversee the social & health welfare and tribal affairs of the NANA region, also supports the project. The benefits of having improved living conditions for individual families should decrease the number of non-emergency visits to its local clinic.

**The Northwest Arctic Borough** is the lead agency working on improving the overall health of our regions communities on a holistic level. The proposed project is a small, yet important component in achieving their goals for the community of Buckland.

**NANA Regional Corporation**, the regional for-profit organization and recognized "regional tribe", is also supportive, as they share mutual tribal members with the Native Village of Buckland, and what benefits the local tribal members, has a positive ripple effect on their regional organization.

**Rating Factor 5: Comprehensiveness and Coordination**

**Subsection 2: Measurable Outputs and Outcomes**

✓ HUD form 96010 - Logic Model

**Outputs:**

- 1) Decrease number of families living in an over-crowded situation by 10 (5 that will occupy the new units, and 5 that will no longer share residence with the selected new homebuyers)
- 2) Increase local employment opportunities by 25 jobs available, with anticipated \$828,000 in wages remaining in community

**Outcomes:**

- 1) Increase number of families residing in safe, healthy, and energy efficient home by 5
- 2) Increased local economy by creation of 25 temporary employment opportunities, and approximately \$828,000 in wages paid to local residents



# Implementation Schedule

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

OMB Approval No. 7-0191  
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.  
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)  
Native Village of Buckland

2. Application/Grant Number (to be assigned by HUD) 3.  Original (First submission to HUD)  Pre-Award Submission  Amendment (submitted after grant approval)  
Date (mm/dd/yyyy) 10/30/2011

4. Name of Project (as shown on form HUD-4123, Item 4)  
Native Village of Buckland 5Home Project

5. Effective Date (mm/dd/yyyy) 05/01/2012 Expected Completion Date (mm/dd/yyyy) 03/01/2013 Expected Closeout Date (mm/dd/yyyy) 06/30/2013

6. Environmental Review Status  
 Exempt (As described in 24 CFR 58.34)  Under Review (Review underway; findings not yet made)  Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)  
 EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37)  Not Started (Review not yet begun)  Certification (Environmental review completed; certification and request for release of funds being prepared for submission.)  Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy) 12/31/2011

8. Task List  
(List tasks such as environmental assessment, acquisition, etc.) Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.

Task List	CY 12				CY 13				Date (mm/dd/yyyy) (if exceeds 8th Q. tr	
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.		
Project administration	X	X	X	X	X	X	X	X	X	
ERR process	X	X	X	X	X	X	X	X	X	
Application process	X	X	X	X	X	X	X	X	X	
Material acquisition, job advertisement	X	X	X	X	X	X	X	X	X	
Mobilize local crew, delivery of material	X	X	X	X	X	X	X	X	X	
Begin construction of 5 SFU's	X	X	X	X	X	X	X	X	X	
Selection of new homebuyers	X	X	X	X	X	X	X	X	X	
Counseling of new homebuyers	X	X	X	X	X	X	X	X	X	
Inspections, construction complete	X	X	X	X	X	X	X	X	X	
Final inspection, punch lists, certification	X	X	X	X	X	X	X	X	X	
Move-in of families	X	X	X	X	X	X	X	X	X	
FSER/close-out reports	X	X	X	X	X	X	X	X	X	
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$ 300,000.00	\$ 300,000.00	\$ 300,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ Total 600,000.00
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$ 0.00	\$ 300,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ 600,000.00	\$ Total 600,000.00



**NATIVE VILLAGE OF BUCKLAND**

**PO BOX 67  
Buckland, AK 99727  
(907) 494-2171 phone**

**RESOLUTION 2011-55**

**A RESOLUTION CERTIFYING THAT A PUBLIC MEETING WAS HELD TO DISCUSS THE GOALS & OBJECTIVES OF THE 2012 INDIAN COMMUNITY DEVELOPMENT GRANT APPLICATION**

**WHEREAS:** The Native Village of Buckland is a federally recognized Indian Tribe organized pursuant to the Indian Reorganization Act of June 18, 1934, (US Section 476 et. Seq.) as amended; and

**WHEREAS:** The Buckland IRA Council is the legally constituted and governing body of the Native Village of Buckland and has authorized the Northwest Inupiat Housing Authority (NIHA) to administer its annual Indian Housing Block Grant funds since the inception of the 1996 Native American Housing and Self-Determination Act (NAHASDA); and

