

King Cove Housing Rehabilitation Project

Rating Factor 1: Capacity of the Applicant

Sub Factor 1: Managerial, Technical, and Administrative Capability

(a): Managerial and Technical Staff (8 points)

Working in partnership with the Agdaagux Tribal Council under a Memorandum of Agreement (MOA), Aleutian Housing Authority (AHA), the Tribally Designated Housing Entity, will assume primary responsibility for implementation and administration of the King Cove Housing Rehabilitation Project. Upon a successful grant award, AHA will be the sub recipient under the Agreement that will provide housing rehabilitation for the dilapidated meter boxes of up to 60 homes that will receive assistance under this Project (Please see MOA attachment).

As the entity responsible for the implementation and administration of this grant and since 1979, AHA has successfully constructed 306 single-family homes, 29 senior rental units, 36 low-income rental units, and 17 professional rental units throughout ten communities of the Aleutian and Pribilof Islands Region. AHA is currently working on **recent** and **successful** completion within budget and timeline of **relevant** rehabilitation projects throughout the region which consists of 28 single-family homes, 15 rental units, and 37 units receiving weatherization. AHA is also about complete with the new development of a Tribal office building and plans construction of 6 more professional rentals in 2012. AHA continues to manage 141 single-family housing units and 74 rentals throughout the Region.

Working in coordination with the Agdaagux Tribe, AHA project staff involved in the King Cove Housing Rehabilitation Project will include the Executive Director, Finance Director, and Development Director. Force account crews and sub-contractors will be utilized where needed and overseen by the Development Director.

The AHA Executive Director, Dan Duame, will provide general administrative oversight to all aspects of the project. Mr. Duame (also an attorney) has extensive knowledge and experience within the Aleutian and Pribilof Islands region having served as General Counsel and key administrator with Aleutian Pribilof Islands Association (regional Native non-profit, providing an extensive range of health, social and human services) for over 9 years prior to moving to AHA in January 2004. In addition to his law degree, Mr. Duame has received a Master Degree in Business Administration. He also serves as Board President for the Alaska Association of Housing Authorities and on the Board for Cold Climate. Mr. Duame has construction background and has successfully implemented an aggressive schedule of CAS modernization and related projects since coming to AHA. **Relevant** to this type project, **recently** in 2010, under Mr. Duame's administration utilizing force account AHA has **successfully** completed 9 rehabilitation projects to current assisted stock units, 37 weatherization rehabilitations, 6 modification rehabilitations, and 5 Home Repair Loan projects.

The AHA Development Director, Ed Paulus, will provide Project Management to all construction aspects of the Project, with General Contractor State Residential Endorsement Certification, he has 22 years of construction experience and has been with AHA for 11 years working with and managing force account crews, conducting housing rehabilitation/construction in the capacity

of a carpenter, field foreman and resident manager before accepting his current position. He has extensive regional knowledge having lived and worked in the region most of his life. Additionally, Mr. Paulus has worked with private contractors in a carpenter and cabinet making capacity gaining invaluable knowledge of private sector processes. He has taken many construction and construction related certified training classes to include OSHA Construction Safety and Health; Hazardous Paint Handler; Building Performance Institute, and Hilti Powdered Actuated Tools. His most *recent* accomplishments *relevant* to this type activity, where he has provided Project Management for *successful* completion of rehabilitation projects in the region in the last 2 years including 14 home modification rehabilitations and facility remodels. He also provides oversight to all construction and rehabilitation projects on-going in the region as the Director of Construction. Mr. Paulus will provide management and direction as the project manager to any contractors and the field crew within King Cove to schedule and track timelines for adherence and administer final inspections for assurance of quality workmanship.

The AHA Finance Director, Mrs. Donna Stout will ensure compliance with all applicable HUD and OMB regulations of the Project, and has 38 years of hands on accounting and financial management monitoring and oversight skills. Mrs. Stout has been with AHA for 12 years and is responsible for maintaining and managing the financial system and the administration involved with the grant and project activities. Mrs. Stout has immense financial experience and knowledge of what is required by HUD and information resources AHA needs to have available. She is responsible for financial monitoring and compliance of all funding sources AHA has received since 1999 and is compliant with reporting processes each year. She has 12 years of *recent* and *relevant* experience related to the *successful* and accurate accounting for force account construction and contractors. Mrs. Stout will provide financial oversight to ensure the Project is within budget and costs are appropriately allocated through payroll and accounts payable. She will also provide financial reports for the Project whenever requested to track and report progress.

The Agdaagux Tribal Council will assist AHA, the designated sub recipient, in the administration and implementation of this grant, if successful, and as described in the Memorandum of Agreement (Please see MOA attachment). The Agreement specifies what activities AHA and the Agdaagux Tribal Council are responsible for. Since the implementation of NAHASDA, the Agdaagux Tribe has worked with AHA annually as their Tribally Designated Housing Entity in the administration and implementation of their tribal needs funds received under NAHASDA in the Indian Housing Block Grant for *relevant, recent* and *successful* elder rehabilitation in the years from 2005 through 2009 where 17 local low-income elders received home rehabilitation assistance. Additionally, *recently* in 2011 the Tribe administered implementation of a purchase, *relevant* rehabilitation, and *successful* transfer of 2 single-family units to low-income families in King Cove. The Tribe continues to support housing activities through its office with job posting, home applications, answering questions, selection processing, and any other activities that may be needed. The Tribe also works closely with other funding sources such as the Bureau of Indian Affairs, Environmental Protection Agency and Indian Health Services.

Together this Project Team through coordination and team work has achieved *recent, relevant* and *successful* completion of rehabilitation work within applicable budgets for the funds available, and timelines needed to ensure low-income families gained assistance in making their homes energy efficient, or to remain in safe, decent and habitable occupancy.

(b): Project Implementation Plan (8 points)

The Agdaagux Tribe has readily identified 36 immediate low-income families where this Housing Rehabilitation is necessary to replace the electric meter box due to age of the home and extreme weather conditions causing deterioration of the exterior casing, creating potential safety concerns. As the entity responsible for implementation of the Project, AHA has performed home inspections on managed home stock and private homes that have received recent weatherization within the community to develop the Income By Household Certification attachment. The private homes on this list will be income recertified as required and the Mutual Help homebuyers will not have an outstanding balance before assistance is provided.

Through a partnership with the City of King Cove to provide installation of the new electric meters, a significant *savings* will be realized through labor costs of approximately 90 hours (please see City of King Cove Commitment Letter). The employees and staff of the City are all local persons. The City has worked with the meter vender Marsh Creek to receive the appropriate training and office systems (please see the Marsh Creek Price Quote for meter costs) therefore the only applicable costs to this grant proposal are the meter costs, minimal labor for shipping and handling, and direct/indirect grant management. Administration for recipient application and selection process will be provided by AHA.

Please see the Implementation Schedule HUD 4125 attachment for a detailed description of the Project tasks.

The AHA Development Director, Mr. Paulus will be responsible to ensure all tasks and timelines are met and will monitor progress with regular field communication through the phone and weekly progress reports. He will consult the Agdaagux Tribal Council and the AHA Executive Director on any variance issues with regards to projected timelines or costs. In the event of unforeseen delays, such as availability of City crew, adjustments will be implemented as necessary and appropriate to ensure the success of the project and the Implementation Schedule will immediately be revised if required.

(c): Financial Management (7 points)

The grant will be implemented and administered by AHA which has demonstrated successful management of over \$33 million in funds (IHBG, AHFC, FHLB, Title VI, ROSS, ICDBG) in the last five years. The Agdaagux Tribal Council, by resolution has adopted the AHA Financial Management System and Administration Policy for the implementation of this project which meet 24 CFR Part 85 and 24 CFR Part 1003. AHA utilizes Intuit American FundWare® software for proper recordation of all transactions that provides cost detail on all projects down to the individual unit and product category level providing general management, oversight and coordination (as described in 1003.206(a)). This system will enable AHA to keep a detailed accounting of all expenditures for this rehabilitation project including Salaries/benefit costs, Travel costs, and Other allowable costs for goods and services such as: materials, freight, labor, insurance, supplies, and utilities in compliance with OMB Circular A-87 to ensure budget performance, and effective reporting to granting agencies. All requests for funds, including payroll are documented by payroll timecards or purchase requisitions and signed off by the Project Manager and the Finance Director. The AHA Finance Director will also utilize this system to give complete, accurate and timely reports for use in budget comparison, control, and documentation (as

described in 85.20(b)) and in the quarterly reporting on form SF-425 (as described in 85.41(b)) or whenever a performance update is needed by the Project Team. Additionally, AHA will maintain and retain financial documentation for the ICDBG Project for at least 3 years past the date of close-out as required and submit an audit for these funds as the sub recipient.

