

BEAR RIVER BAND of ROHNERVILLE RANCHERIA

27 BEAR RIVER DR. LOLETA, CA 95551 707.733.1900, fax 733.1972



Resolution No: 08-41
Date Approved: May 28, 2008

A RESOLUTION BY THE BEAR RIVER BAND OF ROHNERVILLE RANCHERIA TRIBAL COUNCIL AUTHORIZING SUBMITTAL OF AN APPLICATION TO THE 2008 HUD RURAL HOUSING AND ECONOMIC DEVELOPMENT PROGRAM FOR THE BEAR RIVER BAND ESTATES I INFRASTRUCTURE CONSTRUCTION PROJECT FOR THE PRIMARY BENEFIT OF VERY LOW- AND LOW- INCOME PERSONS, CERTIFIES ADOPTION OF FINANCIAL AND PROCUREMENT PROCEDURES, COMMITS LEVERAGE FUNDING FOR THE PROJECT, AND CERTIFIES ADOPTION OF BUILDING CONSTRUCTION STANDARDS,

WHEREAS, the Bear River Band of Rohnerville Rancheria is a federally recognized body of the American Indian Tribes, located in California, and has a government to government relationship with the United States of America; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria is a federally-recognized Indian Tribe and is an eligible recipient under the Indian Self-Determination and Education Act (P.L. 93-638) and is eligible for all rights and privileges afforded to federally recognized Indian tribes; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria has adopted a priority goal of continuing its efforts in the area of community development and in particular, affordable housing and job creation; and,

WHEREAS, the Bear Band River Band of Rohnerville Rancheria has determined that the proposed project is located in an eligible rural area as defined in the RHED program NOFA; and

WHEREAS, the Bear River Band of Rohnerville Rancheria has adopted Financial Procedures by Resolution 03-15 on June 9, 2003 and Procurement Procedures by Resolution 98-58 on December 1, 1998 and hereby certifies that these procedures remain in effect; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria does not have adequate housing or jobs for its members; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria will not use funds available through this project to serve households that have been

evicted from HUD-assisted housing within the past five years except in emergency situations; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria Tribal Council (the elected governing body of the Tribe) and the Tribal members have determined that there is a great need for the Bear River Estates I Infrastructure Construction to support Innovative Housing and Economic Development; and,

WHEREAS, funding for this purpose has been made available to the Tribe through the HUD Rural Housing and Economic Development Program; and,

WHEREAS, the Bear River Band of Rohnerville Rancheria will comply with local building codes and has adopted the latest version of the Uniform Building Code (UBC) for construction; and,

WHEREAS, the project within the application will benefit from a commitment of leveraging funds totaling \$2,534,792 of which \$1,000,000 has been made available through the Bureau of Indian Affairs Indian Reservation Roads High Priority Project and the balance of \$1,534,792 from Tribal funds and other sources as available; and,

WHEREAS, the Rural Housing and Economic Development Program requirements include providing economic opportunities for low- and very low-income persons (Section 3) and that this requirement can be fulfilled by committing to employ Section 3 residents as 10% of the aggregate number of new hires for each year over the duration of the Section 3 project and committing that this same requirement will be imposed on all contractors working on the project;

NOW THEREFORE BE IT FURTHER RESOLVED, that the Bear River Band of Rohnerville Rancheria hereby commits contributions totaling \$1,534,792 towards the proposed Bear River Band Estates I Infrastructure Construction project. This commitment is further detailed in the proposed HUD 2008 RHED application and will be available within sixty (60) days of the notice of award; and,

BE IT FURTHER RESOLVED, that the Bear River Band of Rohnerville Rancheria is an eligible applicant of HUD's FY 2008 Rural Housing and Economic Development Program and hereby authorizes its Tribal Chairperson to submit the Tribe's application to the Rural Housing and Economic Development Program; and,

BE IT FURTHER RESOLVED, that the proposed project is located in an eligible rural area as defined by the RHED program NOFA; and,

BE IT FURTHER RESOLVED, that the Bear River Band of Rohnerville Rancheria commits to employ Section 3 residents as 10% of the aggregate number of new hires for each year over the duration of the Section 3 project and

committing that this same requirement will be imposed on all contractors working on the project; and,

BE IT FINALLY RESOLVED, that the Tribal Chairperson is also authorized to negotiate and sign the grant agreement and any amendments thereto.

C*E*R*T*F*I*C*A*T*I*O*N

As the Bear River Band of Rohnerville Rancheria Tribal Council we do hereby certify that at a meeting duly called, noticed and convened on May 28, 2008 at which time a quorum was present, this Resolution was adopted by a vote of 5 IN FAVOR, 0 OPPOSED, 0 ABSTENTIONS, and 0 ABSENT.

Attested by: Leonard Bowman 5-28-08
Leonard Bowman, Tribal Chairperson Date

Aileen Meyer 5-28-08
Aileen Meyer, Council Secretary Date



IN REPLY REFER TO:

United States Department of the Interior

BUREAU OF INDIAN AFFAIRS
Washington, D.C. 20240

APR 15 2008

Leonard Bowman, Chairman
Bear River Band of Rohnerville Rancheria
27 Bear Rive Drive
Loleta, California 95551

Dear Chairman Bowman:

Thank you for your December 21, 2007, Indian Reservation Roads High Priority Project (IRRHPP) application for project funds for the Rohnerville Rancheria road project. We are pleased to inform you that your application for the road project has been approved for Fiscal Year (FY) 2008 IRRHPP funding.

In accordance with Section 170.211, of 25 CFR 170, Indian Reservation Roads Program, authorized under the Transportation Equity Act for the 21st Century, your high priority project has been placed on the FY2008 IRRHPP Funding Priority List. Your requested amount of \$1,000,000 is to be distributed to the Bureau of Indian Affairs (BIA) Pacific Regional Office. You are requested to work through the region office in advancing this project forward.

The regulations (25 CFR 212) require that all IRRHPP funds be obligated on or before August 15, 2008. The BIA region office will work with you in obligating these funds and advancing this project to construction. If it is anticipated that the BIA cannot obligate these funds by the end of the fiscal year, IRRHPP funds assigned to your approved project must be returned to the Federal Highway Administration (FHWA) by August 1, 2008, in accordance with redistribution procedures. The FHWA will redistribute these amounts next fiscal year to your approved project.

We thank you for your participation in the high priority project program and look forward to the successful completion of your project. If you have further questions regarding this matter, please contact our office, BIA Division of Transportation at (202) 513-7711 or (505) 563-3322.

Sincerely,

Chief, Division of Transportation

BEAR RIVER BAND of ROHNERVILLE RANCHERIA

27 BEAR RIVER DR. LOLETA, CA 95551 707.733.1900, fax 733.1972



May 28, 2008

Department of Housing and Urban Development
Rural Housing and Economic Development Program

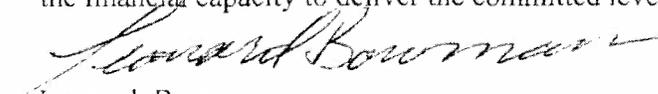
Re: FY2008 Rural Housing and Economic Development Program Application
Bear River Band of Rohnerville Rancheria: Commitment of Leveraging Funds

To Whom It May Concern,

The Bear River Band of Rohnerville Rancheria herewith commits Leveraging funds totaling \$2,534,792 to the FY2008 HUD Rural Housing and Economic Development Program application submitted by the Tribe in accordance with Tribal Council Resolution. These Leveraging funds will be in the form of the Tribal General Fund cash commitment (\$1,534,792) and Bureau of Indian Affairs Indian Reservation Roads High Priority Project contribution (\$1,000,000) required to support the RHED proposed project. The RHED project proposed with the FY2008 application is for the construction of infrastructure improvements necessary to support the affordable housing and economic development proposed for the site including roadways, sidewalks, utilities service, water, and wastewater facilities.

The Tribe commits all Tribal funds necessary to successfully construct the infrastructure services described in the FY2008 RHED project application, regardless of funding available from other sources including Indian Health Service.

The Bear River Band of Rohnerville Rancheria is a California non-gaming Tribe and is an eligible recipient of California Revenue Sharing Trust Fund distributions. The Tribe has received these funds for the past five years and will continue to receive them in the future. These funds are specifically targeted to Tribal program support including the FY2008 RHED. The total annual distribution approximates \$1.1 million (see <http://www.cgcc.ca.gov/rstfi.html>). The Bear River Band of Rohnerville Rancheria has the financial capacity to deliver the committed leveraging.


Leonard Bowman
Tribal Chairperson

**Race and Ethnic Data
Reporting Form**

U.S. Department of Housing
and Urban Development
Office of Administration

OMB Approval No. 2535-0113
(exp. 10/31/2009)

Program Title:
HUD Rural Housing & Economic Development

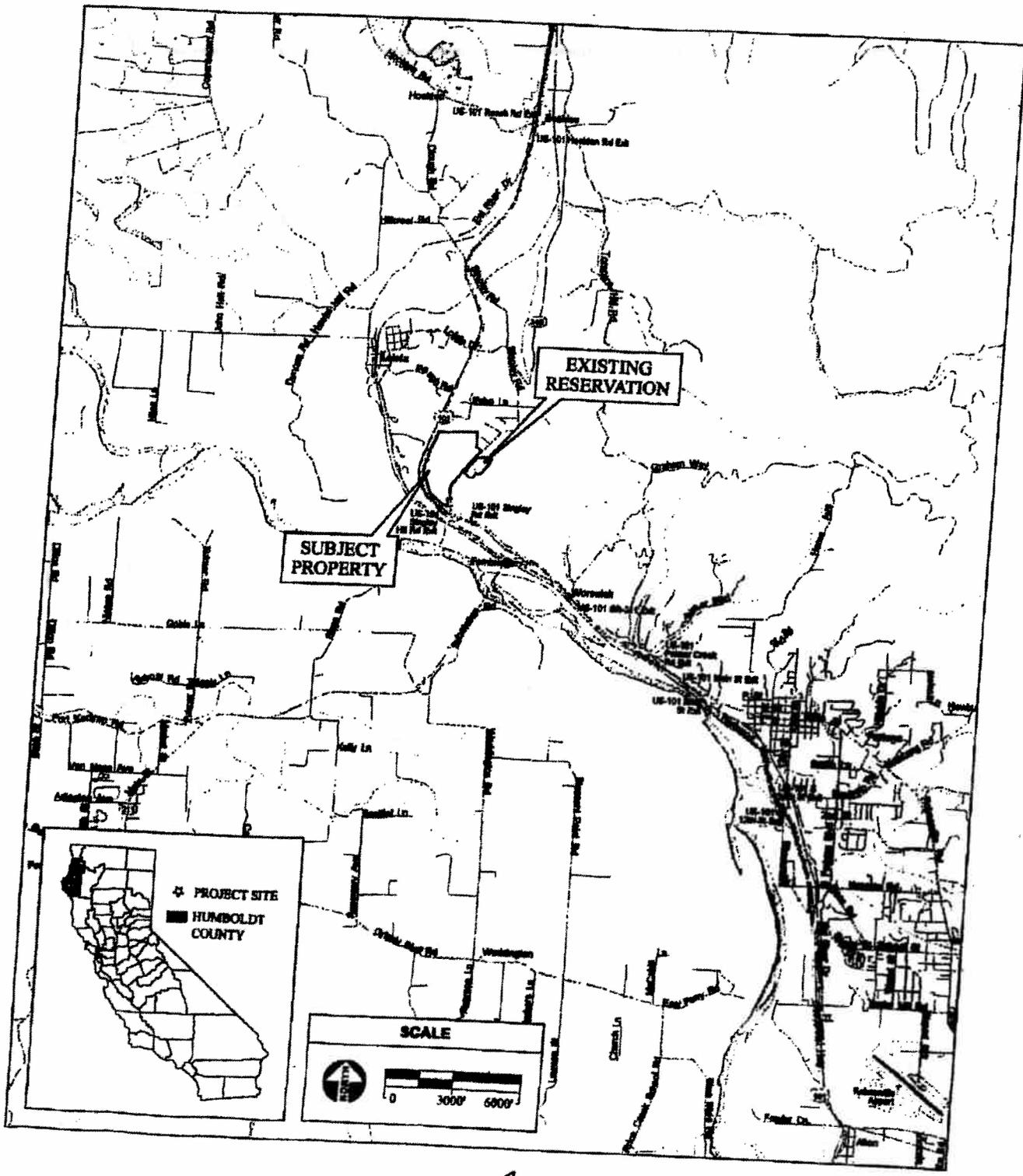
Grantee/Recipient Name:
Bear River Band of Rohnerville Rancheria

Grantee Reporting Organization:

Reporting Period From (mm/dd/yyyy): 05/30/2008 To (mm/dd/yyyy): _____

Racial Categories	Total Number of Race Responses	Total Number of Hispanic or Latino Responses
American Indian or Alaska Native	143	
Asian		
Black or African American		
Native Hawaiian or Other Pacific Islander		
White		
American Indian or Alaska Native <i>and</i> White		
Asian <i>and</i> White		
Black or African American <i>and</i> White		
American Indian or Alaska Native <i>and</i> Black or African American		
* Other multiple race combinations greater than one percent: [Per the form instructions, write in a description using the box on the right]		
Balance of individuals reporting more than one race		
Total:	143	0
* If the aggregate count of any reported multiple race combination that is not listed above exceeds 1% of the total population being reported, you should separately indicate the combination. See detailed instructions under "Other multiple race combinations."		

Public reporting burden for this collection is estimated to average 1.15 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the information collection instrument. HUD may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.



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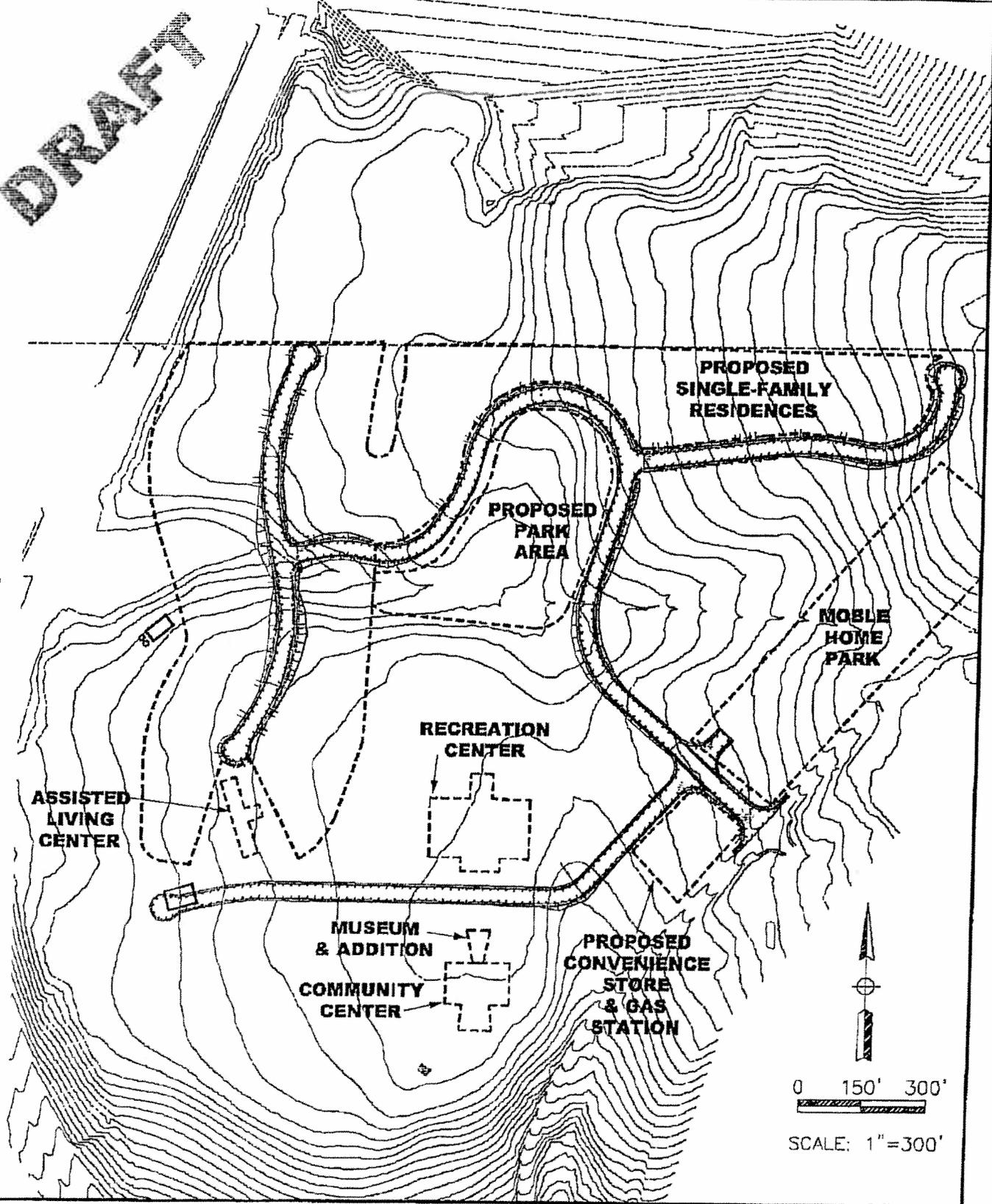
UD #



LACO ASSOCIATES
CONSULTING ENGINEERS
21 W 4TH ST. EUREKA, CA 95501 (707)443-5034

PROJECT	CONCEPTUAL SITE LAYOUT	BY	JB	FIGURE	1
CLIENT	BEAR RIVER BAND ESTATES	DATE	05/14/08	JOB NO.	
LOCATION	APN's 309-051-004 & 309-017-016	CHECK	BWD		
	SITE PLAN	SCALE	1"=300'		6588.00

DRAFT



May 27, 2008 - 4:53pm
T:\Cedfiles\6500\6586\dwg\6586 CV CONCEPTUAL\6586 CONCEPTUAL SITE LAYOUT 2008-5-14.dwg



BEAR RIVER BAND OF ROHNERVILLE RANCHERIA
CODE OF ETHICS IN PUBLIC CONTRACTING

A. GENERAL

The Bear River Band of Rohnerville Rancheria shall adhere to the following code of conduct, consistent with applicable tribal or federal law, and comply with ICDBG/NAHASDA regulations.