**WHEREAS:** The Council and NIHA recently determined that the community is need of additional housing units for its residents; and

**WHEREAS:** The Council and NIHA have determined that additional funding is needed to supplement NIHA's funding, with IHBG funds, of the proposed construction of up to five (5) single family units within the community; and

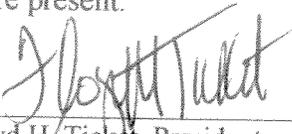
**WHEREAS:** The Council and NIHA have plans to submit a grant application to the 2012 Indian Community Development Grant program for funds to supplement NIHA's proposed development project; and

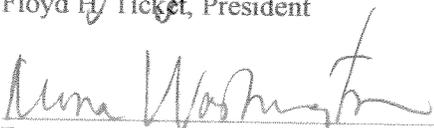
**WHEREAS:** The Council has held a community meeting to discuss the proposed development project with its tribal members, outlying the goals and objectives of the grant application.

**NOW THEREFORE BE IT RESOLVED:** the Buckland IRA Council hereby certifies that a community meeting was held to discuss the goals and objectives of its 2012 Indian Community Development Block Grant application with its tribal membership.

**CERTIFICATION**

The foregoing resolution was enacted by the Buckland IRA Council for the Native Village of Buckland on this 15<sup>th</sup> Day of October, 2011 by a vote of 5 for and 0 against and 0 abstaining at a duly called meeting at which 5 of seven members were present.

  
\_\_\_\_\_  
Floyd H. Ticket, President

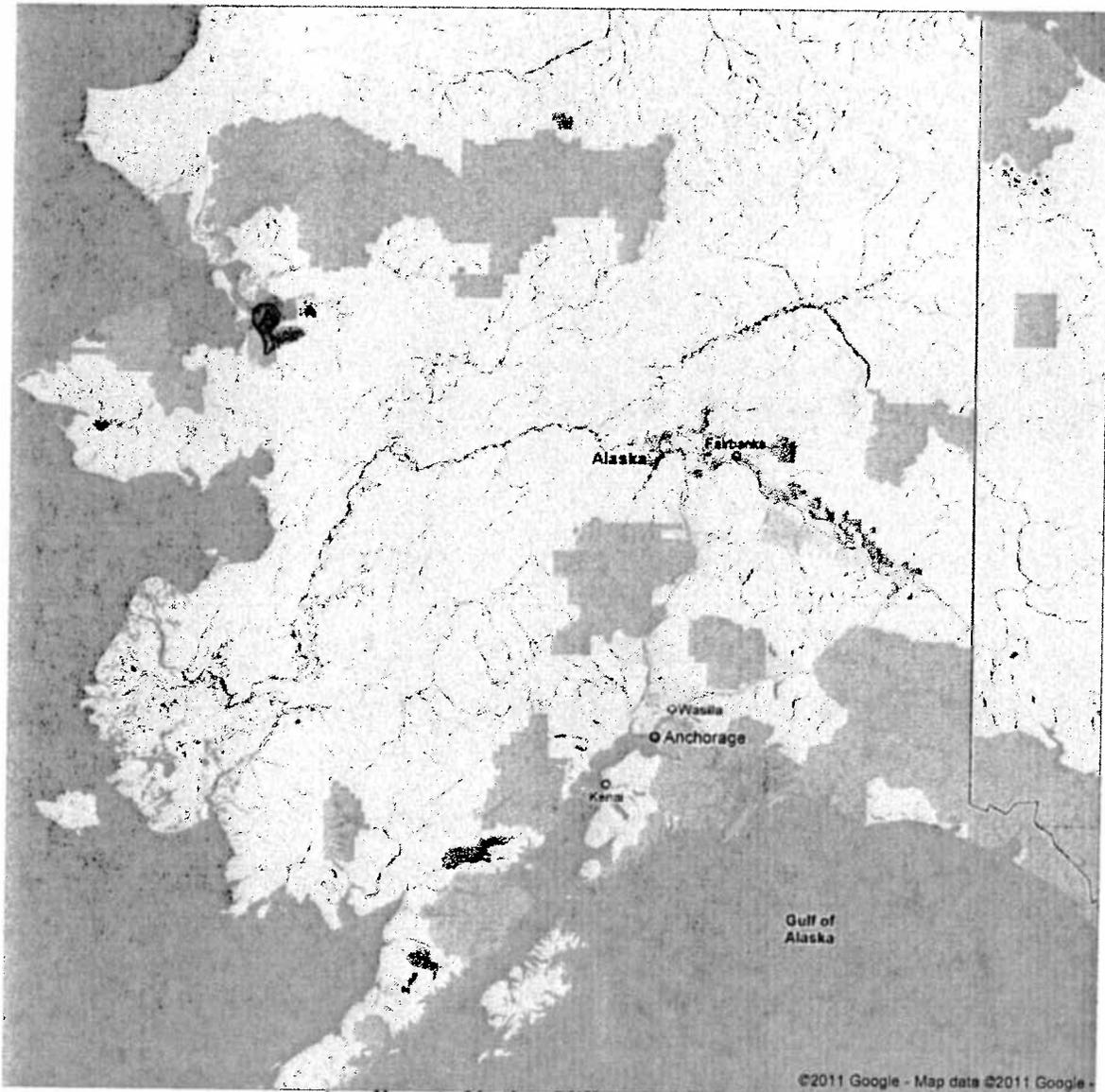
ATTEST:   
\_\_\_\_\_  
Ernest Barger, Sr., Secretary