The Agdaagux Tribal Council does not meet the State or Federal threshold for a federal Single Act audit. The current AHA 2010 Single Audit Act financial statements had no findings and has been delivered to the Federal Audit Clearinghouse.

(d): Procurement and Contract Management (7 points)

As the entity responsible for implementation and administration of the Project, AHA has a Procurement Policy in place, which will be applied to the procurement of all contract labor and materials secured for this Project. The Agdaagux Tribal Council, by resolution has adopted this Policy for the implementation of this Project. This Policy meets the requirements of 24 CFR parts 85, 1003, and 1000, as required by HUD and outlines the process for both procurement of indentified goods and services required by established thresholds of the purchases (small purchase \$0 - \$100,000; Sealed Bids/Invitation for Bids (IFB), when applicable over \$100,000; Competitive Proposals/Request for Proposals (RFP), when applicable over \$100,000; and Non Competitive (Sole Source) Proposals), as well as the methods used for purchase (request for price quotes, request for proposals, and invitation for bids) that controls the method of procurement (as designated in 24 CFR part 85.36(d).

AHA Procurement Policy is controlled by the provisions of 24 CFR 85.36, Procurement and are in compliance with applicable federal standards and regulations along with any applicable state or local laws. In the event of any conflict in interpretation, HUD regulations prevail and apply to all contracts for the procurement of supplies, services, and construction. The Agdaagux Tribe has adopted a Code of Conduct policy (please see Code of Conduct Resolution and Policies attachments). Specific procurement standards covered in the AHA policies are (as designated by 24 CFR 85.36(b)):

- Ethics in Contracting covering Code of Conduct and Conflicts of Interest governing the performance of employees engaged in the award and administration of contracts.
- Steps Prior to Procurement review process to avoid purchase of unnecessary or duplicative items with consideration for consolidating or breaking out procurements for a more economical purchase.
- Intergovernmental Agreements for procurement or for the use of common goods and services that is feasible and reduces project costs.
- Before the awarding of any contract, ensure that the bidder has the sufficient technical, administrative, and financial capability to perform work of the size and type involved, as well as evidence of contractor integrity and compliance with public policy, record of past performance and will reject any bid or offer not satisfying this responsibility.
- Detailed records are maintained including rationale for the method of procurement, selection of contract types, contractor selection or rejection, and the basis for price.
- AHA is solely responsible for the settlement of contractual and administrative issues arising from procurements.

- Use of time and material type contracts only after determination that no other contract is suitable, and if the contract includes a ceiling price, that the contractor exceeds this at its own risk.
- Procedures are included to handle and resolve disputes relating to procurements and shall disclose information regarding the protest to the awarding agency.

All procurement transactions, as outlined in the AHA policies are conducted in a manner providing full and open competition consistent with 24 CFR part 85.36(c) and that affirmative steps are taken to ensure that minority firms, women's businesses, and small businesses are used when possible consistent with the standards of 24 CFR part 85.36(e). Policies also ensure that a cost analysis is performed consistent with 24 CFR 85.36(f) and that technical specification on proposed procurements and pre-award review procurement documents available to awarding agencies when requested. While there are not Bonding requirements in this Project, if applicable would be consistent with 24CFR 85.36(h). Contract Clauses within the policy includes any clauses that are required by federal statutes, executive orders, and implementing regulation, as provided in 24 CFR 85.36(i), although work performed by AHA and provided for in the Project scope will be force account, or administrative staff.

Sub Factor 2: Past Performance (0 points)

The Agdaagux Tribe of King Cove is a new applicant as recipients for purposes of this funding NOFA and this sub factor is not applicable.

King Cove Housing Rehabilitation Project

Rating Factor 2: Need/Extent of the Problem

Sub Factor 1: Need and Viability (4 points)

The City of King Cove population consists of 938 persons and is located on the south side of the Alaska Peninsula, 625 miles southwest of Anchorage (please see Map). King Cove was founded in 1911 when a salmon cannery was built and just celebrated its 100 year anniversary. It became an incorporated City in 1949. Early settlers were Unangan, Scandinavian, and other European fisherman, which has influenced the cultural, economic and social structure in this rural community. The economy still depends almost completely on the year-round fishing and seafood processing industries and has one of the largest cannery operations under one roof in Alaska. As much as 500 transient workers are brought in to work in the cannery as needed. The local villagers also continue to harvest subsistence foods as a major part of their diet and to supplement income. The community is only accessible by air and sea, and fog during summer months, and high winds during the winter limit accessibility much of the time.

Recently, based on home inspections performed by Aleutian Housing Authority (AHA) as part of the regional Weatherization Program and for Current Assisted Stock monitoring, it has been noted that the meter boxes on many of the homes built in the 1980's are becoming seriously dilapidated creating a safety hazard for the families. Location in such close proximity to the ocean and the high winds prevalent to the region, has wrecked havoc on these boxes, not intended to withstand constant pelting of salted wind driven water. It is also a community interest to make repairs to these older buildings to ensure continued safety for the families (Please see attached City of King Cove Commitment Letter). All repairs will be done in accordance with the State of Alaska, AHA, and the City of King Cove.

Additionally, to maximize resource efficiencies, the King Cove Housing Rehabilitation Project will be able to provide this meter box housing rehabilitation in conjunction with the City of King Cove electrical department. This will utilize local and itinerant crews that are available on-site, as needed or that may otherwise lack employment. Local AHA crews will get close-out confirmation at each home as their meter box is installed.

The City of King Cove electrical department proposes installation of the meter boxes at no cost to the homeowner, AHA, or the Tribe. However to ensure the grant schedule is met, there may be a need in the implementation of the Project to have local crews, or sub-contractors complete some portions of the work. The Tribe is prepared and committed to take that step if needed.

Sub Factor 2; Project Benefit

(c), Housing Rehabilitation (12 points)

The dollar amount for the King Cove Tribe is \$1,102 from the FY 2012 ICDBG Needs Table; therefore the King Cove Tribe is eligible to receive 8 points in this sub factor.

PUBLIC SERVICE PROJECT:

ICDBG funds will be utilized at 15% of grant project request for purposes of providing travel costs for a representative from Aleutian Financial Incorporated, a non-profit State organization, and Tribal affiliate, to the community of King Cove to provide educational classes related to home ownership. This education includes home budgeting and individual credit reports. The organization has been incorporated in Alaska since 2007 and has begun to travel into each of the 10 communities in the Aleutian region providing educational classes with one-on-one, face-to-face interaction. This has proven instrumental in a recent Individual Development Account Program, where only one account was opened until travel started in 2010, where additional participants joined the Program and have been successful in their completion of the educational curriculum. Aleutian Financial Incorporated has also received accredited training in the area of providing this service with Neighborworks and Oweesta and strives to achieve HUD approved status in the future.

With the population in King Cove making it one of the larger communities in the region with an average age of 41.2, and 10% of the population in the 25-29 years of age range it is important provide for this type education on a regular basis. Additionally, the prominent employment being in commercial fishing, budgeting is an important component to success in meeting family obligations. With the organization just starting this type service, their funding streams are still limited and the ICDBG funds would be instrumental in increasing this valuable public service.