B. CONFLICT OF INTEREST

No employee, officer or agent of the Bear River Band of Rohnerville Rancheria shall participate directly or indirectly in the selection of, or in the award of, or administration of any contract if a conflict, real or apparent, would be involved. Such conflict would arise when a financial or other interest in a firm selected for award is held by:

1. An employee, officer, or agent involved in making the award;
2. An employee's, officer's, or agent's relative (including grandfather, grandmother, father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, and half sister); or
3. An employee's, officer's, or agent's partner; or,
4. An organization which employs, is negotiating to employ, or has any arrangement concerning prospective employment of any of the above.

C. GRATUITIES, KICKBACKS, AND USE OF CONFIDENTIAL INFORMATION

Bear River Band of Rohnerville Rancheria officers, employees or agents shall not solicit or accept gratuities, favors; or anything of monetary value

from contractors, potential contractors, or parties to subcontracts, and shall not knowingly use confidential information for actual personal gain.

D. PROHIBITION AGAINST CONTINGENT FEES

Contractors shall not retain a person to solicit or secure a Bear River Band of Rohnerville Rancheria contract for a commission, percentage, brokerage, or contingent fee, except for bona fide employees.

C*E*R*T*I*F*I*C*A*T*I*O*N

This is to certify that the Bear River Band of Rohnerville Rancheria Tribal Council adopted the foregoing Code of Ethics in Public Contracting on December 23, 2003 by a vote of 5 IN FAVOR, 0 OPPOSED, 0 ABSTAINING, and 0 ABSENT.

Attested by: James Moon, Jr.
James Moon, Jr.
Tribal Council Chairperson

12-23-03
Date

Aileen Meyer
Aileen Meyer
Tribal Council Secretary

12-23-03
Date

000710

BEAR RIVER BAND of ROHNERVILLE RANCHERIA
32 BEAR RIVER DR. LOLETA, CA 95661 707.733.1900, fax 733.1972



BEAR RIVER BAND OF ROHNERVILLE RANCHERIA
ACKNOWLEDGEMENT OF CODE OF ETHICS
IN PUBLIC CONTRACTING

This is to certify that I, _____
have received a copy of the Bear River Band of Rohnerville Rancheria's Code of
Ethics In Public Contracting. I understand that if I violate any part of this Code,
that disciplinary action will be taken which may include strict enforcement of
applicable Tribal and Federal law and termination of my employment and/or
contract with the Bear River Band of Rohnerville Rancheria.

Signature

Date

Witness:

Signature

Date

11 08

BEAR RIVER BAND of ROHNERVILLE RANCHERIA

27 BEAR RIVER DR. LOLETA, CA 95551 707.733.1900, fax 733.1872



BEAR RIVER BAND OF ROHNERVILLE RANCHERIA

CODE OF PERSONAL AND BUSINESS CONDUCT

A. General Policy Statement:

It is the policy of the Bear River Band of Rohnerville Rancheria to conduct its business in accordance with the highest ethical standards to merit the confidence and trust of all Tribal members and the public in general. Tribal Council, staff members and volunteers will conduct their personal affairs and manage their business transactions in a manner that does not result in adverse comment from the public or in any way damage the Tribe's reputation. This policy addresses both business and social relationships that may present legal and ethical concerns. This policy applies to all Tribal Council members, employees and volunteers ("Individuals") working in any capacity at or for the Bear River Band of Rohnerville Rancheria, including all employees, volunteers, and/or members of a board of any subsidiary or division of the Bear River Band of Rohnerville Rancheria.

- 1. Compliance with Laws and Regulations:** It is the policy of the Tribe to fully comply with the spirit and intent of all applicable laws and regulations. The Tribe expects its Council members, employees and volunteers to use good judgment and high ethical standards and to refrain from any form of illegal, dishonest or unethical conduct.
- 2. Administration of Code of Conduct:** It is the responsibility of each person to be familiar with the Tribe's Code of Personal and Business Conduct ("Code"). Supervisors are expected to make every reasonable effort to ensure staff members continue to comply with the provisions of the Code.

The Tribal Council will administer the Code and will be responsible for matters of interpretation of this code as it pertains to current personnel policies and procedures and any other policies of the Tribe. Tribal Council will also review and coordinate changes to the Code. The Tribal Council may assign responsibility for review and interpretation of the code to an independent body selected by the Tribal Council.

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Tribal Council members, employees or volunteers who violate the provisions of the Code of Personal and Business Conduct may be subject to disciplinary action up to and including dismissal from services.

B. Conflict of Interest:

1. **Policy:** A conflict of interest is defined as any individual's involvement in an outside interest that might either conflict with the individual's duty to the Tribe or adversely affect the individual's judgment in the performance of his or her responsibilities.

Further, it is the Tribe's policy that individuals do not engage in personal conduct that will conflict with the interests of the Tribe. The appearance of a conflict should be avoided as well since even the appearance can be as damaging to the Tribe's reputation as an actual conflict.

If a situation that cannot be avoided causes a potential conflict of interest, the individual must report the situation immediately to the Tribal Council through regular supervisory channels.

2. **Acceptance of Gifts:** Individuals and their immediate families will not solicit, accept or retain a benefit for themselves or their immediate family or for any third party from any individual or organization doing or seeking to do business with the Tribe or any subsidiary or division of the Bear River Band of Rohnerville Rancheria.

Specific exception to this prohibition for acceptance of gifts is made if there is no, and there appears to be no, reasonable likelihood of improper influence in the individual's performance of duties on behalf of the Tribe. Examples of possible exceptions might include:

- Normal business courtesies, such as a meal, refreshment or entertainment of reasonable value involving no more than ordinary amenities, in the course of a meeting or other occasion, the purpose of which is to hold bona fide business discussions.
- Non-cash gifts of reasonable value (less than \$75.00) such as those received at holiday time or special occasions, such as a new job, a promotion, wedding or retirement, which represents expression of friendship. Specific information regarding cash tips or other cash gifts can be found in departmental policy manuals (i.e., procurement).
- Gifts based upon kinship, marriage or social relationships entirely beyond and apart from any business relationship.

- Unsolicited advertising and promotional material of nominal value.
- Cash awards given by charitable, education, civic or religious organizations for meritorious contributions or service.
- Discounts or rebates on merchandise or services that do not exceed those available to other customers.

Any personal benefit offered or received, other than exceptions noted above, is to be reported to the Tribal Council through regular supervisory channels.

3. Political Contributions: It is the policy of the Bear River Band of Rohnerville Rancheria to strictly comply with all applicable federal political campaign laws. In accordance with federal law, no individual will make any direct or indirect contribution of funds or other property of the Tribe in connection with the election of a candidate to any political office. The Tribe's policy regarding political contributions is not intended to discourage individuals from making personal contributions to candidates or political parties of their choice.

4. Outside Employment: The Tribe expects each individual to devote his/her best effort to the work of the Tribe. Therefore, the Tribe does not encourage any individual to accept a position in addition to tribal employment. The Tribe does recognize, however, that economic necessity sometimes dictates additional employment, and therefore only requires that additional employment not present a conflict of interest or interfere with the individual's Tribal job performance.

5. Volunteer Work: The Tribe encourages individual participation in activities that benefit the Tribe or other civic/community activities. Normal, voluntary efforts must take place outside of regular business hours. If voluntary efforts require business time, prior approval must be obtained from the supervisor for the individual to participate. In cases where requests are made for voluntary use of business time, the best interests of the Tribe must prevail.

C. Confidentiality/Proprietary Information

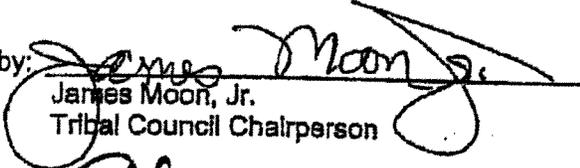
The Tribe has developed certain proprietary information and processes that are unique to Bear River Band of Rohnerville Rancheria. Keeping such information confidential plays an important part in our success. The Tribe protects proprietary information by restricting employee and visitor access to certain designated Tribal areas to only those who have business there.

Individuals are asked, and by virtue of signing the policy acknowledgement, to agree to keep our confidentiality secure. This grants the Bear River Band of Rohnerville Rancheria the rights to any product associated with our Tribal Government and confirms the Individual's agreement not to divulge any confidential information. Violation of this policy may result in disciplinary action, up to and including termination.

C*E*R*T*I*F*I*C*A*T*I*O*N

This is to certify that the Bear River Band of Rohnerville Rancheria Tribal Council amended and adopted the foregoing Code of Personal and Business Conduct on March 24, 2004 by a vote of 5 IN FAVOR, 0 OPPOSED, 0 ABSTAINING, and 0 ABSENT.

Attested by:


James Moon, Jr.
Tribal Council Chairperson

3-24-04
Date


Aileen Meyer
Tribal Council Secretary

3-25-04
Date

15 

BEAR RIVER BAND of ROHNERVILLE RANCHERIA

32 BEAR RIVER DR. LOLETA, CA 95661 707.733.1900, fax 733.1972



BEAR RIVER BAND OF ROHNERVILLE RANCHERIA

**ACKNOWLEDGEMENT OF CODE OF
PERSONAL AND BUSINESS CONDUCT**

This is to certify that I, _____
have received a copy of the Bear River Band of Rohnerville Rancheria's Code of
Personal and Business Conduct. I understand that if I violate any part of this
Code, that disciplinary action will be taken which may include strict enforcement
of applicable Tribal and Federal law and termination of my employment and/or
contract with the Bear River Band of Rohnerville Rancheria.

Signature

Date

Witness:

Signature

Date

1/2
[Handwritten marks]

~~III-B~~

[Redacted]

[Redacted]

[Redacted]

Experience

2001-present Bear River Band of Rohnerville Rancheria Loleta, CA
Finance/Housing Director

- Responsibilities for all aspects of Tribal government accounting, financial reporting, and draw downs. Duties include payroll, accounts payable, general ledger, bank reconciliations, quarterly financial reports, and annual audit for BIA, HUD, EPA, and HHS programs. Supervised a staff accountant. Trained in HUD financial and compliance requirements. Unqualified audit opinions and no audit findings every fiscal year. Also responsible for HUD, ICDBG and IHBG programs including application preparation, Indian Housing Plan, and Annual Performance Report. Successfully managed ICDBG 2000, 2002, and 2003 grant implementation. All required HUD reports submitted complete and in a timely manner.

1999-2000 US Census Bureau Seattle, WA
Quality Control Crew Leader

- Supervise Census QC crew field operation in five-county Northern California area. Train crew, assign work, pre-submittal data quality evaluation, resolve field encounter difficulties.

1990-1998 Burl Arts Cooperative Farndale, CA
Owner/Operator

- Developed & operated wood processing & furniture/cabinet making business including supplier & customer relationship development, temporary employee hiring and supervision, capital acquisition & installation, and manufacturing process design & implementation.

1986-1989 Cypress Semiconductor San Jose, CA
Sales Representative

- Staff of four analysts and cost accountants. Responsibilities included annual financial operating budget, monthly & quarterly financial forecasts, standard & actual product cost, new capital & personnel authorization management reviews, new subsidiary business plan financials, corporate performance control measures development & implementation.

1984-1986 Fairchild-Weston CCD Imaging Sunnyvale, CA
Senior Cost & Price Analyst

- Responsibilities include US Department of Defense contract cost & pricing data, DOD contract audit liaison, development of complex database spreadsheet application to automate contract accounting data collection requirements, inventory & fixed assets accounting, and budgeting/forecasting.

17
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1983-1984 Shugart Associates Sunnyvale, CA

Cost Accountant

- Responsible for annual standard cost revision, material price variance analysis, scrap & rework analysis, and related monthly accounting close. 24-32 hours weekly while attending full-time MBA program.

1978-1982 Acurex Corporation Mountain View, CA

Staff Engineer

- Industrial project construction supervision, testing and startup, unscheduled troubleshooting & repair, prototype testing under Department of Energy contracts.

Education

MBA Finance & Accounting, University of California at Berkley, 1984, BS Chemical Engineering, UC Berkley, 1987, Regents Scholar, academic honors

18
1993 1994 1995 1996

JOB TITLE: Housing and Community Development Director

HOURS: varies

WAGE: DOE

REPORTS TO: Tribal Administrator

RESPONSIBILITIES:

1. Planning, development, and coordination of Tribal housing and community planning.
2. Coordinate, assess needs and administer Indian Housing and Community Development (NAHASDA, ICDBG, BIA-HIP) with related agents and contractors.
3. Prepare and submit required reports to SWONAP office.
4. Monitor budget allocations for Tribal housing activities; initiate required financial reports to NAHASDA.
5. Provide oral and written reports to Tribal Council regarding housing activities and attainment of goals/objectives within the IHP.
6. Initiate the solicitation, review and selection of licensed contractors for related construction activities in coordination with the Tribal Council.
7. Participate in training related to housing to increase knowledge base and abilities of the Housing Coordinator enabling delivery of quality housing services to the Tribe.
8. Other duties as assigned by the Tribal Council, Tribal Administrator, Housing Committee, and related grant programs/projects.
9. Interpret and enforce federal regulations, ensure compliance, and carry out the Housing Policies.

QUALIFICATIONS:

1. BA/BS degree with some college level courses in business administration or related field.
2. One year proven experience working in Tribal Housing Programs, HUD, NAHASDA and/or BIA/HIP projects.
3. Two or more years of supervisory experience and administrative level work in a similar or related position.
4. Proven grant writing knowledge and ability.
5. Possess valid California driver's license, be insurable under Tribal vehicle insurance plan, and have reliable transportation.

In accordance with 34CFR, Native American preference will be given in hiring.

19
[Handwritten marks]

The U.S. Department of Housing and Urban Development
Southwest Office of Native American Programs

Presents This

Certificate of Notable Leadership

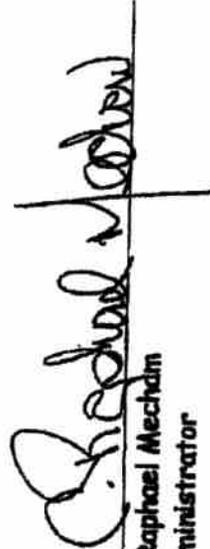
To

BEAR RIVER BAND OF THE ROHNERVILLE RANCHERIA

In recognition of valuable contributions in the
service of housing tribal members

Finance Director for the Bear River Band of the Rohnerville Rancheria, also serves as a "jack-of-all-trades" for the tribe. Bruce has assisted the tribe in obtaining funds for new housing in a community where it was desperately needed. Through his efforts and innovative approaches, the tribe has purchased land and begun the construction of new homes. He also pursued financing options for the tribe's over-income families, worked closely with state entities to maximize funding resources, and increased the tribe's IHBG allocation by successfully appealing the formula population data. These accomplishments have resulted in improved housing opportunities for tribal members.




C. Raphael Mechain
Administrator

11/1/05
Date

**Data Collection Form for Reporting on
AUDITS OF STATES, LOCAL GOVERNMENTS, AND NON-PROFIT ORGANIZATIONS**

RETURN TO: Federal Audit Clearinghouse, 1201 E. 10th Street, Jeffersonville, IN 47132

Form SF-SAC(1-15-2004)

OMB #0348-0057

EIN : 680085465

Part I: GENERAL INFORMATION	
1. Fiscal year ending date for this submission (mm/dd/yyyy) 12/31/2006	2. Type of Circular A-133 audit <input checked="" type="radio"/> Single audit
3. Audit period covered <input checked="" type="radio"/> Annual	FEDERAL GOVERNMENT USE ONLY 4. Date received by clearinghouse
5. Auditee Identification Numbers a. Primary Employer Identification Number (EIN) 680085465	b. Are multiple EINS covered in this report? <input checked="" type="radio"/> No c. If Part I, Item 5b = "Yes," complete Part I, Item 5c on the continuation sheet on Page 4.
d. Data Universal Numbering System (DUNS) Number [REDACTED]	b. Are multiple DUNS covered in this report? <input checked="" type="radio"/> No c. If Part I, Item 5e = "Yes," complete Part I, Item 5f on the continuation sheet on Page 4.
6. AUDITEE INFORMATION	7. AUDITOR INFORMATION
a. Auditee name BEAR RIVER BAND OF ROHNERVILLE RANCHERIA	a. Auditor name JACKSON & EKLUND, AN ACCOUNTANCY CORPORATION
b. Auditee address (Number and street) 32 BEAR RIVER DRIVE	b. Auditor address (Number and street) 1703 GIUNTOLI LANE
City LOLETA	City ARCATA
State Zip Code CA 95551 -	State Zip Code CA 95521 -
c. Auditee contact Name DAKOTA MCGINNIS	c. Auditor contact Name ROBERT SUTTER, CPA
Title FINANCE DIRECTOR	Title AUDIT PARTNER
d. Auditee contact telephone (707) 733 - 1900	d. Auditor contact telephone (707) 822 - 4835
e. Auditee contact FAX (Optional) (707) 733 - 1972	e. Auditor contact FAX (Optional) (707) 822 - 6219
f. Auditee contact E-mail (Optional) FINANCEDIRECTOR@BEARRIVERTRIBE.COM	f. Auditor contact E-mail (Optional) RSUTTER@JACKSONANDEKLUND.COM
g. AUDITEE CERTIFICATION STATEMENT - This is to certify that, to the best of my knowledge and belief, the auditee has: (1) engaged an auditor to perform an audit in accordance with the provisions of OMB Circular A-133 for the period described in Part I, Items 1 and 3; (2) the auditor has completed such audit and presented a signed audit report which states that the audit was conducted in accordance with the provisions of the Circular; and, (3) the information included in Parts I, II, and III of this data collection form is accurate and complete. I declare that the foregoing is true and correct.	g. AUDITOR STATEMENT - The data elements and information included in this form are limited to those prescribed by OMB Circular A-133. The information included in Parts II and III of the form, except for Part III, Items 7, 8, and 9a-9f, was transferred from the auditor's report (s) for the period described in Part I, Items 1 and 3, and is not a substitute for such reports. The auditor has not performed any auditing procedures since the date of the auditor's report (s). A copy of the reporting package required by OMB Circular A-133, which includes the complete auditor's report (s), is available in its entirety from the auditee at the address provided in Part I of this form. As required by OMB Circular A-133, the information in Parts II and III of this form was entered in this form by the auditor based on information included in the reporting package. The auditor has not performed any additional auditing procedures in connection with the completion of this form.
Signature of certifying official Name/Title of certifying official DAKOTA MCGINNIS FINANCE DIRECTOR	Date 9/30/2007

21

	Signature of auditor	Date 9/30/2007
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FAC DETERMINED TYPE OF ENTITY: Indian Tribe-Wide/Alaskan Native Village-Wide