Google

Address Buckland, AK

Get Google Maps on your phone

Text the word "GMAPS" to 466453



**Applicant: Native Village of Buckland**  
**Project: Construction of five (5) single family units within community**

**Documentation that Area Served is a Rural Area, as defined in the NOFA:**

The proposed project will take place in Buckland, AK. The village is approximately 550 air miles from Anchorage, AK (the states largest city) and is not located on a road system. The village of Buckland has a population of approximately 406, according to the US Census Bureau, 2000 Census.

# Alaska Community Database Community Information Summaries (CIS)

State of Alaska > Commerce > DCRA Home Page > Community Database Online > CIS > Results



## Buckland

(BUCK-lund)

For Photos of Buckland click here

For a Map of Buckland click here

<b>Current Population:</b>	416 (2010 U.S. Census Population)
<b>Incorporation Type:</b>	2nd Class City
<b>Borough Located In:</b>	Northwest Arctic Borough
<b>Taxes:</b>	Sales: 6%, Property: None, Special: None
<b>National Flood Insurance Program Participant:</b>	Yes
<b>Coastal Management District:</b>	Northwest Arctic Borough

### Location and Climate

Buckland is located on the west bank of the Buckland River, about 75 miles southeast of Kotzebue. The community lies at approximately 65.979720° North Latitude and -161.123060° West Longitude. (Sec. 26, T007N, R012W, Kateel River Meridian.) Buckland is located in the Cape Nome Recording District. The area encompasses 1.2 sq. miles of land and 0.2 sq. miles of water.

Topographic map of Buckland area

Buckland is located in the transitional climate zone, which is characterized by long, cold winters and cool summers. Temperatures range from -60 to the 85 °F. Annual precipitation averages 9 inches, and annual snowfall averages 40 inches. Crosswinds can restrict flying during the winter.

TopoZone.com

### History, Culture and Demographics

The residents have moved from one site to another along the river at least five times in recent memory, to places known as Elephant Point, Old Buckland, and New Site. The presence of many fossil finds at Elephant Point indicate prehistoric occupation of the area. The Inupiat Eskimos depend on reindeer, beluga whale, and seal for survival. The city government was incorporated in 1966.

A federally-recognized tribe is located in the community -- the Native Village of Buckland. Buckland is an Inupiat Eskimo village, and subsistence activities are an important focus of the economy. The sale and importation of alcohol is banned in the village.

According to Census 2010, there were 101 housing units in the community and 98 were occupied. Its population was 95.4 percent American Indian or Alaska Native; 2.6 percent white; 1.9 percent of the local residents had multi-racial backgrounds.