King Cove Housing Rehabilitation Project

Rating Factor 3: Soundness of Approach

Sub Factor 1: Description of and Rationale for Proposed Project (12 points)

The King Cove Housing Rehabilitation Project will consist of housing rehabilitation for meter box replacement on up to 60 single-family housing units in King Cove and provide a valuable opportunity to leverage funds and perform the proposed scope of work concurrently with support from the City of King Cove and the State Weatherization Program projects AHA currently has scheduled in the community. The homes will also meet tribally adopted rehabilitation and construction codes applicable in the HUD housing quality standards at 24 CFR §982.401 and the State of Alaska, and used by Aleutian Housing Authority (AHA) as the entity implementing this Project on the Tribes behalf (please see Tribal Resolution adopting these standards).

Under the AHA Weatherization Program, energy assessments were complete on many of these older homes (most built in the early to mid 1980's), and based on the meter box conditions noted therein, it is necessary to replace these dilapidated boxes that can cause a safety concern for the families. Tribal Needs funds will be leveraged for this Project, however without further assistance to the local families, these boxes are certain to fail, creating unsafe situations for the low-income occupants. Also with the City of King Cove electrical department installing the boxes, there will be a Project savings of 90 hours and \$3,060 due to minimal labor costs paid from the ICDBG funds for installation, further maximizing efficiencies (please see City of King Cove Commitment Letter with "no cost" for AHA or the homeowners for installation).

Housing Rehabilitation will consist of removal and replacement of dilapidated meter boxes for up to 60 homes in the community and replacement with a pay-as-you-go electronic Ampy meters. The City of King Cove has installed 10 of these meters as tests in the summer of 2011 with minor issues, and has had good customer results thus far. AHA performed weatherization inspections on 40 homes, 14 of which are older, privately owned homes occupied by low-income families as determined by HUD where meter replacement has been identified. Additionally, due to the age of the Current Assisted Stock (CAS), routine home inspections have also shown that all of these homes need the meter boxes replaced, which consists of 22 additional, for a total of 36 eligible families and 100 family members (Please see the attached Income of Household Certification). There are 4 participants that are above 80% to median, but less than 100%, that will also receive a meter box replacement, however this assistance will come from Tribal Needs funds and is less than 10% of the total families and funds provided with such assistance. All families in CAS units will be current on their home payments or in a pay-back agreement before assistance is given.

Additionally, it is also important to note that there were a total of 53 homes built by AHA under the Housing Act of 1937, with 24 of those still being managed as CAS and 22 eligible under this NOFA, as noted above. There are still 29 other homes to consider from this development for meter box replacements, as well as other private homes of eligible families. With a successful application, AHA will solicit prior to work beginning for eligible candidates for the 20 extra meter boxes, giving priority to these homes and follow income determination criteria to ensure only low-income families benefit from this Project (please see AHA Income Certification).

Proposed rehabilitation funding sources for the \$37,631.00 King Cove Housing Rehabilitation Project are: Tribal Needs \$7,476.00 (20%); Alaska Housing Finance Corporation Supplemental \$1,980.00 (5%); and ICDBG \$28,175.00 (75%). Please see the Agdaagux Tribe Needs Resolution, AHA's Letter of Commitment, and AHFC (Alaska Housing Finance Corporation) Commitment Letter.

The proposed King Cove Housing Rehabilitation Project will serve only low-income, Alaska Native families, as certified by AHA, under their income verification process. The proposed King Cove Housing Rehabilitation Project does not displace or relocate applicants as they will not be required to move from their homes while this project is underway.

Upon a successful proposal, a Conflict of Interest Disclosure will be posted as needed for the low-income families receiving assistance per guidelines ICDBG §1003.606 and NAHASDA §1000.30. The Agdaagux Tribe will post this notice within the community for any families that may have a Potential Conflict of Interest, allowing for public comment and review prior to work being started.

The proposed King Cove Housing Rehabilitation Project will enhance the community viability through:

1. Creating safe, decent, and healthy environments for up to 60 families in the community.
2. Creating temporary jobs in the community for 2 local persons, representing income earned that will remain in the community.

20% Administration will be provided for management and oversight of the King Cove Housing Rehabilitation Project as needed and allowed under 1003.205 and 1003.206 and supports those activities not directly expensed to the Project activity such as accounts payable; personnel responsible for reporting, monitoring, and planning; financial audits; and Tribe and customer service/relations and in accordance with OMB Circular A-87.

Sub Factor 2: Budget and Cost Estimates (9 points)

Estimates for material costs were gotten from Marsh Creek Energy Systems in Anchorage and actual postal shipment costs to King Cove (please see Marsh Creek Price Quote). The AHA Development Director, Ed Paulus (experience outlined in Rating Factor 1) has provided cost estimates for this project based on his in depth experience with creating Project Management Plans (an AHA planning tool) for rehabilitation projects where he estimates the labor, material costs, and freight involved for each AHA rehab project.

The Agdaagux Tribal Council and AHA have committed \$9,456.00, which represents 25% of the Project costs to supplement the ICDBG funds which consist of 75% of the Project costs.

Sub Factor 3: HUD Policy Priorities (3 points)

(a), Job Creation/Employment:

The King Cove Housing Rehabilitation Project addresses the HUD Policy Priorities by providing support services to enable 2 local persons to create or retain their positions in coordination with the City

of King Cove and AHA, based on having ongoing work in the community. AHA always advertises available job positions with the local Tribes, including the Agdaagux Tribe to ensure low-income members have the first opportunity to gain employment.

(b), Sustainability:

The proposed Project creates a safe and healthy living environment for the low-income families, preserving their homes. Additionally, the Ampy meters historically promote energy savings in the household, due to the pay-as-you-go feature allowing for the family to conserve electrical energy through having real time data to inform them of their energy usage. This empowers them through being in control, saving on up-front costs, and lowering impact on the environment.

The proposed Project allows for universal design in this housing rehabilitation project scope by making things in the homes safer, more convenient and/or more comfortable so family members, both young and senior, can minimize hazards and the adverse consequences of accidental or unintended actions, allowing for Tolerance for Error. The new electric meters will minimize hazards of having no electricity due to failure, or non-payment, eliminating or shielding the family with this fail safe feature.

Sub Factor 4: Commitment to Sustain Activities (12 points)

(b), Housing Rehabilitation:

Routine maintenance responsibilities for the homes remain with the homebuyers, as privately owned homes. Please see the attached Homeowner Maintenance Certification that will be required to be signed by each homeowner receiving assistance under the King Cove Housing Rehabilitation Project.

King Cove Housing Rehabilitation Project

Rating Factor 4: Leveraging Resources (8 points)

The Agdaagux Tribal Council and Aleutian Housing Authority (AHA), by a Memorandum of Agreement (MOA) agree to a partnership that ensures cooperation and commitment through completion of this project. The MOA also describes each entity's responsibilities and authorities. Also please see the Agdaagux Tribe Needs Resolution attachment that commits needed Tribal Needs funding to this project.

The AHA Commitment Letter provides for utilization of administered Tribal Needs and Alaska Housing Finance Corporation Commitment Letter for the applicable portions of this project. (Please see AHA Letter of Commitment and AHFC Commitment Letter attachments). Additionally, this Project will be included in the 2013 Indian Housing Plan, upon receipt of successful ICDBG assistance.

The Indian Community Development Block Grant Program funding requested is 75% of the total project costs. This leaves a non-ICDBG match of AHA and Tribal needs funds at 25% of the total project costs and results in maximum 8 points under this rating factor.

King Cove Housing Rehabilitation Project

Rating Factor 5: Comprehensiveness and Coordination

Sub Factor 1, Coordination (3 points)

The Aleutian Housing Authority (AHA) will incorporate this Project in its 2013 Indian Housing Plan in coordination with those additional projects supported by 13 federally recognized member tribes in the Aleutian and Pribilof Islands Region, for which the other 12 Tribes are not contributing funds for this Project. The Agdaagux Tribal Council has committed a portion of the NAHASDA Tribal Needs funding, AHA has also committed use of these funds, and Alaska Housing Finance Corporation supplemental funds are committed to the Project.

In addition, please see the City of King Cove Commitment Letter for the Project.

Aleutian Financial Incorporated will be a Public Service partner in their providing of educational classes, and one-on-one interaction with the local residents of King Cove.