Page 2

Page 3

Page 4 - EIN continuation

Page 4 - DUNS continuation

Perform a New Query

22

Form SF-SAC(1-15-2004) OMB #0348-0057

EIN : 680085465

Part II: FINANCIAL STATEMENTS (To be completed by auditor)	
1. Type of audit report Mark either: any combination of:	Unqualified opinion OR 2 <input checked="" type="checkbox"/> Qualified opinion 3 <input checked="" type="checkbox"/> Adverse opinion Disclaimer of opinion
2. Is a "going concern" explanatory paragraph included in the audit report? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Is a reportable condition disclosed? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No - SKIP to Item 5
4. Is any reportable condition reported as a material weakness? Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. Is a material noncompliance disclosed? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Part III: FEDERAL PROGRAMS (To be completed by auditor)	
1. Does the auditor's report include a statement that the auditee's financial statements include departments, agencies, or other organizational units expending \$500,000 or more in Federal awards that have separate A-133 audits which are not included in this audit? (AICPA Audit Guide, Chapter 12) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2. What is the dollar threshold to distinguish Type A and Type B programs? (OMB Circular A-133 § 520(b)) \$300000.00	
3. Did the auditee qualify as a low-risk auditee? (§ 530) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4. Is a reportable condition disclosed for any major program? (§ 510(a)(1)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No - SKIP to Item 7	
5. Is any reportable condition reported as a material weakness? (§ 510(a)(1)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
6. Are any known questioned costs reported? (§ 510(a)(3) or (4)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
7. Were Prior Audit Findings related to direct funding shown in the Summary Schedule of Prior Audit Findings? (§ 315(b)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
8. Indicate which Federal agency(ies) have current year audit findings related to direct funding or prior audit findings shown in the Summary Schedule of Prior Audit Findings related to direct funding. (Mark (x) all that apply or None.) Each agency identified is required to receive a copy of the reporting package. 00 <input checked="" type="checkbox"/> None	
<i>In addition, one copy each of the reporting package is required for:</i> 1the Federal Audit Clearinghouse archives 0and, if not marked above, the cognizant agency 1 Total number of reporting packages to be submitted	

FAC DETERMINED CURRENT YEAR DIRECT FINDINGS: **NO**
 FAC DETERMINED COGNIZANT(C) OR OVERSIGHT(O) AGENCY*: **O**
 (*Please refer to the FAQ's for definitions)
 FAC DETERMINED COGNIZANT OR OVERSIGHT AGENCY FEDERAL AGENCY PREFIX: **14**
 (Federal Agency Prefix List for definitions)
 FAC DETERMINED TYPE OF AUDIT REPORT ON MAJOR PROGRAM COMPLIANCE BASED ON 1997-2003 SF-SAC FORM INSTRUCTIONS: **U**

Page 1

Page 3

Page 4 - EIN continuation

Page 4 - DUNS continuation

Perform a New Query

23

Form SF-SAC(1-15-2004) OMB #0348-0057

EIN : 680085465

Part III: FEDERAL PROGRAMS Continued										
9. FEDERAL AWARDS EXPENDED DURING FISCAL YEAR									10. AUDIT FINDINGS	
Row	CFDA Number		Research and development (c)	Name of Federal program (d)	Amount expended (e)	Direct award (f)	Major program		Type(s) of compliance requirement (s) (a)	Audit finding reference number (s) (b)
	Federal Agency Prefix (a)	Extension (b)					Major program (g)	If yes, type of audit report (h)		
1	15	.020	<input checked="" type="checkbox"/> N	CONSOLIDATED TRIBAL GOVERNMENT PROGRAM	\$ 293,996	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> Y	U	O	N/A
2	15	.037	<input checked="" type="checkbox"/> N	WATER RESOURCES ON INDIAN LANDS	\$ 4,280	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
3	15	.024	<input checked="" type="checkbox"/> N	INDIAN SELF-DETERMINATION CONTRACT SUPPORT	\$ 78,826	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
4	66	.605	<input checked="" type="checkbox"/> N	PERFORMANCE PARTNERSHIP GRANT	\$ 181,161	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
5	16	.582	<input checked="" type="checkbox"/> N	TRIBAL VICTIM ASSISTANCE	\$ 57,031	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
6	16	.589	<input checked="" type="checkbox"/> N	RURAL DOMESTIC VIOLENCE AND CHILD VICTIMIZATION ENFORCEMENT GRANT PROGRAM	\$ 108,893	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
7	16	.731	<input checked="" type="checkbox"/> N	YOUTH EMPOWERMENT PROGRAM	\$ 72,876	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
8	16	.587	<input checked="" type="checkbox"/> N	VIOLENCE AGAINST WOMAN DISCRETIONARY GRANTS FOR INDIAN TRIBAL GOVERNMENT	\$ 35,992	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
9	14	.862	<input checked="" type="checkbox"/> N	INDIAN COMMUNITY BLOCK GRANT	\$ 1,079,952	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> Y	U	O	N/A
10	14	.867	<input checked="" type="checkbox"/> N	INDIAN HOUSING BLOCK GRANT	\$ 142,542	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A

24

11	14	.250	<input checked="" type="checkbox"/> N	RURAL HOUSING AND ECONOMIC DEVELOPMENT PROGRAM	\$ 400,000	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> Y	U	O	N/A
12	93	.575	<input checked="" type="checkbox"/> N	CHILD CARE DEVELOPMENT BLOCK GRANT	\$ 18,828	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
13	93	.596	<input checked="" type="checkbox"/> N	CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE DEVELOPMENT	\$ 30,823	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
14	93	.047	<input checked="" type="checkbox"/> N	SPECIAL PROGRAMS FOR THE AGING	\$ 108,700	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
15	93	.CA04C03	<input checked="" type="checkbox"/> N	SANITATION FACILITIES	\$ 42,761	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
16	93	.571	<input checked="" type="checkbox"/> N	COMMUNITY SERVICES BLOCK GRANT FORMULA AND DISCRETIONARY AWARDS COMMUNITY	\$ 1,320	<input checked="" type="checkbox"/> N	<input checked="" type="checkbox"/> N		O	N/A
17	45	.311	<input checked="" type="checkbox"/> N	NATIVE AMERICAN AND NATIVE HAWAIIAN LIBRARY SERVICES	\$ 4,167	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
Computer Generated Total Federal Awards Expended:					\$ 2662148					
TOTAL FEDERAL AWARDS EXPENDED					\$2662148					

*Footnotes for Part 3 - Item 10 and Item 11

- See Appendix 1 of instructions for valid Federal Agency two-digit prefixes.
- Or other identifying number when the Catalog of Federal Domestic Assistance (CFDA) number is not available. (See Instructions)
- If major program is marked "Yes," enter only one letter (U = Unqualified opinion, Q = Qualified opinion, A = Adverse opinion, D = Disclaimer of opinion) corresponding to the type of audit report in the adjacent box. If major program is marked "No," leave the type of audit report box blank.
- Enter the letter(s) of all type(s) of compliance requirement(s) that apply to audit findings (i.e., noncompliance, reportable conditions (including material weaknesses), questioned costs, fraud, and other items reported under §.510 (a)) reported for each Federal program.

- | | | | |
|------------------------------------|---|--|---------------------------------|
| A. Activities allowed or unallowed | E. Eligibility | I. Procurement and suspension and debarment | L. Reporting |
| B. Allowable costs/cost principles | F. Equipment and real property management | J. Program income | M. Subrecipient monitoring |
| C. Cash management | G. Matching, level of effort, earmarking | K. Real property acquisition and relocation assistance | N. Special tests and provisions |
| D. Davis-Bacon Act | H. Period of availability of funds | | O. None |
| | | | P. Other |

4. N/A for None.

25

**Data Collection Form for Reporting on
AUDITS OF STATES, LOCAL GOVERNMENTS, AND NON-PROFIT ORGANIZATIONS
RETURN TO: Federal Audit Clearinghouse, 1201 E. 10th Street, Jeffersonville, IN 47132**

Form SF-SAC(1-15-2004)

OMB #0348-0057

EIN : 680085465

Part I: GENERAL INFORMATION	
1. Fiscal year ending date for this submission (mm/dd/yyyy) 12/31/2005	2. Type of Circular A-133 audit <input checked="" type="radio"/> Single audit
3. Audit period covered <input checked="" type="radio"/> Annual	FEDERAL GOVERNMENT USE ONLY
5. Auditee Identification Numbers a. Primary Employer Identification Number (EIN) 680085465	4. Date received by clearinghouse
d. Data Universal Numbering System (DUNS) Number [REDACTED]	b. Are multiple EINS covered in this report? <input checked="" type="radio"/> No c. If Part I, Item 5b = "Yes," complete Part I, Item 5c on the continuation sheet on Page 4. b. Are multiple DUNS covered in this report? <input checked="" type="radio"/> No c. If Part I, Item 5e = "Yes," complete Part I, Item 5f on the continuation sheet on Page 4.
6. AUDITEE INFORMATION	7. AUDITOR INFORMATION
a. Auditee name BEAR RIVER BAND OF ROHNERVILLE RANCHERIA	a. Auditor name JACKSON AND EKLUND, AN ACCOUNTANCY CORPORATION
b. Auditee address (Number and street) 32 BEAR RIVER DRIVE	b. Auditor address (Number and street) 1703 GIUNTOLI LANE
City LOLETA	City ARCATA
State Zip Code CA 95551 -	State Zip Code CA 95521 -
c. Auditee contact Name DAKOTA MCGINNIS	c. Auditor contact Name ROBERT SUTTER, CPA
Title FINANCE DIRECTOR	Title AUDIT PARTNER
d. Auditee contact telephone (707) 733 - 1900	d. Auditor contact telephone (707) 822 - 4835
e. Auditee contact FAX (Optional) (707) 733 - 1972	e. Auditor contact FAX (Optional) (707) 822 - 6219
f. Auditee contact E-mail (Optional) FINANCEDIRECTOR@BEARRIVERTRIBE.COM	f. Auditor contact E-mail (Optional) RSUTTER@JACKSONANDEKLUND.COM
g. AUDITEE CERTIFICATION STATEMENT - This is to certify that, to the best of my knowledge and belief, the auditee has: (1) engaged an auditor to perform an audit in accordance with the provisions of OMB Circular A-133 for the period described in Part I, Items 1 and 3; (2) the auditor has completed such audit and presented a signed audit report which states that the audit was conducted in accordance with the provisions of the Circular; and, (3) the information included in Parts I, II, and III of this data collection form is accurate and complete. I declare that the foregoing is true and correct.	g. AUDITOR STATEMENT - The data elements and information included in this form are limited to those prescribed by OMB Circular A-133. The information included in Parts II and III of the form, except for Part III, Items 7, 8, and 9a-9f, was transferred from the auditor's report (s) for the period described in Part I, Items 1 and 3, and is not a substitute for such reports. The auditor has not performed any auditing procedures since the date of the auditor's report (s). A copy of the reporting package required by OMB Circular A-133, which includes the complete auditor's report (s), is available in its entirety from the auditee at the address provided in Part I of this form. As required by OMB Circular A-133, the information in Parts II and III of this form was entered in this form by the auditor based on information included in the reporting package. The auditor has not performed any additional auditing procedures in connection with the completion of this form.
Signature of certifying official Name/Title of certifying official DAKOTA MCGINNIS FINANCE DIRECTOR	Date 9/28/2006

220

Form SF-SAC(1-15-2004) OMB #0348-0057

EIN : 680085465

Part II: FINANCIAL STATEMENTS (To be completed by auditor)	
1. Type of audit report Mark either: any combination of:	Unqualified opinion OR 2 <input checked="" type="checkbox"/> Qualified opinion 3 <input checked="" type="checkbox"/> Adverse opinion Disclaimer of opinion
2. Is a "going concern" explanatory paragraph included in the audit report? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Is a reportable condition disclosed? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No - SKIP to Item 5
4. Is any reportable condition reported as a material weakness? Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. Is a material noncompliance disclosed? Yes	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Part III: FEDERAL PROGRAMS (To be completed by auditor)	
1. Does the auditor's report include a statement that the auditee's financial statements include departments, agencies, or other organizational units expending \$500,000 or more in Federal awards that have separate A-133 audits which are not included in this audit? (AICPA Audit Guide, Chapter 12) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
2. What is the dollar threshold to distinguish Type A and Type B programs? (OMB Circular A-133 § .520(b)) \$300000.00	
3. Did the auditee qualify as a low-risk auditee? (§.530) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
4. Is a reportable condition disclosed for any major program? (§.510(a)(1)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No - SKIP to Item 7	
5. Is any reportable condition reported as a material weakness? (§.510(a)(1)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
6. Are any known questioned costs reported? (§.510(a)(3) or (4)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
7. Were Prior Audit Findings related to direct funding shown in the Summary Schedule of Prior Audit Findings? (§.315(b)) Yes	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
8. Indicate which Federal agency(ies) have current year audit findings related to direct funding or prior audit findings shown in the Summary Schedule of Prior Audit Findings related to direct funding. (Mark (x) all that apply or None.) Each agency identified is required to receive a copy of the reporting package. 00 <input checked="" type="checkbox"/> None	
In addition, one copy each of the reporting package is required for: 1the Federal Audit Clearinghouse archives 0and, if not marked above, the cognizant agency 1Total number of reporting packages to be submitted	

FAC DETERMINED CURRENT YEAR DIRECT FINDINGS: **NO**
 FAC DETERMINED COGNIZANT(C) OR OVERSIGHT(O) AGENCY*: **O**
 (*Please refer to the FAQ's for definitions)
 FAC DETERMINED COGNIZANT OR OVERSIGHT AGENCY FEDERAL AGENCY PREFIX: **15**
 (Federal Agency Prefix List for definitions)
 FAC DETERMINED TYPE OF AUDIT REPORT ON MAJOR PROGRAM COMPLIANCE **U**
 BASED ON 1997-2003 SF-SAC FORM INSTRUCTIONS:

Page 1

Page 3

Page 4 - EIN continuation

Page 4 - DUNS continuation

Perform a New Query

27

Form SF-SAC(1-15-2004) OMB #0348-0057

EIN : 680085465

Part III: FEDERAL PROGRAMS Continued										
9. FEDERAL AWARDS EXPENDED DURING FISCAL YEAR										10. AUDIT FINDINGS
Row	CFDA Number		Research and development (c)	Name of Federal program (d)	Amount expended (e)	Direct award (f)	Major program		Type(s) of compliance requirement (s) (a)	Audit finding reference number (s) (b)
	Federal Agency Prefix (a)	Extension (b)					Major program (g)	If yes, type of audit report (h)		
1	15	.020	<input checked="" type="checkbox"/> N	AID TO TRIBAL GOVERNMENTS	\$ 268,299	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> Y	U	O	N/A
2	15	.037	<input checked="" type="checkbox"/> N	WATER RESOURCES ON INDIAN LANDS	\$ 32,724	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
3	15	.024	<input checked="" type="checkbox"/> N	INDIAN SELF-DETERMINATION CONTRACT SUPPORT	\$ 90,887	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
4	66	.605	<input checked="" type="checkbox"/> N	PERFORMANCE PARTNERSHIP GRANTS	\$ 173,906	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
5	16	.731	<input checked="" type="checkbox"/> N	TRIBAL YOUTH PROGRAM	\$ 62,445	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
6	16	.582	<input checked="" type="checkbox"/> N	CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANT	\$ 72,756	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
7	16	.589	<input checked="" type="checkbox"/> N	RURAL DOMESTIC VIOLENCE AND CHILD VICTIMIZATION ENFORCEMENT GRANT PROGRAM	\$ 18,629	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
8	16	.587	<input checked="" type="checkbox"/> N	VIOLENCE AGAINST WOMEN DISCRETIONARY GRANTS FOR INDIAN TRIBAL GOVERNMENTS	\$ 11,984	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
9	16	.580	<input checked="" type="checkbox"/> N	EDWARD BYRNE MEMORIAL STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE DISCR	\$ 38,760	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
10	14	.862	<input checked="" type="checkbox"/> N	INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT	\$ 212,934	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> Y	U	O	N/A
11	14	.867	<input checked="" type="checkbox"/> N	INDIAN HOUSING BLOCK GRANTS	\$ 50,430	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
12	93	.575	<input checked="" type="checkbox"/> N	CHILD CARE AND DEVELOPMENT BLOCK GRANT	\$ 27,244	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
13	93	.596	<input checked="" type="checkbox"/> N	CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT	\$ 29,220	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
14	93	.047	<input checked="" type="checkbox"/> N	SPECIAL PROGRAMS FOR THE AGING	\$ 88,304	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A

15	93	.CA04059	<input checked="" type="checkbox"/> N	WATER SUPPLY FACILITIES	\$ 29,987	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
16	93	.CA04C03	<input checked="" type="checkbox"/> N	SANITATION FACILITIES	\$ 151,969	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
17	93	.571	<input checked="" type="checkbox"/> N	COMMUNITY SERVICES BLOCK GRANT FORMULA AND DISCRETIONARY AWARDS COMMUNITY	\$ 880	<input checked="" type="checkbox"/> N	<input checked="" type="checkbox"/> N		O	N/A
18	45	.311	<input checked="" type="checkbox"/> N	NATIVE AMERICAN AND NATIVE HAWAIIAN LIBRARY SERVICES	\$ 3,063	<input checked="" type="checkbox"/> Y	<input checked="" type="checkbox"/> N		O	N/A
Computer Generated Total Federal Awards Expended:					\$ 1364421					
TOTAL FEDERAL AWARDS EXPENDED					\$1364421					

*Footnotes for Part 3 - Item 10 and Item 11

- See Appendix I of instructions for valid Federal Agency two-digit prefixes.
- Or other identifying number when the Catalog of Federal Domestic Assistance (CFDA) number is not available. (See Instructions)
- If major program is marked "Yes," enter only one letter (U = Unqualified opinion, Q = Qualified opinion, A = Adverse opinion, D = Disclaimer of opinion) corresponding to the type of audit report in the adjacent box. If major program is marked "No," leave the type of audit report box blank.
- Enter the letter(s) of all type(s) of compliance requirement(s) that apply to audit findings (i.e., noncompliance, reportable conditions (including material weaknesses), questioned costs, fraud, and other items reported under §.510 (a)) reported for each Federal program.