### Facilities, Utilities, Schools and Health Care

Water is pumped from Buckland River, treated in the washeteria building, and stored in a 100,000-gallon tank. Some residents have water delivered to home tanks, but most haul their own water. The city pumps flush/haul waste tanks or hauls honeybuckets to the sewage lagoon. A flush/haul system has been problematic on the south side of town, and it sometimes freezes and fails during the winter. Only 8 homes and the school have functioning plumbing; 74 homes are not served. Individuals dispose of refuse in dumpsters, which are hauled to the landfill. Electricity is provided by City of Buckland. There is one school located in the community, attended by 164 students. Local hospitals or health clinics include Tigautchiaq Amainiq Health Clinic (Buckland). Buckland Clinic is a Primary Health Care facility with coastal and air access.

### Economy

Residents depend on a subsistence lifestyle for most food sources. Employment is primarily with the school, city, health clinic, and stores. Some mining also occurs. In 2010, one resident held a commercial fishing permit. The community is interested in developing a Native food products and crafts manufacturing facility to produce reindeer sausage, berry products, labrador tea, and ivory and wood carving.

The 2005-2009 American Community Survey (ACS) estimated 112<sup>1</sup> residents as employed. The public sector employed 56.3%<sup>1</sup> of all workers. The local unemployment rate was 37.4%<sup>1</sup>. The percentage of workers not in labor force was 33.0%<sup>1</sup>. The ACS surveys established that average median household income (in 2009 inflation-adjusted dollars) was \$44,688 (MOE +/- \$7,484)<sup>1</sup>. The per capita income (in 2009 inflation-adjusted dollars) was \$10,478 (MOE +/- \$1,933)<sup>1</sup>. About 19.3%<sup>1</sup> of all residents had incomes below the poverty level.

<sup>1</sup> All ACS statistics are published with their respective margin of error (MOE). Some of the statistics here are calculated from the original ACS data. The MOE was unable to be carried through the calculations.

For additional ACS information please click [here](#).

For current Local Labor Market Information please click [here](#)

### Transportation

Buckland's major means of transportation are plane, small boat, barge, and snowmachine; there are no roads outside of the village. Buckland has a state-owned 3,200' long by 75' wide gravel airstrip, which serves a number of scheduled and chartered flights. Crowley Marine barges in fuel, and various lighterage companies deliver cargo and supplies each summer.

### Organizations with Local Offices

**City** - City of Buckland  
P.O. Box 49  
Buckland, AK 99727  
Phone 907-494-2121  
Fax 907-494-2138  
E-mail [city\\_of\\_buckland@yahoo.com](mailto:city_of_buckland@yahoo.com)

**Electric Utility** - City of Buckland  
P.O. Box 49  
Buckland, AK 99727  
Phone 907-494-2138  
Fax 907-494-2138  
E-mail [city\\_of\\_buckland@yahoo.com](mailto:city_of_buckland@yahoo.com)

**Village Council** - Native Village of Buckland  
P.O. Box 67  
Buckland, AK 99727  
Phone 907-494-2171  
Fax 907-494-2217  
E-mail [erweber@maniilaq.org](mailto:erweber@maniilaq.org)  
Web <http://www.maniilaq.org>

### Regional Organizations

**Regional Native Corporation - NANA Regional Corporation**  
P.O. Box 49  
Kotzebue, AK 99752  
Phone 907-442-3301  
Fax 907-442-2866  
E-mail [info@nana.com](mailto:info@nana.com)  
Web <http://www.nana.com>

Services Webmaster



# Community Database Online

State of Alaska &gt; Commerce &gt; DCRA &gt; Community Database Online &gt; Community info &gt; Details



## Buckland

For Photos of Buckland click here.

For Maps of Buckland click here

### 2010 Population and Housing Characteristics

The following Population and Housing data is from the **2010 U.S. Census**.

Additional detail is available from the Alaska Department of Labor and Workforce Development, Census and Demographic Profiles.

The **FIPS** code for **Buckland** is 09600.**Buckland** is located in the **Northwest Arctic Borough**.