Sub Factor 2, Outputs, Outcomes, and/or Goals (7 points)

Outputs:

- 60 homes will receive safety focused housing rehabilitation
- 60 low-income, Alaska Native families will receive housing rehabilitation.
- 2 temporary construction jobs created/retained.

Outcomes:

- Reduction of 60 substandard homes that will provide 60 families with homes that is safe, affordable, and decent.
- Increased income resulting from jobs created or retained as a result of the Project.

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
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* 3. Date Received: 01/03/2012	4. Applicant Identifier: _____
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5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
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State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
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8. APPLICANT INFORMATION:

*** a. Legal Name:** Agdaagux Tribe

* b. Employer/Taxpayer Identification Number (EIN/TIN): _____	* c. Organizational DUNS: _____
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d. Address:

* Street1:	1t 12, Blk 4 Rams Creek Subdivision
Street2:	PO Box 249
* City:	King Cove
County/Parish:	_____
* State:	AK: Alaska
Province:	_____
* Country:	USA: UNITED STATES
* Zip / Postal Code:	99612-0001

e. Organizational Unit:

Department Name: _____	Division Name: _____
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f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Viola
Middle Name: _____	
* Last Name: Yatchmeneff	
Suffix: _____	

Title: AHA Homeownership Coordinator

Organizational Affiliation:

* Telephone Number: 907-644-6604	Fax Number: 907-644-6686
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*** Email:** viola.yatchmeneff@aleutian-housing.com

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Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

I: Indian/Native American Tribal Government (Federally Recognized)

Type of Applicant 2: Select Applicant Type:
[Empty field]

Type of Applicant 3: Select Applicant Type:
[Empty field]

* Other (specify):
[Empty field]

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.862

CFDA Title:
Indian Community Development Block Grant Program

*** 12. Funding Opportunity Number:**

FR-5600-N-02

* Title:
Community Development Block Grant Program for Indian Tribes and Alaska Native Villages (ICDBG)

13. Competition Identification Number:

ICDBG-02

Title:
[Empty field]

14. Areas Affected by Project (Cities, Counties, States, etc.):

[Empty field] [Add Attachment](#) [Delete Attachment](#) [View Attachment](#)

*** 15. Descriptive Title of Applicant's Project:**

King Cove Housing Rehabilitation Project

Attach supporting documents as specified in agency instructions.

[Add Attachments](#) [Delete Attachments](#) [View Attachments](#)

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="28,175.00"/>
* b. Applicant	<input type="text" value="7,476.00"/>
* c. State	<input type="text" value="1,980.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="37,631.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number:

Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing and Urban Development

OMB Number: 2510-0011
Expiration Date: 10/31/2012

Applicant/Recipient Information

* Duns Number: [REDACTED]

* Report Type: INITIAL

1. Applicant/Recipient Name, Address, and Phone (include area code):

* Applicant Name:

Agdaagux Tribe

* Street1:

lt 12, Blk 4 Rams Creek Subdivision

* Street2:

PO Box 249

* City:

King Cove

* County:

[REDACTED]

* State:

AK: Alaska

* Zip Code:

99612-0001

* Country:

USA: UNITED STATES

* Phone:

907-644-6604

2. Social Security Number or Employer ID Number: [REDACTED]

*** 3. HUD Program Name:**

Indian Community Development Block Grant Program

* 4. Amount of HUD Assistance Requested/Received: \$ 28,175.00

5. State the name and location (street address, City and State) of the project or activity:

* Project Name:

King Cove Housing Rehabilitation Proejct

* Street1:

Rams Creek Subdivision

* Street2:

[REDACTED]

* City:

King Cove

* County:

[REDACTED]

* State:

AK: Alaska

* Zip Code:

99612-0001

* Country:

USA: UNITED STATES

Part I Threshold Determinations

* 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).

Yes No

* 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1-Sep. 30)? For further information, see 24 CFR Sec. 4.9

Yes No

If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form.

However, you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

Department/State/Local Agency Name:

* Government Agency Name:

Government Agency Address:

* Street1:

Street2:

* City:

County:

* State:

* Zip Code:

* Country:

* Type of Assistance:

* Amount Requested/Provided: \$

* Expected Uses of the Funds:

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

Part III Interested Parties. You must decide.

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and

2. Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

* Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	* Social Security No. or Employee ID No.	* Type of Participation in Project/Activity	* Financial Interest in Project/Activity (\$ and %)
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> <input type="text"/> %
<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> <input type="text"/> %

(Note: Use Additional pages if necessary.)

Add Attachment

Delete Attachment

View Attachment

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation. I certify that this information is true and complete.

* Signature:

Dan Duame

* Date: (mm/dd/yyyy)

01/03/2012

AGDAAGUX TRIBAL COUNCIL
P.O. Box 249
King Cove, Alaska

RESOLUTION # 11-09

**A RESOLUTION AUTHORIZING THE ALEUTIAN HOUSING AUTHORITY
TO PROCEED UTILIZING AGDAAGUX TRIBAL COUNCIL NAHASDA
TRIBAL NEEDS FUNDS TO ADDRESS HOUSING REHABILITATION
FOR KING COVE HOMES**

WHEREAS, the Agdaagux Tribe is an eligible recipient of federal NAHASDA funding and has designated the Aleutian Housing Authority (AHA) as its Tribally Designated Housing Entity; and

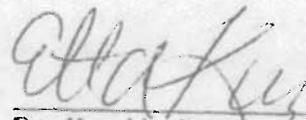
WHEREAS, one of the primary purposes of NAHASDA is to provide funding for the rehabilitation of affordable low - income housing to tribal members; and

WHEREAS, the community of King Cove has an immediate need to address meter box replacement rehabilitation for up to 60 single-family homes; and

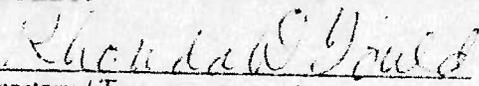
NOW THEREFORE BE IT RESOLVED, that the Agdaagux Tribal Council hereby authorizes AHA to proceed with the rehabilitation project in each of the affected homes; and

BE IT FURTHER RESOLVED, that to cover the expense of this project, AHA is authorized to take any and all appropriate and necessary action, and to obligate Agdaagux Tribal Council NAHASDA Tribal Needs funds, for use as match funds for any potential grant funds that may be available to complete the project.

ADOPTED AND APPROVED this 11th day of JUNE 2011, by a vote of 5 in favor,
0 opposed, and 0 abstaining.
2 absent


Eita Kuzakin, President

ATTEST:


Secretary / Treasurer

AGDAAGUX TRIBAL COUNCIL
P.O. Box 249
King Cove, Alaska

RESOLUTION # 11-14

**A RESOLUTION AUTHORIZING THE ALEUTIAN HOUSING AUTHORITY TO
COMPLETE AN APPLICATION FOR THE INDIAN COMMUNITY
DEVELOPMENT BLOCK GRANT PROGRAM ON BEHALF OF THE AGDAAGUX
TRIBE AND FOR SUCH RELATED PURPOSES
AS SPECIFIED BELOW**

WHEREAS, the Agdaagux Tribe is a federally recognized tribal government eligible to receive funding under the Native American Housing Assistance and Self-Determination Act (NAHASDA) and the HUD Indian Community Development Block Grant (ICDBG) Program; and

WHEREAS, Aleutian Housing Authority (AHA) has been designated by the Agdaagux Tribal Council as the "Trially Designated Housing Entity" to administer a certain portion of NAHASDA funds received on behalf of King Cove; and

WHEREAS, based on information received from the community, there is an immediate need to provide 40 low-income, Alaska Native homes with housing rehabilitation assistance, and up to 60 homes totally with replacement of dilapidated unsafe meter boxes in King Cove; and

WHEREAS, the parties have entered into a Memorandum of Agreement (MOA) which sets forth the parties intention to cooperate in a joint effort to seek available funding to address the identified need and to allow AHA to be the sub recipient of such funds for purposes of project management and administration; and

WHEREAS, the parties have agreed to cooperate to apply specifically for funds under the HUD Indian Community Development Block Grant (ICDBG) Program; and