- | | | | |
|------------------------------------|---|--|---------------------------------|
| A. Activities allowed or unallowed | E. Eligibility | I. Procurement and suspension and debarment | L. Reporting |
| B. Allowable costs/cost principles | F. Equipment and real property management | J. Program income | M. Subrecipient monitoring |
| C. Cash management | G. Matching, level of effort, earmarking | K. Real property acquisition and relocation assistance | N. Special tests and provisions |
| D. Davis-Bacon Act | H. Period of availability of funds | | O. None |
| | | | P. Other |

4. N/A for None.

Page 1

Page 2

Page 4 - EIN continuation

Page 4 - DUNS continuation

Perform a New Query

29

LACO Associates has been providing civil engineering consulting and design services in northwestern California since 1954.

Geology and geotechnical engineering were added to our core capabilities about 20 years ago. Shortly after, we established our expertise in the investigation and remediation of environmental contamination, with particular emphasis on leaking underground storage tanks.

Extensive Service Area

We are based in Eureka, in the heart of the redwoods. While our primary service area is California's north coast (in particular Humboldt, Del Norte, Mendocino and Trinity counties), we handle projects in all of northern California and southern Oregon, and are currently expanding into Nevada.



Our staff of 40 includes registered civil and geotechnical engineers with specialized experience in structural design and environmental issues; geologists and engineering geologists; and planners. Clients include local and state governments, and special service districts; education and healthcare providers; local industry; and architects, developers and contractors.

Internationally Recognized Expertise

LACO's expertise has been recognized nationally and internationally at professional conferences, where we have presented seminal papers on such varied topics as slope stability in the coastal zone and remediation technologies.

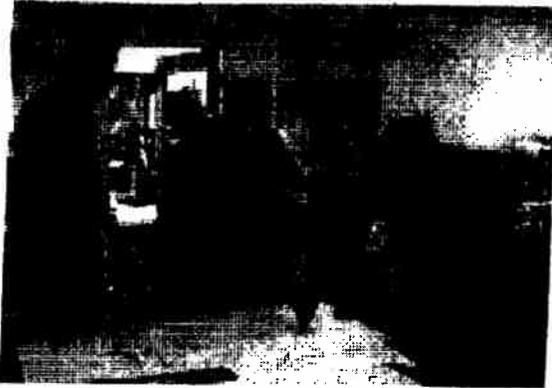
We are skilled in seismic investigations and recently completed the most rigorous seismic/fault investigation ever undertaken in northern California. This was performed for the College of the Redwoods, where the level of detail approached that required of critical facilities.

"The good sites are done!"

With the era of 'easy' building sites coming to an end, our clients look to us for assistance in developing difficult sites such as those with steep slopes, the potential for liquefaction, or with such environmental concerns as contamination.

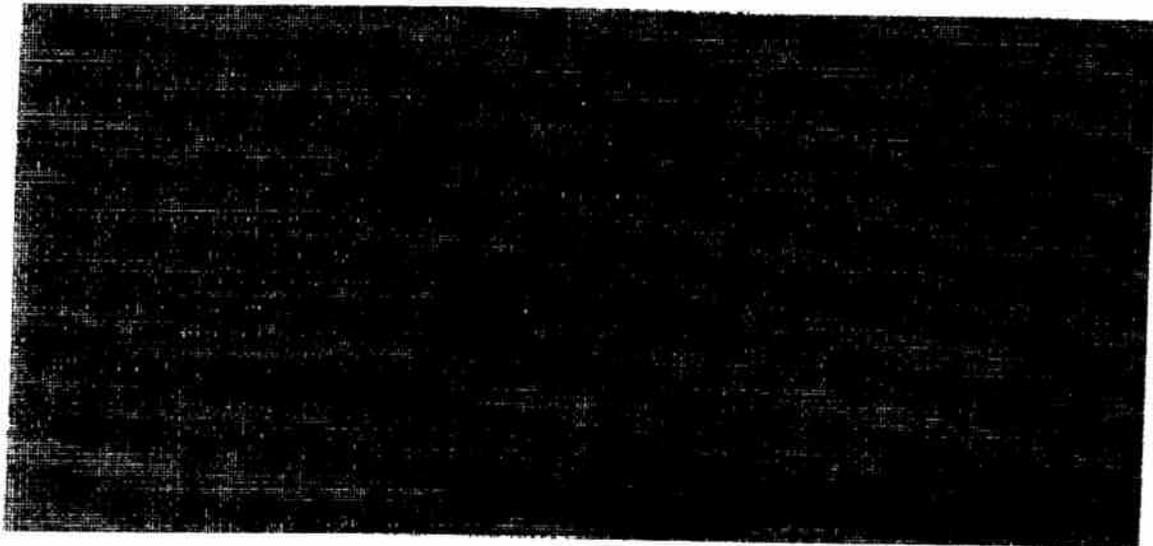
We build to our strengths: the extensive experience of our knowledgeable and well-educated staff, a multi-discipline teamwork approach, and a commitment to putting the interests of our clients foremost.





Teamwork is the key to finding the best solution to the problem, always taking into account cost and feasibility.

Closing a monitoring well at the conclusion of a successful remediation program.



I'm always aware that LACO's top priority is *our* interest...on our most recent project, they quickly identified the best remediation option for a particularly sensitive site, then followed through by efficiently obtaining the necessary approvals. Good people to have on our side!

*Kevin Creed, Director, Environmental Health & Safety
Humboldt State University*



LACO's Principals: Frank Bickner, M.S., R.G., David Gervan, P.E., Leonard Osborne, P.E., David Lindberg, R.G., C.E.G.

30
31

Geology and Geotechnical Services

As LACO grew, we realized the need for a specialized geology and geotechnical department. This was in response to the growing public awareness of geologic hazards in California's north coast—one of the most earthquake-prone regions in the world. Hence our specialty in geologic hazard and seismic investigations. We also provide complete solutions to virtually any geology-related problem.

Geology Services

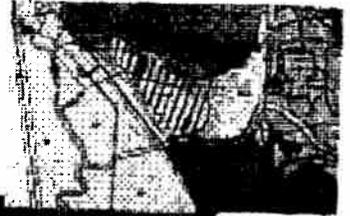
- Geologic/soils reports (R1/R2)
- Coastal geologic reports
- Timber Harvest Plans (see below)
- Geomorphic mapping
- Rock and gravel quarry assessment

Geo-Hazard Evaluation and Mitigation

- Landslides and fault hazards
- Flood analyses
- Tectonic/seismologic hazard analyses
- Slope stability modeling/analyses/repairs

Geotechnical Services

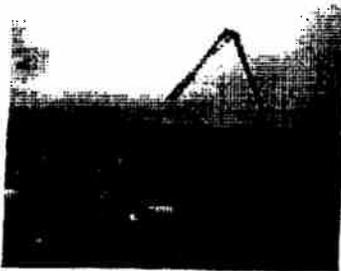
- Foundation design
- Cut and fill slopes
- Trenching, drilling, geotechnical investigations
- Liquefaction analyses
- Geotechnical design
- Soil engineering



Civil Engineering

LACO's civil engineers, planners, surveyors and technicians have the knowledge and experience to solve clients' problems in today's ever-changing economic and regulatory environments. We are equipped to handle most civil engineering projects, from flood and earthquake emergencies, alternative analysis and project definition, to the contingencies of everyday design problems.

Our services include:



- Project planning and development
- Feasibility studies
- Surveys and mapping
- Infrastructure development
- Pollution control and treatment
- Subdivisions and land development

- Hydrologic and hydraulic analyses
- Flood models and site drainage
- Geotechnical/soils engineering
- Construction materials testing and inspection
- Project and construction management
- Forensic assessments and Expert Witness Services

Structural Engineering

Our structural engineering design and inspection services complement and support the building industry. Past projects include commercial, office and residential buildings, and churches. Specialized services include:

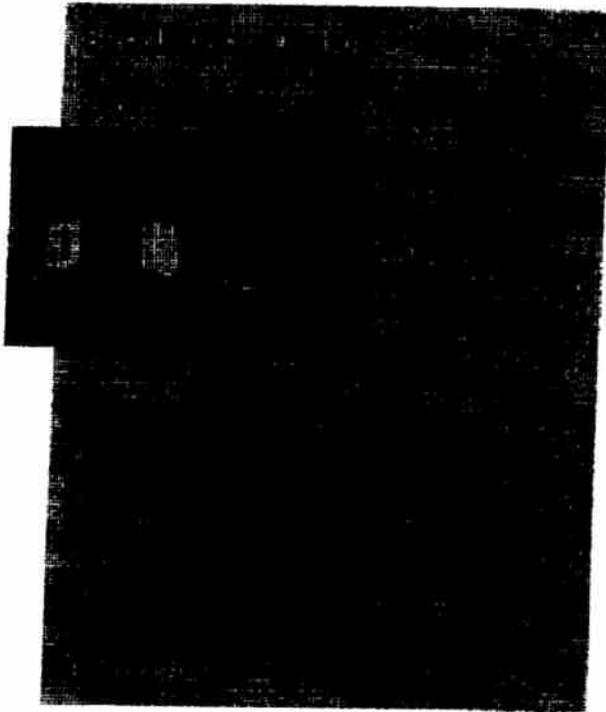
- Historic building additions, renovations and seismic retrofitting
- Evaluation and analysis of existing structures, particularly in response to earthquake damage and foundation settlement
- Inspections of bridges, water tanks and towers, and retaining walls



Above: LACO designed and managed construction of this stormwater retention pond at PALCO's Scotia mill.

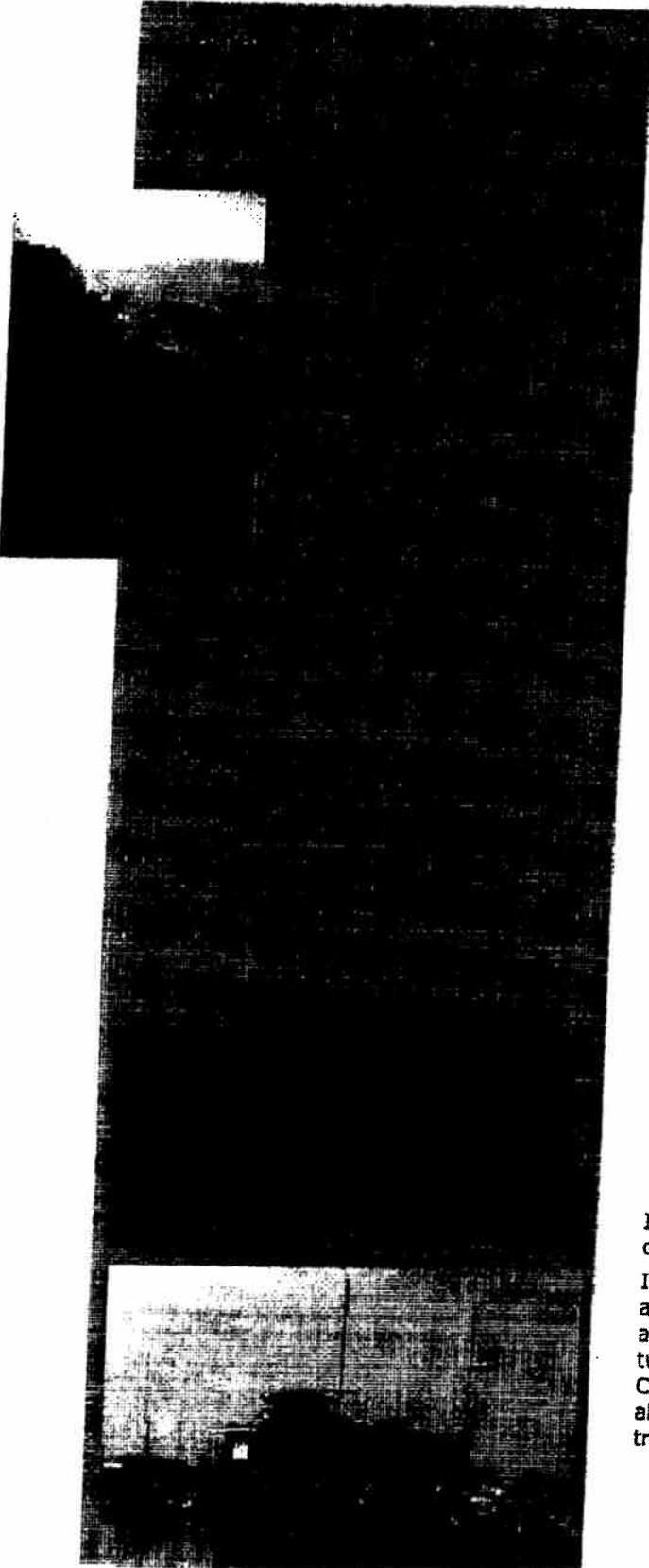
Left: Pumping concrete for the four-foot deep foundation of CR's Learning Resources Center.

Below left: Del Norte Alternative Education Center, where we provided geotechnical, engineering design, and survey services.



I can trust LACO to come in when they promise, do their job without interfering with our work, and provide all the information we need when we need it. In a word, they're reliable.

*Tim Hooven
Vice President, Hooven & Co., Inc.*



Planning

We have been helping our clients negotiate the thicket of increasingly-stringent planning regulations for over 40 years, including those associated with coastal property, subdivisions, and environmental reviews.



For Coastal Zone property, we prepare Coastal Development Permits, Special Permits, Conditional Use Permits, Design Review and associated permits such as Wetland Delineations and Stream Alteration Agreements. We also draft permit applications for property lying within the jurisdiction of the California Coastal Commission.

Most projects require an Environmental Review to ensure compliance with the California Environmental Quality Act (CEQA) and/or the National Environmental Policy Act (NEPA). When preparing a permit application, our planners routinely build "mitigations" into the initial concept to eliminate potentially expensive changes during environmental reviews.



LACO Planner John Blodgett with aerial photo of Shelter Cove, where we have worked on many permitting and environmental review cases.

I have the greatest respect for LACO's commitment to responsiveness and quality. In particular, their performance in investigating and dealing with the seismic and geotechnical aspects of our Campus and their work on the two new buildings—the Learning Resource Center and Child Development Center—was absolutely wonderful. They've proven to be true partners with CR.

Joe Porras
Facilities Manager, College of the Redwoods

31 34

Environmental Services



We combine knowledge of regulations and compliance strategies with practical remediation methods to prevent or reduce environmental degradation.

Where degradation has already occurred, LACO can recommend physical, chemical, and biological solutions for cost-effective site clean-up. We can also

help redevelop environmental liabilities into community assets.

In particular, we offer these services:

- Environmental Due Diligence, Phases I, II and III
- Contaminated soil and groundwater investigation and remediation
- Brownfield property development

Stormwater Pollution

Stormwater pollution, especially in developed urban areas, is a major cause of water quality degradation. Projects having a disturbed area of over one acre are now subject to the National Pollutant Discharge Elimination System (NPDES) Storm Water Regulations and the total maximum daily load provisions of the Clean Water Act. We specialize in:

- Stormwater Pollution Prevention Plans (SWPPP)
- NPDES permits
- Erosion control monitoring
- Construction and grading plans

Quarry and Mine Contamination Issues

Our environmental engineers offer such services as:

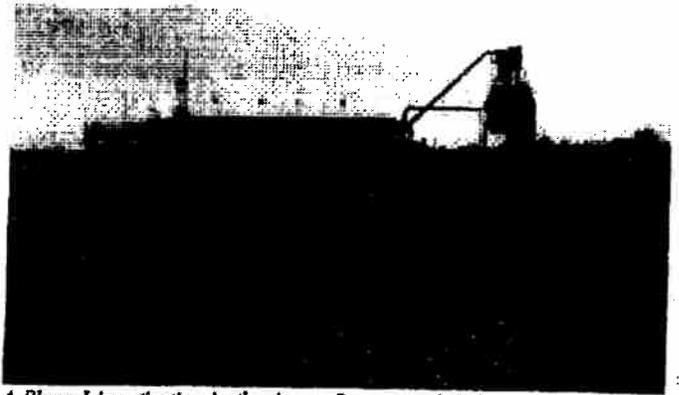
- Heavy metal and naturally-occurring asbestos monitoring and testing
- Noise monitoring and mitigation
- Dust abatement and mitigation plans
- Mine discharge water quality control plans, and mine runoff monitoring and testing



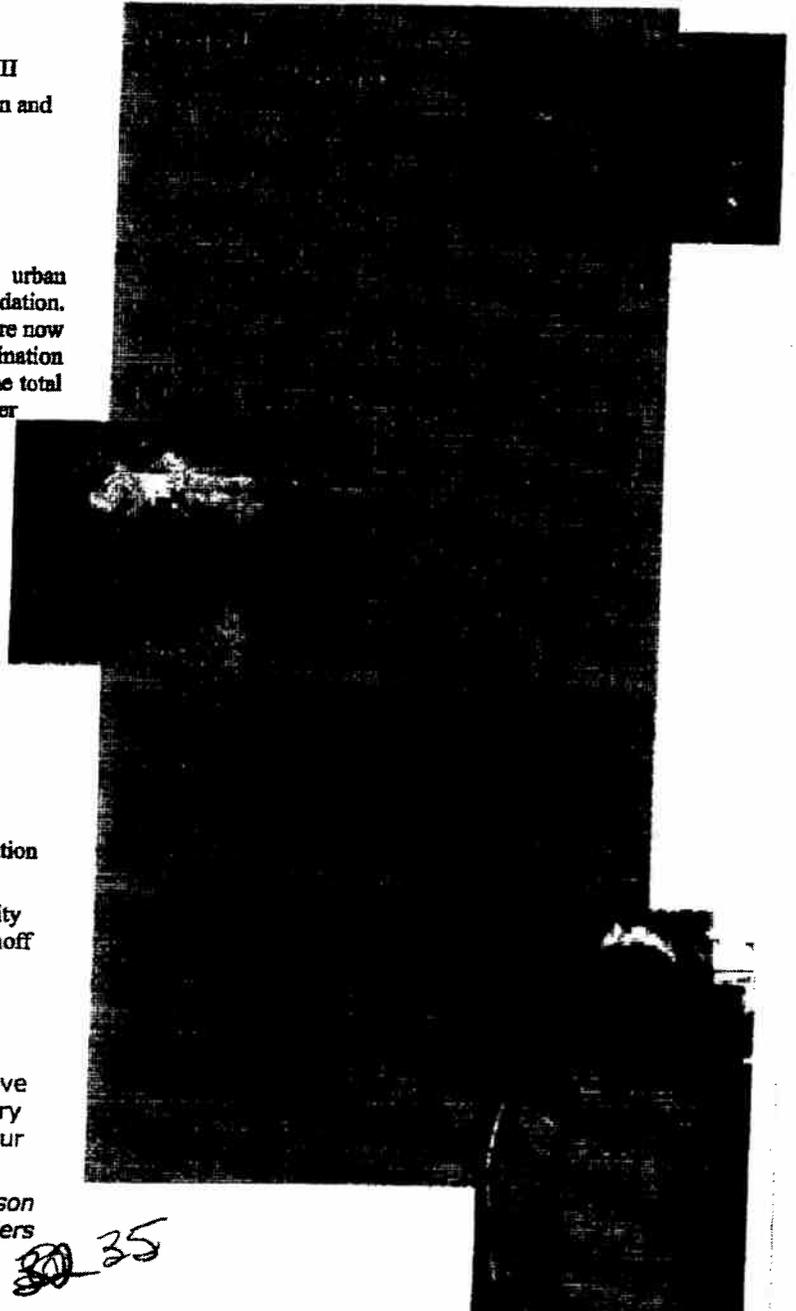
In the years I've worked with LACO, they have fulfilled every promise made, completed every assignment on time, and always respected our financial constraints.