---

**Population in 2010:** **416**


---

**Population by Race:**

White:	11	2.6%
American Indian and Alaska Native Alone:	397	95.4%
Black or African American:	0	0.0%
Asian:	0	0.0%
Pacific Islander:	0	0.0%
Other:	0	0.0%
Two or More Races:	8	1.9%

---

**Race alone or in combination with one or more other races**

White alone or combo:	19	
Black or African American alone or combo:	0	
American Indian and Alaska Native alone or combo:	405	97.4%
Asian alone or combo:	0	
Pacific Islander alone or combo:	0	
Other alone or combo:	0	

---

**Ethnicities:****Asian**

Asian Indian	0
--------------	---

Fillipino:	0
Chinese:	0
Japanese:	0
Korean:	0
Vietnamese:	0
Other Asians:	0

**Native Hawaiian and Other Pacific Islander**

Native Hawaiian:	0
Guamanian or Chamorro:	0
Samoan:	0
Other Pacific Islander alone:	0
Other Pacific Islander alone or combo:	0

**Hispanic or Latino by Origin:**

Hispanic Origin (Any Race):	0	0.0%
Not Hispanic (Any Race):	416	100.0%
Mexican:	0	
Puerto Rican:	0	
Cuban:	0	
Other Hispanic:	0	

**Population by Gender:**

Male:	233
Female:	183

**Population by Age:**

Age 4 and under:	42
Age 5 - 9:	45
Age 10 - 14:	52
Age 15 - 19:	71
Age 20 - 24:	39
Age 25 - 29:	27
Age 30 - 34:	25
Age 35 - 39:	24
Age 40 - 44:	25
Age 45 - 49:	17
Age 50 - 54:	16
Age 55 - 59:	12
Age 60 - 64:	4
Age 65 - 69:	4
Age 70 - 74:	6
Age 75 - 79:	3
Age 80 - 84:	1
Age 85 and over:	3
Median Age:	19.9
Pop. Age 16 and over:	265
Pop. Age 18 and over:	236
Pop. Age 21 and over:	200
Pop. Age 62 and over:	19

57

**Census Population History:**

1880:	0
1890:	0
1900:	0
1910:	0
1920:	52
1930:	104
1940:	115
1950:	108
1960:	87
1970:	104
1980:	177
1990:	318
2000:	406
2010:	416
Comments:	

**Housing Characteristics:**

<b>Total Housing Units:</b>	<b>101</b>
Occupied Housing (Households):	98
Vacant Housing:	3
Vacant Due to Seasonal Use:	1
Owner-Occupied Housing:	54
Renter-Occupied Housing:	44
<b>Total Occupied Housing Units:</b>	<b>98</b>
Family Households:	74
Non-Family Households:	24
Average Household Size:	4.24
Pop. Living in Households:	416
Pop. Living in Group Quarters:	0

Webmaster

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***City of Buckland  
PO Box 49  
Buckland, AK 99727  
(907) 494-2121  
(907) 494-2138 fax***

December 12, 2011

Grants Management Division  
Office of Native American Programs  
3000 C Street, Suite 401  
Anchorage, AK 99503

To Whom It May Concern:

On behalf of the City of Buckland, I fully support the Native Village of Buckland's proposed project to provide much needed new homes within our community.

Our community will greatly benefit by having our residents occupying homes that are safe, healthy, and affordable. Also, the added benefit of employment opportunities will enhance the lives of many of our residents.

Your consideration of their application is greatly appreciated.

Sincerely,



Tim Gavin  
Mayor, City of Buckland

cc: Native Village of Buckland  
NIHA

# Maniilaq Association

P.O. Box 256  
Kotzebue, Alaska 99752  
(907) 442-3311

December 12, 2011

Grants Management Division  
Office of Native American Programs  
3000 C Street, Suite 401  
Anchorage, AK 99503

To Whom It May Concern:

On behalf of Maniilaq Association, I fully support the Native Village of Buckland's proposed project to provide much needed new housing units within their community.

The project will help alleviate the social concerns that are brought on by living in an over-crowded housing situation, as well as improve environmental concerns within the homes.

Your consideration of their application is greatly appreciated.