WHEREAS, the Agdaagux Tribal Council has discussed the proposed rehabilitation project with its Tribal Members and the community in 2011, have posted a Development Statement specifying the goals and objectives of the grant application, and considered any comments received; and

WHEREAS, the ICDBG grant requirements provide that the Tribe must make certain formal designations and authorizations for AHA to apply for and administer such funds on its behalf:

NOW THEREFORE BE IT RESOLVED, that the Agdaagux Tribal Council hereby authorizes the Aleutian Housing Authority (AHA) to complete an application on behalf

of the Agdaagux Tribal Council for funding under the HUD ICDBG and if awarded, to be the sub recipient administrator of such funds; and

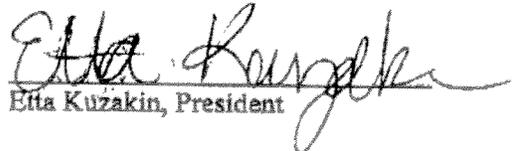
BE IT FURTHER RESOLVED, that the Agdaagux Tribal Council designates the AHA Executive Director as its Authorized Representative to act on its behalf in terms of any required signatures, certifications, authorizations or other actions that may be required in the application process and if awarded, in the implementation process during grant administration; and

BE IT FURTHER RESOLVED, that during the administration of any funds awarded under the ICDBG program, the Agdaagux Tribal Council certifies and adopts the following standards, policies and conditions in accordance with 24 CFR Part 85, 24 CFR Part 1003, and OMB Circular A-87:

- 1) AHA Housing Quality Standards, as described in Section 982.401 in accordance with 24 CFR 1003.302; and
- 2) AHA financial management and administrative policies and procedures; and
- 3) AHA procurement policies and procedures; and
- 4) Maintenance responsibility for these homes rehabilitated under the ICDBG project will remain with the homeowners.

BE IT FURTHER RESOLVED, the Agdaagux Tribal Council hereby certifies that all the requirements of 24 CFR 1003.604, Citizen Participation has been fulfilled in regards to the community input on the proposed project; the posting of the Community Development Statement specifying the goals and objectives of the grant application; and consideration was made on any comments to the application.

ADOPTED and APPROVED this 28th day of November, 2011, by a vote of 4 in favor, 0 opposed, and 3 absent.


Etta Kuzakin, President

ATTEST:


Secretary / Treasurer

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for Item 4.

Project Name and Project Type

Participants enter the project name and the name of one of the following three categories of activities:

- Housing
- Community Facilities
- Economic Development

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

Community Facilities

Infrastructure Component

- Water
- Sewer
- Roads and Streets
- Storm Sewers

Buildings Component

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

Housing

Rehabilitation Component

- Rehabilitation
- Demolition

Land to Support New Housing Component

New Housing Construction Component

Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development



MARSHCREEK
ENERGY SYSTEMS

March 3, 2009

[REDACTED]

Subject: Cost Proposal AMPY Pay-As-You-Go-Metering System

Dear Justine:

Marsh Creek Energy Systems is pleased to offer you the following Budgetary Quotation for supply, installation and training for the following AMPY Pay-As-You-Go Metering System.

The cost proposal includes all costs associated with the supply, installation and training for the Ampy Pay-As-You-Go Metering System.

- 55 ea Electronic Ampy 5211 meter and Customer Information Unit (CIU) Display/Smart Card Reader and, heavy-duty meter rings and seals (Includes 10 spares).
- 1 lot Office System to include:
 - Dell dual hard drive computer, laser printer, and UPS battery backup
 - Dell backup and meter diagnostic laptop computer and carrying case
 - External backup portable hard drive
 - AMPY Management System software (2-year licensing fee)
 - AMPY Field Unit Software
 - Custom portable meter base for equipment set up and diagnostics
 - Smart Card Reader/Encoder (2)
 - Smart Cards (200)
 - Computer set-up and testing.
 - On site training of utility office personnel on all aspects of the AMPY system (4 days) to include supervision of local office staff during the initial changeover to Pay-As-You-Go.
- Hands on 4-day training of local utility personnel (minimum of two local technicians). This training includes equipment installation and troubleshooting procedures.
- One-year warranty on parts and labor.
- 6 months free technical support.

Customer broadband wireless or satellite internet access is required for remote access troubleshooting and training purposes.

The price below also includes all costs associated with installing and testing the AMPY system other than weather delays which will be invoiced on a time and materials basis. Note that the cost does not include any required repair or upgrade of existing building services or wiring.

Budgetary Project Component Costs

Office System & Equipment	\$ 12,600
Meter & Customer Information Units (CIU) <i>(also includes meter rings, seals, Smart cards)</i>	20,800
System Setup / Installation / Training <i>(labor, travel, room & board, materials)</i>	15,100
	<hr/>
TOTAL -	\$ 48,500

For the purchase of additional spare meters and displays after the initial order, add \$350.00 each FOB Anchorage.

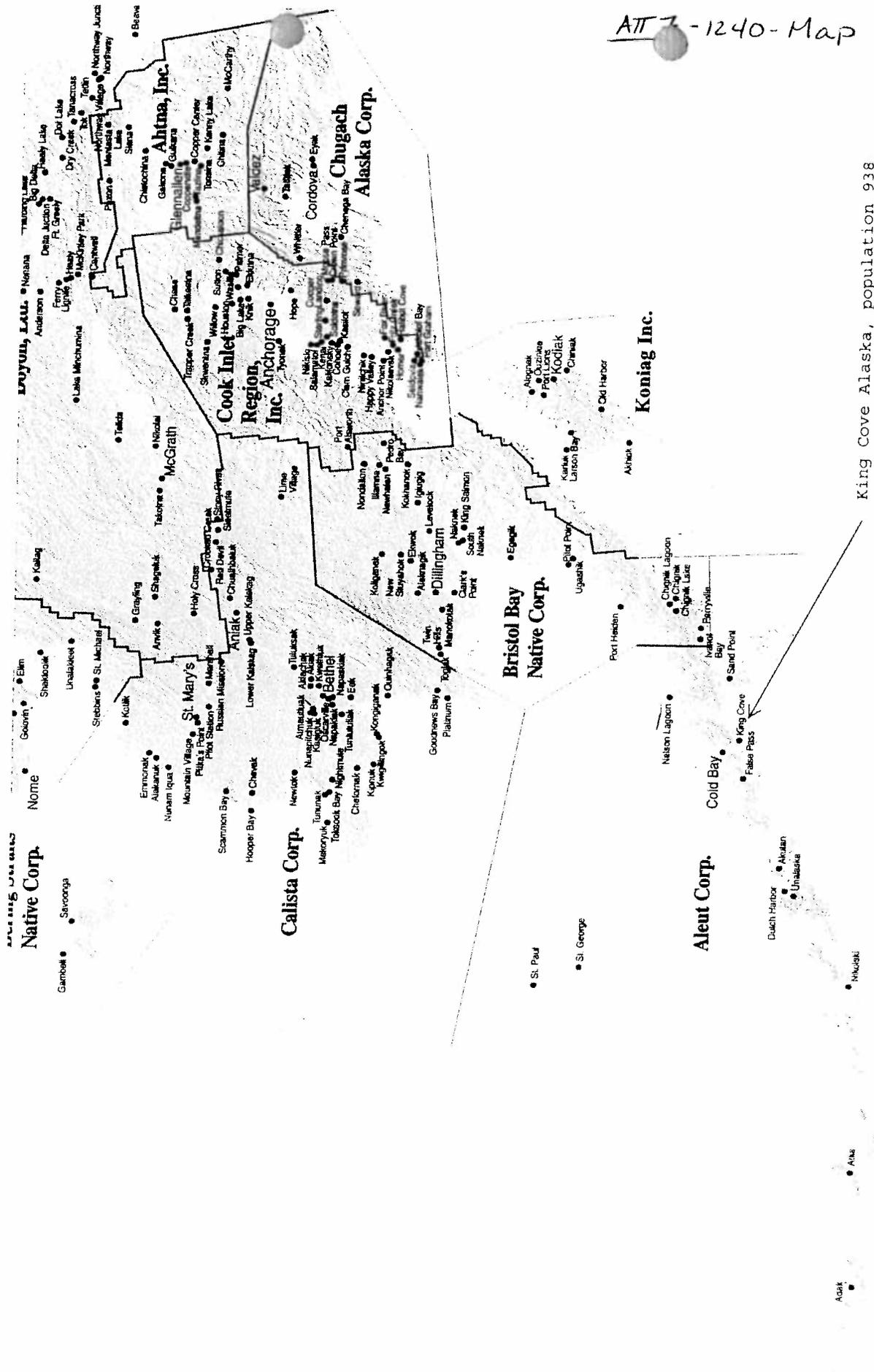
If Marsh Creek does not have equipment in stock, the normal lead time for delivery after receipt of your order is approximately 16 weeks.