Dan Johnson
CEO, Danco Builders

[Handwritten signature] 35



A Phase I investigation in the Arcata Bottoms included an evaluation of this historic mill.



Innovative Remedial Technologies

The legacy of industrial sites, in particular facilities with fuel storage tanks, is often contaminated soil and groundwater. Traditional remediation methods usually involve extraction, necessitating high disposal expenses and the risks incurred through cradle-to-grave ownership of the waste.



In 2001, LACO pioneered the local use of an innovative method to treat contaminants in place. *In Situ* Chemical Oxidation (ISCO)—the injection of gases and liquids directly into the soil—destroys contaminants in the subsurface. With nothing to

remove, all disposal costs are eliminated. We have used this method at many sites, with spectacular results.

Most contaminants resulting from fuels, including additives such as MTBE, are readily oxidized into harmless compounds using efficient oxidants such as ozone. The gas is forced under pressure ("sparged") into a series of well points to intercept groundwater and seep into contaminated soil. Ozone can be combined with other oxidants such as hydrogen peroxide to treat more recalcitrant compounds, such as the chlorinated hydrocarbons commonly associated with industrial sites.

First Experience

Our first experience with ISCO was initiated by a State cease-and-desist order requiring immediate attention. Fuel from an old filling station in Redcrest, 40 miles south of Eureka, had contaminated groundwater which seeps into a nearby fish-spawning stream. We evaluated the options, including excavation, pump-and-treat, installation of a permeable reactive barrier, and ozone sparging. Ozone sparging was the clear winner on both cost and practicality.

LACO has consistently met or exceeded our requirements on the many sites they have investigated for us.

I particularly appreciate their high degree of customization to meet our varied needs, whether to gain local authority approval or for insurance purposes.

Mickey Moore
General Manager, W.N. Tetrauit

After rapidly determining the extent of the plume, we designed the system,



filed the necessary permits, and oversaw the installation. Within two months, MTBE in the stream water was reduced by an astonishing 99%. The system, which acts as a "curtain" to prevent contaminants from leaving the site, has been operating since 2001 under our management.

Similar Success

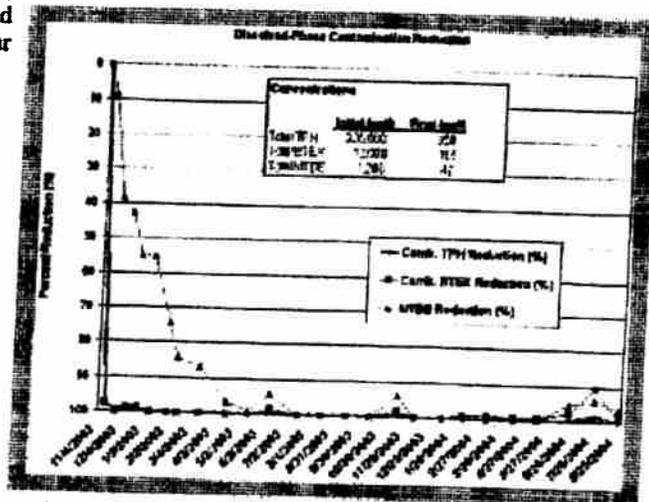
A similar success story comes from Crescent City. LACO was contracted to design a remediation system at a service station where contaminated soil threatened groundwater flowing towards a domestic well. Here, as at Redcrest, our analysis of the local situation determined that ozone sparging was the optimum treatment method.

We installed the system in 2002, with some of the wells angled under the adjoining highway to treat soil that was otherwise inaccessible (another advantage of sparging). Within 20 weeks, contaminant concentrations were down by nearly 99% for the hydrocarbons and 66% for MTBE, as shown, below.

After our successes at service stations, we used ISCO at a former lumber mill contaminated with chlorophenol and dioxin. Ozone, combined with hydrogen peroxide, resulted in meeting the regulatory goal in less than six months.

Top: At this injection station, ozone is synthesized from air and injected under pressure to wellpoints via Teflon tubing.

Left: A mobile laboratory analyzes samples in real time, allowing us to fully investigate sites rapidly and efficiently.



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Materials Testing



LACO offers complete laboratory testing services for soils, aggregates, concrete and masonry, and field testing services for all routine projects. In addition, our direct-push field sampler (left) allows rapid and efficient investigation of soils.

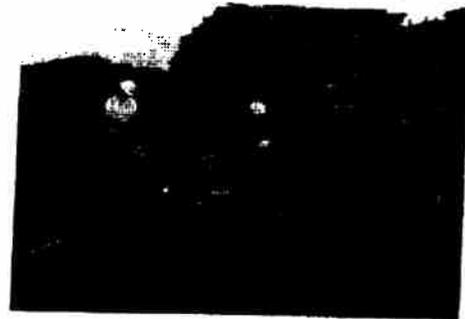
In-house testing, when compared with the use of outside services, results in major time and cost savings to our clients.

Our materials testing laboratory adheres to the strict regulations of, and is certified by:

- California Division of State Architect's Office
- Humboldt County Department of Public Works
- Cities of Arcata, Eureka and Fortuna
- AASHTO

Right: Our lab conducts all routine materials testing, including concrete compression tests.

Below: The density—and hence, stability—of a logging road is quickly established using a nuclear density gauge.



Our surveyors are a resource to all our departments. Here, the crew locates the exact position of an ozone injection well using an advanced Total Station instrument.

Below right: GPS receiver and processor system.

Surveying

LACO's survey department works both for clients and for our other departments. Our surveyors use the most up-to-date equipment and techniques, in particular survey-grade GPS and reflectorless Total Station instruments.

The GPS (Global Positioning System) is a worldwide satellite system. Our advanced equipment uses these artificial satellites as reference points to calculate positions on the ground with accuracy of better than an inch. When we combine this phenomenal precision with the speed and convenience of Total Station instruments, we are able to provide efficient and cost-effective solutions for virtually all survey requirements.

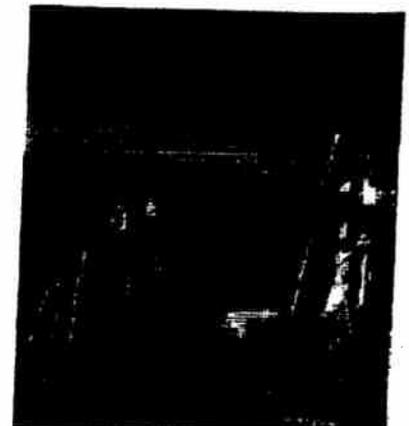
Our survey services include:

- Property
- Topography
- Site layout
- Construction staking
- Road surveys
- Subdivisions
- Tentative and final maps

For the past 15 years, LACO has responded quickly and efficiently to every assignment I've asked of them.

*Jim Sellar, Vice President
Humboldt Petroleum, Inc.*

330 301 37



LACO ASSOCIATES- PROFESSIONAL SERVICES

- Civil Engineering
- Geotechnical
- Geological
- Environmental
- Planning
- Watershed Sciences
- Field and Laboratory
- Materials Testing

LACO ASSOCIATES has been providing services for over 50 years.



Rising, Development, Construction Management, Materials Testing, Site Civil and Geotechnical Services, Health Sport, Community Center and Athletic Field and Wetland Design
Arcata, California

LACO ASSOCIATES 21W 4TH STREET, EUREKA

CIVIL ENGINEERING

LACO utilizes current technology for civil engineering involving buildings, subdivisions, public utilities, grading, infrastructure improvements, roads and highways. Some of our additional services include:

- Soils foundation analysis
- Leach field suitability testing
- Road design
- Surveying using Topcon total station and survey grade GPS station
- Subdivisions and lot split
- Structural building design
- Construction management, inspection services and full range of laboratory and field testing

GEOLOGIC/GEOTECHNICAL

Our multi-disciplined team includes Registered Geologists, Engineering Geologists, Registered Geotechnical and Civil Engineers, Earth Scientists and Registered Environmental Assessors. Some of our services include:

- Subsurface investigations
- Geologic hazards evaluation
- Foundation and soils engineering
- Seismic response assessment
- Earthquake fault investigations
- Grading design
- Liquefaction analysis
- Timber harvest geologic studies
- Watershed studies
- Gravel and minerals assessment

ENVIRONMENTAL

The LACO team is prepared to serve the needs of our clients in preparing and conducting the following:

- Phase I/II Environmental Site Assessments
- Leaking underground fuel storage tank investigations
- Soil and Groundwater contamination investigations and remediation
- Subsurface investigations
- Environmental impact studies
- Watershed assessments
- Stream restoration
- Wetland delineation and design

Phone: (443)-9054 Fax: 443-9883 www.lacoinc.com

[REDACTED]

PROFESSIONAL REGISTRATION

California Civil Engineer License No. [REDACTED]

CERTIFICATIONS

American Society of Civil Engineers - Member

ASFE - Member

America Water Works Association - Member

Consulting Engineers and Land Surveyors of California - Chapter President 2003 to Present

EDUCATION

University of Utah -- B.S. Civil Engineering; 1981, Cum Laude

University of Southern California -- Graduate Studies in Civil Engineering; 1983

CONTINUING EDUCATION

Seismic Hazard Assessment & Mitigation -- UC Berkeley

Ground Modification -- Hayward Baker

Professional Practice -- ASFE

UBC Earthquake Regulations -- ICBO

EXPERIENCE

[REDACTED] has over 24 years of experience in public and private project management, design and construction engineering, geotechnical engineering, environmental analysis, land development, project planning, feasibility assessment, and funding acquisition. His duties have included the design, management, direction, and coordination of professional design teams in the preparation of plans, specifications, estimates, reports, and construction management for a wide variety of projects. His accumulated project experience includes industrial facilities, roadways, structures, site development, pipelines, pumping facilities, dam rehabilitation, grading and drainage, treatment facilities, storage reservoirs, and project permitting. [REDACTED] has developed a positive working relationship with government personnel and regulatory agencies throughout the region.

[REDACTED] is a Firm Principal of LACO ASSOCIATES (LACO) and serves as its President and CEO in addition to his project activities. In this capacity, he sets the general direction for the firm's performance, progress, and overall management. He oversees the work of approximately 50 employees involved in civil engineering, surveying, environmental engineering, geologic and geotechnical engineering, environmental planning, special inspections and construction materials testing. Mr. Osborne's project management skills include project scheduling, resource allocation,

[REDACTED] (continued)

project coordination, conflict resolution, budget and financing control, system and personnel administration. His broad experience includes project management and technical consulting associated with:

- Structures and site development up to \$10 million
- Water infrastructure up to \$5 million
- Sewer infrastructure up to \$4 million
- Redevelopment projects up to \$8 million
- Special inspection and DSA approved materials testing on public buildings up to \$11 million
- Land development projects up to \$4 million
- Geotechnical engineering on projects up to \$9 million

[REDACTED] served as a Principal Engineer and Senior Project Manager and Engineer on a variety of private and public works projects with other consulting firms. He managed permitting, design and construction management activities, geotechnical projects, materials testing laboratory, and geotechnical and environmental assessments. He also directed a staff of professional engineers and technicians involved in a variety of projects.



ANALYTICAL ENVIRONMENTAL SERVICES

Analytical Environmental Services (AES) is a multidisciplinary consulting firm specializing in environmental and engineering services. The firm's principal, [REDACTED], has over 15 years of experience in the environmental field. Additionally, [REDACTED] formerly the Environmental Coordinator with the BIA's Pacific Region Office, now works full-time at AES on tribal projects. AES provides a wide variety of environmental review services through the resources of our project team. Using our team's depth of knowledge and practical experience, AES will assist the Tribe with NEPA compliance and compliance with other federal environmental requirements.

AES staff includes land use planners, biologists, hydrologists, engineers, cultural resource specialists, and other professionals. Through preparation of dozens of Fee-to-Trust EAs and EISs, we have maintained excellent professional relationships with the BIA, EPA, and U.S. Fish and Wildlife Service, thereby allowing our clients' projects to receive approvals quickly.

Examples of services provided to tribal clients by AES include the following:

- NEPA compliance (Categorical Exclusion, EA, and EIS)
- Fee-To-Trust land acquisition
- Environmental review services for housing programs
- Funding research, grant writing, and management
- Wetlands and endangered species permitting
- Environmental constraints reports and resource inventories
- Environmental protection plans and ordinances
- Environmental program design and management
- Environmental impact analysis

AES STAFF PROJECT EXPERIENCE

Examples of relevant AES firm and staff member experience is listed below. Staff resumes of key team members are attached.

Examples of tribal projects for which we have provided environmental review services and NEPA compliance include the following:

AES TRIBAL ENVIRONMENTAL EXPERIENCE

	PROJECT	TYPE OF DOCUMENT	LOCATION
I.	Jamul Tribe Casino	Casino Fee-to-Trust and Management Contract EIS	San Diego

2.	Menominee Nation Casino	200-Acre Casino Fee-to-Trust EIS	Kenosha, WI
3.	Gun Lake Casino	200-Acre Casino Fee-to-Trust and Management Contract EA	Wayland, MI
4.	Cowlitz Casino	200-Acre Casino Fee-to-Trust and Management Contract EIS	La Center, WA
5.	United Auburn Indian Community	3-Acre Community Center Fee-to-Trust EA	Sacramento, CA
6.	United Auburn Indian Community	49-Acre Casino Fee-to-Trust EA	Sacramento, CA
7.	United Auburn Indian Community	1,100-Acre Housing Project Fee-to-Trust EA	Sacramento, CA
8.	Jena Choctaw Casino	Casino EA	Jena, LA
9.	Chehalis Convention Center/Hotel	Fee-to-Trust EA	Grand Mound, WA
10.	Ione Tribe Casino	Casino Fee-to-Trust EIS	Amador County, CA
11.	Jackson Rancheria	1,000-Acre Fee-to-Trust EA	Jackson, CA
12.	Lytton Rancheria Casino	Casino Management Contract EIS	San Pablo, CA
13.	Karuk Tribe of California	Panamnik Community Center EA	Happy Camp, CA
14.	Karuk Tribe of California	1-Acre Parcel Acquisition Environmental Review Record	Happy Camp, CA
15.	Karuk Tribe of California	Tribal Center Acquisition Environmental Review Record	Happy Camp, CA
16.	Karuk Tribe of California	Housing Replacement Environmental Review	Happy Camp, CA
17.	Scotts Valley Tribe Casino	Casino Fee-to-Trust EIS	San Francisco Bay Area, CA
18.	Guidiville Casino	Casino Fee-to-Trust EIS	San Francisco Bay Area, CA
19.	Graton Casino	300-Acre Casino Fee-to-Trust and Management Contract EIS	Sonoma County, CA
20.	Elk Valley Casino	Casino Lease EA	Crescent City, CA
21.	Elk Valley Rancheria	175-Acre Stary Ranch Fee-to-Trust EA	Crescent City, CA
22.	Elk Valley Rancheria	Martin Ranch 203-Acre Fee-to-Trust EIS	Crescent City, CA
23.	Timbasha Shoshone Casino	Casino Fee-to-Trust EIS	Hesperia, CA
24.	Ewiiapaayp Casino	Casino Fee-to-Trust and Management Contract EIS	San Diego County, CA
25.	Ewiiapaayp Reservation	Walker Property Fee-to-Trust EA	San Diego County, CA
26.	Confederated Tribes Casino	Casino environmental studies and permits	Florence, OR
27.	Blue Lake Rancheria Casino	Casino Management Contract EA	Blue Lake, CA
28.	Blue Lake Rancheria	Gaming Facility EA	Blue Lake, CA
29.	Chumash Casino Expansion	Casino Environmental Evaluation	Santa Barbara, CA
30.	Rumsey Casino Expansion	Casino Environmental Evaluation	Yolo County, CA
31.	Coyote Valley	Fee-to-Trust EA	Calpella, CA
32.	Santa Rosa Reservation	54-Acre Fee-to-Trust Cemetery Environmental Review/Categorical Exemption	Santa Rosa, CA

42

33.	Santa Ynez Band of Chumash Indians	6.9-Acre Fee-to-Trust EA	Santa Ynez, CA
34.	Santa Ynez Band of Chumash Indians	Fee-to-Trust Categorical Exemptions (8 total)	Santa Ynez, CA
35.	Shingle Springs Casino	Casino Management Contract EA	Shingle Springs, CA
36.	Shingle Springs Rancheria	Health Clinic Fee-to-Trust EA	Shingle Springs, CA
37.	Shingle Springs Rancheria	HUD Housing Project EA	Shingle Springs, CA
38.	Soboba Reservation	950-Acre Fee-to-Trust EA	San Jacinto, CA
39.	Soboba Reservation	72-Acre Fee-to-Trust EA	San Jacinto, CA
40.	Cloverdale Rancheria Casino	Casino Lease EA	Sonoma County, CA
41.	Mechoopda Tribe Fee-to-Trust	650-acre Fee-to-Trust/Casino EA	Butte County, CA
42.	Greenville Rancheria Casino	350-acre Fee-to-Trust/Casino EA	Red Bluff, CA
43.	Pauma Band Casino	Casino Tribal State Compact EE	San Diego, CA
44.	Pechanga Reservation	650-Acre Great Oak Project Fee-to-Trust EA	Temecula, CA
45.	Cold Springs Rancheria	96.14-Acre Fee-to-Trust EA	Sonora, CA
46.	Mooretown Rancheria Casino	Casino Tribal State Compact EE	Oroville, CA
47.	Mooretown Rancheria	Gymnasium EA	Oroville, CA
48.	Quechan Casino	Casino Tribal State Compact TEIR	Yuma, AZ
49.	Alturas Rancheria	Gaming Facility EA	Yreka, CA
50.	Rohnerville Rancheria	Bear River Casino Environmental Evaluation	Loleta, CA
51.	Rohnerville Rancheria	125-Acre Fee-to-Trust EA	Loleta, CA

42

[REDACTED]

Principal

[REDACTED] is a civil engineer specializing in Native American projects, water facility engineering, water quality regulatory compliance, and environmental impact analysis. He has over 15 years experience in the areas of Environmental Impact Report preparation, water project permitting and regulatory compliance; water rights; and public infrastructure project coordination. Through his extensive experience with Tribal, City, County, and water district projects, [REDACTED] is familiar with the regulatory issues faced by Tribal governments, and is adept at facilitating compliance with complex environmental laws. [REDACTED] has direct working experience with the Bureau of Indian Affairs and the National Indian Gaming Commission, and maintains a good working relationship with the staff at these agencies.

