

PIH Notice 2012-16

Request for Applications to the Moving to Work (MTW) Demonstration Program



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Q&A

Please email:

mtw-info@hud.gov

with any questions



www.hud.gov/mtw

Introduction and Overview of MTW

PURPOSE OF MTW

- Offers Public Housing Authorities (PHAs) the opportunity to design and test innovative, locally-designed housing and self-sufficiency strategies for low-income families
- Gives Public Housing Agencies and HUD flexibility to design and test approaches for providing and administering housing assistance
- Allows exceptions to certain provisions of the Housing Act of 1937



Introduction and Overview of MTW

DEVELOPMENT OF THE MTW DEMONSTRATION

- Enacted April 26, 1996 under the Omnibus Consolidated Rescissions and Appropriations Act of 1996
 - Section 204 of the 1996 HUD Appropriations Act
- There are currently 35 PHAs in the MTW Demonstration
- Through this notice, HUD seeks to add four more PHAs to the MTW Demonstration
 - 1 slot remains from the FY2010 Appropriations Act and 3 slots are available from the FY2011 Full-Year Continuing Appropriations Act.
 - Citations to these statutes are available on page 1 of the notice.



Introduction and Overview of MTW

THREE MTW STATUTORY OBJECTIVES

- (1) Reduce cost and achieve greater cost effectiveness in federal expenditures
- (2) Give incentives to families with children, where the head of household is working, is seeking work, or is preparing for work by participating in job training, educational programs or programs that assist people to obtain employment and become economically self-sufficient
- (3) Increase housing choices for low-income families.



Eligibility

ELIGIBILITY REQUIREMENTS OVERVIEW

- Applicants under this notice must meet all eligibility requirements of:
 - Section 204 of the 1996 HUD Appropriations Act
 - Section 232 of the Consolidated Appropriations Act of 2010
 - Additional HUD criteria cited in the notice
- Eligibility requirements must be met as of the date that the application is due.
- A worksheet is available in Attachment A of the notice (pages 15-17) for applicants to complete to ensure the requirements are met. A more interactive Microsoft Excel worksheet with the same information is included on the MTW website. An applicant may use either of these worksheets to determine its eligibility.



Eligibility

ELIGIBILITY REQUIREMENTS OVERVIEW (cont.)

- HUD will determine that an application meets eligibility requirements if:
 - (1) The “Threshold Requirements” are met (listed on pages 4-5 of the notice);
 - (2) The application seeks to test two HUD priority policy initiatives (as described on pages 5-6 of the notice); AND
 - (3) The applicant does not fall under any category in the “Non-Eligible Applicants” section (discussed on pages 6-7 of the notice).



Eligibility

THRESHOLD REQUIREMENTS

- (1) Designated as a High Performer under the Public Housing Assessment System (PHAS);
- (2) No more than 5,000 total public housing units and HCVs;
- (3) Reporting rate of at least 95% in the Inventory Management System-Public Housing Information Center (IMS-PIC);
- (4) All required submissions into the Voucher Management System (VMS) are up-to-date;
- (5) Submissions made for the preceding three fiscal years into the Financial Assessment Sub-System (FASS-PH), Financial Data Schedule (FDS);



Eligibility

THRESHOLD REQUIREMENTS (cont.)

- (6) Absence of unaddressed finding from Inspector General (IG) audits, Independent Public Accountant (IPA) audits, Fair Housing and Equal Opportunity (FHEO) reviews, Tier I or II reviews, Rental Integrity Monitoring (RIM) reviews, American Reinvestment and Recovery Act (ARRA) monitoring, litigation, or any other on-site or remote reviews;
- (7) Obligation and expenditure of Capital Fund Program (CFP) grants in accordance with the requirements of Section 9(j) of the 1937 Act for the past three years;
- (8) Compliance with the Enterprise Verification (EIV) for tenant income verifications, reexaminations, and recertifications as required by the December 29, 2009 Final Rule (74 FR 68923);



Eligibility

THRESHOLD REQUIREMENTS (cont.)