If you have any questions, call me anytime. We look forward to hearing from you.

Sincerely,



Clarissa Quinlan
Project Manager



King Cove Alaska, population 938

76

King Cove Housing Rehabilitation Project

Community Development Statement

The Agdaagux Tribe of King Cove is applying for Indian Community Development Block Grant funding for housing rehabilitation in King Cove, Alaska. The Agdaagux Tribe and Aleutian Housing Authority (AHA) have entered into a Memorandum of Agreement for a Housing Rehabilitation Project that will address the need for additional funds to replace the deteriorated meter boxes on up to sixty housing units. Due to the age and condition of these homes, it is imperative that immediate replacement be undertaken, as the home can become unsafe to inhabit should the boxes fail, causing an electrical outage. The Tribe and families have no other resources to do this imperative rehabilitation.

The Agdaagux Tribe, by resolution, agrees that standards, policies and conditions will apply for AHA inspection standards and Housing Quality Standards, Section 982.401 for this project.

AHA, the Tribally Designated Housing Authority certifies that all project funding will be used to rehabilitate units only when the homeowner is low-income and certifies that they are responsible for ongoing maintenance of their home.

Please see the Implementation Schedule and Cost Summary for specific information regarding the amount of funds available, timeline and range of activities to be undertaken.

This Community Development Statement is being made in accordance with 24 CFR 1003.604, Citizen participation, to permit Alaska Native Villages to appraise and examine an application for Community Development Block Grants. Further review of the Indian Community Development Block Grant proposal can be requested at the Agdaagux Tribe offices located in King Cove, or with AHA in Anchorage.

Memorandum of Agreement
Between
Agdaagux Tribal Council
&
Aleutian Housing Authority

WHEREAS, The Agdaagux Tribe is a federally recognized tribal government eligible to receive funding under the Native American Housing Assistance and Self-Determination Act (NAHASDA), and

WHEREAS, the Aleutian Housing Authority (AHA) has been designated by the Agdaagux Tribal Council as the "Trially Designated Housing Entity" to administer a certain portion of NAHASDA funds received on behalf of King Cove; and

WHEREAS, certain King Cove properties have an immediate need to address the housing rehabilitation meter box needs for up to 60 homes in King Cove; and to address these problems the parties wish to enter into this Memorandum of Agreement (MOA) to specifically set forth each parties role, responsibilities and authorities:

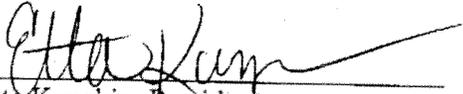
NOW THEREFORE, the Parties agree as follows:

- 1) *Agdaagux Tribal Council (the "Tribe") agrees to:*
 - a) Cooperate with AHA in design and implementation of a rehabilitation project for the King Cove homes to address the rehabilitation needs under the King Cove Housing Rehabilitation Project;
 - b) Authorize AHA to pursue HUD grant funds to pay for a portion of the project costs, designate AHA as the sub recipient of such funds, and to use NAHASDA funds to leverage such additional grant funds as may be required to complete the project;
 - c) Authorize AHA to perform all project management functions as AHA may determine to be necessary and appropriate, and to administer such project funds as may be secured to complete the project; and
 - d) Cooperate with AHA in terms of helping to assemble a labor pool, post notices and any other similar type administrative support functions required to successfully completing the project.

2) *AHA agrees to:*

- a) Make all reasonable efforts to secure funding to complete the rehabilitation of the specified homes, including an application to HUD under the Indian Community Development Block Grant (ICDBG) program; and
- b) Provide all necessary project management and administrative services as required to rehabilitate the King Cove homes experiencing the housing needs; and
- c) Secure all necessary funding, provide project management and administrative services as may be required to complete the project, including acting as the sub recipient of any ICDBG grant funds which may be awarded; and
- d) Cooperate with the Tribe in regards to information sharing, design and all aspects of actual project implementation; and
- e) Carry out the activity independent of the Tribe, is free to contract for goods and services from vendors of its own choosing, is not subject to requirements under which its assets revert to the Tribe or to any other organization upon dissolution.

Agdaagux Tribal Council:


Etta Kuzakin, President

11-17-11
Date

Aleutian Housing Authority:


Dan Duane, Executive Director

12/28/11
Date



December 29, 2011

U.S. Department of HUD
Alaska Office of Native American Programs
3000 C. Street, Suite 401
Anchorage, Alaska 99503

Re: Certification of Low-Income Eligibility Determination

Dear Grants Management,

Please accept this letter as certification that the families selected to received assistance from the 2012 Indian Community Development Block Grant, will reside in the community of King Cove, and will be determined as an eligible low-income participant, according to effective HUD income limits based on family size.

Aleutian Housing Authority will administer and implement the King Cove Housing Rehabilitation Project on behalf of the Agdaagux Tribe of King Cove, and will be responsible for the application and selection process, as is done with management of current assisted stock and other low-income programs in King and other communities in the region.

Sincerely,

Dan Duame
Executive Director

**King Cove Housing Rehabilitation Project
Homeowner Maintenance Certification**

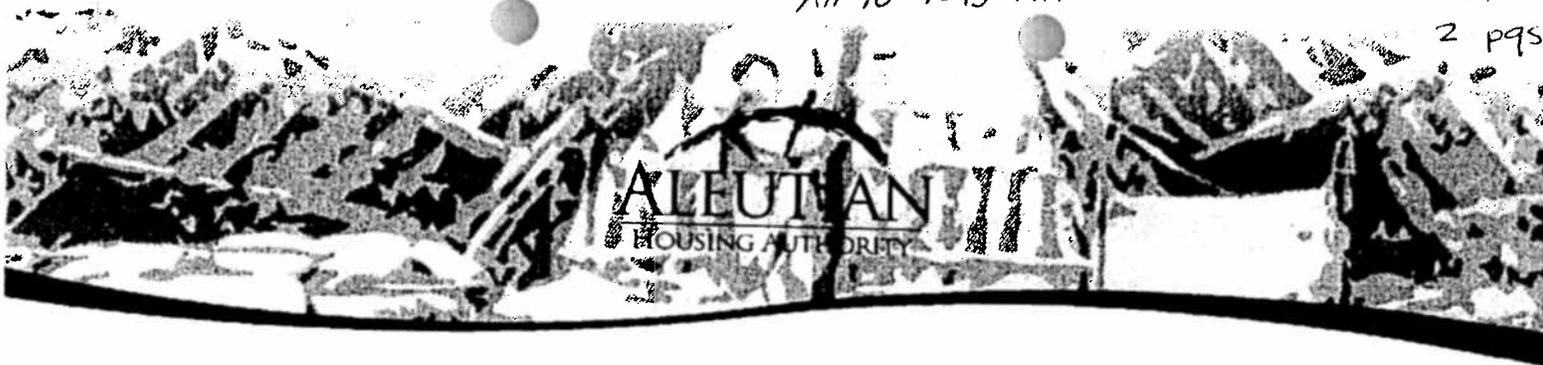
I do hereby certify that I will continue to assume the sole responsibility for the maintenance of my home and any improvements made to my home, on my behalf under the King Cove Housing Rehabilitation Project. I will maintain my residence and make repairs needed to ensure the continued viability of my home in the community.