**REGISTRATION
AND LICENSES**

California Professional Engineer
Washington Professional Engineer
California Department of Health Services Water Treatment Plant Operator

EDUCATION

University of California, Berkeley
Bachelor of Science in Civil Engineering Degree

**PROFESSIONAL
EXPERIENCE**

- **Project Director, Jamul Rancheria Casino and Hotel Environmental Assessment.** Preparing an EA to meet BIA and NIGC requirements for a project to build a hotel/casino on lands contiguous to the existing Rancheria. As part of the project, 91 acres of fee lands would be brought into federal trust.
- **Project Director, Shingle Springs Rancheria Casino and Hotel Environmental Assessment.** Preparing an EA to meet BIA and NIGC requirements for a project to build a hotel/casino on lands contiguous to the existing Rancheria. The casino site would be brought into federal trust status as part of the project. Major issues include water, wastewater, and traffic.
- **Project Director, Auburn Rancheria Recreation Site Environmental Assessment.** Preparing an EA to meet Bureau of Indian Affairs requirements to bring a three-acre parcel into federal trust status for the Tribe. The parcel will contain a community center with classrooms and tribal offices. The parcel is located on the former Auburn Rancheria.

- **Project Director, Dry Creek Rancheria Casino Project Environmental Assessment.** Preparing an EA on a management contract submitted to the

44 44 44

NIGC for approval. Work includes a hazardous materials site assessment, biological studies, water and wastewater studies, and air quality analysis.

- **Project Director, Rumsey Rancheria Fee to Trust Environmental Assessment.** Prepared an EA on a project to bring approximately 85 acres of land into federal trust status. The land is owned by the Tribe, and is contiguous to the existing Rancheria. The land will be used for housing and a Tribal Center.
- **Project Director, United Auburn Indian Community Casino Environmental Assessment and Engineering Studies.** Prepared an EA to meet Bureau of Indian Affairs and the NIGC requirements. The project involves bringing a 49-acre parcel into federal trust status for the Tribe, and approval of a management agreement for the gaming operation. The site is located near Lincoln, CA. Issues included biological resources, traffic, water, and wastewater.
- **Project Director, Big Sandy Rancheria Level 1 Site Assessments and Water Supply/Wastewater Disposal Studies.** Preparing Level 1 surveys for taking fee parcels into trust, and investigation water supply and wastewater disposal options for casino project.
- **Project Director, Phase 1 Site Assessment for Karuk Tribe of California.** Prepared a Phase 1 Hazardous Materials Site Assessment for a 10-acre parcel in Yreka, CA. The parcel was being considered for purchase and transfer into federal trust.
- **Project Director, Auburn Rancheria Housing Development Environmental Assessment.** Prepared an EA to meet Bureau of Indian Affairs requirements. The project, located near Sheridan, CA, involves bringing an 1,100 acre parcel into federal trust status for the Tribe. The parcel will contain 93 residential lots and a tribal center. Issues include biological resources, traffic, water, and wastewater.
- **Project Director, Shingle Springs Rancheria EPA Environmental Assessment.** Prepared an environmental assessment and developed an environmental management program for the 160-acre Shingle Springs Rancheria property, near Placerville. The project is being funded by an EPA GAP grant. The study documents environmental resources on the property, and makes recommendations on the most appropriate land uses that could be developed to meet the tribe's needs.
- **Project Director, Shingle Springs Rancheria Access Road Environmental Assessment.** Prepared an environmental assessment for a project to bring 9 contiguous residential parcels into federal trust status, and construct an access road to the Rancheria.

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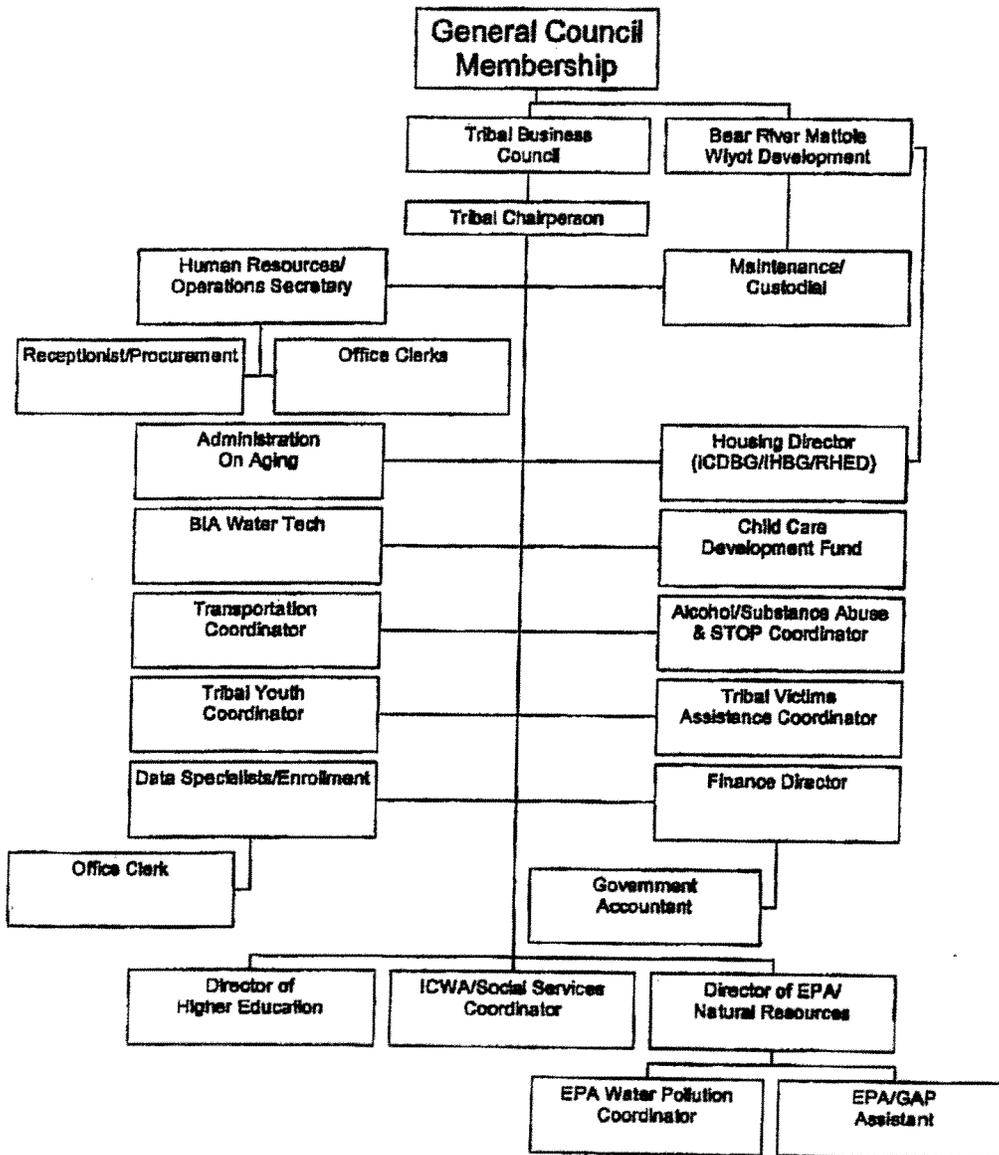
- **Project Director, Shingle Springs Rancheria HIP Housing Project Environmental Assessment.** Prepared an EA for a multi-family housing project on the Shingle Springs Rancheria.
- **Project Director, Shingle Springs Rancheria HUD Housing Project Environmental Assessment.** Prepared an EA for a single family and multi-family housing project on the Shingle Springs Rancheria.

**PROFESSIONAL
AFFILIATIONS**

- Association of California Water Agencies
- American Water Works Association
- American Society of Civil Engineers
- State Water Resources Control Board Inland Surface Water Plan Task Force, 1994-1996
- Sacramento Metropolitan Water Authority Board of Directors, 1995-1996
- Citrus Heights Water District Board of Directors, 1994-1998
- Pismo Beach Public Works Commission, Vice President, 1992-93

49
45

Bear River Band of Rohnerville Rancheria



47



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
SOUTHWEST OFFICE OF NATIVE AMERICAN PROGRAMS

March 8, 2007

Honorable Leonard Bowman
Chairperson
Bear River Band of Rohnerville Rancheria
17 Bear River Drive
Loleta, CA 95551

Dear Chairperson Bowman:

SUBJECT: Rural Housing and Economic Development (RHED) Program
Grant Number: RH-05-CA-I-0007 (Construction of 4 Residential Units)
Closeout Subject to Audit

A review has been completed of the certification of project completion, certification of compliance with program requirements, financial breakdown and certification of project costs, final performance report, and closeout agreement for the subject grant. The documents are acceptable; therefore, the grant is closed subject to the submission of an acceptable audit of all program funds. The project was completed on February 14, 2007.

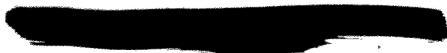
No further progress reports or Financial Status Reports (form SF-269) are required for this grant. Our records indicate that the total authorized grant amount of \$400,000 has been disbursed to the tribe and none of the grant expenditures have been audited.

Please ensure all grant funds are included in your next regularly scheduled audit. If you have any questions, please contact Karen Barnette, Grants Management Specialist, at (602) 379-7193.

Sincerely,

Robert S. Kroll
Director
Grants Management Division

cc:



48

RHED 2008 NEED & EXTENT OF THE PROBLEM SURVEY METHODOLOGY & RESULTS

The Bear River Band of Rohnerville Rancheria collected demographic data pertaining to poverty, unemployment, homelessness, and overcrowded living conditions for the population in the project area to assess the extent of the need for the RHED 2008 project.

The survey methodology was as follows:

1. The target population consists of all Tribal families within our service area of Humboldt and Del Norte counties in California.
2. Survey data was collected from demographic survey forms completed by target population families within the last three months and by telephone surveys.
3. Each household was questioned to determine family composition, employment status of working age adult family members, whether a homeless, overcrowded living condition exists, or paying high rent (>30% of income) and whether the household income was more or less than the 2008 HHS Poverty Guidelines limits (at <http://aspe.hhs.gov/poverty/08poverty.shtml> or Federal Register Vol. 73, No. 15 pgs 3971-2)
4. Target area families who did not complete a demographic survey form and could not be reached for the phone survey were assumed to have income above the poverty limit, fully employed, and not be homeless or living in an overcrowded situation.

The results of the survey are summarized below:

Poverty Rate:

Total number of households in target population	119	
Total number of households below poverty level	49	41%
Total number of households above poverty level	70	59%

Unemployment Rate:

Total number of working age adults in target population (not disabled)	172	
Total number of adults unemployed	73	42%
Total number of adults employed	99	58%

Homeless/Overcrowded (living with extended family)/High Rent:

Total number of households in target population	119	
Total number of households homeless/overcrowded	105	88%
Total number of families not homeless/overcrowded	14	12%

Last Name	First Name	Household #	Family Size	Number of Adults	Number Unemployed	Under Poverty?	Homeless?	Over-crowded?	High Rent?
[REDACTED]	[REDACTED]	1	1	1	1	y	y		
[REDACTED]	[REDACTED]	2	1	1	1				
[REDACTED]	[REDACTED]	3	1	1	1	y	y		
[REDACTED]	[REDACTED]	4	2	2	1			y	
[REDACTED]	[REDACTED]	5	1	1	1	y	y		
[REDACTED]	[REDACTED]	6	1	1	0	y	y		
[REDACTED]	[REDACTED]	7	2	2	1			y	
[REDACTED]	[REDACTED]	8	1	1	1	y		y	
[REDACTED]	[REDACTED]	9	3	2	1	y	y		
[REDACTED]	[REDACTED]	10	3	2	1				y
[REDACTED]	[REDACTED]	11	1	1	0				
[REDACTED]	[REDACTED]	12	2	2	1			y	
[REDACTED]	[REDACTED]	13	4	2	0				y
[REDACTED]	[REDACTED]	14	2	2	2	y	y		
[REDACTED]	[REDACTED]	15	1	1	1	y	y		
[REDACTED]	[REDACTED]	16	2	2	1				
[REDACTED]	[REDACTED]	17	3	2	1				
[REDACTED]	[REDACTED]	18	4	2	2	y	y		
[REDACTED]	[REDACTED]	19	4	2	1				y
[REDACTED]	[REDACTED]	20	4	2	2	y	y		
[REDACTED]	[REDACTED]	21	1	1	0			y	
[REDACTED]	[REDACTED]	22	1	1	0	y	y		
[REDACTED]	[REDACTED]	23	3	1	0	y			y
[REDACTED]	[REDACTED]	24	2	1	0	y	y	y	
[REDACTED]	[REDACTED]	25	2	1	0				y
[REDACTED]	[REDACTED]	26	2	2	0				
[REDACTED]	[REDACTED]	27	2	2	0		y		
[REDACTED]	[REDACTED]	28	1	1	1	y	y		
[REDACTED]	[REDACTED]	29	2	2	0			y	y
[REDACTED]	[REDACTED]	30	1	1	1	y	y		
[REDACTED]	[REDACTED]	31	4	2	0				y
[REDACTED]	[REDACTED]	32	2	2	1		y	y	
[REDACTED]	[REDACTED]	33	1	1	0		y		y
[REDACTED]	[REDACTED]	34	1	1	0				y
[REDACTED]	[REDACTED]	35	3	2	1			y	
[REDACTED]	[REDACTED]	36	6	1	1	y	y	y	
[REDACTED]	[REDACTED]	37	1	1	1	y	y		
[REDACTED]	[REDACTED]	38	1	1	1	y	y		
[REDACTED]	[REDACTED]	39	2	4	2	y			y
[REDACTED]	[REDACTED]	40	2	2	2		y		y
[REDACTED]	[REDACTED]	41	3	1	1		y		
[REDACTED]	[REDACTED]	42	3	1	1	y	y	y	
[REDACTED]	[REDACTED]	43	6	5	1	y		y	
[REDACTED]	[REDACTED]	44	2	2	0		y		
[REDACTED]	[REDACTED]	45	3	2	1	y			
[REDACTED]	[REDACTED]	46	1	1	1	y	y		
[REDACTED]	[REDACTED]	47	1	1	0	y	y		
[REDACTED]	[REDACTED]	48	4	2	2	y	y		
[REDACTED]	[REDACTED]	49	2	2	2	y	y		
[REDACTED]	[REDACTED]	50	4	2	1	y		y	
[REDACTED]	[REDACTED]	51	1	1	1	y	y		
[REDACTED]	[REDACTED]	52	1	1	1	y	y		
[REDACTED]	[REDACTED]	53	3	2	0		y		
[REDACTED]	[REDACTED]	54	3	1	0			y	
[REDACTED]	[REDACTED]	55	3	1	0		y		y
[REDACTED]	[REDACTED]	56	2	1	0				
[REDACTED]	[REDACTED]	57	2	1	0		y	y	
[REDACTED]	[REDACTED]	58	1	1	1	y	y		
[REDACTED]	[REDACTED]	59	1	1	0		y		
[REDACTED]	[REDACTED]	60	1	1			y		
[REDACTED]	[REDACTED]	61	1	1	0		y		
[REDACTED]	[REDACTED]	62	2	1	1	y	y		
[REDACTED]	[REDACTED]	63	1	1	1	y		y	
[REDACTED]	[REDACTED]	64	1	1	0				y
[REDACTED]	[REDACTED]	65	1	1	0				

Last Name	First Name	Household #	Family Size	Number of Adults	Number Unemployed	Under Poverty?	Homeless?	Overcrowded?	High Rent?
[REDACTED]	[REDACTED]	66	1	1	0		y		y
[REDACTED]	[REDACTED]	67	1	1	0			y	
[REDACTED]	[REDACTED]	68	1	1	0	y		y	
[REDACTED]	[REDACTED]	69	2	2	1				
[REDACTED]	[REDACTED]	70	1	1	1		y		
[REDACTED]	[REDACTED]	71	1	1	1		y		
[REDACTED]	[REDACTED]	72	1	1	0				
[REDACTED]	[REDACTED]	73	1	1	1			y	
[REDACTED]	[REDACTED]	74	1	1	0				
[REDACTED]	[REDACTED]	75	1	1	0			y	
[REDACTED]	[REDACTED]	76	3	1	0			y	
[REDACTED]	[REDACTED]	77	3	2	2	y	y		
[REDACTED]	[REDACTED]	78	1	1	1				y
[REDACTED]	[REDACTED]	79	2	2	2	y			
[REDACTED]	[REDACTED]	80	3	2	2		y		
[REDACTED]	[REDACTED]	81	3	2	0			y	
[REDACTED]	[REDACTED]	82	1	1	0	y	y		
[REDACTED]	[REDACTED]	83	1	1	0			y	
[REDACTED]	[REDACTED]	84	1	1	0	y	y		
[REDACTED]	[REDACTED]	85	1	1	0			y	
[REDACTED]	[REDACTED]	86	1	1	1	y	y		
[REDACTED]	[REDACTED]	87	2	2	0				y
[REDACTED]	[REDACTED]	88	1	1	0	y	y		
[REDACTED]	[REDACTED]	89	4	2	0			y	
[REDACTED]	[REDACTED]	90	3	2	1		y		y
[REDACTED]	[REDACTED]	91	3	2	2				
[REDACTED]	[REDACTED]	92	1	1	0				
[REDACTED]	[REDACTED]	93	2	1	0				
[REDACTED]	[REDACTED]	94	1	1	0		y		
[REDACTED]	[REDACTED]	95	4	1	1	y	y		
[REDACTED]	[REDACTED]	96	2	2	1		y		
[REDACTED]	[REDACTED]	97	4	2	0			y	
[REDACTED]	[REDACTED]	98	4	2	0			y	
[REDACTED]	[REDACTED]	99	3	3	1		y		
[REDACTED]	[REDACTED]	100	1	1	0				y
[REDACTED]	[REDACTED]	101	2	2	1		y		
[REDACTED]	[REDACTED]	102	2	2	0				y
[REDACTED]	[REDACTED]	103	2	2	1			y	
[REDACTED]	[REDACTED]	104	2	2		y		y	y
[REDACTED]	[REDACTED]	105	3	1	1	y	y		
[REDACTED]	[REDACTED]	106	3	2	1		y		
[REDACTED]	[REDACTED]	107	3	1	0		y		
[REDACTED]	[REDACTED]	108	3	2	0				
[REDACTED]	[REDACTED]	109	1	1	0	y	y		
[REDACTED]	[REDACTED]	110	1	1	0		y		
[REDACTED]	[REDACTED]	111	1	1	0			y	
[REDACTED]	[REDACTED]	112	1	1	1	y	y		
[REDACTED]	[REDACTED]	113	1	1	0	y	y		
[REDACTED]	[REDACTED]	114	1	1	1	y	y		
[REDACTED]	[REDACTED]	115	1	1	0			y	
[REDACTED]	[REDACTED]	116	1	1	1	y	y		
[REDACTED]	[REDACTED]	117	2	1	1	y	y		
[REDACTED]	[REDACTED]	118	3	2	1		y		
[REDACTED]	[REDACTED]	119	2	1	0	y	y		
Total			237	172	73	49	64	31	20