- (9) Obligation and expenditure of ARRA grants, if applicable, in accordance with ARRA requirements, including all reporting requirements;
- (10) If an outstanding repayment agreement with the Department is in place, payments must be being made according to that agreement; AND
- (11) The applicant meets requirements under Section 3 of the Housing and Urban Development Act of 1968 (12 USC 1701u) and implementing regulations at 24 CFR part 135.



Eligibility

TESTING OF POLICY PRIORITIES

- 1) Required: ***Rent Reform Controlled Study*** - involves variations of the tenant rent calculations required by statute in order to promote one of the MTW statutory objectives

- 2) Must choose one of:
 - ***Housing Choice Mobility Demonstration***- design and test an activity that uses MTW flexibility to increase housing choices for residents through mobility programs in order to increase housing choice

 - ***Detailed MTW Block Grant Evaluation*** - propose a method to measure and evaluate aspects of the MTW block grant that result in quantifiable cost savings and other direct measurable outcomes, including additional families served and other quantifiable outputs that support the statutory objectives



Eligibility

NON-ELIGIBLE APPLICANTS

Despite meeting the eligibility requirements previously discussed, an applicant is NOT eligible if:

- The application is submitted by a consortium or group of multiple PHAs;
- The applicant PHA is a Section 8-only or public housing-only agency;
- The applicant fails under any of the categories listed on pages 6-7 of the notice with regard to fair housing matters.



Submission Requirements

SUBMISSION REQUIREMENTS OVERVIEW

Applications submitted in response to this notice must include:

- (1) Eligibility Certification
- (2) Evidence of Capability
- (3) MTW Plan
- (4) Evidence of Community Support and Involvement
- (5) Additional Information Regarding Implementation of the MTW Plan.



Submission Requirements

ELIGIBILITY CERTIFICATION

- 1) Certify eligibility requirements set forth in Section 3 of the Notice by completing the standard eligibility certification sheet.
- 2) Certify compliance with Fair Housing and Civil Rights Laws.
- 3) Certify to the consistency of the applicant's PHA Plan, amendments to the PHA Plan, and MTW Plan with the Consolidated Plan, which must include a certification showing preparation of an Analysis of Impediments to Fair Housing Choice.



Submission Requirements

EVIDENCE OF CAPABILITY

- 1) Summary of relevant skills/experience of implementing personnel
- 2) Discussion of PHA experiences/accomplishments
- 3) Examples of the PHA exhibiting innovation/creativity
- 4) PHAS sub-scores that were used in calculating the PHA's most recent composite PHAS score
- 5) Most recent SEMAP score
- 6) HCV utilization rate as of the due date and PHA's percent of HCV budget authority utilized in calendar year 2010
- 7) Public housing occupancy rate as of the due date
- 8) Certification that the agency has submitted its last three Agency Plans on time
- 9) Certification that the PHA is in compliance with HUD's asset management policy
- 10) Request for authority to use and combine assistance received under Sections 8 and 9 of the 1937 Act in order to implement MTW Plan



Submission Requirements

MTW PLAN

The MTW Plan must detail the applicant's first year of operation under the MTW demonstration and follow the order and format set forth in Attachment B of the notice (pages 18-20).

Attachment B of the notice contains eight sections:

- (I) Introduction
- (II) General Housing Authority Operating Information
- (III) Non-MTW Related Housing Authority Information
- (IV) Long-term MTW Plan
- (V) Proposed MTW Activities
- (VI) Ongoing MTW Activities
- (VII) Sources and Uses of Funding
- (VIII) Administrative



Submission Requirements

MTW PLAN (I) INTRODUCTION

This section should include a(n):

- Table of Contents
- Overview of the applicant's MTW goals and objectives for the first year of participation in the MTW demonstration

NOTE: *The applicant should detail the proposed start and duration of the initial MTW "Plan Year" and use these dates/windows consistently throughout the MTW Plan.*



Submission Requirements

MTW PLAN

(II) GENERAL HOUSING AUTHORITY OPERATING INFORMATION

This section should include information on the applicant's:

- Housing Stock
- Planned Leasing Information
- Waiting List Information



Submission Requirements

MTW PLAN

(III) NON-MTW HOUSING AUTHORITY INFORMATION

This section should include:

- Planned sources and uses of other HUD and Federal funds
- Description of non-MTW activities



Submission Requirements

MTW PLAN (IV) LONG-TERM PLAN

In this section, applicants should describe the PHA's long-term vision for the direction of its MTW program, extending through the duration of the MTW Agreement.