Property Owner:

Signature

Printed Name

Date



LETTER OF COMMITMENT

To Whom It May Concern:

Aleutian Housing Authority (AHA) commits to provide a minimum of \$9,456.00 in non-ICDBG AHA funding towards the King Cove Housing Rehabilitation Project for housing rehabilitation for up to 60 meter box replacements for low-income families in the community of King Cove. This consists of \$7,476.00 tribal needs allocated from the Agdaagux Tribe Tribal Needs; and \$1,980.00 Alaska Housing Finance Corporation Supplemental funding (please see AHFC Commitment).

AHA is committed to completing the project as outlined in the ICDBG grant application. This project will be included in the next Indian Housing Plan that is approved by 13 member tribes in the Aleutian and Pribilof Islands Region with successful receipt of ICDBG assistance, as without this assistance the Project cannot be complete.

As the management entity responsible for the project, AHA is an Entity Other Than the Tribe and has met the following characteristics:

- a) Is legally distinct from the Agdaagux Tribe tribal government;
- b) Assets and liabilities of AHA and the Agdaagux Tribe are separate;
- c) Claims against AHA, cannot be made against the Agdaagux Tribe; and
- d) AHA and the Agdaagux Tribe have separate governing bodies.



 Dan Duame, AHA Executive Director

12/28/31

 Date



Duane

Headquarters
4300 Boniface Parkway
Anchorage, Alaska 99504
(907) 338-6100

Mailing Address
P.O. Box 101020
Anchorage, AK 99510-1020

Internet Web Site
<http://www.ahfc.state.ak.us>

December 16, 2011

Dan Duane
Executive Director
Aleutian Housing Authority
520 East 32nd Avenue
Anchorage, AK 99503

Re: Notice of Award of Supplemental Housing Grant Funds, SFY-2012

Dear Mr. Duane:

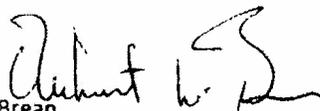
The Alaska Housing Finance Corporation (AHFC) is pleased to inform you that Aleutian Housing Authority has been awarded \$382,823 in Supplemental Housing Development Grant Program (SHDG) funds for State Fiscal Year SFY-2012 based on an approved application.

We request that you inform us by December 30, 2011 which of the following options Aleutian Housing Authority (AHA) is prepared to exercise:

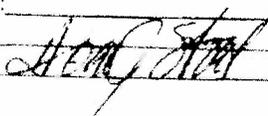
1. Accept the grant amount and enter into a grant agreement with AHFC for the project identified in your application which will encumber the funds for AHA;
2. Accept the grant amount and defer the funding to the following State fiscal year to be added to next year's allocation and enter into a grant agreement at that time;
3. Decline the grant amount and file a protest of the award. Protests must be written and addressed to the Program Manager, Supplemental Housing Grant Program, Alaska Housing Finance Corporation, PO Box 101020, Anchorage, AK 99510; or may be emailed to ecombs@ahfc.us. A form of the requested relief should be stated in the complaint. The complaint will be reviewed by the Program Manager with the Director of the Research and Rural Development Division and within 10 days of receipt of the complaint, one or more of the following actions will take place:
 - A meeting will be set up with the complainant;
 - An email resolution follow-up ;
 - A letter explaining resolution of the complaint after discussion with the complainant.

If you accept either #1 or #2 above please complete and sign the Acceptance below and return this letter to us. Contact Esther Combs, Program Manager, (907)330-8129, or email ecombs@ahfc.us with any questions.

Sincerely,


Robert L. Brean
Director, Research & Rural Development Division

Acceptance: _____

Signature: 

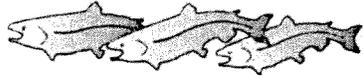
Date: *12/30/2011*



"HOUSING FOR ALASKANS"



24



City of King Cove

A · L · A · S · K · A

P.O. Box 37

King Cove, AK 99612

P (907) 497-2340 F (907) 497-2594

hmackmayor@gmail.com

December 14, 2011

Agdaagux Tribal Council
c/o Aleutian Housing Authority
520 East 32nd Avenue
Anchorage, AK 99503

Dear Etta Kuzakin - Tribal President,

The City of King Cove fully supports the efforts of the Agdaagux Tribal Council and the Aleutian Housing Authority in pursuing funding to provide housing rehabilitation to the electrical meter boxes of up to 60 homes in King Cove. We have a shared goal in this rehabilitation as the current meter boxes may not remain safe and viable much longer without the work. This project is consistent with our housing goals in the community, as we will greatly benefit by having our residents occupy safe homes.

Additionally, in conversation with the Project Management staff for Aleutian Housing Authority, Mr. George Simmons with the City's electric utility department has offered to install units, when the department has available time at no cost to the housing authority or the home owners. This is due largely in part to the imperative need to change these meters before they fail. The converted cost savings for the installation of each meter is approximately 1.5 hours at a rate of \$34/hr.

It is encouraging to see our respective organizations come together as a team to address this much needed meter box housing rehabilitation for the families in King Cove.

Funding consideration for this application effort is greatly appreciated.

Sincerely,

Henry Mack, Mayor

Cc: Dan Duame, Aleutian Housing Authority

Agdaagux Tribal Council
King Cove, Alaska

RESOLUTION # 11-10

RESOLUTION APPROVING AGDAAGUX TRIBAL COUNCIL'S
CODE OF CONDUCT POLICIES

WHEREAS, the Agdaagux Tribal Council is a federally recognized tribal government and to ensure that the Tribe operates its programs and services in compliance with applicable federal and state funding requirements; and

WHEREAS, an important part of ensuring proper compliance is having a clear set of Code of Conduct Policies by which the Tribe can be guided in carrying out the business of the Tribe;

NOW THEREFORE BE IT RESOLVED: that the Agdaagux Tribal Council hereby approves the Agdaagux Tribal Council Code of Conduct Policy".

ADOPTED and APPROVED this 13th day of June, 2011, by a vote of 5 in favor, 0 opposed, and 0 abstaining. (2) absent


President

ATTEST:


Secretary / Treasurer

AGDAAGUX TRIBE CODE OF CONDUCT POLICIES

GENERAL

- A. **Agdaagux Tribal Council**, hereafter "Tribe" business shall be conducted:
1. With professional standards of conduct, ethics and values;
 2. In a manner which fosters public trust;
 3. With business practices that are intended to avoid a real or apparent conflict of interest or the appearance of a conflict of interest; and
 4. In compliance with Federal, State, Local and Tribal laws, statutes, rules, regulations and codes.

CONFLICT OF INTEREST

- A. A conflict of interest occurs when a Tribe employee, officer or agent, any member of their immediate family, their partner, or an organization, which employs or is about to employ any of the parties indicated herein has a financial or other interest in the business entity selected for an award.
- B. The Tribe may authorize an exception to the conflict of interest clause above if there is a compelling reason to do so, such as when the Tribe's needs:
1. Cannot be reasonably met otherwise; or
 2. Cannot be reasonably met through another source.
- C. The Tribe or their designee shall require each contractor, its employees, agents, subcontractors or other representatives to disclose all activities, interests, ownership(s) or participation in any business(es) or business-related activities that:
1. Could potentially cause a conflict of interest for the Contractor or Tribe; or
 2. Could potentially be construed as not in the best interest of the Tribe.
- D. To avoid real or apparent conflicts of interest and to promote "Open and Free Competition" as well as fair business practices, contractors, subcontractors, bidders, offerors, vendors and/or their representatives shall not, either directly or indirectly:
1. Make an offer or promise of future employment or business opportunity to an official or representative of the Tribe;
 2. Offer, accept, give or promise to give money, gratuities or any other thing of value to an

official or representative of the Tribe; or

- 3 Obtain or attempt to obtain confidential or proprietary information from an official or representative of the Tribe.
- E. To avoid real or apparent conflicts of interest and to promote "Open and Free Competition" as well as fair business practices, an official or representative of the Tribe shall not, either directly or indirectly:
- 1 Make an offer or promise of future employment or business opportunity to a current or potential contractor, subcontractor, bidder, offeror, vendor or any of their representatives or parties to sub-agreements without following, or in a manner that conflicts with, the guidelines outlined in the Tribe approved policies and procedures;
 - 2 Solicit, offer, accept, give or promise to give money, gratuities, favors, or any other thing of monetary value to a current or potential contractor, subcontractor, bidder, offeror, vendor or any of their representatives or parties to sub-agreements without following, or in a manner that conflicts with, the guidelines outlined in the Tribe approved policies and procedures; or
 - 3 Discuss or otherwise provide confidential or proprietary information or information that the Tribe or its representatives consider to be confidential or proprietary information to a current or potential contractor, subcontractor, bidder, offeror, vendor or any of their representatives or parties to sub-agreements without following, or in a manner that conflicts with, the guidelines outlined in the Tribe approved policies and procedures.