Sincerely,

 for Ian Erlich

Ian Erlich, President/CEO  
Maniilaq Association

cc: NIHA

## Member Villages

Ivissappaat, Nunatchiaq, Imatchiaq, Katyaak, Kivaliniq, Laugviik, Qikiqtaruk, Nautaaq, Nuurvik, Akuligaq, Isinnaq, Tikigaq  
Ambler, Buckland, Deering, Kiana, Kivalina, Kobuk, Kotzebue, Noatak, Noorvik, Selawik, Shungnak, Pt. Hope

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# NORTHWEST ARCTIC BOROUGH

Ambler Buckland Candle Deering Kiana Kivalina Kobuk  
Kotzebue Noatak Noorvik Selawik Shungnak

November 30, 2011

Grants Management Division  
Office of Native American Programs  
3000 C Street, Suite 401  
Anchorage, AK 99503

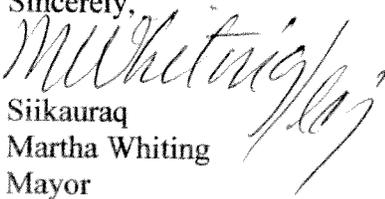
To Whom It May Concern:

The Northwest Arctic Borough fully supports the Native Village of Buckland's proposed project to provide much needed new housing units within their community.

The project will improve the overall health of the community by improving the living conditions of the individual families who qualify to receive the much needed new housing. Any project also will provide an economic boost in jobs in their local economy.

Your consideration of their application is highly recommended and appreciated.

Sincerely,

  
Siikauraq  
Martha Whiting  
Mayor

cc: NIHA

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November 30, 2011

Grants Management Division  
Office of Native American Programs  
3000 C Street, Suite 401  
Anchorage, AK 99503

**RE: Native Village of Buckland's Proposed Project  
Construction of Five Homes**

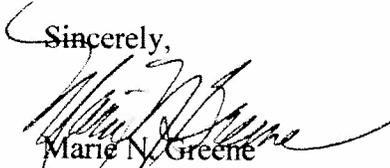
To Whom It May Concern:

On behalf of NANA Regional Corporation, I fully support the Native Village of Buckland's proposed project to provide much needed new housing units to their tribal members and as well as provide an economic boost to the local economy.

The project will provide a great benefit to our shared tribal members on a community and regional level.

Your consideration of their application is greatly appreciated. Thank you!

Sincerely,

  
Marie N. Greene  
President/CEO

cc: Northwest Inupiaq Housing Authority  
File

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**SURVEY ON ENSURING  
EQUAL OPPORTUNITY  
FOR APPLICANTS**

**U.S. DEPARTMENT OF HOUSING  
AND URBAN DEVELOPMENT**

OMB No. 1890-0014

(Exp. 2/28/2009)

**Purpose:** The Federal government is committed to ensuring that all qualified applicants, small or large, non-religious or faith-based, have an equal opportunity to compete for Federal funding. In order for us to better understand the population of applicants for Federal funds, we are asking nonprofit private organizations (not including private universities) to fill out this survey.

Upon receipt, the survey will be separated from the application. Information provided on the survey will not be considered in any way in making funding decisions and will not be included in the Federal grants database. While your help in this data collection process is greatly appreciated, completion of this survey is voluntary.

**Instructions for Submitting the Survey:** If you are applying using a hard copy application, please place the completed survey in an envelope labeled "Applicant Survey." Seal the envelope and include it along with your application package. If you are applying electronically, please submit this survey along with your application.

**Applicant's (Organization) Name:** Native Village of Buckland

**Applicant's DUNS Number:** [REDACTED]

**Grant Name:** ICDBG

**CFDA Number:** FR-5600-N-02

1. Does the applicant have 501(c)(3) status?  
 Yes                       No
2. How many full-time equivalent employees does the applicant have? *(Check only one box.)*  
 3 or Fewer                       15-50  
 4-5                                       51-100  
 6-14                                       over 100
3. What is the size of the applicant's annual budget?  
*(Check only one box.)*  
 Less Than \$150,000  
 \$150,000 - \$299,999  
 \$300,000 - \$499,999  
 \$500,000 - \$999,999  
 \$1,000,000 - \$4,999,999  
 \$5,000,000 or more
4. Is the applicant a faith-based/religious organization?  
 Yes                                       No
5. Is the applicant a non-religious community-based organization?  
 Yes                                       No
6. Is the applicant an intermediary that will manage the grant on behalf of other organizations?  
 Yes                                       No
7. Has the applicant ever received a government grant or contract (Federal, State, or local)?  
 Yes                                       No
8. Is the applicant a local affiliate of a national organization?  
 Yes                                       No

SF 424-SUPP (4/2004)

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