NOTE: *The MTW Agreement will run until the end of the PHA's 2018 fiscal year.*



Submission Requirements

MTW PLAN

(V) PROPOSED MTW ACTIVITIES

- This section should include all required information for EACH MTW ACTIVITY that the applicant proposes to implement in the initial plan year.
- Required information should be grouped by activity.
- Applicants must propose an activity that addresses the “Rent Reform Controlled Study” requirement of the notice. If the applicant selected the “Housing Choice Mobility Demonstration,” any MTW activity related to that initiative must be proposed.



Submission Requirements

MTW PLAN (VI) ONGOING MTW ACTIVITIES

This section does not apply to applicants under this notice. Applicant's may include a placeholder for this section, indicating that it is not applicable.



Submission Requirements

MTW PLAN
(VII) SOURCES AND USES OF FUNDING

and

(VIII) ADMINISTRATIVE

Applicants should provide all information listed in these sections.



Submission Requirements

EVIDENCE OF COMMUNITY SUPPORT AND INVOLVMENT

- 1) Evidence of community and resident participation in developing application, including a public hearing
- 2) Board resolution approving the MTW application and adopting the Annual MTW Plan Certifications of Compliance (provided)
- 3) Description of significant partnerships
- 4) Description of how the PHA intends to leverage funding and other in-kind resources
- 5) Description of how the MTW Plan, PHA Plan, and any amendments to the PHA Plan are consistent with the applicable Consolidated Plan(s) and a Certificate of Consistency showing that such plans or amendments have been determined by the appropriate jurisdiction(s) to be consistent with the applicable Consolidated Plan(s)



Submission Requirements

ADDITIONAL INFORMATION REGARDING IMPLEMENTATION OF MTW PLAN

- 1) Demographic information of families currently assisted by the PHA and of families to be assisted by the PHA under the proposed MTW Plan
- 2) Whether and how assistance will be targeted to families of different income levels by program and/or by site
- 3) Proposed schedule showing significant dates and milestones for implementation of the PHA's MTW application
- 4) Potential local and national impact of the MTW Plan if it were implemented
- 5) Extent to which the Plan has the potential as a replicable program model promoting the purposes of the MTW demonstration and furthering HUD's goal of expanding cross-cutting policy knowledge



SUBMISSION

- Applications are due 5:00 p.m. Eastern Standard Time on Wednesday, June 27, 2012
- Submit applications electronically as converted PDFs or as Microsoft Word documents (1997 version or higher) to: Dominique Blom; Deputy Assistant Secretary for Public Housing Investments; e-mail: mtw-info@hud.gov
- HUD will confirm all applications received upon receipt



SELECTION

- After eliminating PHAs that fail to meet the threshold criteria described in Section 3 of the Notice, HUD will evaluate, score and rank MTW applications based on the four criteria and associated point values set forth in Attachment C of the Notice
- HUD reserves the right to determine the number of applications selected in each of the policy priority areas in order to fulfill the strategic objectives of the MTW demonstration
- HUD will notify all applicants of the results of the selection process
- Applicants not selected may request a debriefing related to its application
- Selected agencies will enter into a Standard MTW Agreement with HUD, outlining the terms of participation, flexibilities, funding arrangements, etc., and will receive comments on the proposed MTW Plan so that it may be approved by HUD. Selected agencies may not implement any MTW activities until the Standard MTW Agreement is executed and the MTW Plan is approved.



OTHER RESOURCES

PIH NOTICE 2012-16 WEBSITE

Applicants should go to www.hud.gov/mtw and click on the “MTW Competition Notice” link. This webpage contains:

- PIH Notice 2012-16: Request for Applications under the Moving to Work Demonstration Program for Fiscal Year 2011
- MTW Competition Notice FAQ (this document will be updated regularly, so applicants should check back often)
- A link to an archived version of this webcast and today’s PowerPoint presentation
- Microsoft Excel version of the “Eligibility Worksheet”



Q&A

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