INFLUENCING GOVERNMENT OFFICIALS

- A. The Tribe or its representatives shall not use Federally appropriated funds, including grant or contract funds, whether received directly or indirectly, to pay any person or organization to influence or attempt to influence:
- 1 An officer or employee of any agency;
 - 2 A member of Congress;
 - 3 An officer or employee of Congress; or
 - 4 An employee of a member of Congress.
- B. The Tribe or its representatives shall not, for the purpose of obtaining or attempting to obtain direct or indirect Federally appropriated funds, solicit, offer, accept, give or promise to give money, gratuities, favors, or any other thing of monetary value to:
- 1 An officer or employee of any Federal or awarding agency;
 - 2 A member of Congress;

- 3 An officer or employee of Congress; or
- 4 An employee of a member of Congress.

UNAUTHORIZED COMMITMENTS

- A. The Tribe or its representatives shall not make unauthorized commitments to any person, organization, agency, offeror, vendor, bidder or business entity.
- B. In the event that a real or apparent unauthorized commitment occurs or appears to have occurred, the following procedures shall be followed:
 - 1 The Tribe Tribal Administrator or designee shall be notified.
 - 2 The individual who made the real or apparent unauthorized commitment may be notified.
 - 3 The individual who made the real or apparent unauthorized commitment may be asked to provide a written statement that explains the type of commitment made and the reasons for the commitment.
 - 4 The Tribe Tribal Administrator or designee may bring the matter before the Tribe.
 - 5 An investigation may occur.
 - 6 A written recommendation to approve or disapprove the unauthorized commitment may be requested.
 - 7 Additional actions by the Tribe may be taken in order to remedy the situation and protect the best interest of the Tribe.

DISCIPLINARY ACTIONS FOR A VIOLATION OF THE CODE OF CONDUCT

- A. Disputes or alleged violations of the Tribe approved policies and procedures by officers, employees, agents or representatives of the Tribe shall be given to the Tribe Tribal Administrator or designee in writing with appropriate documentation attached.
- B. Documentation of the dispute or alleged violation may be presented to the Tribe by Tribe Tribal Administrator or designee for investigation, remediation, resolution, dismissal or appropriate disciplinary action, as determined necessary by the Tribe.
- C. When the Tribe is unable to resolve the dispute or alleged violation in a satisfactory manner, the awarding agency may be notified, if appropriate.

Implementation Schedule

Indian Community Development Block Grant (ICDBG)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 2/29/2012)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424)
Agdaagux Tribe

2. Application/Grant Number (to be assigned by HUD) 3. Original (First submission to HUD) Pre-Award Submission Amendment (submitted after grant approval)
Date (mm/dd/yyyy) 12/30/2011

4. Name of Project (as shown on form HUD-4123, item 4)
King Cove Housing Rehabilitation Project

5. Effective Date (mm/dd/yyyy) 08/01/2012 Expected Completion Date (mm/dd/yyyy) 12/31/2013 Expected Closeout Date (mm/dd/yyyy) 03/31/2014

6. Environmental Review Status
 Exempt (As described in 24 CFR 58.34) Under Review (Review underway; findings not yet made) Finding of No Significant Impact (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)
 EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37) Not Started (Review not yet begun) Certification (Environmental review completed; certification and request for release of funds being prepared for submission.) Categorically Excluded (as described in 24 CFR 58.35)

7. Tribal Fiscal Year (mm/dd/yyyy)
12/31/2011

8. Task List

(List tasks such as environmental assessment, acquisition, etc.)

9. Schedule. Use Calendar Year (CY) quarters. Fill-in the CY below. See detailed instructions on back.

	CY 12			CY 13			Date (mm/dd/yyyy) (if exceeds 8th Qtr)		
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.		7th Qtr.	8th Qtr.
Direct Project Administration			X	X	X	X			
ERR Process			X	X	X	X			
Application Process									
Public Service (with scheduled travel)				X	X	X			
Material Procurement and Acquisition									
Mobilize Local / Itinerant Crews, Deliver Material									
Housing Rehabilitation (approximately 15 a month)								X	
Inspections (approximately 15 a month)								X	
Punch-list (follow-up on any homeowner issues)								X	
Rehabilitation Complete									
ASER									
Close-Out Reports									
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$	\$	\$ 2,940.00	\$ 0.00	\$ 0.00	\$ 25,235.00	\$ 0.00	\$	\$ Total 28,175.00
11. Cumulative Drawdown (if more than one page, enter total on last page only)	\$	\$	\$ 2,940.00	\$ 2,940.00	\$ 2,940.00	\$ 28,175.00	\$ 28,175.00	\$ 0.00	\$ Total 0.00

Previous editions are obsolete

SF424-2-1-AdditionalProjectTitle-1252-HUD-4125
Implementation - Schedule (2pg)

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Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0191), Office of Information Technology, Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best project for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is ~~not~~ HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (Act 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for item 9 Schedule: Use Calendar Year (CY) quarters. Fill-in the CY below. If the project begins in May, for example, enter under "1st Q" (April), M(May), J(June). Indicate time period required to complete each activity, e.g., acquisition, by entering "X" under the months it will begin and end. Draw a horizontal line the first to the second "X". If the completion date will extend beyond the 8th quarter, enter date in the far right column and attach an explanation.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C.1352

Approved by OMB
0348-0046

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
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4. Name and Address of Reporting Entity:

Prime SubAwardee

* Name:

* Street 1: * Street 2:

* City: * State: * Zip:

Congressional District, if known:

5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:

6. * Federal Department/Agency: <input type="text" value="HUD"/>	7. * Federal Program Name/Description: <input type="text" value="Indian Community Development Block Grant Program"/> CFDA Number, if applicable: <input type="text" value="14.862"/>
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8. Federal Action Number, if known: <input type="text"/>	9. Award Amount, if known: \$ <input type="text"/>
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10. a. Name and Address of Lobbying Registrant:

Prefix * First Name Middle Name

* Last Name Suffix

* Street 1: * Street 2:

* City: * State: * Zip:

b. Individual Performing Services (including address if different from No. 10a)

Prefix * First Name Middle Name

* Last Name Suffix

* Street 1: * Street 2:

* City: * State: * Zip:

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when the transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* Signature:

* Name: Prefix * First Name Middle Name

* Last Name Suffix

Title: Telephone No.: Date:

Facsimile Transmittal

U. S. Department of Housing
and Urban Development
Office of Department Grants
Management and Oversight

OMB Number: 2525-0118
Expiration Date: 06/30/2011

1317830733-4726

Name of Document Transmitting: N/A

1. Applicant Information:

Legal Name: Agdaagux Tribe
Address:
Street1: 1t 12, Blk 4 Rams Creek Subdivision
Street2: PO Box 249
City: King Cove
County:
State: AK: Alaska
Zip Code: 99612-0001 Country: USA: UNITED STATES

2. Catalog of Federal Domestic Assistance Number:

Organizational DUNS: [redacted] CFDA No.: 14.862
Title: Indian Community Development Block Grant Program
Program Component:

3. Facsimile Contact Information:

Department:
Division:

4. Name and telephone number of person to be contacted on matters involving this facsimile.

Prefix: Ms. First Name: Viola
Middle Name:
Last Name: Yatchmeneff
Suffix:
Phone Number: 907-644-6604
Fax Number: 907-644-6686

5. Email: viola.yatchmeneff@aleutian-housing.com

6. What is your Transmittal? (Check one box per fax)

- a. Certification b. Document c. Match/Leverage Letter d. Other

7. How many pages (including cover) are being faxed? 1