Unsurveyed # Families	0	0	0	0	0	0	0
Total Survey Population	119	237	172	73	49	64	31
Total Poverty Rate				41.2%			
Total Unemployment Rate				42.4%			
Other Factors of Distress				88.2%			

**Bear River Band of Rohnerville Rancheria
2008 RHED Needs Survey**

Head of Household Name: _____

of Persons in Household: _____

of Persons in Household Over Age 65: _____

of Persons in Household Under Age 18: _____

Household Income Higher or Lower Than Table: Higher _____ Lower _____

2008 HHS Poverty Guidelines

Persons in Family or Household	48 Contiguous States and D.C.	Alaska	Hawaii
1	\$10,400	\$13,000	\$11,960
2	14,000	17,500	16,100
3	17,600	22,000	20,240
4	21,200	26,500	24,380
5	24,800	31,000	28,520
6	28,400	35,500	32,660
7	32,000	40,000	36,800
8	35,600	44,500	40,940
For each additional person, add	3,600	4,500	4,140

SOURCE: *Federal Register*, Vol. 73, No. 15, January 23, 2008, pp. 3971-3972

Number of Adults in Household Employed: _____

Number of Adults in Household Unemployed: _____

Current Housing Situation: Homeowner __ Homeless __ Overcrowded __ High Rent __

Additional Information:

Completed By: _____

Date: _____

4/10/08

52

POVERTY IN THE UNITED STATES²⁵

Highlights

- The official poverty rate in 2006 was 12.3 percent, down from 12.6 percent in 2005 (Table 3).
- In 2006, 36.5 million people were in poverty, not statistically different from 2005.
- Poverty rates in 2006 were statistically unchanged for non-Hispanic Whites (8.2 percent), Blacks (24.3 percent), and Asians (10.3 percent) from 2005. The poverty rate decreased for Hispanics (20.6 percent in 2006, down from 21.8 percent in 2005).

²⁵ OMB determined the official definition of poverty in Statistical Policy Directive 14. Appendix B describes how the Census Bureau calculates poverty.

- The poverty rate in 2006 was lower than in 1959, the first year for which poverty estimates are available (Figure 3). From the most recent trough in 2000, the rate rose for 4 consecutive years, from 11.3 percent in 2000 to 12.7 percent in 2004, and then declined to 12.3 percent in 2006—a rate not statistically different from those in 2002 and 2003 (12.1 percent and 12.5 percent, respectively).
- For children under 18 years old and people aged 18 to 64, the poverty rates (17.4 percent and 10.8 percent, respectively) and the numbers in poverty (12.8 million and 20.2 million, respectively) remained statistically unchanged from 2005.²⁶

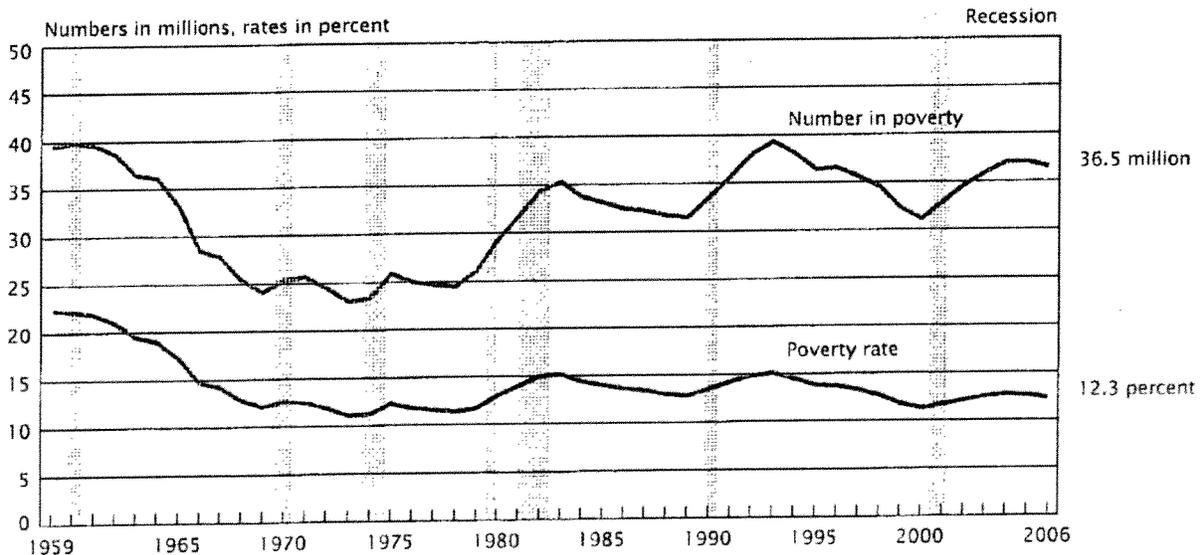
²⁶ Unrelated individuals under 15 are excluded from the poverty universe; therefore, 375,000 fewer children are in the poverty universe than in the total population.

- Both the poverty rate and the number in poverty decreased for people aged 65 and older (9.4 percent and 3.4 million in 2006, down from 10.1 percent and 3.6 million in 2005).

Race and Hispanic Origin

At 8.2 percent, the 2006 poverty rate for non-Hispanic Whites was lower than the rate for Blacks and Asians, 24.3 percent and 10.3 percent, respectively (Table 3). For all three of these groups, the number and the percentage in poverty were statistically unchanged between 2005 and 2006. In 2006, non-Hispanic Whites accounted for 43.9 percent of people in poverty and 66.1 percent of the total population. Among Hispanics, 20.6 percent were in poverty in 2006, lower than the 21.8 percent in 2005, while the number of Hispanics in poverty remained statistically unchanged at 9.2 million in 2006.

Figure 3.
Number in Poverty and Poverty Rate: 1959 to 2006



Note: The data points are placed at the midpoints of the respective years.

Source: U.S. Census Bureau, Current Population Survey, 1960 to 2007 Annual Social and Economic Supplements.



U.S. Department of Labor
Bureau of Labor Statistics



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54

**Is There a Housing Bubble in Humboldt County?
The Housing Market in a Rural California Region, 1989-2004—
Executive Summary**

Dr. Erick Eschker, Director
Index of Economic Activity for Humboldt County
eschker@netscape.net

Soren Messner-Zidell, Student
Department of Economics, Humboldt State University
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A great deal of attention has been paid recently to the price of houses in the U.S. and other countries because the average price has increased tremendously over the past few years. Current and future house owners, the real estate industry, and policy makers are concerned that a "housing bubble" has formed and that a bursting of the bubble is near at hand. A real estate market bubble is similar to a stock market bubble except that the asset price under consideration is the price of housing rather than the price of stocks. An asset price bubble is loosely defined as a situation where the price of the asset rapidly increases due to expectations of future price increases rather than changes in the underlying supply and demand "fundamentals" of the market. In the housing market, the fundamentals that affect house prices include the number of houses built, changes in population or income, and availability of mortgages, among other factors.

A detailed study of the housing market has not been performed for Humboldt County because Humboldt County is remote and rural. In general, many economists believe that there are housing bubbles in coastal U.S. markets while most areas of the interior U.S., especially the rural areas, exhibit no strong evidence of a bubble. While Humboldt County is located in California, a growing coastal state, it is also to a large degree more rural than other areas of California. Therefore, it is unclear whether Humboldt County will exhibit real estate market activity similar to other major California cities or to other rural cities in the nation.

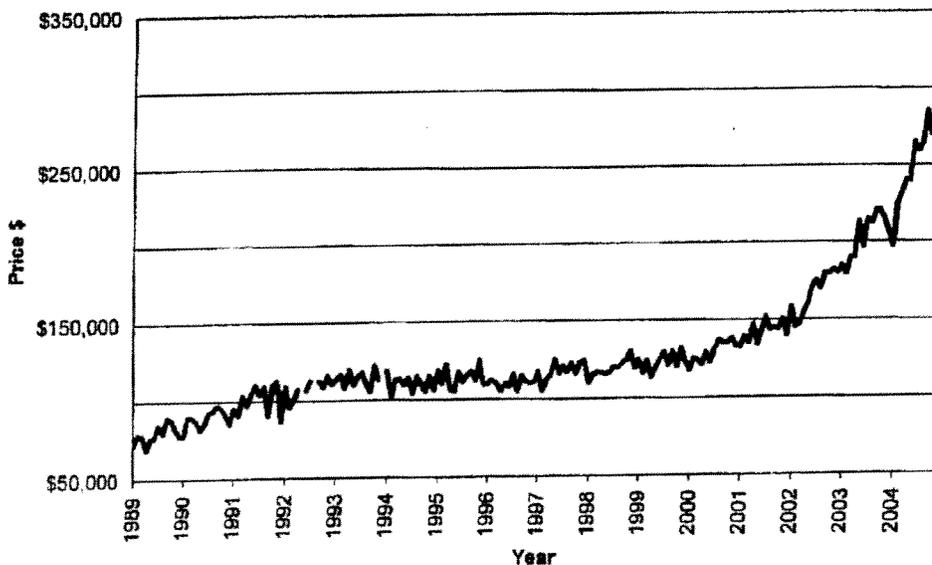
There are some indications that a housing bubble may have recently formed in various U.S. markets. There has been an increase in the percent of people who own their house and a larger portion of house purchases are for second houses. More people report purchasing a house for "speculative" purposes rather than for residence. After the 2000 stock market decline, people may be more cautious about investing in stocks and may have turned to housing as a form of investment. Additionally, house ownership has become more attainable since credit has become more available, which has allowed

people to purchase houses that are a greater fraction of their income. However, this means that housing prices have increased far greater than household income. The Humboldt Association of Realtors calculates an "affordability" index, which is the percent of Humboldt County households that can afford a mortgage on the median priced house. In June, 1999 the value was 49%. By June, 2005, the value had fallen to only 13%.

The most common way to look for a housing bubble is to look at changes in the P/E ratio, which is the price of the house divided by the current yearly rent that the house could earn, after adjusting for maintenance costs.

Figure 2 shows the median price of houses sold. The median or middle price may be a more accurate indicator of the overall housing market price because the mean or average price is influenced more by changes in the top end of the market. Since 1989, the median price has risen but the rise has been much more pronounced since about 2002. There was a strong rise in housing prices from \$79,354 in 1989 to \$113,573 in 1994. Prices were unchanged for five years and began to rise in 1999 until they hit \$141,987 in 2001. Prices rose by almost \$25,000 in 2002 and almost \$37,000 in 2003. In 2004, the median house price rose by almost \$48,000 to \$251,746. Prices rose by 18, 22, and 24 percent in 2002, 2003, and 2004, respectively. The only other years in which the median price rose by over ten percent was in 1990 and 1991 when the price rose by 12 and 14 percent, respectively. Thus, in both absolute and percentage terms, the rise in median house prices in 2002-2004 was greater than in any period over the last fifteen years.

Figure 2. Humboldt County Median Price of Houses Sold



2 ~~50~~ ~~50~~ 50

House rental prices show a similar trend to house purchase prices. Figure 3 shows that rental prices rose until 1991, were flat and then began to increase in about 2001. The average rent increased by \$70, \$104, \$75, and \$101 in 2001, 2002, 2003, and 2004, respectively. The corresponding percentage increase in these years was 9%, 13%, 8%, and 10%. The only other time rents increased by more than five percent was in 1997 when the \$50 increase represented a 8% increase.

Figure 3. Humboldt County Mean House Rental Price

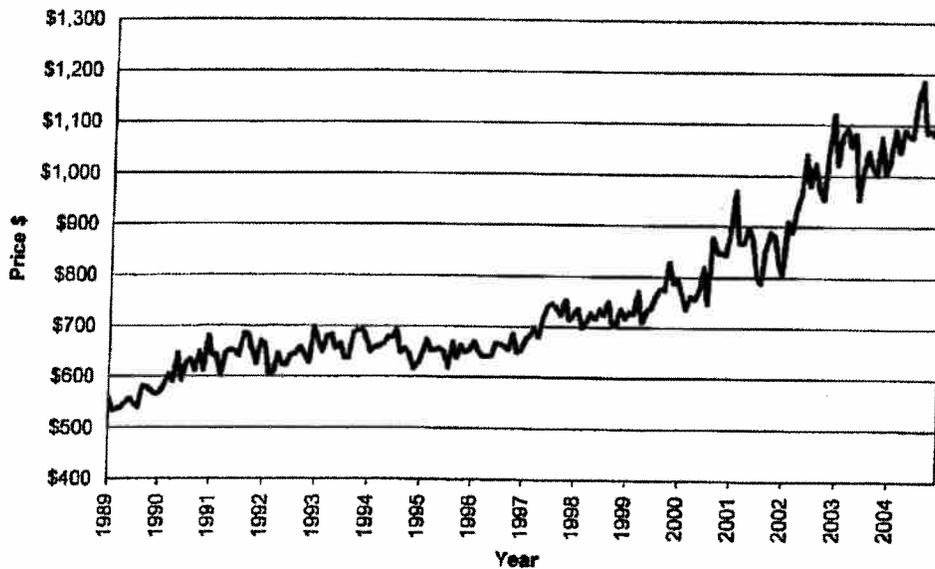
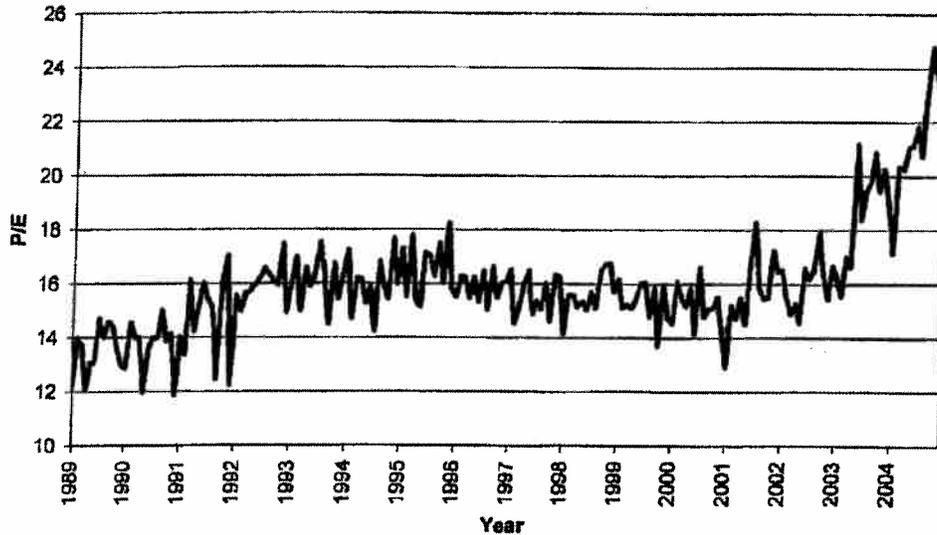


Figure 4 shows the P/E ratio for houses in Humboldt County. The yearly average P/E ratio rose slightly through 1992 then remained unchanged through 2002. In both 2003 and 2004, the P/E ratio rose by 3 points. In no year other than 2003 and 2004 did the yearly average P/E ratio rise or fall more than one point. The lowest monthly P/E ratio was 12.0 in May, 1990 and the greatest P/E ratio was 24.8 in September, 2004. From 1989 to 2002, the monthly P/E ratio averaged 15.4, while from 2003 to 2004 the P/E ratio averaged 20.2.

The rapid rise in the P/E ratio in 2003 and 2004 was more pronounced than any change in the P/E ratio over the period 1989-2004. Compared to 1989-2002, the P/E ratio in 2003-2004 averaged almost five points or 33% more. This P/E ratio increase is not as great as that which is observed in some housing markets, but it is greater than others in which a housing bubble is thought to exist. There is some evidence that low interest rates and vacancy rates may explain part of the increase in the P/E ratio, but other market fundamentals cannot explain the rise in the P/E ratio. We conclude that the P/E evidence is consistent with a housing bubble in Humboldt County.

**Figure 4. Humboldt County Housing P/E Ratio
(medium home price/mean rent, adjusted for expenses)**



We cannot predict what will happen to housing prices in the future. If there is a bubble, and if the bubble pops, then one possibility is an actual decline in housing prices, as was experienced by many regions in California over 1991-1992. This decline may be mild or severe. Another possibility is a very long period, perhaps a decade or longer, of stagnant house prices.

To summarize, the data we present for Humboldt County 1989-2004 is consistent with housing price movements in other coastal regions of the U.S. where some believe that a "housing bubble" has formed. In the three years from January, 2002 to December, 2004, the median house price appreciated by 72% or \$113,750, with the most rapid increase in 2004. More importantly, the P/E ratio never rose or fell by more than one point from 1989-2002. In both 2003 and 2004, however, the P/E ratio climbed by three points, so that it was 23.8 in December 2004 while it averaged 15.4 from 1989-2002.

Acknowledgements

The authors are very thankful to Lois Busey, Director of the Humboldt Association of Realtors and Staci Bishop for kindly allowing us to use their archives and answering our many questions. We could not have completed this project without their help. We would also like to thank Laura Lampley for her expert assistance with assembling the housing price data and Haley French for helping to collect the rent data. Finally, we thank the Index of Economic Activity and it's sponsors for providing financial support for this project. The Index sponsors are California State and Federal Employee's Credit Union #20, Coast Central Credit Union, Redwood Capital Bank, Redwood Region Economic

Development Commission, and Umpqua Bank. The views expressed in this paper are the opinions of the authors and not the opinions of the Humboldt Association of Realtors or the Index sponsors.

About the authors

Erick Eschker earned his Ph.D. from the University of California, Davis. He is Director of the Index of Economic Activity for Humboldt County <<http://www.humboldt.edu/~indexhum/>> and a research economist at the American Medical Association. Dr. Eschker's regional economic research includes a ten-year retrospective on the Humboldt County economy and a case study of the local gasoline market.

Soren Messner-Zidell is an economics major finishing his last semester at Humboldt State University in Arcata, CA. His primary interest is in East Asian Economics and structural adjustment policies in East Asia. He hopes to pursue graduate studies in International Relations in the future.

**CERTIFICATION OF
CONSISTENCY WITH THE
INDIAN HOUSING PLAN**

U.S. DEPARTMENT OF HOUSING
AND URBAN DEVELOPMENT
OFFICE OF PUBLIC AND INDIAN HOUSING

OMB Approval No. 2577-0229
Expiration Date: 02/28/2007

SAVE

Instructions for completing this form: All tribes/TDHEs must submit this form in conjunction with their ROSS application.

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Indian Housing Plan.

Applicant Name: Bear River Band of Rohnerville Rancheria

Project Name: Bear River Band Estates Infrastructure

Location of the Project: Singley Road
near Loleta, CA

Name of the Federal Program(s) to which the applicant is applying: HUD Rural Housing & Economic Developemnt

Name of Certifying Jurisdiction: Bear River Band of Rohnerville Rancheria

Title: Leonard Bowman, Tribal Chairperson

Signature: *Leonard Bowman*

Date: May 28, 2008

Public reporting burden for the collection of information is estimated to average fifteen minutes per response. This includes the time for collecting, reviewing, and reporting the data. The information will be used for the ROSS grant. Response to this request for information is required in order to receive the benefits to be derived. This agency may not collect this information, and you are not required to complete this form unless it displays a currently valid OMB control number.



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Bear River Band of Rohnerville Rancheria
11 Bear Paws Way
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Attention: [REDACTED]

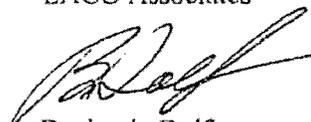
Subject: Preliminary Cost Estimate - Roadway and Utility Main Construction
Bear River Band Estates

Dear [REDACTED]

This letter presents exhibits, which have been prepared for the first phase of the Bear River Band of Rohnerville Rancheria's proposed *Bear River Band Estates*. Included in the exhibits are a conceptual site plan and a preliminary construction cost estimate. The Phase I work includes road and utility infrastructure to serve a gas station/mini-mart, community center, assisted living center, 29 single-family residences, and a future RV park (Figure 1). The proposed access right-of-way is 80 feet in width and includes a 32-foot paved area, shoulders, and sidewalks. Included in the preliminary construction cost estimate are cost estimates for construction of the roadways; water and sewer main infrastructure; drainage; and a dry utilities common trench (Attachment 1).

All these items, excepting the joint utility trench and transformers, are shown on the United States Department of the Interior, Bureau of Indian Affairs Northern California Agency Branch of Roads Plans for Proposed Construction of Roads BIA Route Numbers 317, 318, 319, and 320; currently being finalized. Subject to completion of dry utility design, these costs may change. Please contact us if we can be of any further assistance.

Sincerely,
LACO Associates


Benjamin Dolf
Assistant Engineer


Leonard M. Osborne
RCE 38573, Exp. 03/31/09



BWD:tgc

Attachments

PA6500\6588 Est Village - Bear River Band\6588.00 Submittals\6588 Letter Report for Site Plan and Preliminary Cost Estimate.doc

61



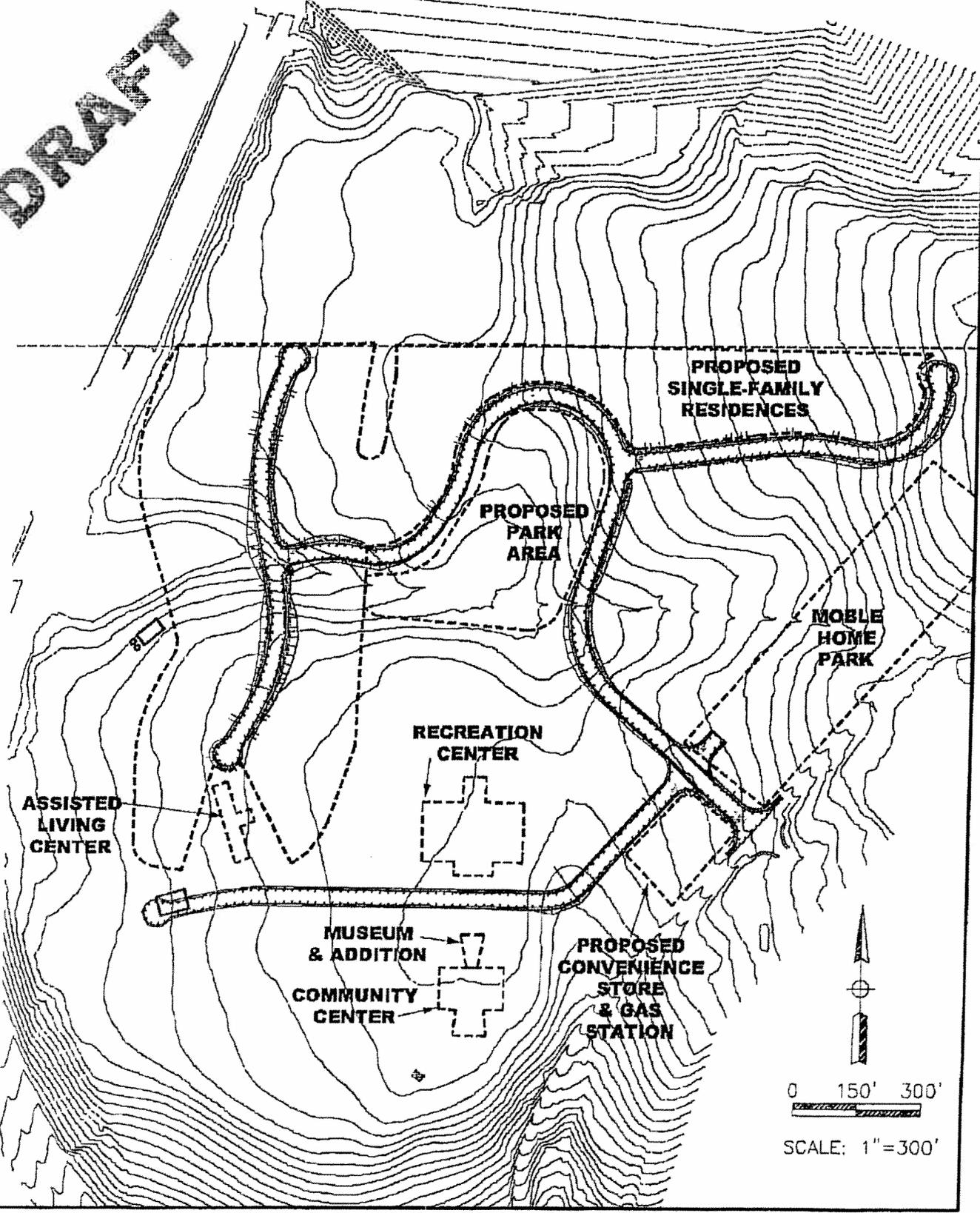
LACO ASSOCIATES
CONSULTING ENGINEERS
21 W 4TH ST. EUREKA, CA 95501 (707)443-5034

PROJECT	CONCEPTUAL SITE LAYOUT
CLIENT	BEAR RIVER BAND ESTATES
LOCATION	APN's 309-051-004 & 309-017-016
	SITE PLAN

BY	JB
DATE	05/14/08
CHECK	BWD
SCALE	1"=300'

FIGURE	1
JOB NO.	6588.00

DRAFT



May 27 2008 - 4:53pm
T:\Coastline\6500\6588\cwg\6588 CV CONCEPTUAL\ 6588 CONCEPTUAL SITE LAYOUT 2008-5-14.dwg

62

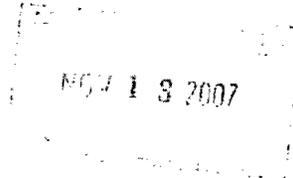
ATTACHMENT 1: BEAR RIVER ESTATES - ENGINEER'S ESTIMATE

Description	Unit of Measurement	Unit Price	COMBINED TOTAL	
			QUANTITY	PRICE
Mobilization	Lump Sum	\$ 10,000	Lump Sum	\$ 10,000
Clearing and Grubbing	Lump Sum	\$ 30,000	7.05	\$ 30,000
Roadway Excavation	cu. yd.	\$ 10	7,839	\$ 78,390
Roadway Embankment	cu. yd.	\$ 10	10,618	\$ 106,180
Borrow	cu. yd.	\$ 20	3,196	\$ 63,917
Loose Rip-Rap Class 2	cu. yd.	\$ 150	16	\$ 2,400
Aggregate Base, Grading D	Ton	\$ 35	11,654	\$ 404,376
Asphaltic Concrete Pavements, Grading A	Ton	\$ 110	3,821	\$ 420,310
12 in. Pipe Culvert, CMP	Linear ft.	\$ 75	2,098	\$ 157,350
24 in. Pipe Culvert, CMP	Linear ft.	\$ 110	151	\$ 16,610
End Section for 24" Pipe Culvert	Each	\$ 2,000	2	\$ 4,000
Inlet, Caltrans Type	Each	\$ 2,000	25	\$ 50,000
Sanitary Sewer Manholes	Each	\$ 3,000	21	\$ 63,000
Concrete Curb and Gutter, 6-Inch	Linear ft.	\$ 22	9,079	\$ 199,738
Curb, Asphalt, 6-inch	L.F.	\$ 5	1,398	\$ 6,990
8" C900 PVC Pressure Pipe	Linear ft.	\$ 75	6,517	\$ 488,808
Air Release/Vacuum Valves	Each	\$ 1,000	9	\$ 9,000
Gate Valves	Each	\$ 1,500	34	\$ 51,000
Fire Hydrants	Each	\$ 2,000	12	\$ 24,000
Pressure Reducing Valves	Each	\$ 2,000	1	\$ 2,000
8" SDR35 PVC Sewer Pipe	Linear ft.	\$ 60	4,376	\$ 262,560
Joint Utility Trenching	Linear ft.	\$ 30	5,650	\$ 169,488
Transformers	Each	\$ 2,000	4	\$ 8,000
Concrete Sidewalks	Sq. Yd.	\$ 45	4,108	\$ 184,860
Drive Pad, Concrete	Sq. Yd.	\$ 45	380	\$ 17,100
Seeding, Hydraulic Method w/ Mulch	Acre	\$ 1,000	1.44	\$ 1,440
Signs	Each	\$ 500	4	\$ 2,000
Object Markers	Each	\$ 50	12	\$ 600
Double 100mm Paint Solid Traffic Stripes Type A	Linear ft.	\$ 0.50	100	\$ 325
Pavement Markings, Type A	sq. ft.	\$ 1.25	280	\$ 350
			TOTAL:	\$ 2,834,792



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
SOUTHWEST OFFICE OF NATIVE AMERICAN PROGRAMS

November 8, 2007



Honorable Leonard Bowman
Chairman
Bear River Band of Rohnerville Rancheria
27 Bear River Drive
Loleta, CA 95551

Dear Chairman Bowman:

SUBJECT: 2007 Indian Community Development Block Grant Program
Grant Number B-07-SR-06-3074 (New Housing Construction)
Grant Approval Notification

The Southwest Office of Native American Programs (SWONAP) is pleased to inform you that the following project included in your ICDBG application has been selected for funding:

<u>Project</u>	<u>Point Award</u>	<u>Amount Requested</u>	<u>Amount Allocated</u>
New Housing Construction (4 units)	90	\$605,000	\$605,000

A Grant Agreement in the amount of \$605,000 has been authorized for your ICDBG program effective the date of this letter. Two signed counterparts of the Grant Agreement are enclosed. Please acknowledge your receipt and acceptance of the Grant Agreement by signing both copies and returning one to this office **within 30 days from the date of this letter**. A copy of the rating sheet is also enclosed for your records.

Please note the reference in the enclosed Grant Agreement for an environmental review pursuant to the regulations at 24 CFR Part 58. Contact your assigned Grants Management Specialist if you are not familiar with the environmental review process for your funded activity. The environmental review condition and three other special conditions are included in block 7 of form HUD-52734-A, Funding Approval/Agreement, and are as follows:

- 7.b.1 The funding assistance authorized hereunder shall not be obligated or utilized (1) for any activities requiring a release of funds by HUD under the environmental review requirements at 24 CFR Part 58, until such release is issued in writing by SWONAP, or (2) for construction or rehabilitation activities not requiring a Part 58 release, until documentation of environmental compliance is submitted to and accepted by SWONAP.
- 7.b.2 The funding assistance authorized hereunder shall not be obligated or utilized for any construction activities until the tribe has submitted an

64

Indian Housing Plan (IHP) for its 2008 Indian Housing Block Grant (IHBG) that allocates \$51,146 for the construction of the 4 housing units and the IHP has been found in-compliance by HUD. The tribe's ICDBG application identified a contribution of \$51,146 from the tribe's 2008 IHBG.

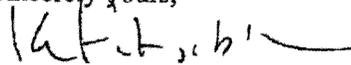
- 7.b.3 The funding assistance authorized hereunder shall not be obligated or utilized for any activities until a firm commitment from the Indian Health Service in the amount of \$160,000 is submitted to SWONAP. The funding commitment must be submitted no later than six months from the date of HUD's execution of the Grant Agreement.
- 7.b.4 The funding assistance authorized hereunder shall not be obligated or utilized for any construction activities until the tribe submits an executed subrecipient agreement between the tribe and the Bear River Mattole Wiyot Development Commission, and the agreement is accepted by SWONAP.

The Grant Agreement incorporates information included in your application. The Implementation Schedule (form HUD-4125) becomes part of the approved application and will form the basis for monitoring the tribe's progress in completing the grant activities. Adherence to the Implementation Schedule is an aspect of program performance that will be used to evaluate your tribe's administrative capacity should you submit future applications for ICDBG funds. The Implementation Schedule may be revised with SWONAP's concurrence for well-justified and documented reasons outside the control of the tribe. **The Implementation Schedule in your application dated June 12, 2007, indicates the project will be completed by August 31, 2009, and the grant will be closed by October 31, 2009.**

I congratulate your tribe and hope this project will be initiated as expeditiously as possible. Our office will conduct a workshop to review ICDBG requirements in the near future. A separate notice will be issued regarding the date and location of the workshop.

SWONAP pledges its support in assisting you to achieve the goals and objectives set forth in the ICDBG application. If there are any questions, please contact Karen Barnette, Grants Management Specialist, at (602) 379-7193.

Sincerely yours,



Kevin Fitzgibbons
Acting Administrator

Enclosures

Funding Approval/Agreement

Title I of the Housing and Community Development Act (Public Law 93-383) Section 106(a)(1)

U.S. Department of Housing and Urban Development
Office of Native American Programs

1. Name of Recipient (as shown in item 5 of standard form 424) Bear River Band of Rohnerville Rancheria	3. Recipient's 9-digit Tax Identification No. 68-0085465	4. Date use of Funds may begin (mm/dd/yyyy)
2. Recipient's Complete Address (as shown in item 5 of standard form 424) 27 Bear River Drive Loleta, CA 95551	5. Project/Grant Number B07SR063074	6. Amount Approved \$605,000.00

This Grant Agreement between the Department of Housing and Urban Development (HUD) and the above named Recipient is made pursuant to the authority of Section 106(a)(1) of Title I of the Housing and Community Development Act of 1974, as amended, (42 U.S.C. 5301 et seq). The Recipient's submissions for Title I assistance, the HUD regulations at 24 CFR Part 1003 (as now in effect and as may be amended from time to time), and this Funding Approval, including any special conditions, constitute part of the Agreement. Subject to the provisions of this Grant Agreement, HUD will make the funding assistance specified here available to the Recipient upon execution of the Agreement by the parties. The funding assistance specified in the Funding Approval may be used to pay costs incurred after the date specified in 4 above provided the activities to which such costs are related are carried out in compliance with all applicable requirements. Pre-agreement costs may not be paid with funding assistance specified here unless they are authorized in HUD regulations or approved by waiver and listed in the special conditions to the Funding Approval. The Recipient agrees to assume all of the responsibilities for environmental review, decision making, and actions, as specified and required in regulations issued by the Secretary pursuant to Section 104(g) of Title I and published in 24 CFR Part 58. The Recipient further acknowledges its responsibility for adherence to the Agreement by subrecipient entities to which it makes funding assistance hereunder available.

U.S. Department of Housing and Urban Development		Recipient: Bear River Band of Rohnerville Rancheria	
Name Kevin Fitzgibbons	Signature <i>[Signature]</i>	Name Leonard Bowman	Signature <i>[Signature]</i>
Title Acting Administrator	Date (mm/dd/yyyy) 11-8-07	Title Chairman	Date (mm/dd/yyyy) 11-2-07
7. Special conditions (check applicable box) a. <input type="checkbox"/> Not applicable b. <input checked="" type="checkbox"/> See attachment(s)		8. Date HUD Received Submission (mm/dd/yyyy) 8b. Date Recipient Notified (mm/dd/yyyy) 8c. Date of Start of Program Year (mm/dd/yyyy)	9. (check one) a. <input type="checkbox"/> Orig. funding aprvl. b. <input type="checkbox"/> Amendment c. Amendment Number
		10. Amount of Community Development Block Grant a. Funds Reserved for this Recipient \$605,000.00 b. Funds Now Being Approved \$605,000.00 c. Reservation to be Cancelled (a minus b) -0-	

HUD Accounting Use Only (Show all dates as mm/dd/yyyy)

Batch	TAC	Program	Y	A	Reg.	Area	Document No.	Project No.	Category	Amount	Effective Date
	1 5 3										
	1 7 6										
			Y								
			Y								

Date Entered PAS	Date Entered LOCCS	Batch No.	Transaction Code	Entered by	Verified by
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626